

# Environmental Audit Program

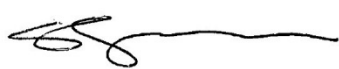

The Northern Road Upgrade –  
Mersey Road, Bringelly to Glenmore Parkway, Glenmore Park

July 2018

## Document control

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## Approval and authorisation

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| Revision | Date       | Description   |
|----------|------------|---|
| 0        | 19/6/2018  | Issued to the Secretary of the DP&E for information |
| 1        | 06/07/2017 | Updated to address DP&E comments                    |
| 2        |            |   |

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# Glossary / Abbreviations

| Term                              | Expanded text   |
|-----------------------------------|---|
| CSSI                              | Critical State Significant Infrastructure   |
| DoEE                              | The Commonwealth Department of the Environment and Energy administering the EPBC Act, and includes the Minister for the DoEE.   |
| DP&E                              | NSW Department of Planning and Environment  |
| EIS                               | Environmental Impact Statement, submitted to the Secretary of the DP&E seeking approval to carry out the project described in it and as revised if required by the Secretary under the EP&A Act   |
| Environmental Representative (ER) | A suitably qualified and experienced person independent of project design and construction personnel employed for the duration of Construction. The principal point of advice in relation to all questions and complaints concerning environmental performance. |
| EP&A Act                          | <i>NSW Environmental Planning and Assessment Act 1979</i>   |
| EPBC Act                          | <i>Commonwealth Environment Protection and Biodiversity Conservation Act 1999</i>   |
| EPL                               | NSW Environment Protection Licence under the <i>Protection of the Environment Operations Act 1997</i>   |
| Federal-CoA                       | Federal DoEE Condition of Approval  |
| Minister for the DoEE             | The Commonwealth Minister administering the EPBC Act and includes a delegate of that Minister   |
| NSW-CoA                           | NSW DP&E Condition of Approval  |
| NSW Infrastructure Approval       | The infrastructure approval for the Northern Road Upgrade issued by the New South Wales Government on 31 May 2018   |
| OACEMP                            | Overarching Construction Environmental Management Plan  |
| Project, the                      | The Northern Road Upgrade – Mersey Road, Bringelly to Glenmore Parkway, Glenmore Park   |
| Roads and Maritime                | NSW Roads and Maritime Services   |
| Secretary                         | Secretary of the NSW Department of Planning and Environment or nominee, whether nominated before or after the date on which the NSW Infrastructure Approval was granted   |
| SPIR                              | Submissions and Preferred Infrastructure Report submitted to the Secretary of the DP&E under the EP&A Act   |
| TNR                               | The Northern Road   |

# 1 Introduction

## 1.1 Background

This Environmental Audit Program has been prepared for The Northern Road Upgrade (TNR) (the Project) in accordance with the requirements of the NSW Infrastructure Approval SSI 7127 and Federal EPBC 2016/7696 Approval.

The Environmental Audit Program has been prepared in accordance with *AS/NZS ISO 19011:2014 - Guidelines for Auditing Management Systems*.

This Environmental Audit Program has been reviewed by the Environmental Representative (ER) prior to being submitted to the Secretary of the Department of Planning and Environment (DP&E) for information one month prior to the commencement of work on the first stage of the Project (Stage 4).

This Environmental Audit Program, as submitted to the Secretary, will be implemented for the duration of the stages of Construction of the Project.

## 1.2 Purpose

This Environmental Audit Program has been prepared to address the requirements of NSW Conditions of Approval (NSW-CoA) A35, A36, A37, A38 and A39 of the NSW Infrastructure Approval, which requires the preparation of an Environmental Audit Program prior to the commencement of works. The Environmental Audit Program describes the approach to be adopted for auditing of the requirements of Schedule 2 of the NSW Infrastructure Approval and the conditions of the Federal Approval during the Construction and Operation of the Project.

## 1.3 Environmental management system overview

This Environmental Audit Program is part of the suite of environmental management documents prepared for the Project.

The TNR Overarching Construction Environmental Management Plan (OACEMP) is the primary document used to manage and control the environmental aspects of the Project during Construction. It provides the overall framework for the system and procedures to ensure environmental impacts are minimised and legislative and other requirements are fulfilled.

The OACEMP has been developed to address the conditions of the NSW Infrastructure Approval and Federal Approval, and the safeguards and management measures presented in the environmental assessment and approval documents. The OACEMP establishes the system for implementation, monitoring and continuous improvement to minimise impacts of the Project on the environment.

## 1.4 Approval documentation

Approval documentation relevant to the Audit Program includes:

- *The Northern Road Upgrade - Mersey Road, Bringelly to Glenmore Parkway, Glenmore Park NSW – exhibited Environmental Impact Statement (EIS)* (Roads and Maritime, June 2017)
- *The Northern Road Upgrade - Mersey Road, Bringelly to Glenmore Parkway, Glenmore Park NSW – Final Environmental Impact Statement (EIS)* (Roads and Maritime, December 2017)
- *The Northern Road Upgrade – Mersey Road, Bringelly to Glenmore Parkway, Glenmore Park - Submissions and Preferred Infrastructure Report (SPIR)* (Roads and Maritime, December 2017)
- Infrastructure Approval SSI-7127 (approved by the NSW Minister for Planning on 31 May 2018)
- Approval EPBC 2016/7696 (approved by the Commonwealth Minister for the Department of the Environment and Energy on 15 June 2018).

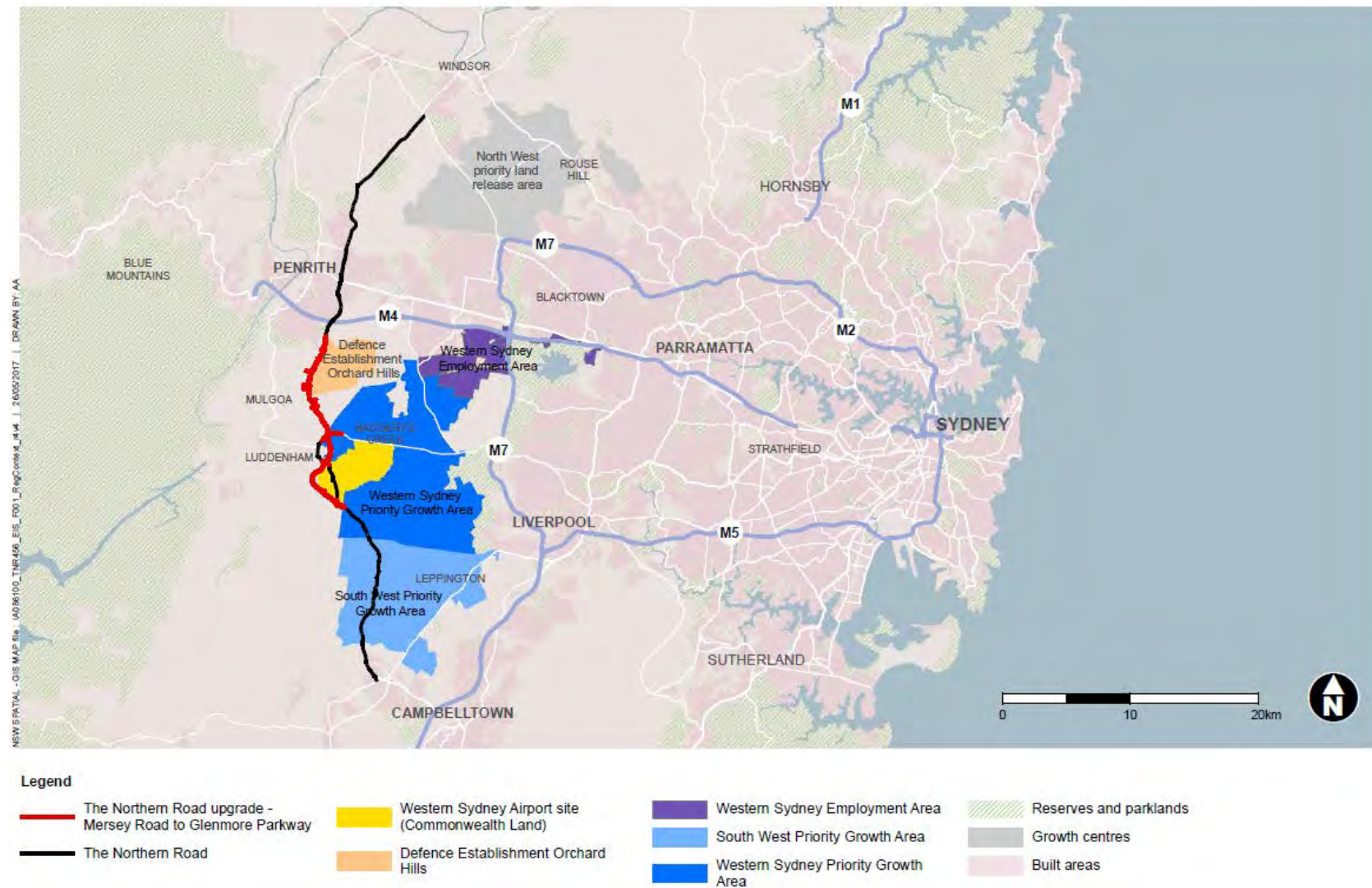
## 2 Project Description

The Northern Road Upgrade – Mersey Road, Bringelly to Glenmore Parkway, Glenmore Park Project (the Project) comprises the upgrade of 16 km of TNR via the construction of new, additional and renewed infrastructure as part of the broader Western Sydney Infrastructure Plan.

The Project is located approximately 45 km west of the Sydney CBD and traverses the local government areas of Penrith in the north and Liverpool in the south, as shown in Figure 2-1. The key features of the Project include:

- a six-lane divided road between Mersey Road, Bringelly and Bradley Street, Glenmore Park (two general traffic lanes and a kerbside bus lane in each direction to be delivered when demand requires). The wide central median would allow for an additional travel lane in each direction in the future, if required
- an eight-lane divided road between Bradley Street, Glenmore Park and about 100 m south of Glenmore Parkway, Glenmore Park (three general traffic lanes and a kerbside bus lane in each direction separated by a median to be delivered when demand requires)
- about 8 km of new road between Mersey Road, Bringelly and just south of the existing Elizabeth Drive, Luddenham to realign the section of The Northern Road that currently bisects the Western Sydney Airport site and to bypass Luddenham
- about 8 km of upgraded and widened road between the existing Elizabeth Drive, Luddenham and about 100 m south of Glenmore Parkway, Glenmore Park
- closure of the existing TNR through the Western Sydney Airport site
- tie-in works with TNR Upgrade between Peter Brock Drive, Oran Park and Mersey Road, Bringelly (to the south), and Glenmore Parkway, Glenmore Park and Jamison Road, South Penrith (to the north)
- six new intersections
- new traffic lights at four existing intersections
- six modified intersection arrangements
- three dedicated u-turn facilities
- twin bridges over Adams Road, Luddenham
- local road changes and upgrades
- a new shared path on the western side of TNR and footpaths on the eastern side of TNR where required
- the upgrading of drainage infrastructure
- operational ancillary facilities including heavy vehicle inspection bays and an incident response facility
- roadside furniture and lighting as required
- the relocation of utilities and services
- changes to property access along TNR
- establishment and use of temporary ancillary facilities and access tracks during Construction
- property adjustments as required
- clearance of unexploded ordinance within the Defence Establishment Orchard Hills.





**Figure 2-1: Location of the Project**



## 2.1 Project staging

The Project will be delivered in three stages:

- Stage 4 - Mersey Road, Bringelly, to Eaton Road, Luddenham
- Stage 5 - Littlefields Road, Luddenham, to Glenmore Parkway, Glenmore Park
- Stage 6 - Littlefields Road, Luddenham to Eaton Road, Luddenham




The extent of each stage is shown on Figure 2-3 and Figure 2-4. Each stage will be delivered in a separate Construction package.

## 2.2 Project timeframe

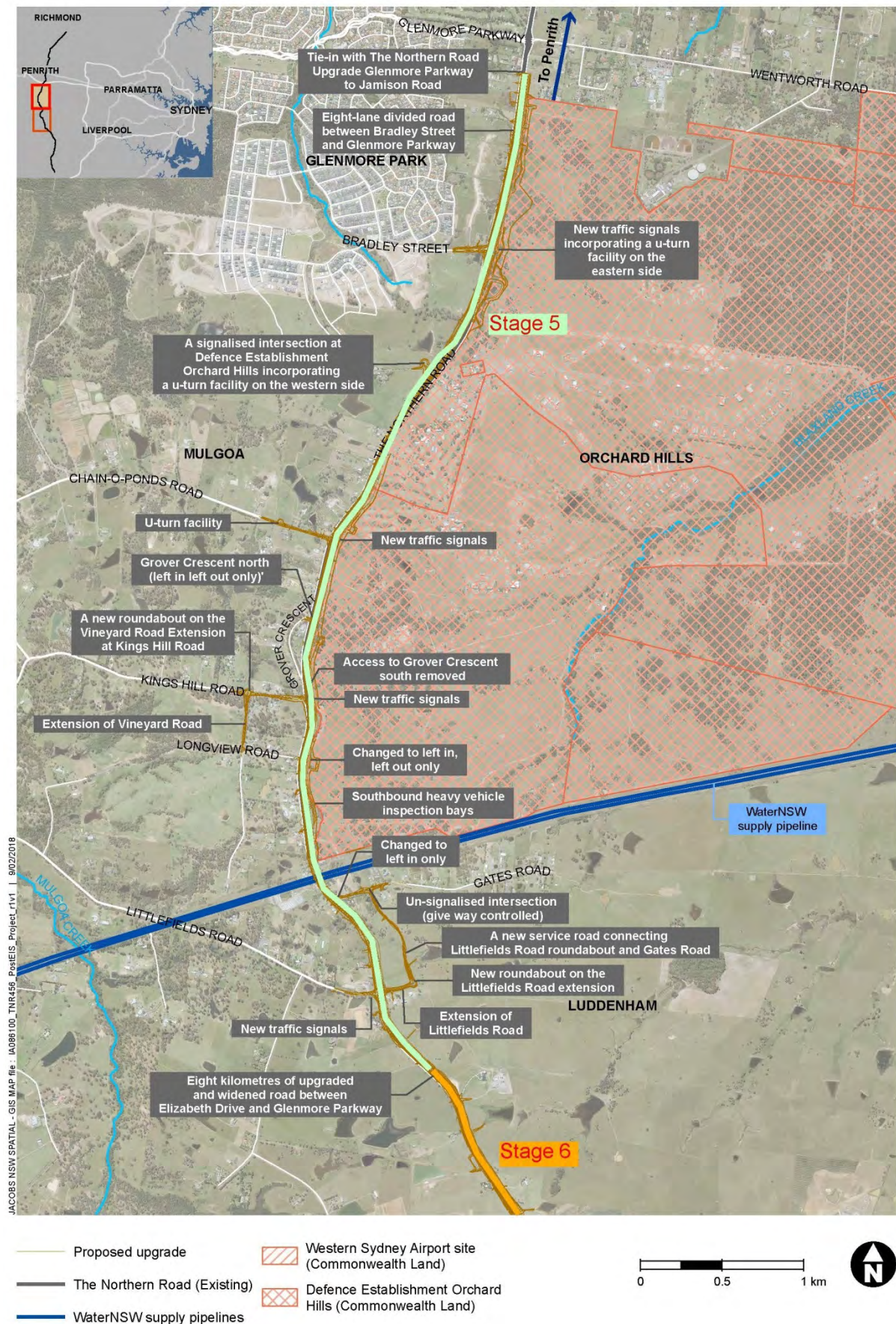
Construction of the Project will take around four years, commencing in the third quarter of 2018 with completion targeted by the end of 2022. The existing section of The Northern Road passing through the proposed Western Sydney Airport site will be closed in mid-2020 with traffic being diverted temporarily onto one carriageway of the new alignment before Operation commences in 2021. The indicative timeframe for the staging of the Project is provided in Figure 2-2 below.

| Stage | Extent   | 2018 | 2019             | 2020 | 2021 | 2022 | 2023 |
|-------|--|------|------------------|------|------|------|------|
| 4     | Mersey Road, Bringelly, to Eaton Road, Luddenham                 |      | Airport critical |      |      |      | →    |
| 5     | Littlefields Road, Luddenham, to Glenmore Parkway, Glenmore Park |      |                  |      |      |      | →    |
| 6     | Littlefields Road, Luddenham to Eaton Road, Luddenham            |      |                  |      |      |      | →    |

### Legend

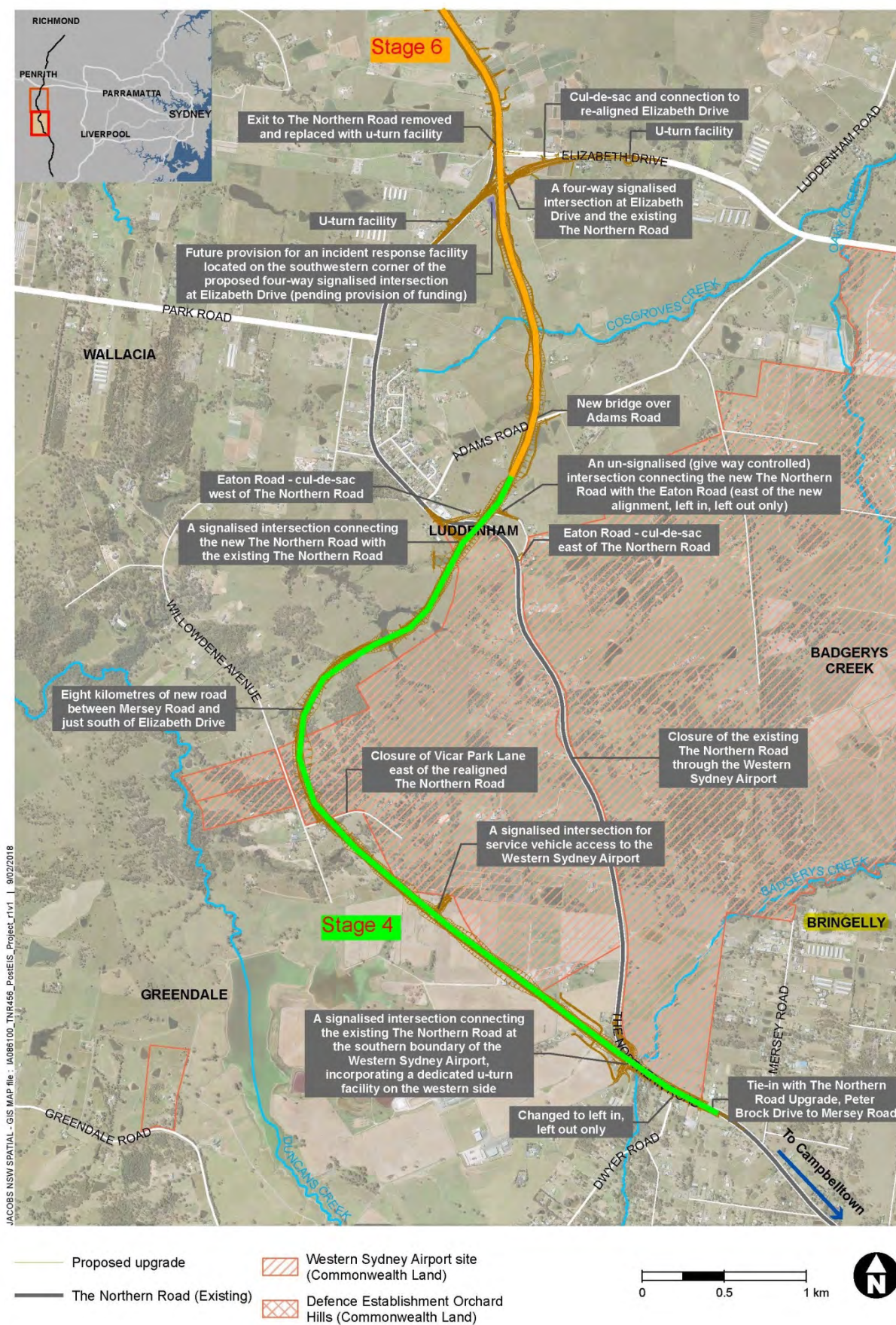
|  |   |  |
|--|---|--|
|  Construction |  Airport critical construction |  Operation |
|--|---|--|

**Figure 2-2: Indicative Project timeframe**



**Figure 2-3: Indicative extent of Project (northern section - Stages 5 and 6)**



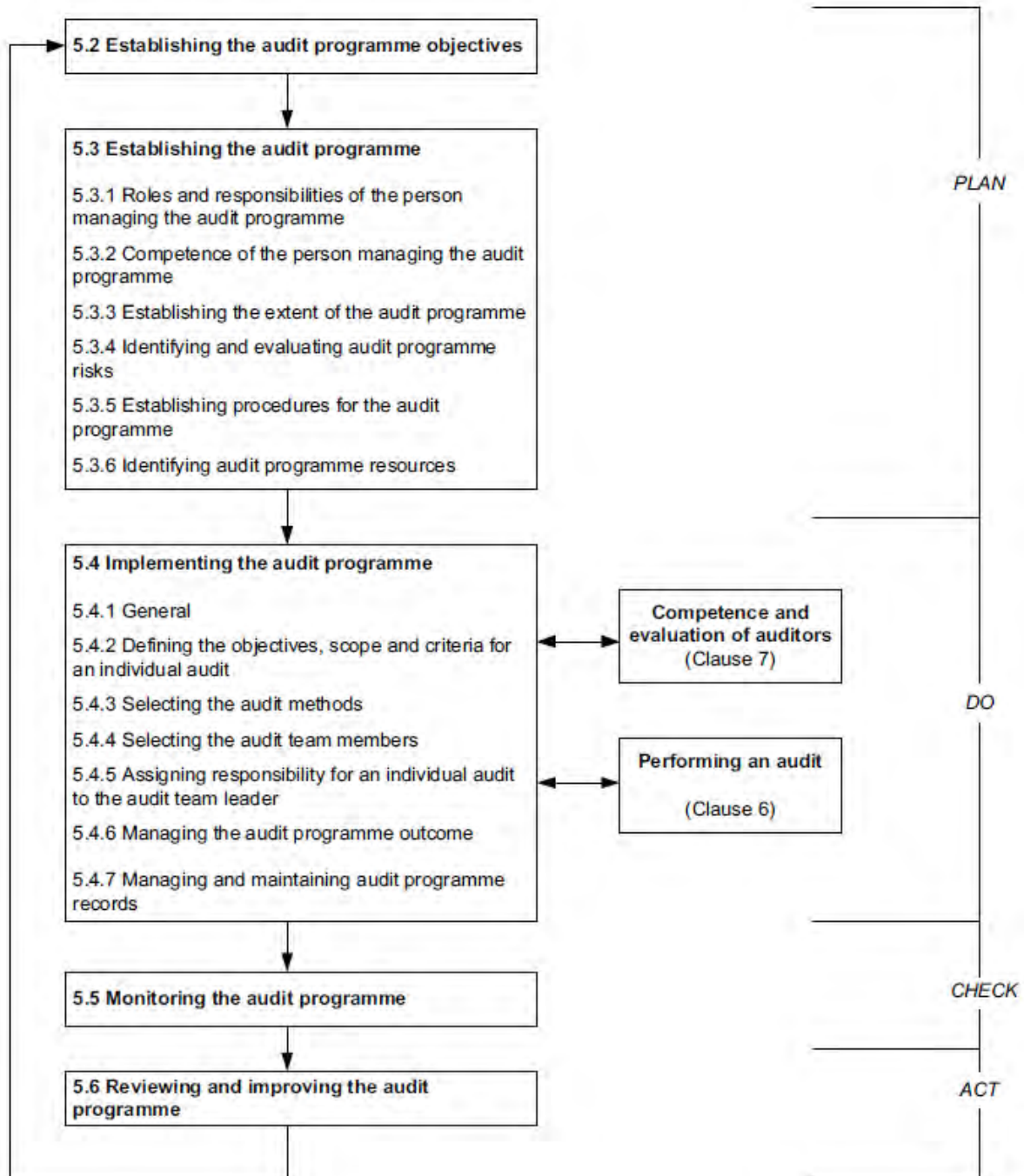


**Figure 2-4: Indicative extent of Project (southern section - Stages 4 and 6)**



### 3 Audit Program

This Environmental Audit Program has been prepared in accordance with *AS/NZS ISO 19011:2014 - Guidelines for Auditing Management Systems*. Section 5 of *AS/NZS ISO 19011:2014* provides recommendations for the approach to the management of the audit program, as summarised in Figure 3-1. Further details on the adopted approach are provided in the following sections.



Source: *AS/NZS ISO 19011:2014 - Guidelines for Auditing Management Systems*

Notes:

1. This figure illustrates the application of the Plan-Do-Check-Act cycle in this International Standard.
2. Clause/subclause numbering refers to the relevant clauses/subclauses of this International Standard

**Figure 3-1: Process flow for the management of the Audit Program**

### 3.1 Audit program objectives and requirements

The objective of the Audit Program is to address the requirement of the State and Federal approvals in relation to environmental auditing of the Project. The requirements of the NSW CoA which apply to the Environmental Audit Program are listed in Table 3-1 below, together with the cross-reference to where the requirements are addressed in this Environmental Audit Program. Further details of how the conditions are addressed are provided in the sections below.

**Table 3-1: NSW Conditions applicable to the Audit Program**

| NSW-CoA | Requirement   | Reference  |
|---------|---|--|
| A35     | An <b>Environmental Audit Program</b> for independent environmental auditing against the terms of this approval must be prepared in accordance with <i>AS/NZS ISO 19011:2014 - Guidelines for Auditing Management Systems</i> and submitted to the Secretary for information no later than one month before the commencement of works or within another timeframe agreed with the Secretary.  | This document<br>Section 1.1                             |
| A36     | The <b>Environmental Audit Program</b> , as submitted to the Secretary, must be implemented for the duration of Construction  | Section 1.1  |
| A37     | All independent environmental audits of the CSSI must be conducted by a suitably qualified, experienced and independent team of experts in auditing and be documented in an <b>Environmental Audit Report</b> which: <ul style="list-style-type: none"> <li>(a) assesses the environmental performance of the CSSI, and its effects on the surrounding environment;</li> <li>(b) assesses whether the project is complying with the terms of this approval;</li> <li>(c) reviews the adequacy of any document required under this approval; and</li> <li>(d) recommends measures or actions to improve the environmental performance of the CSSI, and improvements to any document required under this approval.</li> </ul> | Section 3.3<br>Section 3.4<br>Section 3.5<br>Section 3.6 |
| A38     | The <b>Environmental Audit</b> must be carried out within 12 months of works commencing and annually thereafter during the delivery of the CSSI, and within 12 months of the commencement of Operations and then as required by the Secretary.  | Section 3.2  |
| A39     | The Proponent must submit a copy of the <b>Environmental Audit Report</b> to the Secretary with a response to any recommendations contained in the audit report within six (6) weeks of completing the audit, or within another timeframe agreed with the Secretary.  | Section 3.5  |

Stages 4 and 5 of the Project are located on both NSW and Federal land, however the NSW Infrastructure Approval conditions apply to both NSW and Federal land within the Project. The Federal approval conditions also apply to both NSW and Federal land within the Project. Federal conditions relevant to the Environmental Audit Program are identified in Table 3-2.

**Table 3-2: Federal Conditions applicable to the Audit Program**

| Federal-CoA | Requirement   | Reference                                 |
|-------------|---|---|
| 11.         | The person taking the action must maintain accurate records substantiating all activities associated with or relevant to the conditions of approval, including measures taken to implement all management plans required by this approval, and make them available upon request to the <b>DoEE</b> . Such records may be subject to audit by the <b>DoEE</b> or an independent auditor in accordance with section 458 of the <b>EPBC Act</b> , or used to verify compliance with the conditions of approval. Summaries of audits will be posted on the <b>DoEE's</b> website. The results of audits may also be publicised through the general media. | Section 3.4<br>Section 3.5<br>Section 3.6 |
| 13.         | The person taking the action must provide the <b>DoEE</b> with a copy of each document provided to the <b>DP&amp;E Secretary</b> in accordance with conditions A27 to A43 on the <b>NSW Infrastructure Approval</b> .   | Section 3.5                               |
| 14.         | Upon the direction of the <b>Minister for the DoEE</b> , the person taking the action must ensure that an independent audit of compliance with the conditions of approval is conducted and a report submitted to the <b>Minister for the DoEE</b> . The independent auditor must be approved by the Minister prior to the commencement of the audit. Audit criteria must be agreed to by the <b>Minister for the DoEE</b> and the audit report must address the criteria to the satisfaction of the <b>Minister for the DoEE</b> .  | Section 3.3<br>Section 3.4<br>Section 3.5 |

## 3.2 Establishment of the Environmental Audit Program

### 3.2.1 Management of the Environmental Audit Program

Roads and Maritime is responsible for the management the Environmental Audit Program including:

- establishing the extent of the Environmental Audit Program
- identifying and evaluating the risks for the Environmental Audit Program
- establishing audit responsibilities
- establishing procedures for Environmental Audit Program
- determining necessary resources
- ensuring the implementation of the Environmental Audit Program, including the establishment of audit objectives, scope and criteria of the individual audits and selecting the independent auditor/s
- ensuring that appropriate Environmental Audit Program records are managed and maintained
- monitoring, reviewing and improving the Environmental Audit Program.

Roads and Maritime has the necessary competence to manage the Environmental Audit Program as well as knowledge and skills in the following areas:

- audit principles, procedures and methods
- management system standards and reference documents
- activities and processes of the Construction Contractors
- applicable legal and other requirements relevant to the activities of the Construction Contractors
- Project stakeholders.

### **3.2.2 Establishing the extent of the Environmental Audit Program**

The extent of the Environmental Audit Program is defined by the requirements of the NSW and Federal conditions of approval, as outlined in Table 3-1 and Table 3-2. Further details on the proposed extent and scope of the Environmental Audit Program for the Project are provided in Section 3.3.4.

### **3.2.3 Environmental Audit Program risks**

Roads and Maritime has considered the risks associated with establishing, implementing, monitoring, reviewing and improving the Environmental Audit Program in the development of the Program including risks relating to:

- planning
- resourcing
- selection of the independent auditor/s
- implementation
- records and their controls
- monitoring, reviewing and improving the Environmental Audit Program.

These risks have been considered in the implementation procedures provided in Section 3.3 below.

### **3.2.4 Establishing procedures for the Environmental Audit Program**

Roads and Maritime has established the following procedures for the Environmental Audit Program:

- planning and scheduling audits
- selecting appropriate independent auditor/s and assigning their responsibilities
- reporting on the outcomes the audit program
- maintaining Environmental Audit Program records
- monitoring and reviewing the performance and risks, and improving the effectiveness of the Environmental Audit Program.

Details of these procedures are provided in Section 3.3 below.



### 3.2.5 Identifying Environmental Audit Program resources

Roads and Maritime has considered the following in allocating resources for the Environmental Audit Program:

- the financial resources necessary to develop, implement, manage and improve audit activities
- the availability and selection of appropriately qualified independent auditor/s having competence appropriate to the specific Environmental Audit Program objectives
- the extent of the Environmental Audit Program.

## 3.3 Implementation of the Environmental Audit Program

### 3.3.1 General

Roads and Maritime will implement this Environmental Audit Program by:

- ensuring the selection of independent auditor/s with the necessary competence (Section 3.3.2)
- coordinating and scheduling audits and ensuring the conduct of audits in accordance with this Environmental Audit Program and the timeframes identified in Section 3.3.3
- defining objectives, scope and criteria for the environmental audits (Sections 1.2, 3.1, and 3.3.4)
- ensuring that audit activities are recorded and records are properly managed, maintained and outcomes communicated to the relevant parties (Sections 3.3.5 and 3.3.6).

The Environmental Audit Program will be implemented in accordance with *AS/NZS ISO 19011:2014 - Guidelines for Auditing Management Systems*. The following sections provide further detail on the implementation of the Environmental Audit Program.

### 3.3.2 Independent auditor selection

Independent auditor/s will be engaged by Roads and Maritime based on the following criteria:

- suitable environmental qualifications, including current certification as a Principal Environmental Management Systems Auditor or equivalent under Exemplar Global or other recognised certification provider
- relevant experience in similar types of audits
- independent from the design and construction of the Project.

Roads and Maritime may engage a different independent auditor for each stage of the Project.

Where an audit is directed by the Minister for the DoEE, the independent auditor/s will be approved by the Minister for the DoEE prior to the commencement of the audit.

### 3.3.3 Environmental Audit schedule

This Environmental Audit Program will be implemented for the duration of the Construction of the Project and for a minimum of one year following commencement of Operation, and then as required by the Secretary.

The initial routine Environmental Audits for each stage will be carried out within 12 months of works commencing on that stage of the Project and annually thereafter during the Construction of the that stage, and within 12 months of the commencement of Operation of that stage and then as required by the Secretary. The proposed routine audit schedule is provided in Table 3-3.

**Table 3-3: Routine environmental audit schedule**

| Project phase and stage       | Indicative commencement | Initial Audit  | Ongoing Audit Intervals  |
|-------------------------------|-------------------------|--|--|
| <b>Works and Construction</b> |                         |  |  |
| Stage 4                       | Q3 2018                 | Within 12 months of the commencement of works on Stage 4     | Annually from the date of the initial Independent Audit of Stage 4 |
| Stage 5                       | Q3 2018                 | Within 12 months of the commencement of works on Stage 5     | Annually from the date of the initial Independent Audit of Stage 5 |
| Stage 6                       | Q1 2019                 | Within 12 months of the commencement of works on Stage 6     | Annually from the date of the initial Independent Audit of Stage 6 |
| <b>Operation</b>              |                         |  |  |
| Stage 4                       | Q2 2021                 | Within 12 months of the commencement of Operation of Stage 4 | As required by the Secretary                                       |
| Stage 5                       | Q1 2023                 | Within 12 months of the commencement of Operation of Stage 5 | As required by the Secretary                                       |
| Stage 6                       | Q3 2021                 | Within 12 months of the commencement of Operation of Stage 6 | As required by the Secretary                                       |

In addition to the routine environmental audits outlined in Table 3-3, audits may be directed to be undertaken by the Minister for DoEE as required, for example in response to a compliance issue.

### 3.3.4 Environmental audit scope

Independent environmental audits of the Project will be undertaken in accordance with *AS/NZS ISO 19011:2014 - Guidelines for Auditing Management Systems* and, where applicable, section 458 of the EPBC Act.

The independent auditor/s will develop the scope of the routine audits for each Project stage in consultation with DP&E and DoEE. The scope will include:

- assessment of compliance with:
  - conditions of the NSW and Federal approvals
  - post approval documents required under the NSW and Federal approvals (including environmental mitigation measures and recommendations provided in environmental management plans)
  - all licences and approvals applicable to the development (except EPLs)
- assessment of environmental performance against relevant environmental project criteria and its effects on the surrounding environment
- a review of the records substantiating all activities associated with, or relevant to, the conditions of approval, including measures taken to implement all management plans required by the NSW and Federal approvals
- recommendations for measures or actions to improve the environmental performance of the Project and improvements to any document required under the NSW and Federal approvals
- status of previous audit findings
- high level review of environmental management systems, plans and sub-plans including a review of the adequacy of the post approval documents
- any other matters that the Auditor considers relevant.

For any additional audits directed by the Minister for the DoEE, the audit criteria must be agreed to by the Minister for the DoEE.

### **3.3.5 Environmental audit reporting**

The results of the routine environmental audits for each stage will be documented by the Auditor in an Environmental Audit Report. Roads and Maritime will submit a copy of each Environmental Audit Report to the Secretary with a response to any recommendations contained in the Environmental Audit Report within six weeks of the completion of the audit, or within another timeframe agreed with the Secretary. Copies of the Environmental Audit Reports will also be provided to the Minister for the DoEE.

For any additional audits directed by the Minister for the DoEE, the Environmental Audit Reports must address the agreed audit criteria to the satisfaction of the Minister for the DoEE.

Summaries of audits may be posted on the DoEE website. The DoEE may also publicise results of audits through the general media.

### **3.3.6 Template environmental audit table**

Appendices A and B contain template audit tables for the conditions of the NSW Infrastructure approval and the Federal approval respectively for inclusion in the routine Environmental Audit Reports. The tables identify the applicability of each condition to the Project stages based on a number of factors including whether the condition applies during Works and Construction or Operation, geographic location of the works, impact of the works, the location of sensitive areas in relation to the works or due to the nature of the requirement.

The audit table will be completed by the Auditor and will provide the following information for each applicable condition:

- evidence collected
- independent audit findings/recommendations
- compliance status.

Acceptable compliance status terms are as follows:

- compliant
- non-compliant
- not triggered.

### 3.4 Environmental audit process and responsibilities

Table 3-4 summarises the audit process and responsibilities for the regular routine environmental audits.

**Table 3-4: Environmental audit process and responsibilities**

| Role                | Responsibility  |
|---------------------|---|
| Independent Auditor | Submits the draft Environmental Audit Report to Roads and Maritime  |
| Roads and Maritime  | Reviews and responds to the Auditor's draft Environmental Audit Report  |
| Independent Auditor | Signs the Auditor Declaration Form<br>Finalises the Environmental Audit Report and submits the Declaration Form and Report to Roads and Maritime  |
| Roads and Maritime  | May prepare a separate response to the final Environmental Audit Report<br>Submits to the DP&E and DoEE: <ul style="list-style-type: none"> <li>• Audit Report</li> <li>• response to audit findings</li> <li>• Auditor Declaration Form</li> </ul> |
| DP&E and DoEE       | Separately review submitted documentation and notify Roads and Maritime of any deficiencies   |
| Roads and Maritime  | Makes the relevant documents publicly available in accordance with NSW-CoA B11  |
| DoEE                | Posts summaries of audits on the DoEE website as required and publicises results of audits through the general media  |

### 3.5 Monitoring the Environmental Audit Program

Roads and Maritime will monitor the implementation of this Environmental Audit Program considering the need to evaluate:

- conformity with this Environmental Audit Program, including the schedule and objectives
- the performance of the independent auditor/s
- the ability of the independent auditor/s to implement the audit schedule

- feedback from DP&E, DoEE, the Construction Contractors, auditors and Project stakeholders.

Factors which may determine the need to modify the Environmental Audit Program include:

- audit findings
- demonstrated level of management system effectiveness
- changes to Roads and Maritime's or the Construction Contractor's environmental management system
- changes to standards, legal and contractual requirements and other requirements to which Roads and Maritime is committed.

### **3.5.1 Reviewing and improving the Environmental Audit Program**

Roads and Maritime will review the Environmental Audit Program as part of the environmental management system review process (outlined in Section 6.8 of the OACEMP) to assess whether its objectives are being achieved. Lessons learned from the Environmental Audit Program review will be used as inputs for the continual improvement process for the Program.

The Environmental Audit Program review will consider:

- results from Environmental Audit Program monitoring
- conformity with Environmental Audit Program procedures
- Environmental Audit Program records
- alternative or new auditing methods
- effectiveness of the measures to address the risks associated with the Environmental Audit Program
- confidentiality and information security issues relating to the Environmental Audit Program.

Roads and Maritime will review the overall implementation of the Environmental Audit Program, identify areas of improvement and amend the Program if necessary. Updates to the Environmental Audit Program will be provided to the DP&E for information.

Any revisions to this Environmental Audit Program will be in accordance with the process outlined in 6.8 of the OACEMP).

# Appendix A: NSW CoA template audit table

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**Appendix A - NSW Conditions of Approval Template Audit Table**

| NSW-CoA | Requirement  | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>(Non-compliant / Not triggered / Compliant) |
|---------|--|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|         |  | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| Part A  | Administrative Conditions  |                    |         |         |           |         |         |                    |  |  |
|         | General  |                    |         |         |           |         |         |                    |  |  |
| A01     | The CSSI must be carried out in accordance with the terms of this approval and generally in accordance with the description of the CSSI in the EIS as amended by the SPIR.   | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| A02     | The CSSI must be carried out in accordance with all procedures, commitments, preventative actions, performance criteria and mitigation measures set out in the EIS as amended by the SPIR unless otherwise specified in, or required under, this approval.   | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| A03     | In the event of an inconsistency between the documents listed in Condition A1 and any other document required under this approval, the terms of this approval prevail to the extent of the inconsistency.<br><i>Note: For the purpose of this condition, an inconsistency includes circumstances where compliance with one document would result in non-compliance with the other document</i>   | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| A04     | A4 The Proponent must comply with all requirements of the Secretary in relation to:<br>(a) the environmental performance of the CSSI;<br>(b) any document under this approval or correspondence to the Secretary;<br>(c) any notification given to the Secretary under the terms of this approval;<br>(d) any audit of the Construction or Operation of the CSSI;<br>(e) compliance with the terms of this approval (including anything required to be done under this approval); and<br>(f) the carrying out of any additional monitoring or mitigation measures.   | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| A05     | In the event that there are differing interpretations of the terms of this approval, including in relation to a condition of this approval, the Secretary’s interpretation is final.   | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| A06     | Where the terms of this approval require a document to be prepared or a review to be undertaken in consultation with identified parties, evidence of the consultation undertaken must be submitted to the Secretary with the document. The evidence must include:<br>(a) documentation of the engagement with the party(ies) identified in the condition of approval that has occurred prior to submitting the document for approval;<br>(b) log of the points of engagement or attempted engagement with the identified party(ies) and a summary of the issues raised by them;<br>(c) documentation of the follow-up with the identified party(ies) where feedback has not been provided to confirm that they have none or have failed to provide feedback after repeated requests;<br>(d) outline of the issues raised by the identified party(ies) and how they have been addressed; and<br>(e) a description of the outstanding issues raised by the identified party(ies) and the reasons why they have not been addressed. | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| A07     | Without limitation, all strategies, plans, programs, reviews, audits, report recommendations, protocols and the like required by the conditions of this approval must be implemented by the Proponent in accordance with all requirements issued by the Secretary from time to time in respect of them.  | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| A08     | Where the conditions of this approval require consultation with identified parties, details of the consultation undertaken, matters raised by the parties, and how the matters were considered must accompany the strategies, plans, programs, reviews, audits, protocols and the like submitted to the Secretary.   | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| A09     | This approval lapses five (5) years after the date on which it is granted, unless works for the purpose of the CSSI are physically commenced on or before that date.   | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| A10     | The Proponent is responsible for any breaches of the conditions of this approval resulting from the actions of all persons that it invites onto any site, including contractors, sub-contractors and visitors.   | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |

**Appendix A - NSW Conditions of Approval Template Audit Table**

| NSW-CoA              | Requirement   | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>(Non-compliant / Not triggered / Compliant) |
|----------------------|---|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|                      |   | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| Staging              |   |                    |         |         |           |         |         |                    |  |  |
| A11                  | The CSSI may be delivered and operated in stages. Where staged delivery or Operation is proposed, a Staging Report (for either or both delivery and Operation as the case requires) must be prepared and submitted to the Secretary for information. The Staging Report must be submitted to the Secretary no later than one month prior to the commencement of Construction of the first of the proposed stages of Construction (or if only staged Operation is proposed, one month prior to the commencement of Operation of the first of the proposed stages of Operation), or within another timeframe agreed with the Secretary.   | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| A12                  | The Staging Report must:<br>(a) if staged delivery is proposed, set out how the delivery of the whole of the CSSI will be staged, including general details of work and other activities to be carried out in each stage and the general timing of when Construction of each stage will commence;<br>(b) if staged operation is proposed, set out how the operation of the whole of the CSSI will be staged, including general details of work and other activities to be carried out in each stage and the general timing of when operation of each stage will commence;<br>(c) specify the relevant conditions of approval that apply to each stage and how compliance with those conditions will be achieved across and between each of the stages of the CSSI; and<br>(d) set out mechanisms for managing any cumulative impacts arising from the proposed staging. | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| A13                  | The CSSI must be staged in accordance with the Staging Report, as submitted to the Secretary.   | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| Ancillary Facilities |   |                    |         |         |           |         |         |                    |  |  |
| A14                  | Where staging is proposed, the terms of this approval that apply or are relevant to the works or activities to be carried out in a specific stage must be complied with at the relevant time for that stage.  | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |

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|---------|---|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|         |   | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| A15     | <p>Ancillary facilities that are not identified by description and location in the documents listed in Condition A1 must meet the following criteria, unless otherwise approved by the Secretary:</p> <p>(a) the facility is development of a type that would, if it were not for the purpose of the CSSI, otherwise be exempt or complying development; or</p> <p>(b) the facility is located as follows:</p> <p>i. at least 50 metres from any waterway unless an erosion and sediment control plan is prepared and implemented so as not to adversely affect water quality in the waterway in accordance with <i>Managing Urban Stormwater</i> series;</p> <p>ii. within or adjacent to land upon which the CSSI is being carried out;</p> <p>iii. with ready access to a road network;</p> <p>iv. to prevent heavy vehicles travelling on local streets or through residential areas in order to access the facility, except as identified in the documents listed in Condition A1;</p> <p>v. so as to be in accordance with the <i>Interim Construction Noise Guideline</i> (DECC 2009) or as otherwise agreed in writing with affected landowners and occupiers;</p> <p>vi. so as not to require vegetation clearing beyond the extent of clearing approved under other terms of this approval except as approved by the ER as minor clearing;</p> <p>vii. so as not to have any impact on heritage items (including areas of archaeological sensitivity) beyond the impacts identified, assessed and approved under other terms of this approval;</p> <p>viii. so as not to unreasonably interfere with lawful uses of adjacent properties that are being carried out at the date upon which construction or establishment of the facility is to commence;</p> <p>ix. to enable operation of the ancillary facility during flood events and to avoid or minimise, to the greatest extent practicable, adverse flood impacts on the surrounding environment and other properties and infrastructure; and</p> <p>x. so as to have sufficient area for the storage of raw materials to minimise, to the greatest extent practicable, the number of deliveries required outside standard construction hours.</p> | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| A16     | <p>Before establishment of any ancillary facility (other than minor ancillary facilities described in Condition A17), the Proponent must prepare an Ancillary Facilities Management Plan which details the management of the ancillary facilities. The Ancillary Facilities Management Plan must be prepared in consultation with the EPA and the relevant council(s) and submitted to the Secretary for approval one month prior to installation of ancillary facilities. The Ancillary Facilities Management Plan must detail the management of the ancillary facilities and include:</p> <p>(a) a description of activities to be undertaken during Construction (including scheduling of construction);</p> <p>(b) a program for ongoing analysis of the key environmental risks arising from the activities described in subsection (a) of this condition, including an initial risk assessment undertaken prior to the commencement of Construction of the CSSI; and</p> <p>(c) details of how the activities described in subsection (a) of this condition will be carried out to:</p> <p>i. meet the performance outcomes stated in the documents listed in Condition A1; and</p> <p>ii. manage the risks identified in the risk analysis undertaken in subsection (b) of this condition</p>  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |

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| NSW-CoA                             | Requirement   | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>(Non-compliant / Not triggered / Compliant) |
|-------------------------------------|---|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|                                     |   | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| A17                                 | Minor ancillary facilities comprising lunch sheds, office sheds, and portable toilet facilities, that are not identified in the documents listed in Condition A1 and which do not satisfy the criteria set out in Condition A15 of this approval must satisfy the following criteria:<br>(a) have no greater environmental and amenity impacts than those that can be managed through the implementation of environmental measures detailed in the CEMP required under Condition C1 of this approval; and<br>(b) have been assessed by the ER to have:<br>i. minimal amenity impacts to surrounding residences and businesses, after consideration of matters such as compliance with the ICNG, traffic and access impacts, dust and odour impacts, and visual (including light spill) impacts;<br>ii. minimal environmental impact with respect to waste management and flooding; and<br>iii. no impacts on biodiversity, soil and water, and heritage items beyond those already approved under other terms of this approval. | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| A18                                 | Boundary fencing must be erected around all ancillary facilities that are adjacent to sensitive receivers for the duration of Construction unless otherwise agreed with the affected receivers(s).  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| A19                                 | Boundary fencing required under Condition A18 of this approval must minimise visual, noise and air quality impacts on adjacent sensitive receivers.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| <b>Environmental Representative</b> |   |                    |         |         |           |         |         |                    |  |  |
| A20                                 | Works must not commence until an ER has been approved by the Secretary and engaged by the Proponent.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| A21                                 | The Secretary's approval of an ER must be sought no later than one (1) month before the commencement of Works, or within another timeframe agreed with the Secretary.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| A22                                 | The proposed ER must be a suitably qualified and experienced person who was not involved in the preparation of the EIS or SPIR, and is independent from the design and construction personnel for the CSSI.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| A23                                 | The Proponent may engage more than one ER for the CSSI, in which case the functions to be exercised by an ER under the terms of this approval may be carried out by any ER that is approved by the Secretary for the purposes of the CSSI.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |

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| NSW-CoA                            | Requirement   | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>(Non-compliant / Not triggered / Compliant) |
|------------------------------------|---|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|                                    |   | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| A24                                | For the duration of the Works until the completion of Construction, the approved ER must:<br>(a) receive and respond to communication from the Secretary in relation to the environmental performance of the CSSI;<br>(b) consider and inform the Secretary on matters specified in the terms of this approval;<br>(c) consider and recommend to the Proponent any improvements that may be made to work practices to avoid or minimise adverse impact to the environment and to the community;<br>(d) review documents identified in Conditions C1, C4 and C9 and any other documents that are identified by the Secretary, to ensure they are consistent with requirements in or under this approval and if so:<br>i) make a written statement to this effect before submission of such documents to the Secretary (if those documents are required to be approved by the Secretary); or<br>ii) make a written statement to this effect before the implementation of such documents (if those documents are required to be submitted to the Secretary / Department for information or are not required to be submitted to the Secretary/Department);<br>(e) regularly monitor the implementation of the documents listed in Conditions C1, C4 and C9 to ensure implementation is being carried out in accordance with the document and the terms of this approval;<br>(f) as may be requested by the Secretary, help plan, attend or undertake audits of the development commissioned by the Department including scoping audits, programming audits, briefings and site visits, but not independent environmental audits required under Condition A35 of this approval;<br>(g) as may be requested by the Secretary, assist the Department in the resolution of community complaints;<br>(h) review the assessment of the impacts of minor ancillary facilities comprising lunch sheds, office sheds and portable toilet facilities as required by Condition A17 of this approval;<br>(i) consider any minor amendments to be made to the CEMP, CEMP sub-plans and monitoring programs that comprise updating or are of an administrative nature, and area consistent with the terms of this approval and the CEMP, CEMP sub-plans and monitoring programs approved by the Secretary and, if satisfied such amendment is necessary, approve the amendment. This does not include any modifications to the terms of this approval; and<br>(j) prepare and submit to the Secretary and other relevant regulatory agencies, for information, an Environmental Representative Monthly Report providing the information set out in the <i>Environmental Representative Protocol</i> under the heading "Environmental Representative Monthly Reports." The Environmental Representative Monthly Report must be submitted within seven (7) calendar days following the end of each month for the duration of the ER's engagement for the CSSI, or as otherwise agreed with the Secretary. | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| A25                                | The Proponent must provide the ER with all documentation requested by the ER in order for the ER to perform their functions specified in Condition A24 (including preparation of the ER monthly report), as well as:<br>(a) the complaints register (to be provided on a daily basis); and<br>(b) a copy of any assessment carried out by the Proponent of whether proposed work is consistent with the approval (which must be provided to the ER before the commencement of the subject work).  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| A26                                | The Secretary may at any time commission an audit of an ER's exercise of its functions under Condition A24. The Proponent must:<br>(a) facilitate and assist the Secretary in any such audit; and<br>(b) make it a term of their engagement of an ER that the ER facilitate and assist the Secretary in any such audit.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| <b>Compliance Tracking Program</b> |   |                    |         |         |           |         |         |                    |  |  |
| A27                                | A Compliance Tracking Program to monitor compliance with the terms of this approval must be prepared, taking into consideration any staging of the CSSI that is proposed in a Staging Report submitted in accordance with Condition A11 and Condition A12 of this approval  | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| A28                                | The Compliance Tracking Program must be endorsed by the ER and then submitted to the Secretary for information prior to the commencement of Construction or within another timeframe agreed with the Secretary  | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |

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| NSW-CoA                                  | Requirement  | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>(Non-compliant / Not triggered / Compliant) |
|--|--|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|  |  | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| A29                                      | The Compliance Tracking Program in the form required under Condition A27 of this approval must be implemented for the duration of Construction and for a minimum of one (1) year following commencement of Operation, or for a longer period as determined by the Secretary based on the outcomes of independent environmental audits, Environmental Representative Reports and regular compliance reviews submitted through Compliance Reports. If staged operation is proposed, or operation is commenced of part of the CSSI, the Compliance Tracking Program must be implemented for the relevant period for each stage or part of the CSSI.   | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| <b>Construction Compliance Reporting</b> |  |                    |         |         |           |         |         |                    |  |  |
| A30                                      | A Pre-Construction Compliance Report must be prepared and submitted to the Secretary for information no later than one month before the commencement of Construction or within another timeframe agreed with the Secretary. The Pre-Construction Compliance Report must include:<br>(a) details of how the terms of this approval that must be addressed before the commencement of Construction have been complied with; and<br>(b) the proposed commencement date for Construction.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| A31                                      | Construction must not commence until the Pre-Construction Compliance Report has been submitted for information to the Secretary.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| A32                                      | Construction Compliance Reports must be prepared and submitted to the Secretary for information every six (6) months from the date of the commencement of Construction or within another timeframe agreed with the Secretary, for the duration of Construction. The Construction Compliance Reports must include (as applicable):<br>(a) a results summary and analysis of environmental monitoring;<br>(b) the number of any complaints received, including a summary of main areas of complaint, action taken, response given and proposed strategies for reducing the recurrence of such complaints;<br>(c) details of any review of, and minor amendments made to, the CEMP as a result of construction carried out during the reporting period;<br>(d) a register of any consistency assessments undertaken and their status;<br>(e) results of any environmental audits and details of any actions taken in response to the recommendations of an audit;<br>(f) a summary of all incidents notified in accordance with Condition A40 and Condition A43 of this approval; and<br>(g) any other matter relating to compliance with the terms of this approval or as requested by | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| <b>Pre-Operation Compliance Report</b>   |  |                    |         |         |           |         |         |                    |  |  |
| A33                                      | A Pre-Operation Compliance Report must be prepared and submitted to the Secretary for information no later than one month before the commencement of operation or within another timeframe agreed with the Secretary. The Pre-Operation Compliance Report must include:<br>(a) details of how the terms of this approval that must be addressed before the commencement of operation have been complied with; and<br>(b) the commencement date for operation.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| A34                                      | Operation must not commence until the Pre-Operation Compliance Report has been submitted for information to the Secretary.   | No                 | No      | No      | Yes       | Yes     | Yes     |                    |  |  |
| <b>Auditing</b>                          |  |                    |         |         |           |         |         |                    |  |  |
| A35                                      | An Environmental Audit Program for independent environmental auditing against the terms of this approval must be prepared in accordance with <i>AS/NZS ISO 19011:2014 - Guidelines for Auditing Management Systems</i> and submitted to the Secretary for information no later than one month before the commencement of works or within another timeframe agreed with the Secretary.  | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| A36                                      | The Environmental Audit Program, as submitted to the Secretary, must be implemented for the duration of Construction   | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |

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|------------------------------|---|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|                              |   | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| A37                          | All independent environmental audits of the CSSI must be conducted by a suitably qualified, experienced and independent team of experts in auditing and be documented in an Environmental Audit Report which:<br>(a) assesses the environmental performance of the CSSI, and its effects on the surrounding environment;<br>(b) assesses whether the project is complying with the terms of this approval;<br>(c) reviews the adequacy of any document required under this approval; and<br>(d) recommends measures or actions to improve the environmental performance of the CSSI, and improvements to any document required under this approval. | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| A38                          | The Environmental Audit must be carried out within 12 months of works commencing and annually thereafter during the delivery of the CSSI, and within 12 months of the commencement of Operations and then as required by the Secretary.   | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| A39                          | The Proponent must submit a copy of the Environmental Audit Report to the Secretary with a response to any recommendations contained in the audit report within six (6) weeks of completing the audit, or within another timeframe agreed with the Secretary.   | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| <b>Incident Notification</b> |   |                    |         |         |           |         |         |                    |  |  |
| A40                          | The Secretary must be notified as soon as possible and in any event within 24 hours of any Incident associated with the delivery of the CSSI  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| A41                          | Notification of an Incident under Condition A40 of this approval must include the time and date of the Incident, details of the Incident and must identify any non-compliance with this approval.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| A42                          | Any requirements of the Secretary or relevant government authority (as determined by the Secretary) to address the cause or impact of an Incident reported in accordance with Condition A40 of this approval, must be met within the timeframe determined by the Secretary or relevant government authority.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| A43                          | If statutory notification is given to the EPA as required under the POEO Act in relation to the CSSI, such notification must also be provided to the Secretary within 24 hours after the notification was given to the EPA.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |



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|---------|--|--------------------|---------|---------|-----------|---------|---------|--------------------|--|---|
|         |  | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |   |
| Part B  | Community Information and Reporting  |                    |         |         |           |         |         |                    |  |   |
|         | Community Information, Consultation and Involvement  |                    |         |         |           |         |         |                    |  |   |
| B01     | A Community Communication Strategy must be prepared to facilitate communication between the Proponent, and the community (including relevant Council(s), adjoining affected landowners and businesses, and others directly impacted by the CSSI), during the design and Construction of the CSSI and for a minimum of 12 months following the completion of the CSSI.  | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |   |
| B02     | The Community Communication Strategy must:<br>(a) identify people or organisations to be consulted during the delivery of the project;<br>(b) set out procedures and mechanisms for the regular distribution of accessible information about or relevant to the CSSI;<br>(c) identify opportunities to provide accessible information regarding regularly updated site construction activities, schedules and milestones at each construction site<br>(d) identify opportunities for the community to visit construction sites (taking into consideration workplace, health and safety requirements);<br>(e) involve construction personnel from each construction site in engaging with the local community;<br>(f) provide for the formation of issue or location-based -based community forums that focus on key environmental management issues of concern to the relevant community(ies) for the CSSI; and<br>(g) set out procedures and mechanisms:<br>i. through which the community can discuss or provide feedback to the Proponent;<br>ii. through which the Proponent will respond to enquiries or feedback from the community; and<br>iii. to resolve any issues and mediate any disputes that may arise in relation to environmental management and delivery of the CSSI. | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |   |
| B03     | The Community Communication Strategy must be submitted to the Secretary for approval no later than one (1) month before commencement of any works, or within another timeframe agreed with the Secretary.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |   |
| B04     | Work for the purposes of the CSSI must not commence until the Community Communication Strategy has been approved by the Secretary, or within another timeframe agreed with the Secretary.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |   |
| B05     | The Community Communication Strategy, as approved by the Secretary, must be implemented for the duration of the works and for 12 months following the completion of Construction.  | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |   |
|         | Complaints Management System   |                    |         |         |           |         |         |                    |  |   |
| B06     | A Complaints Management System must be prepared and submitted to the Secretary for information prior to the commencement of any works in respect of the CSSI and be implemented and must be maintained for the duration of works and for a minimum for 12 months following completion of Construction of the CSSI.   | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |   |
| B07     | The Complaints Management System must include a Complaints Register to be maintained recording information on all complaints received about the CSSI during the carrying out of any works associated with the CSSI and for a minimum of 12 months following the completion of Construction.<br><br>The Complaints Register must record the:<br>(a) number of complaints received;<br>(b) number of people affected in relation to a complaint; and<br>(c) nature of the complaint and means by which the complaint was addressed and whether resolution was reached, with or without mediation.  | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |   |
| B08     | The Complaints Register must be provided to the Secretary upon request, within the timeframe stated in the request.  | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |   |

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|--|--|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|  |  | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| B09  | The following must be available within one (1) month from the date of this approval, or within another timeframe agreed with the Secretary, and for 12 months following the completion of Construction:<br>(a) a 24 hour telephone number for the registration of complaints and enquiries about the CSSI;<br>(b) a postal address to which written complaints and enquires may be sent;<br>(c) an email address to which electronic complaints and enquiries may be transmitted; and<br>(d) a mediation system for complaints unable to be resolved.  | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| B10  | The telephone number, postal address and email address required under Condition B9 of this approval must be published in a newspaper circulating in the local area and on site hoarding at each Construction site before commencement of Construction and published in the same way again prior to the commencement of operation. This information must also be provided on the website required under Condition B11 of this approval.   | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| <b>Provision of Electronic Information</b> |  |                    |         |         |           |         |         |                    |  |  |
| B11  | A website providing information in relation to the CSSI must be established before commencement of works and maintained during the delivery of the CSSI, and for a minimum of 12 months following the completion of Construction or within another timeframe as agreed with the Secretary. The following up-to-date information (excluding confidential, private and commercial information) must be published and maintained on the website or dedicated pages:<br>(a) information on the current implementation status of the CSSI;<br>(b) a copy of the documents listed in Condition A1 of this approval, and any documentation relating to any modifications made to the CSSI or the terms of this approval;<br>(c) a copy of this approval in its original form, a current consolidated copy of this approval (that is, including any approved modifications to its terms), and copies of any approval granted by the Minister to a modification of the terms of this approval;<br>(d) a copy of each statutory approval, licence or permit required and obtained in relation to the CSSI; and<br>(e) a current copy of each document required under the terms of this approval and any endorsements, approvals or requirements from the ER and Secretary, all of which must be published prior to the commencement of any works to which they relate or before their implementation as the case may be. | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |

Appendix A - NSW Conditions of Approval Template Audit Table

| NSW-CoA | Requirement  | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>( <del>Non-compliant</del> / Not triggered / <del>Compliant</del> ) |
|---------|--|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|         |  | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| Part C  | Construction Environmental Management  |                    |         |         |           |         |         |                    |  |  |
|         | Construction Environmental Management Plan   |                    |         |         |           |         |         |                    |  |  |
| C01     | A Construction Environmental Management Plan (CEMP) must be prepared in accordance with the <i>Department of Infrastructure, Planning and Natural Resources Guideline for the Preparation of Environmental Management Plans</i> (DIPNR; 2004) to detail how the performance outcomes, commitments and mitigation measures specified in the documents listed in Condition A1 will be implemented and achieved during all stages of Construction.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| C02     | The CEMP must provide:<br>(a) a description of activities to be undertaken during Construction (including the scheduling of construction);<br>(b) details of environmental policies, guidelines and principles to be followed in the Construction of the CSSI;<br>(c) a schedule for compliance auditing;<br>(d) a program for ongoing analysis of the key environmental risks arising from the activities described in subsection (a) of this condition, including an initial risk assessment undertaken before the commencement of Construction of the CSSI;<br>(e) details of how the activities described in subsection (a) of this condition will be carried out to:<br>i. meet the performance outcomes stated in the the documents listed in Condition A1; and<br>ii. manage the risks identified in the risk analysis undertaken in subsection (d) of this condition;<br>(f) an inspection program detailing the activities to be inspected and frequency of inspections;<br>(g) a protocol for managing and reporting any:<br>i. incidents; and<br>ii. non-compliances with this approval and with statutory requirements;<br>(h) procedures for rectifying any non-compliance with this approval identified during compliance auditing, incident management or at any time during Construction;<br>(i) a list of all the CEMP Sub-plans required in respect of Construction, as set out in Condition C4. Where staged Construction of the CSSI is proposed, the CEMP must also identify which CEMP Sub-plan applies to each of the proposed stages of Construction;<br>(j) a description of the roles and environmental responsibilities for relevant employees and their relationship with the ER;<br>(k) for training and induction for employees, including contractors and sub-contractors, in relation to environmental and compliance obligations under the terms of this approval; and<br>(l) for periodic review and update of the CEMP and all associated plans and programs. | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| C03     | The CEMP must be endorsed by the ER and then submitted to the Secretary for approval no later than one (1) month before the commencement of Construction or within another timeframe agreed with the Secretary.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| C04     | The following CEMP Sub-plans must be prepared in consultation with the relevant government agencies identified for each CEMP Sub-plan and be consistent with the CEMP referred to in Condition C1:<br>Table 3: CEMP Sub-Plan Consultation Requirements<br>(a) Traffic and transport - relevant Councils<br>(b) Noise and vibration - relevant Councils<br>(c) Biodiversity - OEH and DPI Fisheries<br>(d) Soil, Water, and Contamination - Dol Water, DPI Fisheries and relevant Councils<br>(e) Heritage - OEH, relevant Councils, RAPs   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |

Appendix A - NSW Conditions of Approval Template Audit Table

| NSW-CoA                                 | Requirement  | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>(Non-compliant / Not triggered / Compliant) |
|---|--|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|   |  | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| C05                                     | The CEMP Sub-plans must state how:<br>(a) the environmental performance outcomes identified in the documents listed in Condition A1, as modified by these conditions, will be achieved;<br>(b) the mitigation measures identified in the documents listed in Condition A1 as modified by these conditions will be implemented;<br>(c) the relevant terms of this approval will be complied with;<br>(d) the identification of the relevant environmental specific training and induction processes for construction personnel; and<br>(e) issues requiring management during Construction, as identified through ongoing environmental risk analysis, will be managed. | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| C06                                     | The CEMP Sub-plans must be developed in consultation with relevant government agencies identified in Table 3 of Condition C4. Where an agency(ies) request(s) is not included, the Proponent must provide the Secretary justification as to why. Details of all information requested by an agency to be included in a CEMP Sub-plan as a result of consultation, including copies of all correspondence from those agencies, must be provided with the relevant CEMP Sub-Plan.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| C07                                     | Any of the CEMP Sub-plans may be submitted to the Secretary along with, or subsequent to, the submission of the CEMP but in any event, no later than one (1) month before commencement of Construction.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| C08                                     | Subject to the provisions in this condition relating to staging Construction must not commence until the CEMP and all CEMP Sub-plans have been approved by the Secretary. The CEMP and CEMP Sub-plans, as approved by the Secretary, including any minor amendments approved by the ER must be implemented for the duration of Construction. Unless otherwise agreed by the Secretary where the CSSI is being staged, Construction of a stage is not to commence unless the CEMP and the CEMP Sub-plans referred to above cover those stages or the Secretary has approved a specific CEMP and sub-plans for that stage.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| <b>Construction Monitoring Programs</b> |  |                    |         |         |           |         |         |                    |  |  |
| C09                                     | The following Construction Monitoring Programs must be prepared in consultation with the relevant government agencies identified for each Construction Monitoring Program to compare actual performance of construction of the CSSI against performance predicted performance.<br>(a) Air quality - Nil<br>(b) Noise and vibration - Nil<br>(c) Water, Soil, and Contamination - DPI and relevant Councils.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| C10                                     | Each Construction Monitoring Program must provide:<br>(a) details of baseline data available;<br>(b) details of baseline data to be obtained and when;<br>(c) details of all monitoring of the project to be undertaken;<br>(d) the parameters of the project to be monitored;<br>(e) the frequency of monitoring to be undertaken;<br>(f) the location of monitoring;<br>(g) the reporting of monitoring results;<br>(h) procedures to identify and implement additional mitigation measures where results of monitoring are unsatisfactory; and<br>(i) any consultation to be undertaken in relation to the monitoring programs.                                     | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| C11                                     | The Construction Monitoring Programs must be developed in consultation with relevant government agencies as identified in Condition C9 of this approval and must include, to the written satisfaction of the Secretary, information requested by an agency to be included in a Construction Monitoring Programs during such consultation. Details of all information requested by an agency including copies of all correspondence from those agencies, must be provided with the Construction Monitoring Programs.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |

**Appendix A - NSW Conditions of Approval Template Audit Table**

| NSW-CoA | Requirement   | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>(Non-compliant / Not triggered / Compliant) |
|---------|---|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|         |   | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| C12     | The Construction Monitoring Programs must be endorsed by the ER and then submitted to the Secretary for approval at least one (1) month before commencement of Construction or within another timeframe agreed with the Secretary.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| C13     | Construction must not commence until the Secretary has approved all of the required Construction Monitoring Programs, and all relevant baseline data for the specific construction activity has been collected.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| C14     | The Construction Monitoring Programs, as approved by the Secretary including any minor amendments approved by the ER, must be implemented for the duration of Construction and for any longer period set out in the monitoring program or specified by the Secretary, whichever is the greater. | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| C15     | The results of the Construction Monitoring Programs must be submitted to the Secretary, and relevant regulatory agencies, for information in the form of a Construction Monitoring Report at the frequency identified in the relevant Construction Monitoring Program.                          | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| C16     | Where a relevant CEMP Sub-plan exists, the relevant Construction Monitoring Program may be incorporated into that CEMP Sub-plan.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |

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| NSW-CoA | Requirement  | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>( <i>Non-compliant</i> / Not triggered / <i>Compliant</i> ) |
|---------|--|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|         |  | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| Part D  | Operational Environmental Management   |                    |         |         |           |         |         |                    |  |  |
|         | Operational Environmental Management   |                    |         |         |           |         |         |                    |  |  |
| D01     | An Operational Management Plan (OEMP) must be prepared in accordance with the Department's <i>Guideline for the Preparation of Environmental Management Plans</i> to detail how the performance outcomes, commitments and mitigation measures made and identified in the documents listed in Condition A1 will be implemented and achieved during Operation. This condition (Condition D1) does not apply if Condition D2 of this approval applies.  | No                 | No      | No      | Yes       | Yes     | Yes     |                    |  |  |
| D02     | An OEMP is not required for the CSSI if the Proponent has an Environmental Management System (EMS) or equivalent as agreed with the Secretary, and can demonstrate, to the written satisfaction of the Secretary, that through the EMS:<br><br>(a) the performance outcomes, commitments and mitigation measures, made and identified in the documents listed in Condition A1, and these conditions of approval can be achieved;<br>(b) issues identified through ongoing risk analysis can be managed; and<br>(c) procedures are in place for rectifying any non-compliance with this approval identified during compliance auditing, incident management or any other time during operation. | No                 | No      | No      | Yes       | Yes     | Yes     |                    |  |  |
| D03     | The OEMP or EMS or equivalent as agreed with the Secretary, must be submitted to the Secretary for information no later than one (1) month before the commencement of operation unless another timeframe is agreed with the Secretary.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| D04     | Where an OEMP is required, the Proponent must include the following OEMP Sub-plans in the OEMP:<br>Table 5: OEMP Sub-Plan Consultation Requirements<br>(a) Flooding, water quality and drainage - Directly affected landowners, OEH, DoI Water, SES and relevant Councils  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| D05     | Each of the OEMP Sub-plans must include the information set out in Condition D2 of this approval.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| D06     | The OEMP Sub-plans must be developed in consultation with relevant government agencies as identified in Condition D4. Where an agency(ies) request(s) is not included in an OEMP sub-plan, the Proponent must provide to the Secretary justification as to why. Details of all information requested by an agency to be included in an OEMP Sub-plan as a result of consultation, including copies of all correspondence from those agencies, must be provided with the relevant OEMP Sub-Plan.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| D07     | The OEMP Sub-plans must be submitted to the Secretary as part of the OEMP.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| D08     | The OEMP or EMS or equivalent as agreed with the Secretary, as submitted to the Secretary and amended from time to time, must be implemented for the duration of operation and the OEMP or EMS must be made publicly available prior to the commencement of operation.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |

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| NSW-CoA                              | Requirement   | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>(Non-compliant / Not triggered / Compliant) |
|--------------------------------------|---|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|                                      |   | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| Operational Noise Monitoring Program |   |                    |         |         |           |         |         |                    |  |  |
| D09                                  | <p>Within 12 months of the commencement of Operation of the CSSI, or as otherwise agreed by the Secretary, the Proponent must undertake monitoring of operational noise to compare actual noise performance of the CSSI against the noise performance predicted in the review of noise mitigation measures required by Condition E36.</p> <p>The Proponent must prepare an Operational Noise Compliance Report to document this monitoring. The Report must include, but not necessarily be limited to:</p> <p>(a) noise monitoring to assess compliance with the operational noise levels predicted in the review of operational noise mitigation measures required under Condition E36</p> <p>(b) a review of the operational noise levels in terms of criteria and noise goals established in the <i>NSW Road Noise Policy 2011</i> ;</p> <p>(c) methodology, location and frequency of noise monitoring undertaken, including monitoring sites at which CSSI noise levels are ascertained, with specific reference to locations indicative of impacts on sensitive receivers;</p> <p>(d) details of any complaints and enquiries received in relation to operational noise generated by the CSSI between the date of commencement of operation and the date the report was prepared;</p> <p>(e) any required recalibrations of the noise model taking into consideration factors such as noise monitoring and actual traffic numbers and proportions;</p> <p>(f) an assessment of the performance and effectiveness of applied noise mitigation measures together with a review and if necessary, reassessment of feasible and reasonable mitigation measures; and</p> <p>(g) identification of additional feasible and reasonable measures to those identified in the review of noise mitigation measures required by Condition E36, that would be implemented with the objective of meeting the criteria outlined in the <i>NSW Road Noise Policy 2011</i> , when these measures would be implemented and how their effectiveness would be measured and reported to the Secretary and the EPA.</p> <p>The Operational Noise Report must be submitted to the Secretary and the EPA within 60 days of completing the operational noise monitoring or within another timeframe agreed by the Secretary, and made publicly available.</p> | No                 | No      | No      | Yes       | Yes     | Yes     |                    |  |  |



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| NSW-CoA | Requirement   | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>( <i>Non-compliant</i> / Not triggered / <i>Compliant</i> ) |
|---------|---|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|         |   | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| Part E  | Key Issue Conditions  |                    |         |         |           |         |         |                    |  |  |
|         | Air Quality   |                    |         |         |           |         |         |                    |  |  |
| E01     | In addition to the performance outcomes, commitments and mitigation measures specified in the documents listed in Condition A1, all reasonably practicable measures must be implemented to minimise the emission of dust and other air pollutants during the Construction and operation of the CSSI.  | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
|         | Biodiversity  |                    |         |         |           |         |         |                    |  |  |
| E02     | The Proponent must develop a Biodiversity Offset Strategy (BOS) to outline how the ecological values impacted by the CSSI will be offset in perpetuity. The BOS must be developed from the draft BOS detailed in the documents listed in Condition A1 and include the threatened ecological communities identified in <i>The Northern Road, Submissions and Preferred Infrastructure, Biodiversity Addendum technical memo</i> (Jacobs, 26 October 2017). The BOS must be submitted for the approval of the Secretary within 12 months of the commencement of Construction or within another timeframe agreed with the Secretary.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E03     | Within 12 months of the approval of the BOS or within another timeframe agreed with the Secretary, the Proponent must develop and submit to the Secretary for approval, a Biodiversity Offset Package, consistent with the BOS approved under condition E2. The Package must be prepared in consultation with OEH and confirm how the impacts of the CSSI will be offset. The Package must be consistent with the biodiversity offset strategy requirements of the <i>NSW Biodiversity Offsets Policy for Major Projects</i> (OEH, 2014), unless otherwise agreed by OEH. The Package must include, but not necessarily be limited to:<br>(a) identification of the number of biodiversity credits required to offset the impacts of the CSSI;<br>(b) details on the biodiversity credits identified to offset the impacts of the CSSI and evidence that they can be attained and secured in accordance with the <i>NSW Biodiversity Offsets Policy for Major Projects</i> ; and<br>(c) for offsets not secured through the retirement of biodiversity credits, details on the supplementary measures that would be implemented to offset the residual impacts, in accordance with Appendix B of the <i>NSW Biodiversity Offsets Policy for Major Projects and the Framework for Biodiversity Assessment</i> (OEH, 2014).<br>(d) should supplementary biodiversity offset measures be proposed, the Biodiversity Offset Package must also provide details on the management and monitoring requirements for compensatory habitat works and other biodiversity offset supplementary measures proposed to ensure that outcomes of the package are achieved. | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E04     | All required offsets must be secured, in consultation with the OEH, within 12 months of the approval of the Biodiversity Offset Package or within another timeframe agreed with the Secretary. The Proponent must submit to the Secretary a copy of the Credit Retirement Report issued by the OEH once the offsets are secured, within one month of receiving the report.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E05     | During vegetation clearing, timber and root balls must be retained where practicable for reuse in habitat enhancement and rehabilitation work. The retained timber and root balls may be used on or off the CSSI site. Prior to the commencement of vegetation clearing, the Proponent must consult with community groups, the Mulgoa Valley Landcare Group and relevant government agencies to determine if retained timber and root balls could be used for environmental rehabilitation projects, before pursuing other disposal options.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
|         | Flooding and Hydrology  |                    |         |         |           |         |         |                    |  |  |
| E06     | Measures identified in the documents listed in Condition A1 to maintain or improve flood characteristics must be incorporated into the detailed design of the CSSI following consultation with adversely affected landowners and businesses, DoI Water, DPI Fisheries, SES and relevant Councils. These measures must be reviewed and endorsed by a suitably qualified person.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |

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| NSW-CoA         | Requirement  | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>(Non-compliant / Not triggered / Compliant) |
|-----------------|--|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|                 |  | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| E07             | Flood information including flood reports, models and geographic information system outputs, and work as executed information from a registered surveyor certifying finished ground levels and the dimensions and finished levels of all structures within the flood prone land, must be provided to the relevant Council and the SES. The relevant Council and the SES must be notified in writing that the information is available no later than one month following the completion of Construction. Information requested by the relevant Council or the SES must be provided no later than six months following the completion of Construction or within another timeframe agreed with the relevant Council and the SES.  | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| E08             | For property/ies where modelling in the documents listed in Condition A1 predicts that the CSSI will potentially reduce the available stormwater runoff yield to a farm dam, the Proponent must, in consultation with the affected landowner:<br>(a) calculate the nature and extent of impacts on water supply;<br>(b) determine what measures may be implemented to prevent, mitigate or offset a loss in water supply; and<br>(c) implement the measures agreed with the potentially affected landowner at no cost to the landowner.<br>The agreed measures must be implemented before and during Construction of any works that may potentially affect the flow of water into the farm dams.<br>In the event that the Proponent and the relevant property owner cannot agree on the measures to mitigate the impact, the Proponent shall engage a suitably qualified and experienced independent person to advise and assist in determining the impact and relevant mitigation | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| <b>Heritage</b> |  |                    |         |         |           |         |         |                    |  |  |
| E09             | Impacts to heritage, unless approved, must be avoided and minimised. Where impacts are unavoidable, works must be undertaken in accordance with the Construction Heritage Management Sub-plan required by Condition C4(e).   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E10             | This approval does not allow the Proponent to harm, modify, or otherwise impact human remains uncovered during Construction and operation of the CSSI.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E11             | The Proponent must implement the mitigation measures described in:<br>(a) Table 6-1 of the SPIR;<br>(b) Appendix D of the <i>SPIR – Item 9, Miss Lawson’s Guesthouse Archaeological Site, Research Design and Excavation Methods</i> dated 9 October 2017, prepared by EMM; and<br>(c) Appendix D of the <i>SPIR – Item 10, Lawson’s Thistle Inn and Store Archaeological Site, Archaeological Assessment Research Design</i> dated 16 October 2017, prepared by EMM,<br>except as required by this approval.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E12             | A detailed Historical Archaeological Salvage Strategy must be prepared before any Historical archaeological salvage is undertaken within the CSSI boundary, if not already included within the EIS or SPIR. Any Salvage Strategy not included in the EIS or SPIR must be prepared in consultation with the Heritage Council of NSW and submitted to the Secretary for information at least one month prior to the commencement of excavation and salvage works.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E13             | Following completion of all salvage works associated with heritage items, a Historical Archaeological Excavation Report must be prepared in accordance with any guidelines and standards required by the Heritage Council of NSW. The Report must provide details of any archival recording, further historical research undertaken, and archaeological excavations (which incorporates artefact analysis, includes archaeological site records and identification of a final repository for finds) carried out for the CSSI.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E14             | The Historical Archaeological Excavation Report must be submitted to the Secretary, the Heritage Council of NSW, the relevant Council Local Studies Library, for information no later than 12 months after the completion of the work referred to in Conditions E9 to E13 or within another timeframe agreed by the Secretary  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |

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| NSW-CoA                    | Requirement  | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>(Non-compliant / Not triggered / Compliant) |
|----------------------------|--|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|                            |  | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| E15                        | Prior to conducting acoustic treatment at any heritage item, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented, to ensure any such work does not have an adverse impact on the heritage significance of the item.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E16                        | An Unexpected Heritage Finds Procedure must be prepared to manage unexpected Non-Indigenous heritage finds in accordance with any guidelines and standards prepared by the Heritage Council of NSW. The Procedure must be prepared by a suitably qualified and experienced heritage specialist in consultation the Heritage Council of NSW and submitted to the Secretary for information no later than one month prior to the commencement of Construction or within another timeframe agreed by the Secretary.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E17                        | The Unexpected Heritage Finds Procedure, as submitted to the Secretary, must be implemented for the duration of works.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E18                        | A detailed Aboriginal Cultural Salvage Strategy must be prepared before any Aboriginal cultural salvage is undertaken within the CSSI boundary, if not already included within the EIS. Any Salvage Strategy not included in the EIS or SPIR, must be prepared in consultation with OEH and RAPs (as relevant) and submitted to the Secretary for information at least one month prior to the commencement of excavation and salvage works.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E19                        | Following completion of all salvage works associated with Aboriginal heritage items, an Aboriginal Cultural Heritage Report must be prepared in accordance with any guidelines and standards required by the OEH or RAPs (as relevant). The Report must provide details of any cultural heritage investigations either undertaken or to be carried out, including analysis of artefacts from excavations and identification of a final repository for finds carried out for the CSSI.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E20                        | The Aboriginal Cultural Heritage Report must be submitted to the RAPs for endorsement, and Secretary for information no later than 12 months after the completion of the work referred to in Conditions E9 to E11 and E18 to E19 or within another timeframe agreed by the Secretary.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E21                        | An Unexpected Human Remains Procedure must be prepared to manage unexpected human remains finds in accordance with NSW statutory requirements, any guidelines and standards prepared by the OEH. The Procedure must outline the process for consulting with the RAPs in the event that previously unidentified Aboriginal heritage is discovered.<br>The Procedure must be prepared by a suitably qualified and experienced heritage specialist in consultation with OEH and submitted to the Secretary for information no later than one month prior to the commencement of Construction or within another timeframe agreed by the Secretary. | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E22                        | The Unexpected Human Remains Procedure, as submitted to the Secretary, must be implemented for the duration of works.<br><i>Note: Human remains that are found unexpectedly during works are under the jurisdiction of the NSW State Coroner and must be reported to the NSW Police immediately.</i>   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| <b>Noise and Vibration</b> |  |                    |         |         |           |         |         |                    |  |  |
| E23                        | Works must only be undertaken during the following standard construction hours:<br>(a) 7:00am to 6:00pm Mondays to Fridays, inclusive;<br>(b) 8:00am to 1:00pm Saturdays; and<br>(c) at no time on Sundays or public holidays.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |

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| NSW-CoA | Requirement   | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>(Non-compliant / Not triggered / Compliant) |
|---------|---|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|         |   | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| E24     | Except as permitted by an EPL, highly noise intensive works that result in an exceedance of the applicable noise management level at the same receiver must only be undertaken:<br>(a) between the hours of 8:00 am to 6:00 pm Monday to Friday;<br>(b) between the hours of 8:00 am to 1:00 pm Saturday; and<br>(c) in continuous blocks not exceeding three hours each with a minimum respite from those activities and works of not less than one hour between each block.<br>For the purposes of this condition, 'continuous' includes any period during which there is less than a one hour respite between ceasing and recommencing any of the work the subject of this condition.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E25     | The Proponent must identify and consult with receivers identified as being subject to levels that exceed the Highly Noise Affected criteria with the objective of determining appropriate hours of respite unless an agreement is reached with those receivers.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E26     | Notwithstanding Condition E23 works associated with the CSSI may be undertaken outside the specified hours in the following circumstances:<br>(a) for the delivery of materials required by the NSW Police Force or other authority for safety reasons; or<br>(b) where it is required in an emergency to avoid injury or the loss of life, to avoid damage or loss of property or to prevent environmental harm; or<br>(c) where it causes LAeq(15 minute) noise levels:<br>i. no more than 5 dB(A) above the rating background level at any residence in accordance with the <i>Interim Construction Noise Guideline</i> (DECC, 2009), and<br>ii. no more than the noise management levels specified in Table 3 of the <i>Interim Construction Noise Guideline</i> (DECC, 2009) at other sensitive land uses, and<br>iii. continuous or impulsive vibration values, measured at the most affected residence are no more than those for human exposure to vibration, specified in Table 2.2 of <i>Assessing Vibration: a technical guideline</i> (DEC, 2006), and<br>iv. intermittent vibration values measured at the most affected residence are no more than those for human exposure to vibration, specified in Table 2.4 of <i>Assessing Vibration: a technical guideline</i> (DEC, 2006); or<br>(d) no more than 15 dB(A) above the night time rating background level at any residence during the night time period, when measured using the LAeq(1 minute) noise descriptor; or<br>(e) where different hours are permitted or required under an EPL in force in respect of the works, in which case those hours must be complied with. | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E27     | On becoming aware of the need for emergency works in accordance with Condition E26 the Proponent must notify the ER and the EPA (if an EPL applies) of the need for those works. The Proponent must also use its best endeavours to notify all affected sensitive receivers of the likely impact and duration of those works.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E28     | Construction vehicles arriving at the project site and construction compounds outside the standard construction hours described in Condition E23 must not queue with idling engines.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E29     | The Proponent must consult with potentially affected community, religious, educational institutions and noise and vibration-sensitive businesses to identify periods during which they would be adversely affected by noise generating works, and must not schedule those works during those periods unless the Proponent and the potentially affected institution or business have made other arrangements (at no cost to the affected receiver), or the Secretary has otherwise approved the works.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E30     | The Proponent must ensure that all works for the delivery of the CSSI are coordinated with utility works, including those works undertaken by third parties, to minimise cumulative impacts of noise and vibration and to maximise respite for affected sensitive receivers.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |

Appendix A - NSW Conditions of Approval Template Audit Table

| NSW-CoA | Requirement  | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>(Non-compliant / Not triggered / Compliant) |
|---------|--|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|         |  | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| E31     | Temporary acoustic barriers (2.4 metres high) are to be installed as soon as site establishment works at the ancillary facility are completed and before undertaking any works which are required to be conducted at the facility. The schedule for installing and removing the acoustic barriers, and justification for not installing acoustic barriers in certain locations, must be described in the Ancillary Facilities Management Plan for the project prepared in accordance with Condition A16. Acoustic barriers must be inspected and maintained to remain effective throughout the use of the construction compound.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E32     | The CSSI must be constructed with the aim of achieving the following construction vibration goals:<br><br>(a) for structural damage to heritage structures, the vibration limits set out in the German Standard DIN 4150-3: <i>Structural Vibration – Part 3 Effects of vibration on structures</i> ;<br>(b) for damage to other buildings and/or structures, the vibration limits set out in the British Standard BS 7385-1:1990 – <i>Evaluation and measurement of vibration in buildings—Guide for measurement of vibration and evaluation of their effects on buildings</i> (and referenced in Australian Standard 2187.2 – 2006 <i>Explosives – Storage and use – Use of explosives</i> ) ; and<br>(c) for human exposure, the acceptable vibration values set out in <i>Assessing Vibration: A Technical Guideline</i> (Department of Environment and Conservation, 2006).   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E33     | The Proponent must ensure that vibration from construction activities does not exceed the vibration limits set out in the British Standard BS 7385-2:1993 <i>Evaluation and measurement for vibration in buildings. Guide to damage levels from groundborne vibration</i> .  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E34     | The Proponent must conduct vibration testing before and during vibration generating activities that have the potential to impact on heritage items to identify minimum working distances to prevent cosmetic damage. In the event that the vibration testing and monitoring shows that the preferred values for vibration are likely to be exceeded, the Proponent must review the construction methodology and, if necessary, implement additional mitigation measures.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E35     | The Proponent must seek the advice of a heritage specialist on methods and locations for installing equipment used for vibration, movement and noise monitoring of heritage-listed structures.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E36     | A review of the proposed operational noise mitigation measures for the CSSI must be undertaken by the Proponent. The review must be submitted to the Secretary for approval prior to commencing Construction which would affect the identified receivers, or within another timeframe agreed by the Secretary. The review must:<br><br>(a) confirm the operational noise predictions of the CSSI based on detailed design. The operational noise assessment shall be based on an appropriately calibrated noise model (which has incorporated additional noise monitoring, where necessary for calibration purposes);<br>(b) review the suitability of the operational noise mitigation measures identified in the documents listed in Condition A1. The review must take into account the detailed design of the CSSI and where necessary, refine the proposed measures with the objective of meeting the criteria outlined in the <i>NSW Road Noise Policy</i> (DECCW 2011), based on the operational noise performance of the CSSI predicted under (a) above; and<br>(c) where necessary, investigate additional or alternative noise mitigation measures to achieve the criteria outlined in the <i>NSW Road Noise Policy</i> (DECCW, 2011). | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |

**Appendix A - NSW Conditions of Approval Template Audit Table**

| NSW-CoA                     | Requirement   | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>(Non-compliant / Not triggered / Compliant) |
|-----------------------------|---|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|                             |   | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| E37                         | Operational noise mitigation measures as identified in Condition E36 (such as at-property architectural treatments) that will not be affected by construction works must be implemented within six (6) months of the commencement of Construction which would affect the identified receivers or within another timeframe agreed with the Secretary. These measures, and a schedule that outlines the timing for their delivery, must be detailed in the Noise and Vibration CEMP Sub-plan for the CSSI required by Condition C4(b).<br><br>Where early implementation of noise mitigation measures is not proposed, the Proponent must submit to the Secretary a report providing justification as to why, along with details of temporary measures that would be implemented to reduce construction noise impacts, until such time that the operational noise mitigation measures identified in Condition E36 are implemented. The report must be provided to the Secretary for approval prior to the commencement of Construction which would affect the identified receivers. | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E38                         | All operational noise mitigation measures identified in Condition E36 must be implemented prior to Operation.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| <b>Property and Landuse</b> |   |                    |         |         |           |         |         |                    |  |  |
| E39                         | The CSSI must be constructed in a manner that minimises intrusion and disruption to agricultural operations/activities in surrounding properties (e.g. stock access, access to farm dams, etc.), unless otherwise agreed by the landowner.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E40                         | Where the viability of existing agricultural operations are identified to be impacted by the land requirements of the CSSI, the Proponent must, at the request of the landowner(s), employ a suitably qualified and experienced independent agricultural expert, to assist in identifying management measures to address the identified impacts. Where the Proponent has commenced the requirements of this condition prior to the date of this Approval, the Proponent may rely on these activities to fulfil the requirement.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E41                         | Unencumbered access to private property must be maintained during Construction unless otherwise agreed with the landowner in advance. A landowner's access that is physically affected by the CSSI must be reinstated to at least an equivalent standard, in consultation with the landowner.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E42                         | Prior to commencement of any works, a suitably qualified person must undertake building and structure condition surveys of all buildings and structures identified in the documents listed in Condition A1 as being at risk of damage. The results of the surveys must be documented in a Building Condition Survey Report for each building and structure surveyed. Copies of Building Condition Survey Reports must be provided to the landowners of the buildings and structures surveyed and, if agreed by the landowner, the relevant Council within three weeks of completing the surveys, and no later than one month prior to the commencement of works.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E43                         | After completion of the works, condition surveys of all buildings and structures for which pre-Construction condition surveys were undertaken in accordance with Condition E42 of this approval must be undertaken by a suitably qualified person. The results of the surveys must be documented in a Building Condition Survey Report for each building surveyed. Copies of Building Condition Survey Reports must be provided to the landowners of the buildings surveyed and, if agreed by the landowner, the relevant Council within three weeks of completing the surveys, and no later than three (3) months following the completion of works.   | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| E44                         | Any damage caused to property as a result of the CSSI must be rectified or the landowner compensated, within a reasonable timeframe, with the costs borne by the Proponent. Note: This condition is not intended to limit any claims that the landowner may have against the Proponent.   | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| E45                         | Erosion and sediment controls must be installed and appropriately maintained to minimise any water pollution. When implementing such controls, any relevant guidance in the <i>Managing Urban Stormwater</i> series must be considered.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |

**Appendix A - NSW Conditions of Approval Template Audit Table**

| NSW-CoA               | Requirement   | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>(Non-compliant / Not triggered / Compliant) |
|-----------------------|---|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|                       |   | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| Soils                 |   |                    |         |         |           |         |         |                    |  |  |
| E46                   | A Site Contamination Report, documenting the outcomes of Stage 1 and Stage 2 contamination assessments of land upon which the CSSI is to be carried out, that is suspected, or known to be, contaminated must be prepared by a suitably qualified and experienced person in accordance with guidelines made or approved under the <i>Contaminated Land Management Act 1997 (NSW)</i> .  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E47                   | If a Site Contamination Report prepared under Condition E46 concludes that specified land is contaminated such that it is and will remain unsuitable for the CSSI, even after completion of all physical works required to construct the CSSI, then:<br>(a) a Remediation Action Plan must be prepared in relation to the specified land, by a suitably qualified and experienced person and in accordance with all guidelines made or approved under the <i>Contaminated Land Management Act (NSW)</i> ;<br>(b) the Remediation Action Plan must be approved in writing by a NSW EPA Accredited Site Auditor, and that approval must state that the specified land can be made suitable for the purpose approved by this approval, if the works described in the Remediation Action Plan are carried out; and<br>(c) the specified land must be remediated in accordance with the Remediation Action Plan, as approved by the Site Auditor.<br><br>Any land to which condition E47 applies must not be used for the CSSI until a Site Audit Statement is obtained that states that the land is suitable for that purpose.<br><br>There must be compliance with any and all conditions of the Site Audit Statement obtained in accordance with condition E48. | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E48                   | A copy of the Site Audit Statement and the associated Site Audit Report must be submitted to the Secretary and the relevant Council for information no later than one (1) month before the commencement of Operation.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E49                   | An Unexpected Contaminated Land and Asbestos Finds Procedure must be prepared and must be followed should unexpected contaminated land or asbestos be excavated or otherwise discovered during Construction.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E50                   | The Unexpected Contaminated Land and Asbestos Finds Procedure must be implemented throughout Construction.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| Sustainability        |   |                    |         |         |           |         |         |                    |  |  |
| E51                   | A Sustainability Strategy for the Construction of the CSSI must be prepared in accordance with the Infrastructure Sustainability Council of Australia infrastructure rating tool or other justified rating mechanism to achieve an equivalent 'As Built' rating of Excellent.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E52                   | The Sustainability Strategy must be submitted to the Secretary for information prior to the commencement of Construction, or within another timeframe agreed with the Secretary, and must be implemented throughout the Construction of the CSSI. The Sustainability Strategy must include:<br>(a) details of the sustainability objectives and targets for the design and Construction of the CSSI;<br>(b) details of the sustainability initiatives which will be investigated and / or implemented; and<br>(c) a description of how the strategy will be implemented for the CSSI.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| Traffic and Transport |   |                    |         |         |           |         |         |                    |  |  |
| E53                   | The CSSI must be designed and operated to meet relevant road design standards, and ensure it does not adversely impact network connectivity, or the safety and efficiency of the road network in the vicinity of the CSSI.  | No                 | No      | No      | Yes       | Yes     | Yes     |                    |  |  |
| E54                   | Vehicles used in the delivery of the project must not use local roads unless no suitable alternatives are available. Where the use of local roads is proposed, these must be identified in a Traffic and Transport CEMP Sub-plan.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |

**Appendix A - NSW Conditions of Approval Template Audit Table**

| NSW-CoA                                | Requirement  | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>(Non-compliant / Not triggered / Compliant) |
|--|--|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|  |  | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| E55                                    | A Road Dilapidation Report must be prepared by a suitably qualified person for local roads (and associated infrastructure) proposed to be used by construction vehicles for works associated with the CSSI before the commencement of use by such vehicles. Copies of the Road Dilapidation Report must be provided to the relevant Council within three (3) weeks of completing the surveys and no later than one (1) month before the use of local roads by project vehicles.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E56                                    | If damage to roads occurs as a result of the Construction of CSSI, the Proponent must rectify the damage so as to restore the road to at least the condition it was in pre-works, unless otherwise agreed by the relevant Councils.  | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| E57                                    | During delivery of the CSSI, measures must be implemented to maintain pedestrian and vehicular access to, and parking in the vicinity of, businesses and affected properties. Alternative pedestrian access, vehicular access, and parking arrangements, and signage to direct customers to these businesses and affected properties, must be developed in consultation with affected businesses. Such arrangements must be outlined in the Traffic and Transport CEMP Sub-plan and implemented prior to the disruption occurring.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E58                                    | Signage and directions to businesses must be provided before, and for the duration of, any disruption during the Construction of the CSSI.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E59                                    | Operational signage must be provided along the project alignment to inform motorists of services and council and community assets within the vicinity of the CSSI including Luddenham village, community facilities and tourist areas in accordance with the <i>Guide: Signposting</i> (RTA July 2007) and <i>Tourist Signposting Guide</i> (RMS and Destination NSW 2012).  | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| <b>Urban Design and Visual Amenity</b> |  |                    |         |         |           |         |         |                    |  |  |
| E60                                    | The CSSI must be designed to retain as many trees as possible. The planting, retention and replacement of trees is to be carried out in accordance with the EIS. Trees removed during construction that are not within an endangered ecological community are to be replaced at a rate of two trees for every tree removed. Tree planting must occur within the CSSI boundary unless otherwise envisaged in the EIS, in consultation with the relevant Council, or otherwise agreed by the Secretary.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E61                                    | Tree species selection is to be consistent with the plans and planting palette in the EIS. Pot sizes of selected tree species are to be consistent with part 3.2.1 (Rural road reserves) in the RMS Landscape Guideline (April 2008), subject to the long-term viability of the plant  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E62                                    | An Urban Design and Landscape Plan must be prepared based on the detailed design, and in accordance with the commitments made in the documents listed in Condition A1.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E63                                    | The Urban Design and Landscape Plan must incorporate monitoring and maintenance procedures for the built elements, rehabilitated and replacement vegetation and landscaping (including visual screening and weed control) and performance indicators, responsibilities, timing and duration and contingencies where rehabilitation of vegetation and landscaping measures fail.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E64                                    | The Urban Design and Landscape Plan must be finalised following consultation with the relevant Councils and the community. The Urban Design and Landscape Plan shall incorporate evidence of consultation on the proposed urban design and landscape measures and the monitoring and maintenance procedures.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E65                                    | The Urban Design and Landscape Plan must be made publicly available and submitted to the Secretary for information prior to the commencement of works for which the Urban Design and Landscape Plan requires community consultation under the Plan, or within another timeframe agreed by the Secretary.<br><i>Note: Works that are subject to community consultation include those design and landscaping details that are not required to meet the other requirements of this approval and/or specific technical criteria. For example, it does not include structures or landscaping works associated with riparian areas, fauna crossings and the like</i> | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |



Appendix A - NSW Conditions of Approval Template Audit Table

| NSW-CoA                | Requirement  | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>(Non-compliant / Not triggered / Compliant) |
|------------------------|--|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|                        |  | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| Utilities and Services |  |                    |         |         |           |         |         |                    |  |  |
| E66                    | Utilities, services and other infrastructure potentially adversely affected by the delivery of the CSSI must be identified before works affecting them commence, to determine the requirements for access to, diversion protection, and/or support of such services. The relevant owner and/or provider of services must be consulted to make suitable arrangements for access to diversion, protection, and/or support of the affected infrastructure as required. The Proponent must ensure that disruption to any service is minimised and be responsible for advising local residents and businesses affected before any planned disruption of service occurs. | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| Waste                  |  |                    |         |         |           |         |         |                    |  |  |
| E67                    | Waste generated in the delivery of the CSSI must be dealt with in accordance with the following priorities:<br>(a) waste generation is to be avoided and where avoidance is not reasonably practicable, waste generation is to be reduced;<br>(b) where avoiding or reducing waste is not possible, waste is to be re-used, recycled, or recovered; and<br>(c) where re-using, recycling or recovering waste is not possible, waste is to be treated or disposed of at a waste management facility or premises lawfully permitted to accept the materials.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E68                    | Waste generated outside the site must not be received at the site for storage, treatment, processing, reprocessing, or disposal on the site, except as expressly permitted by a licence or waste exemption under the POEO Act, if such a licence is required in relation to that waste.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E69                    | All waste materials removed from the CSSI site must only be directed to a waste management facility or premise lawfully permitted to accept the materials or in accordance with a Resource Recovery Exemption or Order issued under the <i>Protection of the Environment Operations (Waste) Regulation 2014</i> , or to any other place that can lawfully accept such waste.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E70                    | All waste must be classified in accordance with the EPA’s <i>Waste Classification Guidelines</i> , with appropriate records and disposal dockets retained for audit purposes.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| Water                  |  |                    |         |         |           |         |         |                    |  |  |
| E71                    | Where available and practicable, and of appropriate chemical and biological quality, stormwater, recycled water or other water sources shall be used in preference to potable water for the delivery of the CSSI, including dust control.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| E72                    | Drainage feature crossings (permanent and temporary watercourse crossings and stream diversions) and drainage swales and depressions must be designed and constructed in accordance with relevant guidelines and designed by a suitably qualified and experienced person in consultation with DPI Fisheries.   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |

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# **Appendix B: Federal CoA template audit table**

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Appendix B - Federal Conditions of Approval Template Audit Table

| Fed-CoA | Condition Detail   | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>(Non-compliant / Not triggered / Compliant) |
|---------|--|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|         |  | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| 1       | The <b>approval holder</b> must undertake the action, including but not limited to those parts of the action that occur on Commonwealth Land, in accordance with all conditions in the <b>NSW Infrastructure Approval</b> .  | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| 2       | The <b>approval holder</b> must notify the <b>Department</b> in writing of:<br>any application to modify the <b>NSW Infrastructure Approval</b> made under section 5.25 of the <b>EP&amp;A Act</b> , no later than one week after making the application;<br>any proposed imposition of or variation to any conditions of the <b>NSW Infrastructure Approval</b> by the NSW Minister for Planning under Division 9.4 of the <b>EP&amp;A Act</b> , no later than one week after the <b>approval holder</b> becomes aware of the proposed change; and<br>any actual modification or variation to the conditions of the <b>NSW Infrastructure Approval</b> , no later than one week after the modification or variation is officially notified to the <b>approval holder</b> .  | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| 2a      |  |                    |         |         |           |         |         |                    |  |  |
| 2b      |  |                    |         |         |           |         |         |                    |  |  |
| 2c      |  |                    |         |         |           |         |         |                    |  |  |
| 3       | The nature and quantity of <b>offsets</b> required to address the impacts of the action on biodiversity are to be implemented as required by the <b>NSW Infrastructure Approval</b> , with the following additional requirements:  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| 3a      | The <b>Biodiversity Offset Strategy</b> described in Condition E2 of the <b>NSW Infrastructure Approval</b> must be submitted to the <b>Minister</b> for approval within 12 months after the date of <b>commencement of the action</b> . If the <b>Biodiversity Offset Strategy</b> is not submitted to the <b>Minister</b> for approval within 12 months after <b>commencement</b> , all physical works must cease, unless otherwise agreed in writing by the <b>Minister</b> .   |                    |         |         |           |         |         |                    |  |  |
| 3b      | The <b>Minister</b> may, at any point after the <b>Department</b> receives submission of the <b>Biodiversity Offset Strategy</b> , provide written notice to the <b>approval holder</b> that the <b>Biodiversity Offset Strategy</b> is not adequate. The notice may specify a time in which the <b>approval holder</b> must resubmit a revised <b>Biodiversity Offset Strategy</b> . If the revised <b>Biodiversity Offset Strategy</b> is not resubmitted within the period specified in the notice, the <b>approval holder</b> must not undertake any further works without the written agreement of the <b>Minister</b> .  |                    |         |         |           |         |         |                    |  |  |
| 3c      | In relation to Condition E3(c) of the <b>NSW Infrastructure Approval</b> , payment of funds into a statewide or multi-project biodiversity trust fund may not form part of an <b>offset</b> except with prior agreement in writing from the <b>Minister</b> .  |                    |         |         |           |         |         |                    |  |  |
| 3d      | Except as otherwise required by the <b>NSW Infrastructure Approval</b> , the <b>approval holder</b> may <b>commence the action</b> and undertake the action for 12 months after the date of <b>commencement</b> prior to securing any <b>offset</b> .  |                    |         |         |           |         |         |                    |  |  |
| 3e      | Once 12 months have passed since the date of <b>commencement</b> , the <b>approval holder</b> must not conduct any works further impacting a matter protected under Part 3 of the <b>EPBC Act</b> unless:<br>a. the <b>approval holder</b> has secured <b>offsets</b> sufficient to compensate for all impacts that occurred during the first 12 months after <b>commencement</b> , and<br>b. the <b>approval holder</b> has secured further <b>offsets</b> sufficient to compensate for each future area of impact before that area is impacted.<br><br>The <b>approval holder</b> may continue works without fulfilling the requirements of Conditions 3(e)a and 3(e)b of this approval if the <b>Minister</b> provides written agreement that works may continue.<br><br>Note: an <b>offset</b> will be taken to be secured for the purposes of this condition if the <b>approval holder</b> has entered into a written in-principle agreement to with a relevant landholder or landholders to purchase biodiversity <b>credits</b> from land the <b>approval holder</b> reasonably believes will yield an <b>offset</b> sufficient to discharge the relevant decree of <b>offset</b> . In addition to the requirements of Condition 3(e) of this approval, within 3 years after the date of <b>commencement</b> , the <b>approval holder</b> must provide the <b>Department</b> with a <b>credit retirement report</b> demonstrating to the <b>Department's</b> satisfaction that all <b>offsets</b> required under the <b>NSW Infrastructure Approval</b> are in place. |                    |         |         |           |         |         |                    |  |  |
| 3f      |  |                    |         |         |           |         |         |                    |  |  |
| 4       | The <b>approval holder</b> must undertake an archaeological excavation of the Chaffey Brothers Canal. The excavation must:<br>a. be completed in accordance with, and fully implement, the 'The Chaffey Brothers Irrigation Scheme Canal, Archaeological research design and excavation methods' (EMM, 19 October 2017) at Appendix D to Volume 2 of the 'The Northern Road Upgrade – Mersey Road, Bringelly to Glenmore Parkway, Glenmore Park Final Environmental Impact Statement' dated December 2017; and<br>b. be completed before any construction works occur within 20 m of the Chaffey Brothers Canal.   | No                 | Yes     | No      | No        | No      | No      |                    |  |  |

Appendix B - Federal Conditions of Approval Template Audit Table

| Fed-CoA | Condition Detail  | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>(Non-compliant / Not triggered / Compliant) |
|---------|---|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|         |   | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| 5       | The <b>Historical Archaeological Excavation Report</b> referred to in Conditions E12 and E13 of the <b>NSW Infrastructure Approval</b> must also cover the Chaffey Brothers Canal.  | No                 | Yes     | No      | No        | No      | No      |                    |  |  |
| 6       | In so far as it is relevant to <b>DEOH</b> or the <b>DEOH Golf Club</b> , the <b>Urban Design and Landscape Plan</b> described in Conditions E62 to E65 of the <b>NSW Infrastructure Approval</b> must not be finalised, nor implemented within the <b>DEOH</b> or <b>DEOH Golf Club</b> boundaries, until all measures positively or negatively impacting <b>DEOH</b> or the <b>DEOH Golf Club</b> are consented to by the <b>Department of Defence</b> .  | No                 | Yes     | No      | No        | Yes     | No      |                    |  |  |
| 7       | No <b>waste</b> material generated outside the <b>DEOH</b> site may be used as soil, fill, or a component of soil or fill, within the boundaries of <b>DEOH</b> nor within 10 metres of the <b>DEOH</b> boundary, unless:<br>a. the material is <b>Virgin Excavated Natural Material</b> , and<br>b. the material is sourced from a location that appropriate testing demonstrates is free of weed propagules and/or <i>Phytophthora cinnamomi</i> . Details of the material source and testing undertaken must be provided to the <b>Minister</b> before the material is taken onto the <b>DEOH</b> site. The <b>Minister</b> may write to the <b>approval holder</b> at any time and advise that the <b>Minister</b> is not satisfied with the testing undertaken. If the <b>Minister</b> provides such advice, the <b>approval holder</b> must not source any further material from that site without the <b>Minister's</b> written agreement.<br><br>Between 10m and 30m of the <b>DEOH</b> boundary, the <b>approval holder</b> is to make all reasonably practical efforts to ensure that material used is free of weed propagules and/or <i>Phytophthora cinnamomi</i> . | No                 | Yes     | No      | No        | No      | No      |                    |  |  |
| 8       | No topsoil material generated outside the <b>DEOH</b> site may be used as soil, fill, or a component of soil or fill, within the boundaries of <b>DEOH</b> nor within 30 metres of the <b>DEOH</b> boundary, unless:<br>a. The <b>approval holder</b> can demonstrate the <b>topsoil</b> material is free of contaminants that would adversely affect the environment, and<br>b. the <b>topsoil</b> material is sourced from a location that appropriate testing demonstrates is free of weed propagules and/or <i>Phytophthora cinnamomi</i> . Details of the <b>topsoil</b> material source and testing undertaken must be provided to the <b>Minister</b> before the topsoil is taken onto the <b>DEOH</b> site. The <b>Minister</b> may write to the <b>approval holder</b> at any time and advise that the <b>Minister</b> is not satisfied with the testing undertaken. If the <b>Minister</b> provides such advice, the <b>approval holder</b> must not source any further <b>topsoil</b> material from that site without the <b>Minister's</b> written agreement.   | No                 | Yes     | No      | No        | No      | No      |                    |  |  |
| 9       | Any <b>mulch</b> material applied or stockpiled on land that will be inside the <b>DEOH</b> boundary fence once the action is completed, or on land that will be within 30 m of the <b>DEOH</b> boundary fence once the action is completed, must fulfil the requirements of the <b>Mulch Exemption</b> and the <b>Mulch Order</b> as if the <b>mulch</b> were being applied to an <b>environmentally sensitive area</b> .  | No                 | Yes     | No      | No        | No      | No      |                    |  |  |
| 10      | Within 30 days after the <b>commencement of the action</b> , the person taking the action must advise the <b>Department</b> in writing of the actual date of commencement.  | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| 11      | The person taking the action must maintain accurate records substantiating all activities associated with or relevant to the conditions of approval, including measures taken to implement all management plans required by this approval, and make them available upon request to the <b>Department</b> . Such records may be subject to audit by the <b>Department</b> or an independent auditor in accordance with section 458 of the EPBC Act, or used to verify compliance with the conditions of approval. Summaries of audits will be posted on the Department's website. The results of audits may also be publicised through the general media.  | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| 12      | By 30 June of each year after the <b>commencement of the action</b> , the person taking the action must publish a report on their website addressing compliance with the conditions of this approval over the previous 12 months, including implementation of any management plans as specified in the conditions. Non-compliance with any of the conditions of this approval must be reported to the <b>Department</b> at the same time as the compliance report is published.   | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |
| 13      | The person taking the action must provide the <b>Department</b> with a copy of each approved or finalised document provided to the <b>DP&amp;E Secretary</b> in accordance with conditions A27 to A43 on the <b>NSW Infrastructure Approval</b> .<br>Note: These conditions relate to documenting and reporting compliance and non-compliance with the conditions of the <b>NSW Infrastructure Approval</b> .   | Yes                | Yes     | Yes     | Yes       | Yes     | Yes     |                    |  |  |

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| Fed-CoA | Condition Detail  | Works/Construction |         |         | Operation |         |         | Evidence Collected | Independent audit findings / recommendations | Compliance Status<br>(Non-compliant / Not triggered / Compliant) |
|---------|---|--------------------|---------|---------|-----------|---------|---------|--------------------|--|--|
|         |   | Stage 4            | Stage 5 | Stage 6 | Stage 4   | Stage 5 | Stage 6 |                    |  |  |
| 14      | Upon the direction of the <b>Minister</b> , the person taking the action must ensure that an independent audit of compliance with the conditions of approval is conducted and a report submitted to the <b>Minister</b> . The independent auditor must be approved by the <b>Minister</b> prior to the commencement of the audit. Audit criteria must be agreed to by the <b>Minister</b> and the audit report must address the criteria to the satisfaction of the <b>Minister</b> . | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |
| 15      | If, at any time after five years from the date of this approval, the person taking the action has not substantially <b>commenced the action</b> , then the person taking the action must not substantially commence the action without the written agreement of the <b>Minister</b> .   | Yes                | Yes     | Yes     | No        | No      | No      |                    |  |  |



<http://www.rms.nsw.gov.au/projects/sydney-west/the-northern-road/index.html>



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