

Redfern Station Upgrade – New Southern Concourse  
Independent Environmental Audit No. 2



Assessment of Novo Rail Alliance Environmental System Compliance  
Against the SSI 10041 Conditions of Approval

Audit Reference:	AQ1298.02
Audit Organisation:	Novo Rail Alliance
Auditors:	Annabelle Tungol, Lead Auditor, AQUAS Luis Garzon, Auditor, AQUAS
Date of Audit:	14, 15 & 16 September 2021
Draft Report Submitted:	8 October 2021
Final Report Submitted:	19 October 2021

# Amendment, Distribution & Authorisation Record

## Version Control and Distribution

Revision No.	Date	Issued to
Draft	8 October 2021	Tara Wilcoxon
Final	19 October 2021	Tara Wilcoxon

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This report has been prepared and reviewed in accordance with our Quality control system.

This report has been prepared by:

**LUIS GARZON**  
Environmental Auditor

Date: 19/10/2021

Reviewed by:

**ANNABELLE TUNGOL**  
Lead Environmental Auditor

Date: 19/10/2021

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## 1. Executive Summary

The Redfern Station Upgrade – New Southern Concourse Project (The Project) involves the construction of a pedestrian concourse to the south of the existing concourse on Lawson Street providing access to Platforms 1 to 10. The new concourse will provide lift and stair access to the platforms and a new connection between Marian Street, Redfern and Little Eveleigh Street, Eveleigh.

Novo Rail (the Contractor) is the appointed contractor undertaking the design and construction of the Project under an Alliance Contract. The contractual framework involves partnership between the Alliance Parties John Holland, Laing O’Rourke, Aurecon and TfNSW.

This Audit Report presents the outcomes of the assessment of environmental controls established by Novo Rail against the requirements of State Significant Infrastructure conditions SSI 10041 for the project. The audit was conducted by AQUAS on 14, 15 and 16 September 2021, and covered the conditions of Schedule 2 Parts A, B C and D of SSI 10041 for the period from March to September 2021.

Since the previous audit in March 2021 the project has progressed with bridge beams now installed across Platforms 1 to 10, works taking place on platforms for lift shafts, the construction of Station Services Equipment Room (SSER) on Marian Street compound, and works also progressing for parking relocation to the Little Eveleigh Street Carpark and relocation of the heritage building on Platform 1.

The audit found the project to be generally compliant with the conditions of approval, with the Proponent maintaining good controls in place to address environmental impacts. The following key strengths are noted:

- Ongoing environmental monitoring of the site through periodic ER site inspections (fortnightly or as required).
- Proactive and collaborative working relationship between the main project parties, i.e. Novo Rail, TfNSW and the ER;
- Compliance Tracking Matrix in place for tracking of project conditions of approval;
- Registers for tracking other activities also in place, e.g. respite offers, waste tracking register, OOHW approvals, inspections issues;
- Ongoing strong communication and community engagement initiatives with website updates, letterbox drops, monthly newsletters, signage and direct contact with sensitive receivers;
- Construction Environmental Framework, Construction Environmental Management Plan and Subplans implemented, as noted through the evidence reviewed during the audit process;
- Erosion and sediment controls implemented in relevant areas of the site – silt fencing/coir logs installed, covered drain pits, etc.;
- Tree protection in place for retained trees and any trees removed or trimmed in accordance with planning approvals and the Tree Removal or Trimming of Vegetation Application.
- Diligent consultation and active involvement with all relevant parties to address heritage requirements and expectations;
- Real time monitoring through the SiteHive interface system including noise and vibration, air quality and weather forecast;
- Traffic and pedestrian control measures in place to minimise potential traffic impacts;
- All work areas enclosed with fencing or hoardings and suitable signage;
- Good interfaces with other parties working within the project footprint including Sydney Trains (Central Station) and Sydney Metro (Central and Waterloo stations).

Based on the outcomes of the independent environmental audit carried out with a total of 128 Conditions of Approval assessed, and comprising review of documents and records, interviews with key personnel and site inspections, there were no non-compliances identified.

However, the audit noted improvement opportunities in the streamlining the OOHW approval process, Covid-Plan and respite offers. Therefore, an opportunity for improvement was raised to ensure that

these changes and improvements are also documented in the next review and update of the CEMP and/or in the relevant environmental Subplans, i.e. Construction Noise and Vibration Management Plan and the Communications Strategy.

## 2. Introduction

### 2.1 Background

The Novo Rail Alliance has been engaged by Transport for NSW (TfNSW) to deliver the Redfern Station Upgrade – New Southern Concourse project, which comprises the following:

- construction of a six-metre-wide concourse between Little Eveleigh Street and Marian Street including new lift and stair access from the concourse to Platforms 1 – 10;
- construction of a new station entrance on Little Eveleigh Street and upgrade entrance to Marian Street including station services and customer amenities;
- upgrades and improvement works to Little Eveleigh Street and Marian / Cornwallis / Rosehill Streets including works to improve pedestrian, cyclists and vehicle safety;
- redevelopment of the car park off Little Eveleigh Street / Ivy Lane.

TfNSW has engaged AQUAS to undertake the second independent environmental audit on 14, 15 and 16 September 2021. The audit was conducted in compliance with the following SSI 10041 Condition:

Condition A33

*The Proponent must undertake auditing and audit reporting in accordance with the document Independent Audit, Post Approval Requirements (DPIE, 2020).*

### 2.2 Project Details

Project Name:	Redfern Station Upgrade
Project Application No.:	SSI 10041
Project Address:	Redfern Station, Eveleigh NSW 2015
Project Phase:	Construction
Project Activity Summary:	<p>The following is a summary of the works that were in progress at the time of audit:</p> <ul style="list-style-type: none"><li>– Footings/Piling works completed and bridge beams installed, extending across from Platforms 1 to 10;</li><li>– Preparation works on platforms for lift shafts;</li><li>– Two ancillary facilities in use – Marian Street Laydown Area and Sydney Trains Ancillary Facility 2;</li><li>– Works on Station Services Equipment Room (SSER) on Marian Street – power, lighting, comms;</li><li>– Works progressing for parking relocation to the Little Eveleigh Street Carpark;</li><li>– Preparation works for moving heritage building on Platform 1.</li></ul> <p>Noted that during this audit period construction works have been impacted by Covid-19 restrictions imposed in NSW.</p>

### 2.3 Audit Team

Details of the AQUAS independent environmental auditors that were approved by DPIE for this audit are as follows:

Name	Company	Position	Certification
Annabelle Tungol	AQUAS	Lead Environmental Auditor	Exemplar Global Lead Environmental Auditor – Certificate No. 119536
Luis Garzon	AQUAS	Environmental Auditor	SAI Global Lead Auditor; SAI Global Certification as Environmental Auditor (Cert No. 115002)

The letter of approval by DPIE for this audit is attached as **Appendix A**, and the Independent Audit declaration forms are attached as **Appendix C**.

## 2.4 Audit Objectives

The objective of this audit was to undertake the second independent environmental review of the project in compliance with the development Approval SSI 10041 Condition A33, in accordance with the requirements for an Independent Audit Methodology and Independent Audit Report in the Independent Audit Post Approval Requirements (DPIE, 2020) and the Independent Environmental Audit Project Services Plan submitted by AQUAS to TfNSW on 18 February 2021.

## 2.5 Audit Scope

The scope of this audit comprised review of compliance with SSI 10041 conditions Parts A, B, C and D, including the following:

- Review of implementation of management plans, including:
  - Redfern Station Upgrade Construction Environmental Management Plan (CEMP) V.08
  - Redfern Station Upgrade Site Establishment and Enabling Works Management Plan
  - Redfern Station Upgrade Soil, Contamination and Water Management Plan
  - Redfern Station Upgrade Construction Noise and Vibration Management Plan
  - Redfern Station Upgrade ACHMP
  - Redfern Station Upgrade CHMP
  - Redfern Station Upgrade Construction Traffic Management Plan (CTMP) Rev. 5
  - TAP04-PLN-CC-0001 Community Liaison Plan (December 2020)
  - Historical Archaeological Research Design Excavation Methodology (HARDEM) Report
  - Other Plans – refer to Section 4 Document Review
- Virtual site inspection conducted on 15 September 2021
- Review the environmental performance of the project
- Review of environmental records
- Interview of site personnel, and
- Consultation with stakeholders.

## 2.6 Audit Period

This is the second independent environmental audit of the project carried out by AQUAS, covering the review of environmental documentation and records for the construction works from 11 March 2021 to 16 September 2021.

It is noted that this report is based on the result of sampling and supplied documentation/ records, as well as site activities sighted on the dates of audit 14, 15 and 16 September 2021.

## 3. Audit Methodology

### 3.1 Approval of Auditors

Letter from the Planning Secretary agreeing to the auditors is attached as **Appendix A**.

### 3.2 Audit scope development

AQUAS developed the audit scope and a checklist based on the Project Requirements set out in the SSI 10041 Approval document. Refer to **Appendix D** of this report.

### 3.3 Audit Process

#### 3.3.1 Opening Meeting

An opening meeting was held on 14<sup>th</sup> September 2021 at 12:30pm with TfNSW and Novo Rail project personnel, the ER and AQUAS auditors as per the Audit Attendance Sheet. Refer to **Appendix B** of this report.

Key items were discussed, including:

- Confirmation of the purpose and scope of the audit
- Overview of the Project and status of the works
- Occurrence of Environmental incidents
- Overview of the audit process in accordance with the proposed Audit Program

#### 3.3.2 Conduct of Audit

Due to current Covid-19 restrictions issued by the NSW Government the parties involved agreed to undertake the audit remotely via MS Teams in three sessions, on 14, 15 and 16 September 2021.

Audit activities included the following:

- Review of the project documentation (CEMP and its Subplans) to verify compliance with the SSI 10041 conditions,
- Conduct of a virtual site walk led by Novo Rail to review implementation of mitigation measures and environmental controls,
- Conduct of the audit based on the checklist with the Conditions of Approval, interviews with personnel and review of records provided as evidence of compliance, and
- Discussion of any identified findings and any actions noted during site inspection.

#### 3.3.3 Closing Meeting

The closing meeting was held on 16<sup>th</sup> September 2021 at 3:00pm with representatives of TfNSW, the ER, Novo Rail and AQUAS. General feedback and the audit findings were discussed during the closing meeting.

AQUAS auditors acknowledged the cooperation, openness and hospitality of Novo Rail staff during the conduct of this audit.

### 3.4 Interviewed Persons

Name and position of persons interviewed:

Name	Organisation	Position
Jerome Cargnino	Novo Rail	Project Manager
Larry Melnick	Novo Rail	Environment & Sustainability Manager

Name	Organisation	Position
Kimberly Purkiss	Novo Rail	Environmental Manager
Sarah Guilfoyle	Novo Rail	Communication and Stakeholder Relations Manager
Charlotte Barton	Novo Rail	Communications Advisor
Eloise Doyle	Novo Rail	Sustainability Lead
Christopher Attard	TfNSW	Project Manager
Tara Wilcoxon	TfNSW	Environment & Sustainability Manager
Hannah Barker	TfNSW	Senior Environment & Sustainability Officer
Michael Woolley	MCW Environmental	Environmental Representative
Sav Shimada	Acoustic Studio	Acoustic Advisor
Jessica Mauger	Aurecon	Heritage Consultant

### 3.5 Details of Site Inspection

An online site inspection was conducted at 9:00am on 15 September 2021. As this was a remote audit, the site inspection was performed with representatives of Transport for NSW, Novo Rail the ER and AQUAS auditors via MS Teams, through review of photos taken by Novo Rail personnel earlier that day, other photos available from the previous weekend shutdown and recent 365 degree video footage available. Refer to details of the inspection in section 5.4 of this report and site photos in **Appendix E**.

### 3.6 Consultation

Communications were sent in advance of the audit to relevant personnel at the Department of Planning Industry and Environment (DPIE) as well as TfNSW and the ER to request feedback about the project and highlight any areas for review by AQUAS during the audit.

Refer to **Appendix F** for consultation records.

#### Department of Planning Industries and Environment

The Department asked to ensure the audit is conducted in accordance with condition A33 of the SSI 10041 and the Independent Audit Post Approval Requirements (DPIE 2020).

AQUAS response:

The independent audit has been conducted six months after the initial audit, in compliance with the audit frequency requirements and the Independent Environmental Audit Project Services Plan dated 18/02/2021. AQUAS has followed the methodology requirements, i.e.:

- Written approval of the Auditors by DPIE has been obtained – TfNSW confirmed that the approval granted on 19/02/2021 was valid for this audit. Refer to **Appendix A**.
- Stakeholder consultation has been carried out – Refer to **Appendix F**.
- The audit has reviewed compliance with all the applicable Conditions of Approval and the environmental performance of the development – Refer to **Appendix D**.
- Opening and closing meetings, onsite interviews, a site inspection and evaluation of compliance were conducted.

The audit report follows the format suggested in the DPIE Independent Audit Requirements document.

### Environmental Representative

The ER requested to place focus on ongoing heritage management.

AQUAS response:

The review of SSI Conditions D2 to D13 noted an effective approach to the management of heritage elements impacted by the project. This included:

- preparation of various plans, strategies and reports, such as:
  - Construction Heritage Management Plan
  - Salvage Schedule and Removal, Storage and Reuse Report
  - Heritage Interpretation Strategy
  - Heritage Interpretation Plan (Draft stage)
  - Historical Archaeological Research Design & Excavation Methodology (HARDEM)
  - Unexpected Heritage Finds & Human Remains Procedure
- ongoing communication between project participants (including Novo Rail, TfNSW and the ER) and inspections of the works to monitor compliance.
- Consultation with relevant parties, including
  - Heritage Council of NSW
  - Heritage NSW
  - City of Sydney Council
  - the Aboriginal Cultural Heritage Advisory Committee
  - Heritage NSW
  - the Metropolitan Local Aboriginal Land Council (MLALC)
  - Aboriginal Stakeholders
- works carried out with consideration of environmental concerns, in accordance with the requirements, in consultation with relevant parties and with participation of experts where necessary (e.g. AMAC). An example was presented of a low impact on Platform 10 heritage wall, where a small brickwork step needed to be removed as part of the new SSER building works on Marian Street site, and the preparation of heritage works methodologies were approved by the heritage specialist TZG.
- management of unexpected finds in accordance with the Unexpected Heritage Finds & Human Remains Procedure. An example was shown of a wall exposed in the car park compound after removal of vegetation. AMAC/ Aurecon carried out an impact assessment and categorised the find as moderate significance; no works have proceeded in this area at present, as consultation with HNSW is ongoing.

### Transport for NSW

No Comments were received from Transport for NSW noting specific areas for review.

### 3.7 Audit Compliance Status Descriptors

The following audit criteria were used for the rating of audit findings.

Status	Description
Compliant	The auditor has collected sufficient verifiable evidence to demonstrate that all elements of the requirement have been complied with within the scope of the audit.

Status	Description
Non-Compliant	The auditor has determined that one or more specific elements of the conditions or requirements have not been complied with within the scope of the audit.
Not Triggered	A requirement has an activation or timing trigger that has not been met at the time when the audit is undertaken, therefore an assessment of compliance is not relevant.

In addition to the above descriptors, there is an option to raise Opportunities of Improvement (OFI) during this audit.

## 4. Document Review

The following documents were reviewed and/or sighted as part of this audit:

Document	Revision	Date
Site Establishment and Enabling Works Management Plan (SEEWMP)	05	10/05/2021
Redfern Station Upgrade Notification	-	09/2021
Redfern Station Upgrade Project Newsletter	-	09/2021
Soil, Contamination and Water Management Sub-Plan (SCWSP)	00	21/12/2020
Aboriginal Cultural Heritage Management Plan (ACHMP)	-	18/12/2020
Construction Heritage Management Plan (CHMP)	02	14/12/2020
Construction Noise & Vibration Management Plan (CNVMP)	04	26/03/2020
Construction Traffic Management Sub Plan	05	22/12/2020
Redfern Station Upgrade Little Eveleigh Street shared zone Construction and Streetscape Update	-	06/2021
Construction Environmental Management Plan (CEMP)	08	10/06/2021
Community Liaison Management Plan	04	01/12/2020
Letter Temporary hard stand area for pre-assembly of lift shafts, Ancillary Facility No:02 – Sydney Trains Car Park in Compliance with Condition A18. SSI 10041	-	17/08/2021
Letter Environmental Review 013 – Platform 10 Brickwork Step Removal.	-	07/09/2021
Environmental Review 011 for Lift Shaft Stand		07/09/2021
RSU Environmental Rep Inspection Frequency Letter from DPIE to TfNSW	-	-
Log of consultation summary with City of Sydney NSW	-	-
Request of Time – Extension dated Letter from DPIE	-	30/08/2021
Feasibility Report Letter DPIE	-	06/07/2021
Notice of Furnish Information and Records Letter from DPIE	-	10/09/2021
Approval Letter of Site Establishment and Enabling Works Plan	-	12/05/2021
Environmental Representative Monthly Report	-	08/2021
Complaints Register	-	14/09/2021
ER Approval of CEMP Letter	-	15/06/2021
E-S-Risk Register	-	2021
CNVMP Approval Letter from Environmental Representative	-	31/03/2021
Heritage Methodology Briefing for Buffer Stops	-	06/2021
Archaeology Status Form	-	14/07/2021
PEGA Application Sheet	-	-
Out of Hours Work Protocol	07	12/07/2021

Document	Revision	Date
Vegetation Removal Register	-	-
Site Plants Tree Report – Projection GDA 1994 MGA Zone 56 By Aurecon	-	-
Asbestos Remediation Clearance Certificate – MW47 Platform 2/3 Work Area	-	-
Waste Management Register	-	-
Hazardous Materials Management Plan,	-	-
Ecological Management Plan,	-	-
Property Management Plan	-	-
Sustainability Management Plan-	-	-
Unexpected Heritage Finds & Human Remains Procedure	-	-
Unexpected Contaminated Land and Asbestos Finds Procedure	-	-
Out of Hours Protocol	-	-
Photographic Archival Recording Report	-	-
RSU Salvage and Moveable Heritage Schedule	-	-

## 5. Audit Findings

This audit was completed to assess the implementation of CEMP and environmental controls established by Novo Rail against the Conditions of Approval of SSI 10041.

The following table summarises the audit findings by rating category:

Findings Rating	Findings
Compliant	92
Non-Compliant	0
Not Triggered	36
Total Requirements	128

### 5.1 Assessment of Compliance

The comparison of audit requirements against the compliance ratings is as follows:

SSD Requirements	Requirements	Findings
Part A – Administrative Controls	38	Compliant 26
		Non-compliant 0
		Not Triggered 12
Part B – Community Information and Reporting	10	Compliant 10
		Non-Compliant 0
		Not Triggered 0
Part C – Construction Environmental Management	12	Compliant 12
		Non-Compliant 0
		Not Triggered 0
Part D – Key Issue Conditions	68	Compliant 44
		Non-Compliant 0
		Not Triggered 24

### 5.2 Notices, Incidents and Complaints

#### Notices

In relation to agency notices Novo Rail mentioned that a concrete truck overrun of about 15 minutes occurred on 13 May 2021 due to delay in concrete delivery to the Marian Street site. The issue was documented in the INX system and self-reported by TfNSW as a non-compliance to DPIE, which resulted in a Record of Breach being issued by DPIE. The Record of Breach noted that no further action was required at the time. Refer to **Appendix D** – item D14.

#### Incidents

An incident occurred on 29 June 2021, where a glass panel broke on 126 Little Eveleigh Street. This was reported verbally to DPIE within 24 hours followed by an email on the same day and a formal submission was made through the Planning Portal on 6 July 2021. Refer to **Appendix D** – items A37,

A38.

### Complaints

A Complaints Register was in place where complaints details were recorded, including resolution reached. 42 complaints directly related to the project have been recorded since December 2020. At the moment there are 8 complaints outstanding, 2 are awaiting response letter to be approved by TfNSW and other 2 are ongoing, related to vibration in properties, and others were recently received. Novo Rail maintain open and ongoing communication as assurance to the complainant that the issues raised are being worked on.

### Non Compliances

Two non-compliances were self-reported by TfNSW to DPIE during this audit period, as follows:

- **Condition D14 – Work Hours.** Concrete truck overrun due to late concrete delivery for works on Marian Street site, as described under “Notices” above.
- **Condition D20 – Out of Hours Works – Mitigation.** A resident who was eligible for a respite offer was missed, as the property was incorrectly categorised as commercial in the noise model. The issue has been resolved and closed out with the resident.

## 5.3 Previous Audit Findings

The findings raised in the previous have been addressed closed out. The following table presents the review and evidence of the previous audit findings:

Finding No.	SSD Condition	Audit Finding	Follow up Comments	Status
Opportunity for Improvement-01	A1. General.	Novo Rail has developed a Compliance Tracking Table which will be reviewed regularly with TfNSW and ER to ensure compliance with the conditions of approval is met. However, the review frequency has not yet been defined or finalised.	The review of Compliance Tracking Table is being conducted quarterly to ensure Conditions of Approval and other legal requirements are fully met.	Closed 14/09/2021
Opportunity for Improvement-02	D24. Noise and Vibration Mitigation	Real-time noise and vibration monitoring is done using the SiteHive system. No automatic notification of exceedances has been implemented.	Evidence was presented of automatic notifications from SiteHive to email correspondence and SMS alerts when exceedances occur.	Closed 14/09/2021

Evidence of records reviewed for closure of previous audit issues and recommendations can be found in **Appendix D**.

## 5.4 Audit Site Inspection

A virtual site review was conducted of the construction areas to review the effectiveness of environmental mitigation measures. Novo Rail presented photos and video footage of relevant areas throughout the site, which reflected the site conditions on the day and works undertaken during a recent site possession.

The virtual walk included the Marian Street site, Platforms 1 and 10, Little Eveleigh Street and the Sydney Trains Carpark. Observations of the site walk included:

- Erosion and sedimentation controls were in place including jersey barriers, coir logs, silt fences installed around the site and protection of pit drains at the Marian Street compound;
- A heritage wall was exposed during Little Eveleigh Street off street car park works, protection was in place;
- Vibration monitor was installed near Little Eveleigh Street;
- Before and after photos of graffiti on Little Eveleigh Street hoardings;
- Water cart was deployed as required for dust suppression;
- Noise monitor at 126 Little Eveleigh Street;
- Signage was in place with details of the project and contact numbers;
- Fencing and tree protection around protected trees;
- Protection of heritage wall on Platform 10. Noted location of brickwork step to be removed as part of the comms building on Marian Street.
- Hoardings installed around worksites in operational station platforms with signage and restricted access;
- A motion light sensor and shade installed in Contractor site shed to address issue of light spill near residents' properties;
- Parking facilities available for public in Little Eveleigh Street car park; and
- Traffic and pedestrian accesses were controlled at Little Eveleigh Street and at site compounds.

No issues raised during the virtual walk. The ER carries out periodic inspections and would report any issues identified. The ER Reports were submitted to TfNSW.

Photos of the site inspection are included in **Appendix E**.

### 5.5 Suitability of Plans and the EMS

Novo Rail has adopted Laing O'Rourke's ISO14001: 2015 certified Environmental Management System (EMS), supported by key elements from TfNSW's EMS. The EMS is currently certified with SciQual (Certificate No. 4749). Henceforth Laing O'Rourke's/TfNSW's EMS will be referred to as the Project's EMS. The EMS is robust on communication processes, documentation and record keeping, induction, training and competence, environmental controls and non-conformance/corrective action processes.

The Construction Environmental Management Framework (CEMF) prepared for the project and approved by DPIE sets out the environmental, stakeholder and community management requirements for construction. It provides a "road map" and linkage document between the planning approval documentation and the construction environmental management documentation.

The CEMP and associated Subplans have been reviewed, endorsed and approved by the ER, including subsequent updates.

### 5.6 Actual and Predicted Impacts

There were no significant changes or additional impacts noted on the actual design and construction works and predicted impacts as stated in the Environmental Impact Assessment (EIA).

Changes to project scope or methodology that are consistent with the approval were assessed and approved by TfNSW under the process of Consistency Assessment and/or Environmental Review prior to commencement of relevant works and in accordance with the CEMF.

Should there be changes to project scope or methodology that are not consistent with the approval a detailed Environmental Impact Assessment will be prepared and submitted to DPIE for approval prior to commencement of these works. The condition of approval may then be varied under DPIE approval.

## 5.7 Key Strengths

Overall, the project had a good environmental performance in compliance with the conditions of Approval SSI 10041 with the following key strengths noted:

- Ongoing environmental monitoring of the site through periodic ER site inspections (fortnightly or as required).
- Proactive and collaborative working relationship between the main project parties, i.e. Novo Rail, TfNSW and the ER;
- Compliance Tracking Matrix was in place for tracking of project conditions of approval;
- Registers for tracking of other activities, e.g. respite offers, waste tracking register, OOHW approvals, inspections issues;
- Ongoing strong communication and community engagement initiatives with website updates, letterbox drops, monthly newsletters, signage and direct contact with sensitive receivers;
- Construction Environmental Framework, Construction Environmental Management Plan and Subplans were implemented, as noted through the evidence reviewed during the audit process;
- Erosion and sediment controls were implemented in relevant areas of the site – silt fencing/coir logs installed, covered drain pits, etc.;
- Tree protections were in place for retained trees and any trees removed or trimmed were in accordance with the Tree Removal Permit;
- Diligent consultation and active involvement with all relevant parties to address heritage requirements;
- Real time monitoring through the SiteHive interface system including noise and vibration, air quality and weather forecast;
- Traffic and pedestrian control measures were in place to minimise potential traffic impacts;
- All work areas were enclosed with fencing or hoardings and suitable signage;
- Good interfaces with other parties working within the project footprint including Sydney Trains (Central Station) and Sydney Metro (Central and Waterloo stations).

## 5.8 Audit Findings and Recommendations

The audit confirmed that Novo Rail has demonstrated implementation of the Construction Environmental Management Plan and Subplans in compliance with Conditions of Approval SSI 10041.

There were no non-compliances identified during this audit. There were two self-reported non-compliance events as noted in Section 5.2 of this report, which have been notified to DPIE and suitably addressed. An Opportunity for Improvement was raised for maintaining and documenting any improvements made on processes and procedures, as follows:

Finding No.	Condition of Approval ID and Requirement	Audit Finding and Recommendation
Opportunity for Improvement-01	<p><b>Condition B2 - Communication Strategy</b></p> <p><b>Condition C3 – Construction Environmental Management Plan</b></p> <p><b>Condition C6 – Noise and Vibration Management Plan</b></p>	<p><b>Finding:</b></p> <p>It was noted that there were improvements in streamlining the Covid-Plan and Respite offers, however these have not yet been documented.</p> <p><b>Recommendation:</b></p> <p>Ensure that these changes and improvements are documented in the CEMP or in the relevant sub-plans (CNVMP and Comms Strategy) during the next review and update of these plans.</p>

It is recommended to address the above opportunities to maintain full compliance with SSI 10041 and improve environmental performance of the project. Refer to the attached **Appendix D** for full details of findings.

## Appendix A. Auditors Approval



Planning,  
Industry &  
Environment

Justin Perrott  
Associate Director, Environmental Management  
Transport for NSW  
7 Harvest Street  
Macquarie Park NSW 2113

19 February 2021

**Att:** Tara Wilcoxon

Dear Mr Perrott

**Redfern Station Upgrade SSI-10041  
Independent Auditor Nomination**

I refer to your submission (SSI-10041-PA-18) nominating Annabelle Tungol (Lead), Luis Garzon and Ana Maria Munoz (Alternative) of AQUAS as the suitably qualified, experienced and independent persons to undertake the Independent Environmental Audit program until December 2022 in accordance with Condition A34, Part A, Schedule 2 of SSI 10041 (**Approval**) for the Redfern Station Upgrade project.

The NSW Department of Planning, Industry and Environment (Department) has reviewed the nominations, and information, you have provided and is satisfied that Annabelle Tungol (Lead), Luis Garzon and Ana Maria Munoz are suitably qualified, experienced and independent.

Therefore, in accordance with Condition A34, Part A, Schedule 2 of the Approval, the Secretary endorses the appointment of the following auditors:

- Annabelle Tungol (Lead);
- Luis Garzon; and
- Ana Maria Munoz (Alternative)

Please ensure this correspondence is appended to the Independent Environmental Audit Reports.

The Independent Environmental Audits must be prepared, undertaken and finalised in accordance with Condition A34, Part A, Schedule 2 of the Approval.

The Department reserves the right to request an alternate auditor or audit team for any future audits.

Notwithstanding the endorsement for the above listed auditors for this Project, each respective project approval or consent requires a request for the agreement to the auditor or audit team be submitted to the Department, for consideration of the Secretary. Each request is reviewed and depending on the complexity of future projects, the suitability of a proposed auditor or audit team will be considered.

If you wish to discuss the matter further, please contact Michelle Larkin on 02 9995 6799.

Yours sincerely



Rob Sherry  
Team Leader Compliance – Government Projects

As nominee of the Planning Secretary

## Appendix B. Audit Attendance Sheet

### AUDIT ATTENDANCE SHEET



**PROJECT:** Redfern Southern Upgrade

**AUDIT No.:** AQ1298.02

**AUDITEE:** Novo Rail Alliance

**LEAD AUDITOR:** Annabelle Tungol

**MEETING LOCATION:** Redfern NR Site Office

**OPENING MEETING DATE AND TIME:** 14 September 2021 – 12:30 pm

**CLOSING MEETING DATE AND TIME:** 16 September 2021 – 3:00 pm

NAME	ORGANISATION	POSITION	SIGNATURE	
			OPENING MEETING	CLOSING MEETING
Annabelle Tungol	AQUAS	Lead Environmental Auditor	✓	✓
Luis Garzon	AQUAS	Environmental Auditor	✓	✓
Larry Melnick	Novo Rail	Environment & Sustainability Manager	✓	✓
Kimberley Purkiss	Novo Rail	Environment Manager	✓	✓
Sarah Guilfoyle	Novo Rail	Communication and Stakeholder Relations Manager	✓	
Christopher Attard	TfNSW	Project Manager	✓	✓
Tara Wilcoxon	TfNSW	Environment & Sustainability Manager	✓	
Hannah Barker	TfNSW	Senior Environment & Sustainability Officer	✓	✓
Michael Woolley	MCW Environmental	Environmental Representative	✓	✓
Billy Lai	TfNSW	Senior Environment & Sustainability Manager		✓
Jerome Carnigno	Novo Rail	Project Manager		✓

## Appendix C. Independent Audit Declaration Forms

### Independent Audit Declaration Form

Project Name:	Redfern Station Upgrade – New Southern Concourse
Consent Number:	SSI-10041
Description of Project:	Construction of a new six-metre-wide concourse connecting Little Eveleigh and Marian Streets to the above ground platforms at Redfern Station. The new concourse is to provide both lift and stair access to Platforms 1-10. Works to Marian, Comwallis & Rosehill Streets and Little Eveleigh Street to facilitate safe access to and from the concourse, relocated on-street residential car spaces within existing road reserve or TfNSW owned land at the end of Little Eveleigh Street and associated upgrades and/or adjustments to services, signalling, overhead wiring and utility upgrades.
Project Address:	Redfern Station, Eveleigh NSW 2015
Proponent:	Transport for NSW
Title of Audit:	Independent Environmental Audit
Date:	6 <sup>th</sup> October 2021

I declare that I have undertaken the Independent Audit and prepared the contents of the attached Independent Audit Report and to the best of my knowledge:

- i. the audit has been undertaken in accordance with relevant condition(s) of consent and the Independent Audit Post Approval Requirements (Department 2020);
- ii. the findings of the audit are reported truthfully, accurately and completely;
- iii. I have exercised due diligence and professional judgement in conducting the audit;
- iv. I have acted professionally, objectively and in an unbiased manner;
- v. I am not related to any proponent, owner or operator of the project neither as an employer, business partner, employee, or by sharing a common employer, having a contractual arrangement outside the Independent Audit, or by relationship as spouse, partner, sibling, parent, or child;
- vi. I do not have any pecuniary interest in the project, including where there is a reasonable likelihood or expectation of financial gain or loss to me or spouse, partner, sibling, parent, or child;
- vii. neither I nor my employer have provided consultancy services for the audited project that were subject to this audit except as otherwise declared to the Department prior to the audit; and
- viii. I have not accepted, nor intend to accept any inducement, commission, gift or any other benefit (apart from payment for auditing services) from any proponent, owner or operator of the project, their employees or any interested party. I have not knowingly allowed, nor intend to allow my colleagues to do so.

Notes:

- a) Under section 10.6 of the *Environmental Planning and Assessment Act 1979* a person must not include false or misleading information (or provide information for inclusion in) in a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is false or misleading in a material respect. The proponent of an approved project must not fail to include information in (or provide information for inclusion in) a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is materially relevant to the monitoring or audit. The maximum penalty is, in the case of a corporation, \$1 million and for an individual, \$250,000; and
- b) The *Crimes Act 1900* contains other offences relating to false and misleading information: section 307B (giving false or misleading information – maximum penalty 2 years imprisonment or 200 penalty units, or both)

Name of the Auditor:	Annabelle Tungol
Signature:	
Qualification:	Lead Environmental Auditor
Company:	AQUAS Pty Ltd
Company Address:	Level 7, 116 Miller Street, North Sydney NSW 2060

## Independent Audit Declaration Form

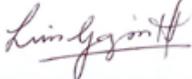
Project Name:	Redfern Station Upgrade – New Southern Concourse
Consent Number:	SSI-10041
Description of Project:	Construction of a new six-metre-wide concourse connecting Little Eveleigh and Marian Streets to the above ground platforms at Redfern Station. The new concourse is to provide both lift and stair access to Platforms 1-10. Works to Marian, Comwallis & Rosehill Streets and Little Eveleigh Street to facilitate safe access to and from the concourse, relocated on-street residential car spaces within existing road reserve or TfNSW owned land at the end of Little Eveleigh Street and associated upgrades and/or adjustments to services, signalling, overhead wiring and utility upgrades.
Project Address:	Redfern Station, Eveleigh NSW 2015
Proponent:	Transport for NSW
Title of Audit:	Independent Environmental Audit
Date:	6 <sup>th</sup> October 2021

I declare that I have undertaken the Independent Audit and prepared the contents of the attached Independent Audit Report and to the best of my knowledge:

- i. the audit has been undertaken in accordance with relevant condition(s) of consent and the Independent Audit Post Approval Requirements (Department 2020);
- ii. the findings of the audit are reported truthfully, accurately and completely;
- iii. I have exercised due diligence and professional judgement in conducting the audit;
- iv. I have acted professionally, objectively and in an unbiased manner;
- v. I am not related to any proponent, owner or operator of the project neither as an employer, business partner, employee, or by sharing a common employer, having a contractual arrangement outside the Independent Audit, or by relationship as spouse, partner, sibling, parent, or child;
- vi. I do not have any pecuniary interest in the project, including where there is a reasonable likelihood or expectation of financial gain or loss to me or spouse, partner, sibling, parent, or child;
- vii. neither I nor my employer have provided consultancy services for the audited project that were subject to this audit except as otherwise declared to the Department prior to the audit; and
- viii. I have not accepted, nor intend to accept any inducement, commission, gift or any other benefit (apart from payment for auditing services) from any proponent, owner or operator of the project, their employees or any interested party. I have not knowingly allowed, nor intend to allow my colleagues to do so.

#### Notes:

- a) Under section 10.6 of the *Environmental Planning and Assessment Act 1979* a person must not include false or misleading information (or provide information for inclusion in) a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is false or misleading in a material respect. The proponent of an approved project must not fail to include information in (or provide information for inclusion in) a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is materially relevant to the monitoring or audit. The maximum penalty is, in the case of a corporation, \$1 million and for an individual, \$250,000; and
- b) The *Crimes Act 1900* contains other offences relating to false and misleading information: section 307B (giving false or misleading information – maximum penalty 2 years imprisonment or 200 penalty units, or both)

Name of the Auditor:	Luis Garzon
Signature:	
Qualification:	Environmental Auditor
Company:	AQUAS Pty Ltd
Company Address:	Level 7, 116 Miller Street, North Sydney NSW 2060

## Appendix D. Audit Checklist and Audit Findings

ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
<b>Part A ADMINISTRATIVE CONDITIONS</b>						
1.	A	A1	<p><b>GENERAL</b></p> <p>The Proponent must carry out the SSI in accordance with the conditions of this approval and generally in accordance with the description of the SSI in:</p> <ul style="list-style-type: none"> <li>a) Redfern Station Upgrade – <i>New Southern Concourse Environmental Impact Statement</i> (Transport for NSW, May 2020);</li> <li>b) Redfern Station Upgrade – <i>New Southern Concourse Response to Submissions</i> (Transport for NSW, September 2020); and</li> <li>c) Redfern Station Upgrade – <i>New Southern Concourse Construction Environmental Management Framework</i> (Transport for NSW, October 2020).</li> </ul>	<p>Based on the review of evidence presented during the audit, the proponent and its construction contractor demonstrated compliance with the applicable conditions of approval including the requirements of EIS, CEMF and response to submissions.</p> <p>Periodic inspections are conducted by the ER to review ongoing compliance with the project requirements.</p> <p>As per the requirements of the CEMF, changes to project scope or methodology that are consistent with the EIS have been assessed and approved by TfNSW, through an Environmental Review or the Consistency Assessment process.</p>		Compliant
2.	A	A2	<p>The SSI must be carried out generally in accordance with all procedures, commitments, preventative actions, performance criteria and mitigation measures set out in the documents listed in <b>Condition A1</b> unless otherwise specified in, or required under, this approval.</p>	<p>Based on the results of this audit and evidence presented the proponent and its construction contractor have generally demonstrated compliance with the applicable conditions of approval including the requirements of EIS, CEMF and response to submissions.</p> <p>The Proponent raised a Non-compliance against condition D14, where a record of breach was issued by DPIE due to overrun of construction hours – but the issue has been addressed and documented.</p>		Compliant
3.	A	A3	<p>In the event of an inconsistency between:</p> <ul style="list-style-type: none"> <li>a) the conditions of this approval and any document listed in <b>Condition A1</b> inclusive, the conditions of this approval will prevail to the extent of the inconsistency; and</li> </ul>	<p>A Consistency Assessment process was defined in the CEMF.</p> <p>There has been a process for items requiring further</p>		Compliant

Audit Descriptors Compliance Codes: Compliant; Non-Compliant; Not triggered

ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
			<p>b) any document listed in <b>Condition A1</b> inclusive, the most recent document will prevail to the extent of the inconsistency.</p> <p><i>Note: For the purpose of this condition, there will be an inconsistency between a term of this approval and any document if it is not possible to comply with both term and the document.</i></p>	<p>assessment, e.g.</p> <ul style="list-style-type: none"> <li>- Consistency assessment for Lt. Eveleigh St. Car Park</li> <li>- Consistency assessment - frequency of ER inspections from weekly to fortnightly and risk-based approach. Letter with conditional approval from DPIE to TfNSW in response to 10/05/2021 submission "Environmental Representative Inspection Frequency"</li> <li>- Environmental review for lift shaft stand 7/9/2021 approved by TfNSW.</li> </ul> <p>Sighted letter from T. Wilcoxon to ER dated 17/08/2021, subject "<i>Temporary hard stand area for pre-assembly of lift shafts, Ancillary Facility No:02 – Sydney Trains Car Park in Compliance with Condition A18. SSI 10041</i>" with approval by N. Moore.</p> <ul style="list-style-type: none"> <li>- Environmental Review of heritage wall and services.</li> </ul> <p>Sighted letter of approval for removal of a section of brickwork that clashes with services building – from N. Moore to E. Wu dated 07/09/2021, subject "<i>Environmental Review 013 – Platform 10 Brickwork Step Removal</i>". Presented Photo 1 of location of works area, brickwork step to be removed.</p> <p>No inconsistencies identified between documents in A1 and Conditions of Approval.</p>		
4.	A	A4	<p>The Proponent must comply with all written requirements or directions of the Planning Secretary, including in relation to:</p> <ul style="list-style-type: none"> <li>a) the environmental performance of the SSI;</li> <li>b) any document or correspondence in relation to the SSI</li> </ul>	<p>Presented summary of RFIs to date, e.g.</p> <ul style="list-style-type: none"> <li>- Has prepared a Covid Contingency Plan, as requested by DPIE.</li> <li>- Further information required for Non-compliance</li> </ul>		Compliant

Audit Descriptors Compliance Codes: Compliant; Non-Compliant; Not triggered

ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
			<p>(including the provision of such documentation or correspondence);</p> <ul style="list-style-type: none"> <li>c) any independent appointment or withdrawal of an appointment made in relation to the SSI;</li> <li>d) any notification given to the Planning Secretary under the terms of this approval;</li> <li>e) any audit of the construction or operation of the SSI;</li> <li>f) the terms of this approval and compliance with the terms of this approval (including anything required to be done under this approval);</li> <li>g) the carrying out of any additional monitoring or mitigation measures; and</li> <li>h) in respect of ongoing monitoring and management obligations, and following consultation with the Proponent, compliance with an updated or revised version of a guideline, protocol, Australian Standard or policy required to be complied with under this approval.</li> </ul>	<p>on CNMV, frequency of submission of Monitoring Reports dated 23/03/2021 (SSI-10041-PA-29)</p> <ul style="list-style-type: none"> <li>- Extra information regarding Complaints (SSI-10041-PA-73 30/08/21, SSI-10041-PA-75 10/09/21)</li> <li>- Request to send an ER inspection schedule on a 3-monthly basis (requested 20/05/21). E.g. has submitted 2 schedules so far: June-August (SSI-10041-PA-46 );</li> </ul> <p>August-October (SSI-10041-PA-71) - email 06/08/21 with response from Planning Portal for submission of Aug-Oct 21 Schedule.</p> <ul style="list-style-type: none"> <li>- Showed DPIE letter of Notice to Furnish Information and Records, dated 03/09/2021 requesting information for investigation into an issue regarding Heritage. Response sent in letter APM01-LET-NR-1742 from Novo Rail to DPIE dated 10/09/2021.</li> </ul>		
5.	A	A5	<p>Where a document / plan / program must be submitted to the Planning Secretary or ER and the terms of this approval require it to be prepared or a review to be undertaken in consultation with identified parties, evidence of the consultation undertaken must be submitted with the document / plan/ program. The evidence must include:</p> <ul style="list-style-type: none"> <li>a) documentation of the engagement with the party identified in the condition of approval that has occurred before submitting the document for approval;</li> <li>b) a log of the dates of engagement or attempted engagement with the identified party and a summary of the issues raised by them;</li> <li>c) documentation of the follow-up with the identified party where engagement has not occurred to confirm that they do not wish to engage or have not attempted to engage</li> </ul>	<p>Consultation Records are maintained. Summary maintained in the form of Consultation Matrices. Each matrix includes a table with the dates of each communication (incoming and outgoing), the date, method of contact and the details of the contact. E.g. sighted for:</p> <ul style="list-style-type: none"> <li>- Construction Heritage Management Plan – Some comments were received as presented in the Log of issues raised by HNSW and a total of six comments were addressed. There are still have issues to be resolved.</li> <li>- Heritage Interpretation Strategy: Sighted Log of consultation summary with City of Sydney, Heritage NSW and Registered Aboriginal Parties</li> </ul>		Compliant

**Audit Descriptors Compliance Codes:** Compliant; Non-Compliant; Not triggered

ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
			<p>after repeated invitations;</p> <p>d) outline of the issues raised by the identified party and how they have been addressed; and</p> <p>e) a description of the outstanding issues raised by the identified party and the reasons why they have not been addressed.</p>	(including MLALC). These were submitted to DPIE with the Heritage Interpretation Strategy.		
6.	A	A6	This approval lapses five (5) years after the date on which it is granted, unless work has physically commenced on or before that date.	Works onsite have commenced before lapsing of the approval.		Not Triggered
7.	A	A7	References in the terms of this approval to any guideline, protocol, Australian Standard or policy are to such guidelines, protocols, Standards or policies in the form they are in as at the date of this approval.	Guidelines, protocols, standards and policies applicable for the project are defined in the CEMF and CEMP and subplans.		Compliant
8.	A	A8	Any document that must be submitted within a timeframe specified in or under the conditions of this approval may be submitted within a later timeframe agreed with the Planning Secretary. This condition does not apply to the immediate written notification required in respect of an incident under <b>Condition A37</b> .	<p>Extensions of time were requested, e.g.</p> <ul style="list-style-type: none"> <li>- D7 – Heritage Interpretation Plan submission. Sighted DPIE Letter of Request of Time Extension dated 30/08/21.</li> <li>- D63 – Letter DPIE for extension condition D63 for submission of feasibility report dated 06/07/2021.</li> </ul>		Compliant
9.	A	A9	<p><b>STAGING</b></p> <p>The SSI may be constructed and operated in stages. Where staged construction or operation is proposed, a <b>Staging Report</b> (for either or both construction and operation as the case may be) must be prepared and submitted to the Planning Secretary and City of Sydney Council for information. The <b>Staging Report</b> must be submitted to the Planning Secretary and City of Sydney Council for information at least five (5) business days before the commencement of construction of the first of the proposed stages of construction (or if only staged operation is proposed, before the commencement of operation of the first of the proposed stages of operation). The report must be approved by</p>	No staging is planned for this development		Not Triggered

Audit Descriptors Compliance Codes: Compliant; Non-Compliant; Not triggered

ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
			the Environmental Representative (ER) before it is submitted to the Planning Secretary and City of Sydney Council.			
10.	A	A10	<p>The <b>Staging Report</b> must:</p> <ul style="list-style-type: none"> <li>a) if staged construction is proposed, set out how the construction of the whole of the SSI will be staged, including details of work and other activities to be carried out in each stage and the general timing of when construction of each stage will commence and finish;</li> <li>b) if staged operation is proposed, set out how the operation of the whole of the SSI will be staged, including details of work and other activities to be carried out in each stage and the general timing of when operation of each stage will commence and finish (if relevant);</li> <li>c) specify how compliance with conditions will be achieved across and between each of the stages of the SSI; and</li> <li>d) set out mechanisms for managing any cumulative impacts arising from the proposed staging.</li> </ul>	No staging is planned for this development		Not Triggered
11.	A	A11	The SSI must be staged in accordance with the <b>Staging Report</b> , as submitted to the Planning Secretary and City of Sydney Council.	No staging is planned for this development		Not Triggered
12.	A	A12	Where staging is proposed, the terms of this approval that apply or are relevant to the work or activities to be carried out in a specific stage must be complied with at the relevant time for that stage.	No staging is planned for this development		Not Triggered
13.	A	A13	Where changes are proposed to the staging of construction or operation, a revised Staging Report must be prepared and submitted to the Planning Secretary and City of Sydney Council for information prior to the proposed change in the staging. The revised Report must be approved by the ER before submitting it to the Planning Secretary and City of Sydney Council.	No staging is planned for this development		Not Triggered

Audit Descriptors Compliance Codes: Compliant; Non-Compliant; Not triggered

ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
14.	A	A14	<p><b>SITE ESTABLISHMENT AND ENABLING WORKS Site Establishment and Enabling Works Management Plan</b></p> <p>Before establishment of any construction ancillary facility (excluding minor construction ancillary facilities established under <b>Condition A18</b>) or commencement of enabling works, the Proponent must prepare a <b>Site Establishment and Enabling Works Management Plan</b> which outlines the environmental management practices and procedures to be implemented for the establishment of the construction ancillary facilities and for the duration of enabling works. The <b>Site Establishment and Enabling Works Management Plan</b> must be prepared in consultation with the City of Sydney Council and relevant government authorities. The Plan must be submitted to the ER for approval before the establishment of any major construction ancillary facility(ies) and commencement of enabling works. The approved plan must be made publicly available before the establishment of any construction ancillary facilities or commencement of enabling works. The <b>Site Establishment and Enabling Works Management Plan</b> must detail the management of the construction ancillary facilities and enabling works and include:</p> <ul style="list-style-type: none"> <li>i) a description of activities to be undertaken during establishment of the construction ancillary facility (including scheduling and duration of work to be undertaken at the site);</li> <li>ii) a description of the activities to be undertaken during enabling works;</li> <li>iii) figures illustrating the proposed site layout and work areas;</li> <li>iv) a program for ongoing analysis of the key environmental risks arising from the activities described in subsections (a) and (b) of this condition, including an initial risk assessment undertaken prior to the commencement of site establishment or enabling works;</li> </ul>	<p>Sighted Letter "ER Approval: Site Establishment and Enabling Works Management Plan: Redfern Station Upgrade (SSI10041)", approved on 18/12/2020.</p> <p>Presented Consultation Matrix showing consultation with City of Sydney for the Site Establishment and Enabling Works Management Plan – online meeting 10 November 2020, email correspondences 11/11/20, 12/11/20, 24/11/20 and 09/12/20.</p> <p>Relevant commencement dates:</p> <ul style="list-style-type: none"> <li>• Low impact work commenced on 18/12/20</li> <li>• Enabling works commenced on 26/12/20</li> <li>• Construction works commenced on 04/01/21</li> </ul> <p>New evidence:</p> <p>Has Site Establishment and Enabling Works Management Plan – TAP04-PLN-EN-0003, Version 5 of 10/05/2021.</p> <p>The Plan was endorsed by the ER per Letter dated 12/05/2021 ER Approval Site Establishment and Enabling Works Plan which reflected changes in the Plan (e.g. some trees were retained) and with signature.</p>		Compliant

ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
			<p>v) details of how the activities described in subsections (a) and (b) of this condition will be carried out to:</p> <ul style="list-style-type: none"> <li>i. meet the performance outcomes stated in the documents listed in <b>Condition A1</b>, and</li> <li>ii. manage the risks identified in the risk analysis undertaken in subsection (d) of this condition;</li> </ul> <p>vi) a program for notifying the community at least five (5) business days prior to the establishment of any construction ancillary facilities or commencement of enabling works, of the activities to be undertaken, including scheduling of activities; and</p> <p>vii) a program for monitoring the performance outcomes, including a program for noise monitoring during site establishment and enabling works, consistent with the requirements of <b>Condition C8</b>.</p> <p>Nothing in this condition prevents the Proponent from preparing individual <b>Site Establishment and Enabling Works Management Plans</b> for each construction ancillary facility or the enabling works.</p>			
15.	A	A15	<p><b>CONSTRUCTION ANCILLARY FACILITIES Use of Major Construction Ancillary Facilities</b></p> <p>The use of a major construction ancillary facility for construction must not commence until the <b>CEMP</b> required by <b>Condition C1</b>, relevant <b>CEMP Sub-plans</b> required by <b>Condition C6</b> and the Construction Noise and Vibration Monitoring Programs required by <b>Condition C8</b> have been approved by the <b>ER</b> and made publicly available.</p>	<p>The CEMP was approved by the ER on 24/12/20 with the relevant sub-plans approved on 17/12/20 and CNVMP 18/12/20. The applicable management plans were approved by ER before commencement of enabling works on 26/12/20.</p> <p>CEMP and subplans are publicly available on the TfNSW project website.</p> <p>No new evidence for this audit period.</p>		Compliant
16.	A	A16	<p>The use of a major construction facility for enabling works must not commence until the <b>Site Establishment and Enabling Works Management Plan</b> required by <b>Condition A14</b> has been approved by the ER and made publicly available.</p> <p>Where a major construction ancillary facility is initially used for</p>	<p>SEEWMP was submitted to ER for review and approved on 18/12/2020 by Michael Woolley endorsement letter ER Approval for SEEWMP Redfern Station Upgrade SSI 10041.</p>		Compliant

Audit Descriptors Compliance Codes: Compliant; Non-Compliant; Not triggered

ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
			enabling works and then for construction, the requirements of <b>Condition A15</b> must be complied with once the enabling works are completed and the facility is used to support construction activities.	No new evidence for this audit period.		
17.	A	A17	<p>Construction ancillary facilities that are not identified by description and location in the documents listed in <b>Condition A1</b>, and are not minor construction ancillary facilities as defined in <b>Condition A18</b>, can only be established and used in each case if:</p> <ul style="list-style-type: none"> <li>a) they are located within or immediately adjacent to the construction boundary; and</li> <li>b) they are not located next to a sensitive receiver (including where an access road is between the facility and the receiver), unless the sensitive receiver (both the landowner and occupier) have given written acceptance to the carrying out of the relevant facility in the proposed location; and</li> <li>c) they have no impacts on heritage items (including areas of archaeological sensitivity), threatened species, populations or ecological communities beyond the impacts approved under the terms of this approval; and</li> <li>d) the establishment and use of the facility can be carried out and managed within the outcomes set out in the terms of this approval, including in relation to environmental, social and economic impacts.</li> </ul>	ER noted that there are no new ancillary facilities as referred to under this condition.		Not Triggered
18.	A	A18	<p><b>Minor Construction Ancillary Facilities</b></p> <p>Lunch sheds, office sheds, portable toilet facilities, temporary minor spoil and materials storage and the like that are not identified by description and location in the documents listed in <b>Condition A1</b>, can be established and used where they satisfy the following criteria:</p> <ul style="list-style-type: none"> <li>a) are located within the construction boundary or within the rail corridor; and</li> </ul>	<p>Presented MCW Environmental Consulting Letter of Approval dated 15/02/21 sent to TfNSW subject "ER Approval: Condition A18 – Newtown Ancillary Facility: Redfern Station Upgrade (SSI 10041)"</p> <p>A similar letter from MCW Environmental Consulting to TfNSW dated 22/01/21 was presented for approval of the Central Laydown Area.</p>		Compliant

Audit Descriptors Compliance Codes: Compliant; Non-Compliant; Not triggered

ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
			b) have been assessed by the ER to have - <ul style="list-style-type: none"> <li>i. minor amenity impacts to surrounding residences and businesses, after consideration of matters such as compliance with the <i>Interim Construction Noise Guideline</i> (DECC, 2009), traffic and access impacts, dust and odour impacts, and visual (including light spill) impacts, and</li> <li>ii. minor environmental impact with respect to waste management, soil and water and flooding, and</li> <li>iii. no impacts on trees, threatened species, and heritage items beyond those already approved under other terms of this approval.</li> </ul>	<p><u>New evidence:</u></p> <p>ER006: 12/5/21 Extension of Ancillary Facility No 02. Need to provide temporary car park – minor works e.g. ATA fencing, screening, signage. Letter of approval by ER on 13/05/2021.</p> <p>ER007: Utilisation of Carriage Works Car Park on an ongoing basis for storage - There was approval from ER 15/05/2021</p>		
19.	A	A19	<p><b>Boundary screening</b></p> <p>Boundary screening must be erected around all ancillary facilities that are adjacent to sensitive receivers for the duration of construction of the SSI unless otherwise agreed with the City of Sydney Council, and affected residents, business operators and landowners.</p>	<p>Boundary screening has been installed in accordance with the TfNSW Project Deed.</p> <p>Temporary screening were in place and as per TfNSW contract and agreement with Sydney City Council requirements.</p> <p>Presented Slides with assessment and application of conditions relating to boundary screening and project identification Updated August 2021</p>		Compliant
20.	A	A20	<p>Boundary screening required under <b>Condition A19</b> of this approval must minimise as far as practicable visual, noise and air quality impacts on adjacent sensitive receivers.</p>	<p>Boundary screening has been implemented as required. Hoardings were implemented around the works within the platform and mesh screen around the site laydown compound.</p> <p>Photo evidence sighted during virtual inspection.</p>		Compliant
21.	A	A21	<p><b>Project Identification</b></p> <p>Signage on fencing or hoardings surrounding construction ancillary facilities must include the SSI name and application number.</p>	<p>Site signages were posted at the site entries visible to the public.</p> <p>SSI-10041 noted in signage – see photo in <b>Appendix E</b>.</p>		Compliant

Audit Descriptors Compliance Codes: Compliant; Non-Compliant; Not triggered

ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
22.	A	A22	<p><b>INDEPENDENT APPOINTMENTS</b></p> <p>All requests for <b>Independent Appointments</b> must have regard to the Department's guideline <i>Seeking approval from the Department for the appointment of independent experts</i> (DPIE, 2020).</p>	<p>Independent Appointments done for the ER and the Independent Environmental Auditors in accordance with the guideline.</p> <p>Independent Appointment letter for ER approved by DPIE on 17/12/2020 – Appointed ERs :</p> <ul style="list-style-type: none"> <li>– Mr. Michael Woolley</li> <li>– Mr. George Kollias</li> <li>– Ms. Jenny McMahon</li> </ul> <p>An Independent Acoustic Advisor – Acoustic Studio was also engaged by TfNSW, though not required under any Condition.</p> <p>New evidence: No changes for this audit period.</p>		Compliant
23.	A	A23	<p>All <b>Independent Appointments</b> required by this approval must hold current membership of a relevant professional body, unless otherwise approved by the Planning Secretary.</p>	<p>Sighted ER Appointment Letter – DPIE to TfNSW dated 17/12/20 with approval of the Environmental Representative Condition A25. M Woolley as principal, G. Kollias and J. McMahon as alternate. M. Woolley is Exemplar Global accredited auditor.</p> <p>Letter for Independent Environmental Auditors appointment – DPIE to TfNSW dated 19/02/2021, subject "Redfern Station SSI-10041 Upgrade Independent Auditor Nomination".</p>		Compliant
24.	A	A24	<p>The Planning Secretary may at any time commission an audit of how an <b>Independent Appointment</b> has exercised their functions. The Proponent must:</p> <ol style="list-style-type: none"> <li>a) facilitate and assist the Planning Secretary in any such audit; and</li> <li>b) make it a term of their engagement of an <b>Independent Appointment</b> that the Independent Appointment facilitate and assist the Planning Secretary in any such audit.</li> </ol>	<p>Not triggered during this audit period.</p>		Not Triggered

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			The Planning Secretary may withdraw their approval of an <b>Independent Appointment</b> should they consider the <b>Independent Appointment</b> has not exercised their functions in accordance with this approval.			
25.	A	A25	<b>ENVIRONMENTAL REPRESENTATIVE</b> Work must not commence until an <b>Environmental Representative (ER)</b> has been engaged by the Proponent and approved by the Planning Secretary.	DPIE approval on the appointment of Environmental Representative was granted on 17/12/2020 and works commence 18/12/2020.  ER was engaged by TfNSW in September 2020 before the determination and no works has commenced without ER nomination and approval.		Compliant
26.	A	A26	The Planning Secretary's approval of an <b>ER</b> must be sought no later than five (5) business days before the commencement of Work.	Application for ER approval was informally submitted to DPIE on 20/11/2020, formally on 17/12/2020 and was approved by DPIE on 17/12/2020; work commenced on 18/12/2020.		Compliant
27.	A	A27	The proposed <b>ER</b> must be a suitably qualified and experienced person who was not involved in the preparation of the documents listed in <b>Condition A1</b> , and is independent from the design and construction personnel for the SSI and those involved in the delivery of it. Skills, qualifications, experience, availability and capacity of the <b>ER</b> must meet the requirements set out in <i>Environmental Representative Protocol</i> (Department of Planning and Environment, 2018), including: <ul style="list-style-type: none"> <li>- Role of the ER (oversight, advice, docs, compliance)</li> <li>- Skills and qualifications</li> <li>- Experience</li> <li>- Availability</li> <li>- Departmental communication</li> <li>- Site inspections</li> <li>- ER monthly reports</li> <li>- Non-compliances and incident reporting</li> <li>- ER endorsement, engagement, Alternate ER, Performance</li> </ul>	Sighted ER Appointment Letter – DPIE to TfNSW dated 17/12/20 with approval of the Environmental Representative Condition A25. M Woolley as principal, G. Kollias and J. McMahon as alternate. M. Woolley is Exemplar Global accredited auditor.		Compliant

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28.	A	A28	The Proponent may engage more than one <b>ER</b> for the SSI, in which case the functions to be exercised by an <b>ER</b> under the terms of this approval may be carried out by any <b>ER</b> that is approved by the Planning Secretary for the purposes of the SSI.	As per ER Appointment Letter – DPIE to TfNSW dated 17/12/20. M Woolley is engaged as principal; and G. Kollias and J. McMahon as alternates.		Compliant
29.	A	A29	For the duration of the Work until the commencement of operation, or as agreed with the Planning Secretary, the approved <b>ER</b> must: <ol style="list-style-type: none"> <li>receive and respond to communication from the Planning Secretary in relation to the environmental performance of the SSI;</li> <li>consider and inform the Planning Secretary on matters specified in the terms of this approval;</li> <li>consider and recommend to the Proponent any improvements that may be made to work practices to avoid or minimise adverse impact to the environment and to the community;</li> <li>approve documents identified in <b>Conditions A9, A14, C1, C6, and C8</b> after verifying all relevant matters set out in this approval pertaining to those documents have been met and make a written statement to the Planning Secretary to this effect;</li> <li>regularly monitor the implementation of the documents listed in <b>Conditions A14, C1, C6 and C8</b> to ensure implementation is being carried out in accordance with the document and the terms of this approval;</li> <li>as may be requested by the Planning Secretary, help plan, attend or undertake audits of the SSI commissioned by the Department including scoping audits, programming audits, briefings and site visits, but not independent environmental audits required under <b>Condition A33</b> of this approval;</li> <li>as may be requested by the Planning Secretary, assist the Department in the resolution of community complaints;</li> <li>assess the impacts of minor construction ancillary facilities as required by <b>Condition A18</b> of this approval;</li> </ol>	<ul style="list-style-type: none"> <li>- No formal communications issued from DPIE to the ER during this audit period.</li> <li>- ER Monthly reports are submitted to DPIE every 7<sup>th</sup> day of the Month which summarise and inform the previous month's activity and compliance to the conditions of approval.</li> <li>- Any recommendations are raised during site inspections and in the monthly report;</li> <li>- All approved and endorsed documents are defined in the Monthly Report Appendix A</li> <li>- The ER conducts weekly inspections to review the implementation of mitigation measures, and it was noted that Compliance Tracking review will be conducted to ensure the proponent is compliant with all the conditions of approval.</li> <li>- No request of audit to date</li> <li>- Approvals of the SEEWMP was sighted</li> <li>- TfNSW uploads the monthly ER reports to Planning Portal – e.g. sighted Environmental Representative Monthly Report August 2021. Email 7/09/2021 lodgement of monthly ER report August from Planning Portal. Includes the following topics:               <ul style="list-style-type: none"> <li>- Inspections</li> <li>- Audits</li> <li>- Community consultation and complaints</li> <li>- Compliance Tracking Program (CTP)</li> </ul> </li> </ul>		Compliant

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ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
			<p>i) prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, an <b>Environmental Representative Monthly Report</b> providing the information set out in the Environmental Representative Protocol under the heading "Environmental Representative Monthly Reports." The Environmental Representative Monthly Report must be submitted within seven (7) days following the end of each month for the duration of the ER's engagement for the SSI.</p>	<ul style="list-style-type: none"> <li>- Environmental Incidents</li> <li>- Non-compliances</li> <li>- Summary of key findings</li> <li>- Recommendations / Opportunity for improvement/ lesson learnt</li> <li>- Appendix A – Document Approvals</li> </ul>		
30.	A	A30	<p>The Proponent must provide the <b>ER</b> with all documentation requested by the <b>ER</b> in order for the ER to perform their functions specified in <b>Condition A29</b> (including preparation of the ER monthly report), as well as:</p> <p>a) the complaints register for any complaints received (on any day they are received); and NSW Government 17 Department of Planning, Industry and Environment Conditions of Approval for the Redfern Station Upgrade – New Southern Concourse Project SSI 10041</p> <p>b) a copy of any assessment carried out by the Proponent of whether proposed Work is consistent with the approval (which must be provided to the <b>ER</b> before the commencement of the subject Work).</p>	<p>ER noted that documentation has been generally provided as requested, including the complaints register and consistency assessment.</p> <ul style="list-style-type: none"> <li>- Complaints register provided on a daily basis. E.g. from S. Guifoyle (NR) dated 14/09 1:23pm – ER is CC'd.</li> </ul> <p>A summary is sent to DPIE by TfNSW – ER is also copied in the submission. E.g. Email Mon 13/09/21 for the week prior – 2 complaints.</p> <ul style="list-style-type: none"> <li>- Consistency assessments provided (per previous conditions)</li> </ul>		Compliant
31.	A	A31	<p><b>NOTIFICATION OF COMMENCEMENT</b></p> <p>The Department and City of Sydney Council must be notified in writing of the dates of commencement of construction and operation at least five (5) business days before those dates.</p>	<p>Sighted email 22/12/20 TfNSW to City of Sydney with notification of commencement on 4/01/21.</p> <p>Sighted Letter dated 21/12/20 from TfNSW to DPIE Ref. 6547438, Re: SSI 10041 RSU New concourse, condition of approval A31, noting commencement on 04/01/21.</p> <p>Note from the DPIE Portal 24/12/20 that the notification was received.</p>		Compliant
32.	A	A32	<p>If the construction or operation of the SSI is to be staged, the Department and City of Sydney Council must be notified in</p>	<p>No staging is planned for this development</p>		Not Triggered

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			writing at least five (5) business days before the commencement of each stage, of the date of commencement of that stage.			
33.	A	A33	<b>AUDITING</b> The Proponent must undertake auditing and audit reporting in accordance with the document <i>Independent Audit, Post Approval Requirements</i> (DPIE, 2020).	The first independent environmental audit of the development was conducted on 11/03/2021 – within 12 weeks of commencement of construction. The audit process and audit report are in accordance with the <i>Independent Audit, Post Approval Requirements</i> (DPIE, 2020). AQUAS Report AQ1298.01 dated 9/04/2021 - <i>RSU New Southern Concourse Independent Environmental Audit No.1.</i>		Compliant
34.	A	A34	The Proponent must seek the written agreement of the Planning Secretary to the independent auditor(s) no later than one (1) month following commencement of Work and prior to the commencement of an Independent Audit. The auditor(s) must meet the competence and independence requirements set out in Section 3 of <i>Independent Audit, Post Approval Requirements</i> (DPIE, 2020).	Sighted letter SSI-10041-PA-18 from TfNSW to Planning, dated 29/01/2021 requesting approval of the AQUAS auditors for the independent environmental audit.  Sighted letter from DPIE by R. Sherry to TfNSW (J. Perrot), dated 19/02/2021 Re: <i>Redfern Station Upgrade SSI-10041 Independent Auditor Nomination</i> , with approval of the AQUAS auditors.		Compliant
35.	A	A35	Operational compliance auditing is only required at 26 weeks following the commencement of operation, or as otherwise approved by the Planning Secretary.	Not yet triggered.		Not Triggered
36.	A	A36	The Planning Secretary may direct the Proponent to undertake Independent Audits in addition to those provided for in <b>Condition A33</b> when considered necessary to address a particular issue.	No additional audits have been requested		Not Triggered
37.	A	A37	<b>INCIDENT NOTIFICATION AND REPORTING</b> During Work, the Department must be notified as soon as possible and no later than 24 hours after the Proponent becomes aware of an incident. The initial advice can be via telephone but must be followed with written advice within the 24-hour period and must identify the SSI (including the application number and the name of the SSI), time, date, location and nature of the	An incident was reported to DPIE – glass panel broke on 126 Little Eveleigh Street.  Sighted submission to DPIE through Planning Portal 6/07/21.		Compliant

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			incident.	Email 29/06/21 to M. Larkin with notification within 24hs – following a phone call.		
38.	A	A38	Subsequent written notification must be given and reports submitted to the Planning Secretary in accordance with the requirements set out in <b>Appendix A</b> , unless otherwise approved by the Planning Secretary.	Initial Report (Per Appendix 1) provided was sufficient, no additional report was required. Sighted Communication regarding Written Incident Notification made on 06/07/2021 email from DPIE to TfNSW, response on 20/07/2021.		Not Triggered
<b>PART B COMMUNITY INFORMATION AND REPORTING</b>						
39.	B	B1	<p><b>COMMUNITY INFORMATION, CONSULTATION AND INVOLVEMENT Communication Strategy</b></p> <p>A <b>Communication Strategy</b> must be prepared to provide mechanisms to facilitate communication about Work and for the first six (6) months of operation of the SSI with:</p> <ul style="list-style-type: none"> <li>a) the community (including adjoining affected landowners and businesses and other directly impacted by the SSI)</li> <li>b) the City of Sydney Council and relevant government agencies; and</li> <li>c) Local Aboriginal Land Council.</li> </ul> <p>The <b>Communication Strategy</b> must address who (the Proponent, ER and/or construction contractor) will engage with the community, council and agencies, how they will engage and the timing of engagements.</p>	<p>Sighted TfNSW Memo From B. Grogan to E. Wu dated 18/12/20 "Redfern Station Upgrade New Southern Concourse – Approval of Community Liaison Plan.</p> <p>Community engagement led by Novo Rail Community Stakeholder Manager.</p>		Compliant
40.	B	B2	<p>The <b>Communication Strategy</b> must:</p> <ul style="list-style-type: none"> <li>a) identify people, organisations, councils and agencies to be consulted during the detailed design and work phases;</li> <li>b) identify community demographics and approaches to address the needs of LOTE, CALD and vulnerable communities;</li> <li>c) set out procedures and mechanisms for the regular distribution of accessible information about or relevant to</li> </ul>	<p>Sighted Community Liaison Plan Rev. 04 of 01/12/20:</p> <ul style="list-style-type: none"> <li>a) Addressed in Section 10, 13.1 Table 5</li> <li>b) Addressed in Section 10</li> <li>c) Section 10, Section 11, Appendix A</li> <li>d) Section 10.2, Section 11, Section 13.2</li> <li>e) i) 9.1.6, Section 10, Section 11</li> </ul>	<p><b>Opportunity for Improvement OFI-01:</b> Improvements on respite offers were made due to Covid restrictions.</p> <p><b>Recommendation:</b></p>	Compliant

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			<p>the SSI. The information to be distributed must include information regarding current site construction activities, schedules and milestones;</p> <p>d) provide for the formation of issue or location-based community forums that focus on key environmental management issues of concern to the relevant communities; and</p> <p>e) set out procedures and mechanisms:</p> <ol style="list-style-type: none"> <li>i. through which the community can discuss or provide feedback to the Proponent 24 hours a day, seven days a week;</li> <li>ii. through which the Proponent will respond to enquiries or feedback from the community; and</li> <li>iii. to resolve any issues and mediate any disputes that may arise in relation to the environmental management and delivery of the SSI, including disputes regarding rectification or compensation.</li> </ol>	<p>ii) 9.1.4, Section 10, Section 11, Section 19</p> <p>iii) Section 11, Section 13.1 Section 19,</p>	<p>An opportunity for improvement to ensure that the Community Strategy will be updated to reflect these improvements and changes.</p>	
41.	B	B3	A copy of the <b>Communication Strategy</b> must be made publicly available prior to the commencement of Work.	Community Liaison Plan is available in the TfNSW project website Redfern Station Upgrade – New Southern Concourse.		Compliant
42.	B	B4	The <b>Communication Strategy</b> must be implemented for the duration of the Work and for six (6) months following the completion of construction.	<p>There are many activities to provide information to the public about the project.</p> <p>Contractor attends to the Station Construction Liaison Meeting (fortnightly) – discuss impacts on station operations.</p> <p>There is an Interface Area Manager in Sydney Trains.</p> <p>The Strategy was just reviewed including Covid information and it is now under review by TfNSW.</p> <p>Keeping the community informed and involved, e.g.</p> <ul style="list-style-type: none"> <li>- Monthly notification – quick overview of activities and impacts, plus OOHW</li> <li>- Quarterly project newsletter – with stories related to the project. Estimated OOHW schedule</li> </ul>		Compliant

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				<ul style="list-style-type: none"> <li>- Respite program – working with small businesses to help the community (on hold due to covid); some activities have been disrupted by Covid.</li> <li>- Little Eveleigh St – ran sessions about the project. Offered to have 1 to 1 sessions with the residents of that street.</li> <li>- Art installations – covid pending</li> <li>- Letterbox drops including an approved distribution map. Sent to TfNSW.</li> </ul> <p>Has offered alternative accommodation but not promoting it. It is offering Uber Eats, Woolworths vouchers and other options – exploring what is possible considering restriction.</p> <p>Has identified a particular group of sensitive receivers and created a group for specific communications with them. Will give communications in advance so they can plan.</p>		
43.	B	B5	<p><b>COMPLAINTS MANAGEMENT SYSTEM</b></p> <p>A <b>Complaints Management System</b> must be prepared and implemented before the commencement of any Work and maintained for the duration of construction and for a minimum of 12 months following completion of construction of the SSI.</p>	<p>There is a Complaints Register maintained by Community &amp; Stakeholder Manager Novo Rail &amp; TfNSW.</p> <p>Complaints are recorded in the Register including the date, description of the complaint and corrective actions taken.</p> <p>The Project has been impacted by Covid, as a lot of people that would otherwise be at an office, are now at home.</p> <p>Every interaction is dealt with by the Communications team.</p> <p>Sighted Complaints Register Spreadsheet with the details/type of complaints received. 42 complaints since December, directly related to the project.</p>		Compliant

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				<p>E.g. No. 6, lodged 19/04/2021 – J. M. Light spill. Light was repositioned and put a barrier so the light didn't affect the resident. Closed on 26/04/2021.</p> <p>At the moment there are 8 complaints outstanding, 2 are awaiting response letter to be approved by TfNSW.</p> <p>Two are related to vibration in properties – these are ongoing. Suitable engineers are investigating the issues and generating reports, as required. Novo Rail are still in open communication, so as they don't feel that the issue has been forgotten.</p> <p>e.g. sighted email 8/9/21 to a resident about an issue with her home. The email goes with BCC to the Consultation Manager system.</p>		
44.	B	B6	<p>The following information must be available to facilitate community enquiries and manage complaints at least five (5) business days before the commencement of Work and for 12 months following the completion of construction:</p> <ul style="list-style-type: none"> <li>a) a 24-hour telephone number for the registration of complaints and enquiries about the SSI;</li> <li>b) a postal address to which written complaints and enquiries may be sent;</li> <li>c) an email address to which electronic complaints and enquiries may be transmitted; and</li> <li>d) a mediation system for complaints unable to be resolved.</li> </ul> <p>This information must be accessible to all in the community regardless of age, ethnicity, disability or literacy level.</p>	<p>Information is available in signage e.g. sighted sign posted outside Marian St site which includes 24-hour phone number, postal and email addresses.</p> <p>The project website also has contact numbers for feedback and a link to "complaints and feedback".</p> <p>Staff has Project Cards that can be given to members of the public if required – See photo in <b>Appendix E</b></p>		Compliant
45.	B	B7	<p>The telephone number, postal address and email address required under <b>Condition B6</b> of this approval must be made available on site boundary fencing / hoarding at each construction site and ancillary facility before the commencement of Work and for the duration of construction. This information</p>	<p>Sighted, as per photo evidence (see Appendix E) – e.g. sign on Marian St. Site.</p> <p>Contact Information also available in the footer of project newsletters (e.g. sighted March 2021 Newsletter).</p>		Compliant

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			must also be provided on the website required under <b>Condition B10</b> of this approval.	The project website also has contact numbers for feedback and a link to “complaints and feedback”.  See photo in <b>Appendix E</b>		
46.	B	B8	A <b>Complaints Register</b> must be maintained recording information on all complaints received about the SSI during the carrying out of any work and for a minimum of 12 months following the completion of construction. The <b>Complaints Register</b> must record the: <ul style="list-style-type: none"> <li>a) number of complaints received;</li> <li>b) the date and time of the complaint;</li> <li>c) the method by which the complaint was made;</li> <li>d) any personal details of the complainant which were provided by the complainant or, if no such details were provided, a note to that effect;</li> <li>e) nature of the complaint;</li> <li>f) means by which the complaint was addressed and whether resolution was reached, with or without mediation; and</li> <li>g) if no action was taken, the reason(s) why no action was taken.</li> </ul>	Sighted Complaints Register on Spreadsheet maintained by Community & Stakeholder Manager Novo Rail & TfNSW. The register includes the information required.  Sighted request from DPIE – email asking for additional info regarding Complaint No 37.  Sighted email from DPIE to TfNSW Response dated 31/08/2021 with all the requested information.  Noise assessment report – one of the attachments.  Regarding Complaint No. 39 letter from TfNSW regarding DPIE request on 26/08/2021. Sighted letter of response from TfNSW to Michelle Larkin of DPIE on 10/09/21.		Compliant
47.	B	B9	The <b>Complaints Register</b> must be provided to the Planning Secretary upon request, within the timeframe stated in the request.	DPIE request for weekly reporting of complaints during the fortnightly meeting with TfNSW.  Reporting is done every Monday of the previous week. Starting 8 February 2021.  Evidence of email to DPIE was presented including the complaint about light spill from site compound on 3/3/2021.  Weekly summaries of Complaints were sent to DPIE commencing in February 2021.		Compliant
48.	B	B10	<b>PROVISION OF ELECTRONIC INFORMATION</b>  A website or webpage providing information in relation to the SSI must be established before commencement of Work and maintained for the duration of construction, and for a minimum of	Information is available as required in in the TfNSW Project website – <a href="https://www.transport.nsw.gov.au/projects/current-">https://www.transport.nsw.gov.au/projects/current-</a>		Compliant

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			<p>12 months following the completion of construction. Up-to-date information (excluding confidential commercial information or any other information that the Planning Secretary has approved to be excluded) must be published before the relevant Work commences and maintained on the website or dedicated pages including:</p> <ul style="list-style-type: none"> <li>a) information on the current implementation status of the SSI;</li> <li>b) a copy of the documents listed in <b>Condition A1</b> of this approval, and any documentation relating to any modifications made to the SSI or the terms of this approval;</li> <li>c) a copy of this approval in its original form, a current consolidated copy of this approval (that is, including any approved modifications to its terms), and copies of any approval granted by the Minister to a modification of the terms of this approval;</li> <li>d) a copy of each statutory approval, licence or permit required and obtained in relation to the SSI;</li> <li>e) a current copy of each document required under the terms of this approval, which must be published before the commencement of any Work to which they relate or before their implementation, as the case may be; and</li> <li>f) a copy of the audit reports required under <b>Conditions A33</b> and <b>A36</b> of this approval.</li> </ul>	<p><a href="#">projects/redfern-station-upgrade-new-southern-concourse</a></p>		
<b>PART C CONSTRUCTION ENVIRONMENTAL MANAGEMENT</b>						
49.	C	C1	<p><b>CONSTRUCTION ENVIRONMENTAL MANAGEMENT PLAN</b></p> <p>A <b>Construction Environmental Management Plan (CEMP)</b> must be prepared to detail how the performance outcomes, commitments and mitigation measures specified in the documents listed in <b>Condition A1</b> will be implemented and achieved during instruction. The CEMP must be prepared in consultation with the City of Sydney Council.</p>	<p>Presented Construction Environmental Management Plan TAP04-PLN-EN-0001 Ver. 07 of 22/01/21.</p> <p>Sighted Consultation Matrix “City of Sydney Consultation on the Construction Environment Management Plan” including details of consultation conducted between 10/11/2020 &amp; 18/12/2020.</p> <p>New evidence:</p> <p>Latest review of the Plan is Ver. 08 of 10/06/2021.</p>		Compliant

ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
				Sighted letter from ER 15/06/2021 regarding approval of the CEMP.		
50.	C	C2	The <b>CEMP</b> must be prepared having regard to the <i>Environmental Management Plan Guideline for Infrastructure Projects</i> (Department Planning, Industry and Environment, 2020) and be consistent with the document <i>Construction Environmental Management Framework</i> (TfNSW, October 2020).	CEMP has been prepared based on the Environmental Management Plan Guideline for Infrastructure Projects (Department Planning, Industry and Environment, 2020) and be consistent with the document Construction Environmental Management Framework (TfNSW, October 2020).		Compliant
51.	C	C3	The <b>CEMP</b> must provide: <ul style="list-style-type: none"> <li>a) a description of activities to be undertaken during construction (including the scheduling of construction and site layout figures);</li> <li>b) details of environmental policies, guidelines and principles to be followed in the construction of the SSI;</li> <li>c) a program for ongoing analysis of the key environmental risks arising from the activities described in subsection (a) of this condition, including an initial risk assessment undertaken before the commencement of construction of the SSI;</li> <li>d) details of how the activities described in subsection (a) of this condition will be carried out to: <ul style="list-style-type: none"> <li>i. meet the performance outcomes stated in the documents listed in <b>Condition A1</b>; and</li> <li>ii. manage the risks identified in the risk analysis undertaken in subsection (d) of this condition;</li> </ul> </li> <li>e) an inspection program detailing the activities to be inspected and frequency of inspections;</li> <li>f) a protocol for managing and reporting any: <ul style="list-style-type: none"> <li>i. incidents; and</li> <li>ii. (ii) non-compliances with this approval or statutory requirements;</li> </ul> </li> </ul>	Required provisions in CEMP as follows: <ul style="list-style-type: none"> <li>a) Described in Section 6</li> <li>b) Detailed in Section 4</li> <li>c) Initial risk Assessment found in Appendix C Ongoing Analysis addressed in Section 7.1 and Section 12.</li> <li>d) The performance outcomes are address in Section 5.3 and 5.4 How identified risks are continually assessed addressed in Section 12 The Environment and Sustainability Risk and Opportunity register – Appendix C</li> <li>e) Summarised in Section 12</li> <li>f) Managing and Reporting Incidents addressed in Section 10.2 Approval and statutory non-compliances are discussed in Section 10.2 and Section 12.3</li> <li>g) Outlined in Section 10.2 and Section 12.3</li> <li>h) CEMP Sub plans described in section 7.3</li> <li>i) Described in Section 8</li> <li>j) Detailed in Section 9</li> <li>k) Addressed in Section 12.4</li> </ul> <p>New evidence:</p>	<p><b>Opportunity for Improvement OFI-01:</b></p> <p>It was noted that there have been improvements in the Respite offers.</p> <p><b>Recommendation:</b></p> <p>An opportunity for improvement to ensure that the changes and improvements are documented in the relevant sub-plans (CNVMP and Comms Strategy) or in CEMP on the next review and update of these plans.</p>	Compliant

ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
			<ul style="list-style-type: none"> <li>g) procedures for rectifying any non-compliance with this approval identified during compliance auditing, incident management or at any time during construction;</li> <li>h) a list of all the CEMP Sub-plans required in respect of construction, as set out in Condition C6. Where staged construction of the SSI is proposed, the CEMP must also identify which CEMP Sub-plan applies to each of the proposed stages of construction;</li> <li>i) a description of the roles and environmental responsibilities for relevant employees and their relationship with the ER;</li> <li>j) for training and induction for employees, including contractors and sub-contractors, in relation to environmental and compliance obligations under the terms of this approval;</li> <li>k) for periodic review and update of the <b>CEMP</b> and all associated plans and programs.</li> </ul>	<p>c) Presented E-S-Risk register spreadsheet. Last risk workshop was on 27/08/21 Discussed items e.g. beam recently installed, contamination, other. Then updated risks 108 to 122 in the register (July) and 123 to 126.</p> <p>d) Monitoring program is the key driver for the performance piece. Noise, vibration, air quality, waste. Has an environmental sustainability register.</p> <p>e) Inspections register (internal Novo Rail weekly and with ER)</p> <p>g) Environmental Incidents Tab of the Registers spreadsheet. It includes incidents and non-conformances. To date has had 4 non-compliance events; 1 safety associated event (CoA37); 7 minor incident events which did not trigger a non-compliance under the Project's Conditions of Approval.</p>		
52.	C	C4	<p>The <b>CEMP</b> must be submitted to the <b>ER</b> for approval before the commencement of construction or where the construction is staged, before the commencement of that stage.</p> <p>Construction must not commence until the <b>ER</b> has approved the <b>CEMP</b> and all <b>CEMP Sub-plans</b>. The <b>CEMP</b> and all <b>CEMP Sub-plans</b> must be implemented for the duration of construction.</p>	<p>Letter by MCW Environmental Consulting Pty Ltd to TfNSW Subject "Environmental Representative (ER) Approval: Construction Environmental Management Plan (CEMP) Rev 7: Redfern Station Upgrade (SSI 10041)". The letter clarifies that Rev 6 had been approved on 24/12/2020, prior to commencement of construction which is 4 January 2021.</p> <p>Noted that the update to the CEMP Rev. 7 reflected the inclusion of additional hi-rail access points and material storage areas at Central and Newtown.</p> <p>The CNVMP was also approved on 18/12/20 through letter by MCW Environmental Consulting Pty Ltd to TfNSW Subject "Environmental Representative (ER) Approval: Construction Noise and Vibration Management Plan (CNVMP): Redfern Station Upgrade (SSI 10041)". Prior to early works commencing.</p>		Compliant

Audit Descriptors Compliance Codes: Compliant; Non-Compliant; Not triggered

ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating															
53.	C	C5	The approved <b>CEMP</b> and <b>CEMP Sub-plans</b> must be made publicly available before the commencement of construction.	CEMP and Sub-Plans are available in the TfNSW Project website.		Compliant															
54.	C	C6	<p>The following <b>CEMP Sub-plans</b> must be prepared in consultation with the relevant government agencies identified for each <b>CEMP Sub-plan</b>:</p> <table border="1"> <thead> <tr> <th></th> <th>Required CEMP Sub-plan</th> <th>Relevant government agencies to be consulted for each CEMP Sub-plan</th> </tr> </thead> <tbody> <tr> <td>(a)</td> <td>Traffic and transport</td> <td>City of Sydney Council</td> </tr> <tr> <td>(b)</td> <td>Noise and vibration</td> <td>City of Sydney Council, Heritage NSW</td> </tr> <tr> <td>(d)</td> <td>Soil, contamination and water</td> <td>Sydney Water and the City of Sydney Council (if it is proposed to discharge to or impact on their assets)</td> </tr> <tr> <td>(e)</td> <td>Heritage</td> <td>Heritage Council of NSW, Heritage NSW and City of Sydney Council</td> </tr> </tbody> </table>		Required CEMP Sub-plan	Relevant government agencies to be consulted for each CEMP Sub-plan	(a)	Traffic and transport	City of Sydney Council	(b)	Noise and vibration	City of Sydney Council, Heritage NSW	(d)	Soil, contamination and water	Sydney Water and the City of Sydney Council (if it is proposed to discharge to or impact on their assets)	(e)	Heritage	Heritage Council of NSW, Heritage NSW and City of Sydney Council	<p>A consultation matrix is available for each sub-plan and has been reviewed and approved by ER:</p> <ul style="list-style-type: none"> <li>– Traffic Management Plan TAP04-PLN-SA-0005 Ver. 05 of 22/12/20.</li> <li>– Construction Noise and Vibration Management Plan TAP04-PLN-EN-0005 Ver.04 of 26/03/2021 Sighted letter of CNVMP approval from Environmental Representative (ER) to TfNSW dated 31/03/21– mainly changed reporting from monthly to quarterly.</li> <li>– Soil, Contamination and Water Management Plan TAP04-PLN-EN-0013 Ver. R3 of 24/12/20.</li> <li>– Aboriginal Cultural Heritage Management Plan by AMAC Group Ver. 06 of 18/12/20.</li> <li>– Construction Heritage Management Plan TAP04-PLN-EN-0009 Ver. 02 of 14/12/20</li> </ul>	<p><b>Opportunity for Improvement OFI-01:</b></p> <p>It was noted that there have been improvements in the Respite offers.</p> <p><b>Recommendation:</b></p> <p>An opportunity for improvement to ensure that the changes and improvements are also documented in the CNVMP.</p>	Compliant
	Required CEMP Sub-plan	Relevant government agencies to be consulted for each CEMP Sub-plan																			
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(d)	Soil, contamination and water	Sydney Water and the City of Sydney Council (if it is proposed to discharge to or impact on their assets)																			
(e)	Heritage	Heritage Council of NSW, Heritage NSW and City of Sydney Council																			
55.	C	C7	<p>The <b>CEMP Sub-plans</b> must state how:</p> <ol style="list-style-type: none"> <li>the environmental performance outcomes identified in the documents listed in <b>Condition A1</b> will be achieved;</li> <li>the mitigation measures identified in the documents listed in <b>Condition A1</b> will be implemented;</li> <li>the relevant terms of this approval will be complied with; and</li> </ol>	<ul style="list-style-type: none"> <li>– Traffic Management Plan               <ol style="list-style-type: none"> <li>Section 15.1</li> <li>Section 15.2</li> <li>Section 4</li> <li>Section 5 and 6.2.8</li> </ol> </li> <li>– Construction Noise and Vibration Management Plan               <ol style="list-style-type: none"> <li>Section 8.2, 7.2, 8, 9</li> <li>Section 8</li> <li>Section 1, Section 2.1, 2.2</li> <li>Section 5</li> </ol> </li> <li>– Soil, Contamination and Water Management Plan</li> </ul>		Compliant															

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ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
			d) issues requiring management during construction, as identified through ongoing environmental risk analysis, will be managed.	a) Section 5.4 b) Section 5.3 c) Section 5 d) Section 8.2, Section 8.4, Section 9.3 and Section 10 – Aboriginal Cultural Heritage Management Plan a) Section 4 and Section 6 b) Section 5 c) Section 1.1, Section 2. and Section 6 d) Section 5.2.2 Section 5.2.3, Section 5.2.4 – Construction Heritage Management Plan a) Appendix A.3 b) Section 5.4 c) Section 1.2.2 d) Section 5.4		
56.	C	C8	<p><b>CONSTRUCTION MONITORING PROGRAMS</b></p> <p>The Proponent must engage a suitably qualified and experienced person to prepare a <b>Construction Noise and Vibration Monitoring Program (CNVMP)</b>. The program must be prepared in consultation with the City of Sydney Council and include, but not be limited to:</p> <ul style="list-style-type: none"> <li>a) noise and vibration monitoring at representative locations adjacent to construction activities (including at the most / worst affected residences) to confirm construction noise and vibration levels;</li> <li>b) noise monitoring during the day, evening and night-time periods throughout the construction period, covering the range of activities (including worst-case construction noise levels) being undertaken;</li> <li>c) method and frequency for reporting of monitoring results;</li> <li>d) procedures to identify and implement additional mitigation measures where results of monitoring indicate noise levels in excess of predicted noise levels and / or vibration levels in excess of vibration criteria; and</li> </ul>	<p>Presented the Construction Noise and Vibration Management Plan TAP04-PLN-EN-0005 Ver. 03 of 16/12/20. The Plan is endorsed by an Acoustic Advisor (Acoustic Studio), per letter of 17/12/2020 attached to the Plan.</p> <p>Section 8.4 includes methodology for noise monitoring and requirements associated with assessing compliance.</p>		Compliant

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			e) any consultation to be undertaken in relation to the monitoring program.			
57.	C	C9	The <b>CNVMP</b> must be submitted to the <b>ER</b> for approval and be approved before the commencement of construction.	CNVMP approved by the ER on 18/12/2020.		Compliant
58.	C	C10	The approved <b>CNVMP</b> must be made publicly available before the commencement of construction.	CNVMP is available on the TfNSW Project website.		Compliant
59.	C	C11	The <b>CNVMP</b> must be implemented for the duration of construction.	Noise and vibration monitoring is reviewed by the ER during periodic inspections and Out of hours works applications.  <b>Note:</b>  TNSW noted that monitoring to confirm no exceedances is being done by Novo Rail and reviewed by TfNSW, ER and AA. A program is being implemented in which the Acoustic Advisor reviews monthly performance of the Site Hive data.		Compliant
60.	C	C12	The results of the construction noise and vibration monitoring must be provided to the Planning Secretary, and relevant regulatory agencies, in the form of a <b>Construction Noise and Vibration Monitoring Report</b> at the frequency identified in the <b>CNVMP</b> .  <i>Note: The <b>CNVMP</b> may be incorporated into the <b>CEMP Noise and Vibration Sub-plan</b>.</i>	Real time monitoring results were sighted through Site Hive.  Lodged on 14/07/2021 report for month of June. Sighted email of Post approval Document received (SSI-10041-PA-62) from DPIE to TfNSW on 14/07/2021 for the CNV Monitoring June 2021.		Compliant
<b>PART D KEY ISSUE CONDITIONS</b>						
61.	D	D1	<b>AIR QUALITY</b> In addition to the performance outcomes, commitments and mitigation measures specified in the documents listed in <b>Condition A1</b> , all reasonably practicable measures must be implemented to minimise the emission of dust and other air pollutants during the construction of the SSI.	Air Quality monitors were installed at Marian Street, Platform 10, Little Eveleigh St. No major emissions have occurred so far, no dust issues.		Compliant

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				<p>Site Hive that monitor real time - air quality and noise and vibration and weather, wind direction and temperature.</p> <p>Air monitoring on Site Hive (3 monitors). No exceedances. Dust looked at during ER Reports. Water cart deployed as required, has some hoses available and stockpiles are covered.</p>		
62.	D	D2	<p><b>HERITAGE</b></p> <p>An <b>Archival Recording and Salvage Report</b> must be undertaken of all heritage-listed items that will be affected by Work. The archival recording must be prepared in accordance with <i>How to Prepare Archival Recordings of Heritage Items</i> (NSW Heritage, 1998) and <i>Photographic Recording of Heritage items Using Film or Digital Capture</i> (NSW Heritage, 2006). The recordings must capture the potentially affected heritage listed items impacted by Works, and the immediate surrounds, before, during and after the works.</p>	<p>Records sighted Archival Recording was conducted by Hyperion Design "Photographic Archival Recording and Report" December 2020, First Draft.</p> <p>Sighted Heritage methodology briefing for buffer stops – conducted on 6/02/2021, signed by the staff involved in the works.</p> <p>New evidence:</p> <p>Newest version "Salvage Schedule and Removal, Storage and Reuse Report" June 2021 – with most recent works.</p>		Compliant
63.	D	D3	<p>The <b>Archival Recording and Salvage Report</b> must be submitted to the Planning Secretary, the Heritage Council of NSW, Heritage NSW and City of Sydney Council for information no later than 12 months after the completion of the work referred to in <b>Condition D2</b>.</p>	<p>Works not completed yet.</p>		Not Triggered
64.	D	D4	<p>The Proponent must prepare a <b>Removal and Storage Methodology</b> for the recording, tagging, removal and storage of any significant heritage fabric that is proposed to be removed or modified and reused. A copy of the methodology must be provided to the Heritage Council of NSW at least five (5) business days prior to the commencement of any Work which may impact significant heritage fabric. Any significant heritage fabric that is proposed to be removed or modified must be recorded and tagged on site and securely stored for future use in accordance with the <b>Removal and Storage Methodology</b>.</p>	<p>Sighted the heritage works methodology including a power point presentation for removal of buffer stops.</p> <p>2-part approval process: Heritage specialist reviews the methodology, then issued to TfNSW.</p> <p>Sighted Email 09/04/2021 to Heritage NSW regarding removal of buffer stops. Environment Manager (Heritage) followed up.</p>		Compliant

Audit Descriptors Compliance Codes: Compliant; Non-Compliant; Not triggered

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			The Methodology must be included in the Heritage Management Sub-Plan required by <b>Condition C6</b> .	<p>Has presented material for heritage building Platform 1 relocation to Heritage NSW (7/09/21) – sighted power point presentation: staging of the relocation.</p> <p>Heritage NSW has responded with comments on the methodology per email dated 7/09/21 – also clarification on condition D4.</p>		
65.	D	D5	<p>The Proponent must prepare an updated <b>Heritage Interpretation Strategy</b> to provide the strategic direction for heritage interpretation across the SSI site and to inform the <b>Heritage Interpretation Plan</b> required by <b>Condition D7</b> and the <b>Urban Design and Public Domain Plan</b> required by <b>Condition D35</b>. The Strategy must:</p> <ul style="list-style-type: none"> <li>a) have regard to the precinct’s historic significance (particularly its social, intangible and industrial heritage values);</li> <li>b) recognise the spiritual, intangible and cultural values of the site to Aboriginal people and address the full story of the place (i.e. landscape through the eyes of Indigenous inhabitants);</li> <li>c) consider the site’s relationship to the broader vicinity including the Eveleigh Railway Workshops and Central Railway Station;</li> <li>d) have regard to the interpretation strategy that has been developed for the Eveleigh Railways Workshop site; and</li> <li>e) be prepared in accordance with the <i>Conservation Management Plan Redfern Station</i> (July 2020) and relevant Heritage NSW guidelines.</li> </ul>	Sighted General Correspondence Ref. 150184-TFNSW-NOVO-CORR-00584, Subject: RSU Heritage Interpretation Strategy approval, from TfNSW to NovoRail dated 01/09/2021 – notes that the Strategy has been approved by DPIE.		Compliant
66.	D	D6	The <b>Heritage Interpretation Strategy</b> must be prepared in consultation with the Heritage Council of NSW, the Aboriginal Cultural Heritage Advisory Committee, Heritage NSW, the MLALC, Aboriginal Stakeholders and the City of Sydney Council and submitted to the Planning Secretary for information	<p>Consultation completed – Sighted Consultation Summary matrix of the Heritage Interpretation Strategy including various dates, methods and details of contact. MLALC – Teleconference, etc.</p> <p>Strategy submitted to DPIE on 26/07/2021.</p>		Compliant

Audit Descriptors Compliance Codes: Compliant; Non-Compliant; Not triggered

ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
			prior to the construction of permanent built works that are the subject of the <b>Urban Design and Public Domain Plan</b> required by <b>Condition D35</b> .	General Correspondence Ref. 150184-TFNSW-NOVO-CORR-00584, Subject: RSU Heritage Interpretation Strategy approval, from TfNSW to NovoRail dated 01/09/2021 – notes that the Strategy has been approved by DPIE.		
67.	D	D7	A <b>Heritage Interpretation Plan</b> must be prepared, consistent with the <b>Heritage Interpretation Strategy</b> , which identifies how interpretation will be integrated into the broader design of the SSI (where relevant) including design elements (form and fabric), landscaping and cultural design principles. The Plan must identify how interpretive themes and heritage values will be implemented and provide a timeframe for their installation during construction. The Plan must be prepared in consultation with the Heritage Council of NSW, the Aboriginal Cultural Heritage Advisory Committee, Heritage NSW, MLALC and the City of Sydney Council and submitted to the Planning Secretary and Heritage NSW for information prior to the construction of permanent built works that are the subject of the <b>Urban Design and Public Domain Plan</b> required by <b>Condition D35</b> .	Preparation of the Plan is in progress. It has required further consultation with the stakeholders.  Relevant permanent works planned to commence Mid-October. TfNSW applied for extension till 31/03/2022.  General Correspondence Ref. 150184-TFNSW-NOVO-CORR-00583, Subject: RSU Heritage Interpretation Plan Extension, from TfNSW to NovoRail dated 01/09/2021 – notes that the Heritage Interpretation Plan submission extension till 30/03/22 has been approved by DPIE (per letter attached, dated 30/08/2021).		Not Triggered
68.	D	D8	Prior to undertaking any works that have the potential to impact on historical archaeology, the Proponent must engage a suitably qualified archaeologist whose experience complies with the NSW Heritage <i>Council's Criteria for Assessment of Excavation Directors</i> (July, 2011) (referred to as the Excavation Director) to oversee and advise on matters associated with historical archaeology (i.e. non-Aboriginal), and to prepare a <b>Historical Archaeological Research Design and Excavation Methodology</b> .	AMAC has been engaged as the nominated excavation directors.  Sighted Historical Archaeological Research Design & Excavation Methodology (HARDEM) Section 1.4.  Historical Archaeological Research Design and Excavation Methodology December 2020.  Excavation Director Dr Ivana Vetta and Jaki Baloh are recognised by Heritage Council.  Consultation records with Heritage Council and DPIE were presented.  New evidence:  No updates to the HARDEM in this audit period. An Archaeological Status Form was presented, which		Compliant

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ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
				reflects the current status of the site and reads to the HARDEM.		
69.	D	D9	The <b>Historical Archaeological Research Design and Excavation Methodology</b> must be developed in consultation with the Heritage Council of NSW. The methodology must be prepared prior to undertaking any Work in areas identified as “low” or “high” archaeological potential” in the documents listed in <b>Condition A1</b> and must be implemented when working in areas of archaeological potential.	<p>Sighted HARDEM Section 1.4</p> <p>HARDEM has been approved by the ER. Sighted Construction Heritage Management Plan, dated 14/12/2020, Ver. 02. Appendix A shows approval by ER on 24/12/20 of the CHMP and the ACHMP.</p> <p>A Consultation Matrix was presented with evidence of consultation with Heritage NSW.</p> <p>New Evidence:</p> <p>AMAC provided an update of the status of the site. Presented Archaeology Status Form – Redfern Station Upgrade RSU20_ASF 9 dated 14/7/21 – from Archaeologist J. Baloh with a progress map, methodology, permit, status of report and location approved to date 14/07/21.</p>		Compliant
70.	D	D10	Where excavation works are required in the vicinity of potential archaeological sites, the Excavation Director must be present to advise on archaeological issues and oversee excavation works. The Excavation Director must be given the authority to advise on the duration and extent of oversight required during archaeological excavations.	<p>As above.</p> <p>Also sighted example of wall exposed in the car park compound after removal of vegetation. AMAC Aurecon carried out impact assessment 2/08/21 – sighted – categorised as moderate significance.</p>		Compliant
71.	D	D11	An <b>Unexpected Heritage Finds and Human Remains Procedure</b> must be prepared to manage unexpected heritage finds in accordance with any guidelines and standards prepared by the Heritage Council of NSW or Heritage NSW.	An Unexpected Heritage Finds & Human Remains Procedure Rev. 02 of 29/01/21 – prepared by AMAC consultants was approved as part of the CHMP.		Compliant
72.	D	D12	The <b>Unexpected Heritage Finds and Human Remains Procedure</b> must be prepared by a suitably qualified and experienced archaeologist or heritage specialist in consultation with Heritage NSW and the Heritage Council of NSW and submitted to the Planning Secretary for information at least five	<p>The procedure was prepared by AMAC consultants and included in Sub-plan/procedure of the CHMP.</p> <p>Sighted email 18/12/20 from Planning to TfNSW noting that the conditions required have been met.</p>		Compliant

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			(5) business days before the commencement of Work.  The Procedure must be included in the Heritage Management Sub-Plan required by Condition <b>C6</b> . Where the commencement of Work precedes the commencement of construction, the requirement to include the Procedure in the Heritage Management Sub-Plan only applies from the commencement of construction.			
73.	D	D13	The <b>Unexpected Heritage Finds and Human Remains Procedure</b> , as submitted to the Planning Secretary, must be implemented for the duration of Work.  <i>Note: Human remains that are found unexpectedly during the carrying out of work may be under the jurisdiction of the NSW State Coroner and must be reported to the NSW Police immediately.</i>	The procedure was sent to DPIE on 17 Dec 2020 and DPIE provided comments on 18/12/20.  Implementation of the procedure was reviewed during ER inspections. No unexpected human remains have been found to date.  The procedure was applied for a wall exposed in the car park compound after removal of vegetation. AMAC Aurecon carried out impact heritage assessment 2/08/21 – sighted – categorised as moderate significance.		Compliant
74.	D	D14	<b>NOISE AND VIBRATION Work Hours</b>  Work must only be undertaken during the following standard construction hours:  e) 7:00 am to 6:00 pm Mondays to Fridays, inclusive; f) 8:00 am to 6:00 pm Saturdays; and g) at no time on Sundays or public holidays.	Work hours are compliant, and defined in CNVMP and OOHV Protocol.  New evidence:  Notice of breach – concrete truck overrun due to delay in concrete delivery on Marian St. site (around 15 minutes over) on 13/05/21. It was escalated through the NR Comms team.  Notification to DPIE was sent. Sighted email response from DPIE to TfNSW dated 22/07/21, regarding ER report May 2021 SSI-10041-PA-51, where the breach is noted. An informal Record of Breach was issued.  INX No. 235722 documents the issue, and it is part of the Environmental Incidents Register.		Compliant
75.	D	D15	<b>Highly Noise Intensive Work</b>	Addressed in the CNVMP Section 8.2. and OOHV protocol.		Compliant

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			<p>Except as permitted by an EPL or an Out-of-Hours Work Protocol (where an EPL does not apply), highly noise intensive Work that results in an exceedance of the applicable noise management level (NML) at the same receiver must only be undertaken:</p> <ul style="list-style-type: none"> <li>a) between the hours of 8:00 am to 6:00 pm Monday to Friday;</li> <li>b) between the hours of 8:00 am to 1:00 pm Saturday; and</li> <li>c) if continuously, then not exceeding three (3) hours, with a minimum cessation of work of not less than one (1) hour.</li> </ul> <p>For the purposes of this condition, 'continuously' includes any period during which there is less than one (1) hour between ceasing and recommencing any of the work.</p>	<p>Approval process TfNSW to Comms to AA and Approved by ER. E.g. Approval OOHW-1299-Weekend 33 approved on 11/02/21.</p> <p>TfNSW OOHW Approval Process</p> <p>Mitigation measures are in place. Site Hive is used for real time monitoring of noise and vibration.</p> <p>New evidence:</p> <p>Presented workflow for approval of highly noisy works.</p> <ul style="list-style-type: none"> <li>- Sighted for weekend 11 works – location of the noisy works triggered offer for alternative accommodation in the building next to the Big Issue building.</li> <li>- Sighted PEGA Application Sheet with the general notes of drafting/submission process. Presented PEGA onscreen - finalisation status of application with all the reviewer comments 8 to 13/09/21 (regarding noisy works impacting residents on Lt Eveleigh St.)</li> </ul>		
76.	D	D16	<p><b>Variation to Work Hours</b></p> <p>Notwithstanding <b>Condition D14</b>, Work may be undertaken outside the hours specified in the following circumstances:</p> <ul style="list-style-type: none"> <li>a) for the delivery of materials required by the NSW Police Force or other appropriate authority for safety reasons; or</li> <li>b) where it is required in an emergency to avoid injury or the loss of life, to avoid damage or loss of property or to prevent environmental harm; or</li> <li>c) where the relevant road authority has advised the Proponent in writing that a road occupancy licence will not be issued during the hours specified in <b>Condition D14</b> and the Works are undertaken in accordance with <b>Condition D19</b>; or</li> </ul>	<p>This process is defined in the CNVMP section 3.2.</p> <p>Sighted email 7/09/2021 from S. Guilfoyle NR via Teambinder – Subject “MW10 OOHW Beam Deliveries CoA D16 (a)”, for out of hours delivery of beams on WE 11 works.</p> <p>ER 009.</p>		Compliant

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			<p>d) where the rail authority has advised the Proponent in writing that a Rail Possession is required and approval has been given to complete Work during the rail possession, and the works are undertaken in accordance with <b>Condition D19</b>; or</p> <p>e) where different construction hours are permitted or required under an EPL in force in respect of the SSI; or</p> <p>f) where an EPL is not required or in force, Work approved under an <b>Out-of-Hours Work Protocol</b> developed in accordance with <b>Condition D19</b>; or</p> <p>g) construction that causes:</p> <ul style="list-style-type: none"> <li>i. <math>L_{Aeq(15 \text{ minute})}</math> noise levels no more than 5 dB(A) above the rating background level at any residence in accordance with the <i>Interim Construction Noise Guideline</i> (DECC, 2009), and</li> <li>ii. <math>L_{Aeq(15 \text{ minute})}</math> noise levels no more than the 'Noise affected' noise management levels specified in Table 3 of the <i>Interim Construction Noise Guideline</i> (DECC, 2009) at other sensitive land uses, and</li> <li>iii. continuous or impulsive vibration values, measured at the most affected residence are no more than the maximum values for human exposure to vibration, specified in Table 2.2 of <i>Assessing Vibration: a technical guideline</i> (DEC, 2006), and</li> <li>iv. intermittent vibration values measured at the most affected residence are no more than the maximum values for human exposure to vibration, specified in Table 2.4 of <i>Assessing Vibration: a technical guideline</i> (DEC, 2006); or</li> </ul> <p>h) where negotiated agreements with directly affected residents and other sensitive land uses have been reached.</p> <p><i>Note: Section 5.24(1)(e) of the EP&amp;A Act requires that an EPL be substantially consistent with this approval.</i></p>			

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77.	D	D17	On becoming aware of the need for emergency work in accordance with <b>Condition D16(b)</b> the Proponent must notify the ER, Planning Secretary and the EPA of the reasons for such work as soon as possible. The Proponent must use best endeavours to notify all noise and/or vibration affected sensitive receivers of the likely impact and duration of those works as soon as possible.	No emergency works have occurred.		Not Triggered
78.	D	D18	<p><b>Out-of-hours works – Community Consultation on Respite</b></p> <p>In order to undertake Work outside the hours specified in Condition D14 the Proponent must identify appropriate respite periods for the out-of-hours Work in consultation with the affected community on a regular basis. The consultation on respite periods must include (but not be limited to) Providing the community with:</p> <ol style="list-style-type: none"> <li>An indicative schedule of likely out-of-hours Work for a period no less than three (3) months;</li> <li>A description of the potential Work, location and duration</li> <li>The noise characteristics and likely noise levels of the Work; and</li> <li>Likely mitigation and management measures to be implemented</li> </ol> <p>The outcomes of the community consultation, the identified respite periods and the scheduling of the likely out-of-hours Work must be submitted to the Planning Secretary for information prior to Work scheduled for the subject period being undertaken.</p> <p><i>Notes:</i></p> <p>1. Respite periods can be any combination of days or hours where out-of-hours Work would not be more than 5 dB(A) above the rating background level at any residence.</p>	<p>Records sighted the RSU Project Upgrade newsletter for March 2021 – includes anticipated OOHW for the next quarter.</p> <p>New evidence:</p> <ul style="list-style-type: none"> <li>– Relevant information is posted on the project website.</li> <li>– 24/06 Letter to Planning with consultation.</li> <li>– Email “Post approval document received (SI-10041-PA-56)” noting receipt of submission via Planning Portal on 30/06/2021 with outcomes of community consultation for likely OOHW July to Sep 2021.</li> </ul>		Compliant

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			2. <b>Condition D18</b> applies to the Works specified in <b>Conditions D16(c), (d) and (f)</b> .			
79.	D	D19	<p><b>Out-of-hours Work Protocol – Work not subject to an EPL</b></p> <p>An <b>Out-of-Hours Work Protocol</b> must be prepared to identify a process for the consideration, management and approval of Work which is outside the hours defined in <b>Condition D14</b>, and that is not subject to an EPL. The Protocol must be submitted to the Planning Secretary for approval at least five (5) business days before commencement of out-of-hours works. Out-of-hours work must not be undertaken until the Out-of-hours Work Protocol has been approved. The Protocol must identify Work activities in terms of their risk of adverse impacts on sensitive receivers and include:</p> <ul style="list-style-type: none"> <li>a) a process for the consideration of out-of-hours Work against the relevant NML and vibration criteria, including the determination of low, medium and high-risk activities;</li> <li>b) a process for the identification, selection and implementation of mitigation measures for residual impacts in consultation with the community at each affected location, including respite periods consistent with the requirements of <b>Conditions D18</b> and <b>D20</b>. The measures must take into account the predicted noise and vibration levels and the likely frequency and duration that sensitive receivers would be exposed to residual impacts, including the number of noise-awakening events;</li> <li>c) procedures to facilitate the coordination of out-of-hours Work, including those approved by an EPL or undertaken by a third party, to ensure appropriate respite is provided;</li> <li>d) an approval process that considers the risk of activities, proposed mitigation, management and coordination of work, including where – <ul style="list-style-type: none"> <li>i. low risk activities can be approved by the <b>ER</b>, and</li> </ul> </li> </ul>	<p>OOHW Protocol Rev 5 dated 14/12/20 was submitted to DPIE on 17/12/2020 and approved on 21/12/2020.</p> <p>Endorsed by AA and ER.</p> <p>Sighted Letter from DPIE to TfNSW 21/12/2020 Redfern Station Upgrade – with approval of the OOHW Protocol.</p> <p>New evidence:</p> <p>OOHW Protocol Rev 07 Approved on 12/07/2021 through letter from DPIE to TfNSW RSU (SSI-10041) Out of Hours Protocol – Revision 7”.</p>		Compliant

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			<ul style="list-style-type: none"> <li>ii. medium and high-risk activities can be approved by the ER and the approval submitted to the Planning Secretary for information before the Work commences; and</li> <li>e) notification arrangements for affected sensitive receivers and the EPA for all approved out-of-hours Works.</li> </ul>			
80.	D	D20	<p><b>Out-of-hours Works – Mitigation</b></p> <p>Additional mitigation measures such as temporary alternative accommodation, must be offered/made available to residents affected by out-of-hours Work (including where utility works are being undertaken for the SSI or Work is being undertaken during a rail possession or under a road occupancy licence) where the construction noise levels, between:</p> <ul style="list-style-type: none"> <li>a) 10:00pm and 7:00 am, Monday to Friday;</li> <li>b) 10:00 pm Saturday to 8:00 am Sunday; and</li> <li>c) 6:00 pm Sunday and public holidays to 7:00 am the following day unless that day is Saturday then to 8:00 am,</li> </ul> <p>Are predicted to exceed the NML by 25dB (A) or are greater than 75dBa (LAeq<sub>(15 min)</sub>), whichever is the lesser.</p> <p>The NML must be reduced by 5dB where the noise contains annoying characteristics and may be increased by 10 dB if the property has received at-property noise treatment. The noise levels and duration requirements identified in the condition may be changed through an EPL applying to the SSI.</p>	<p>Has offered alternative accommodation but not promoting it. Rather offering Uber Eats, Woolworths vouchers and other options – exploring what is possible considering Covid-19 restrictions.</p> <p>Has identified a particular group of sensitive receivers and created a group for specific communications with them. Will give communications in advance so they can plan.</p> <p>A non-compliance was raised by TfNSW for WE05 OOHW as a resident who was eligible for a respite offer was missed (the property was incorrectly categorised as commercial in the noise model). The issue in noise check has been resolved, and it has been closed out with the resident – Note</p> <p>Respite Tracker maintained included alternative accommodation, movie tickets and vouchers accepted by the community.</p> <p>Respite Tracker TAP04-RSU.</p>		Compliant
81.	D	D21	<p><b>Construction Noise – Coordination and Respite</b></p> <p>The Proponent must consult with proponents or applicants of other State significant development and infrastructure projects within 200 metres of the SSI and take reasonable steps to coordinate Work, including utility Work, to minimise cumulative noise and vibration impacts and maximise respite for affected sensitive receivers.</p>	<p>Coordination with Sydney Metro at nearby developments in Central Station and Waterloo Station is being conducted.</p> <p>Regular construction liaison meetings with other stakeholders, e.g. sighted meeting minutes #25 of 16/08/2021 – no specific discussions regarding noise. Item 3 – interface items.</p>		Compliant

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82.	D	D22	Noise and vibration generating Work in the vicinity of potentially-affected community, religious, educational institutions and noise and vibration-sensitive businesses and critical working areas (such as theatres, laboratories and operating theatres) resulting in noise levels above the NMLs or vibration levels above the relevant criteria must not be timetabled within sensitive periods, unless other reasonable arrangements with the affected institutions are made at no cost to the affected institution.	<p>Only one identified educational centre which is Key College Centre.</p> <p>There is no specific sensitive receivers within the 200m from the site.</p> <p>Community Liaison Plan 18.4.2 addresses this item.</p>		Compliant
83.	D	D23	<p>All work undertaken for the delivery of the SSI, including those undertaken by third parties (such as utility relocations), must be coordinated to ensure respite periods are provided. The Proponent must:</p> <ul style="list-style-type: none"> <li>a) reschedule any Work to provide respite to impacted noise sensitive receivers so that the respite is achieved in accordance with <b>Condition D18</b> and <b>D20</b>; or</li> <li>b) consider the provision of alternative respite or mitigation to impacted noise sensitive receivers; and</li> <li>c) provide documentary evidence to the <b>ER</b> in support of any decision made by the Proponent in relation to respite or mitigation.</li> </ul>	<p>Prior to any works commencing including works undertaken by third parties are coordinated and captured in the OOHW approval process and also included in the Community Notification or Newsletter.</p>		Compliant
84.	D	D24	<p><b>Noise and Vibration Mitigation</b></p> <p>Mitigation measures must be implemented with the aim of achieving the following construction noise management levels and vibration criteria:</p> <ul style="list-style-type: none"> <li>a) construction 'Noise affected' noise management levels established using the <i>Interim Construction Noise Guideline</i> (DECC, 2009);</li> <li>b) vibration criteria established using the <i>Assessing vibration: a technical guideline</i> (DEC, 2006) (for human exposure);</li> <li>c) Australian Standard AS 2187.2 - 2006 "<i>Explosives</i> -</li> </ul>	<p>Monitors are installed in various locations around the site. Aurecon was engaged as noise &amp; vibration consultant.</p> <p>New evidence:</p> <p>Sighted Site Hive - real-time monitoring</p> <p>Notification system has been implemented – e.g. vac truck making noise triggered an alert – took actions, e.g. installation of noise barriers.</p> <p>Sighted also for vibration – sighted automatic notification sent to S. Guilfoyle for exceedance on 14/09/2021 10:17am.</p>		Compliant

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			<p><i>Storage and Use - Use of Explosives</i>”;</p> <p>d) BS 7385 Part 2-1993 “<i>Evaluation and measurement for vibration in buildings Part 2</i>” as they are “applicable to Australian conditions”; and</p> <p>e) the vibration limits set out in the German Standard DIN 4150-3: <i>Structural Vibration- effects of vibration on structures</i> (for structural damage).</p> <p>Any Work identified as exceeding the noise management levels and/or vibration criteria must be managed in accordance with the <b>Noise and Vibration CEMP Sub-plan</b>.</p> <p><i>Note: The Interim Construction Noise Guideline identifies ‘particularly annoying’ activities that require the addition of 5 dB(A) to the predicted level before comparing to the construction Noise Management Level.</i></p>	<p>The automatic notifications addresses Opportunity for Improvement OFI-02 raised by AQUAS in the previous audit.</p> <p>The CNVMP is being updated and will be approved soon – Note.</p>		
85.	D	D25	<p>Mitigation measures must be applied when the following residential ground-borne noise levels are exceeded:</p> <p>a) evening (6:00 pm to 10:00 pm) — internal <math>L_{Aeq(15\text{ minute})}</math>: 40 dB(A); and</p> <p>b) night (10:00 pm to 7:00 am) — internal <math>L_{Aeq(15\text{ minute})}</math>: 35 dB(A).</p> <p>The mitigation measures must be outlined in the <b>Noise and Vibration CEMP Sub-plan</b>, including in any <b>Out-of-Hours Work Protocol</b>, required by <b>Condition D19</b>.</p>	<p>The mitigation measures are outlined in the Noise and Vibration Sub-plan and in Out-of-Hours Work Protocol,</p> <p>No exceedances reported to date.</p>		Compliant
86.	D	D26	<p>Owners and occupiers of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before Work that generates vibration commences in the vicinity of those properties. If the potential exceedance is to occur more than once or extend over a period of 24 hours, owners and occupiers are to be provided a schedule of potential exceedances on a monthly basis for the duration of the potential exceedances, unless otherwise agreed by the owner and occupier. These properties must be identified and</p>	<p>The mitigation measures are outlined in the Noise and Vibration Sub-plan and in Out-of-Hours Work Protocol.</p> <p>Dilapidation surveys were conducted prior to work commencing.</p> <p>Presented Compliance Matrix August 2021 spreadsheet with references of the CoA, responsibilities, references and evidence. No exceedances for cosmetic damage.</p>		Compliant

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			considered in the <b>Noise and Vibration CEMP Sub-plan</b> .			
87.	D	D27	The Proponent must conduct vibration testing before and during vibration generating activities that have the potential to impact on heritage items to identify minimum working distances to prevent cosmetic and structural damage. In the event that the vibration testing and monitoring shows that the preferred values for vibration are likely to be exceeded, the Proponent must review the construction methodology and, if necessary, amend the methodology and/or implement additional mitigation measures to prevent damage.	<p>The mitigation measures are outlined in the Noise and Vibration Sub-plan and in Out-of-Hours Work Protocol.</p> <p>Uses SiteHive for conduct of vibration monitoring.</p> <p>Prepares monthly noise and vibration reports.</p>		Compliant
88.	D	D28	The Proponent must seek and implement the advice of a heritage specialist on impacts to heritage-listed structures from installing equipment used for vibration, movement and noise monitoring before its installation.	<p>The mitigation measures and process of installing monitoring location for noise and vibration are outlined in the Noise and Vibration Sub-plan, Out-of-Hours Work Protocol and in CHMP.</p> <p>No monitors installed on heritage items.</p>		Compliant
89.	D	D29	<p><b>Noise Mitigation – Operational Noise</b></p> <p>Where exceedances of the relevant project-specific operational noise level criteria are predicted at sensitive residential receivers, the Proponent must install at-source and/or at-property acoustic treatments to reduce noise impacts from the new Little Eveleigh Street car park at sensitive residential receivers. The noise mitigation measures must be implemented prior to the commencement of operation. The implemented noise mitigation measures must reduce noise so that the noise levels at impacted sensitive residential receivers meet the relevant project-specific operational noise level criteria (determined in accordance with the <i>Noise Policy for Industry</i> (EPA, 2017)) and the sleep disturbance screening criteria, unless otherwise approved by the Planning Secretary.</p>	<p>Not yet triggered – this is required prior to operation commencement.</p> <p>Conducted an assessment – noted that additional acoustic treatments not required. Report prepared by Acoustic Studio 15/06/2021 – Car park noise impact assessment for Lt Eveleigh St. – this was done for due diligence purposes – Note.</p>		Not Triggered

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90.	D	D30	The Proponent must undertake operational noise monitoring at representative sensitive receiver locations within four (4) months of commencement of operation to confirm operational noise levels, including noise from the new car park at Little Eveleigh Street, Redfern. Noise monitoring must be undertaken during the day, evening and night-time periods.	Not yet triggered – this is required prior to operation commencement.		Not Triggered
91.	D	D31	<p>The results of the noise monitoring must be documented in an <b>Operational Noise Compliance Report (ONCR)</b>. The report must:</p> <ul style="list-style-type: none"> <li>a) document the methodology, location and frequency of noise monitoring undertaken;</li> <li>b) confirm the operational noise criteria based on the Noise Policy for Industry (EPA, 2017);</li> <li>c) confirm the operational noise impacts at sensitive receivers and assess these against the operational noise criteria;</li> <li>d) provide details of any complaints and enquiries received in relation to operational noise generated by the SSI between the date of commencement of operation and the date the report was prepared and how these complaints were responded to;</li> <li>e) review the effectiveness of the at-source and/or at-property acoustic treatments in reducing noise levels from the Little Eveleigh Street car park at nearby sensitive residential receivers to achieve the operational noise criteria; and</li> <li>f) where operational noise criteria are not met, identify additional measures that are to be implemented with the objective of meeting the criteria outlined in the Noise Policy for Industry (EPA, 2017), when these measures are to be implemented, the consultation to be undertaken with impacted sensitive receivers on the proposed measures, and how their effectiveness is to be measured and reported to the Planning Secretary.</li> </ul>	Not yet triggered – this is required prior to operation commencement.		Not Triggered

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			<p>The Proponent must implement the identified mitigation measures.</p> <p>The Proponent must submit the <b>ONCR</b> to the Planning Secretary for information within three (3) months of undertaking the operational noise monitoring required by <b>Condition D30</b>.</p>			
92.	D	D32	<p><b>PLACE, DESIGN AND VISUAL AMENITY Lighting and Security</b></p> <p>The SSI must be constructed and operated with the objective of minimising light spillage to surrounding properties. All lighting associated with the construction and operation of the SSI must be consistent with the requirements of <i>AS/NZS 4282:2019 Control of the obtrusive effects of outdoor lighting</i> and relevant Australian Standards in the series <i>AS/NZ 1158 – Lighting for Roads and Public Spaces</i>. All construction and operational lighting must also be consistent with City of Sydney Council’s relevant design codes and standards for lighting, including <i>Sydney Lights: Public Domain Design Code</i>, in areas outside of the rail corridor. Additionally, the Proponent must provide mitigation measures to manage any residual night lighting impacts to protect properties adjoining or adjacent to the SSI, in consultation with affected landowners.</p>	<p>Aurecon was noted as the lighting consultant.</p> <p>Lighting in platforms is satisfactory, no complaints have been received. Complaint raised by residents near the Sydney Trains car park was rectified promptly. Further actions are being put in place to ensure minimum disruption to nearby residents due to lighting. Closure of this complaint is being followed up in ER inspection.</p> <p>New evidence: Sighted photo of the light in the site compound with screen to prevent light from getting to the nearby neighbours.</p>		Compliant
93.	D	D33	<p><b>Urban Design and Public Domain Plan</b></p> <p>The SSI must be designed to address the principles outlined in Better Placed by the NSW Government Architect and take into consideration relevant City of Sydney Council design codes and technical specifications.</p>	<p>TfNSW is currently conducting design reviews on the urban design and public domain plan. This will be submitted to CoS towards the end of March 2021.</p> <p>New evidence: Obtrusive light study was conducted – Modelling for Lt Eveleigh St &amp; off-street car park Final design yet to be completed, therefore not yet triggered at the time of audit.</p>		Not Triggered
94.	D	D34	<p>The Proponent must consult with the City of Sydney Council on the design of the shared zones identified in the documents listed in <b>Condition A1</b>, including the available roadside / footpath space on Little Eveleigh Street. The consultation must take place during</p>	<p>Sighted consultation summary UDPDP showing comments and how addressed – CoS, Heritage NSW, MLALC, Community.</p>		Not Triggered

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			the preparation of the <b>Urban Design and Public Domain Plan</b> required by <b>Condition D35</b> .	Only some recent correspondence with CoS 15/08/21, 19/08/21 with further comments – work in progress.		
95.	D	D35	An updated <b>Urban Design and Public Domain Plan (UDPDP)</b> must be prepared to inform the final design of the SSI and to give effect to the commitments made in the documents listed in <b>Condition A1</b> . The Plan does not apply to work, which for technical, engineering, or ecological requirements, or other requirements as agreed by the Planning Secretary, do not allow for alternate design outcomes.	Final design was yet to be completed at the time of the audit.		Not Triggered
96.	D	D36	The updated <b>UDPDP</b> must be prepared by a suitably qualified and experienced person(s) in consultation with City of Sydney Council, Heritage NSW, MLALC, Aboriginal stakeholders, the community and affected landowners and businesses. The updated <b>UDPDP</b> must meet the reasonable requirements of these stakeholders. The updated <b>UDPDP</b> must include, but not necessarily be limited to: <ul style="list-style-type: none"> <li>a) an analysis of the built, heritage, natural and community context and values and articulate the urban design objectives, principles and standards for the SSI;</li> <li>b) the design of the SSI elements including their form, materials and detail, with a focus on high quality concourse and station entrance design that integrates with the existing context and the safe functioning of shared zones;</li> <li>c) documentation of the design development and review process, including opportunities explored for increasing transparency of the concourse and reducing its bulk and scale;</li> <li>d) the design of all shared zones, including consideration of relevant City of Sydney Council design guidelines and standards;</li> </ul>	Final design was yet to be completed at the time of the audit.  There is a compliance table on how these requirements are addressed. The comments from DPIE are about some of these.		Not Triggered

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			<ul style="list-style-type: none"> <li>e) the location of existing heritage items and measures for ensuring appropriate separation between heritage fabric and new elements;</li> <li>f) identification of opportunities for heritage interpretation during design and construction consistent with the <b>Heritage Interpretation Plan</b> required by <b>Condition D7</b>;</li> <li>g) visual screening elements to provide visual separation and privacy for residents;</li> <li>h) the design of the buffer between property boundary lines and the shared zone on Little Eveleigh Street;</li> <li>i) demonstrated integration of Crime Prevention Through Environmental Design principles into the detailed design process, including on Little Eveleigh Street;</li> <li>j) design and landscaping elements demonstrating that the visual outcomes of the streetscapes are in keeping with local residents' reasonable requirements and preserve the visual, heritage and Aboriginal cultural identity of the local area including the character, setting and fabric of heritage elements and landscapes;</li> <li>k) development and delivery of public artwork opportunities using local artists;</li> <li>l) developed visuals, cross sections, elevations and plans showing the proposed design outcome; and</li> <li>m) details of the proposed vegetation planting on Little Eveleigh Street, Marian Street, the new station entrances, and Gibbons Street Reserve demonstrating the contribution of landscaping to habitat and biodiversity enhancements.</li> </ul>			
97.	D	D37	<p>The updated <b>UDPDP</b> must be reviewed by TfNSW's Design Review Panel that has been established for the project. The Proponent must respond to the outcomes of the Design Review Panel's review and submit the <b>UDPDP</b> to the Planning Secretary for approval no later than one (1) month before the construction of permanent works that are the subject of the <b>UDPDP</b>.</p>	<p>Final design was yet to be completed at the time of the audit.</p> <p>The updated UDPDP has included feedback from the TfNSW Design Review Panel presentation.</p> <p>Sighted submission to DPIE Via the Planning Portal on 26/07/21 submission of the UDPDP.</p>		Not Triggered

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			Advice and recommendations made by the TfNSW Design Review Panel must be provided to the Planning Secretary when submitting the updated <b>UDPDP</b> to the Planning Secretary for approval.	Sighted email dated 05/08/2021 "Urban Design and Public Domain Plan SSI-10041-PA-65 – Request for Additional Information" from DPIE to TfNSW – documents with comments attached, response requested by 31/08/2021.  Sighted email dated 30/08/2021 "Urban Design and Public Domain Plan SSI-10041-PA-65 – Due Date Change Request Decision" from DPIE to TfNSW with Extension granted until 30/09/2021.		
98.	D	D38	Construction of permanent built works or landscaping that is the subject of the updated <b>UDPDP</b> must not be commenced (in the area to which the updated <b>UDPDP</b> applies) until the updated <b>UDPDP</b> has been approved by the Planning Secretary, after considering advice received from TfNSW's Design Review Panel.	Final design was yet to be completed at the time of the audit.		Not Triggered
99.	D	D39	The updated <b>UDPDP</b> , as approved by the Planning Secretary, must be implemented during construction and operation.	Final design was yet to be completed at the time of the audit.		Not Triggered
100.	D	D40	<b>Operational Maintenance</b> The ongoing maintenance and operation costs of urban design, open space and landscaping items and work implemented as part of this approval remain the Proponent's responsibility until the asset(s) have been transferred to the relevant authority(ies). Before the transfer of assets, the Proponent must maintain items and work to at least the design standards established in the UDPDP.	This condition will be relevant at a later stage.		Not Triggered
101.	D	D41	<b>Tree Removal and Replacement Plantings</b> The SSI must be designed to retain as many existing trees as possible. Replacement trees and mid to understory plantings must be undertaken in consultation with the City of Sydney Council and deliver a net increase in trees and tree canopy and	Has a Vegetation Removal Register (as part of the RSU Environmental and Sustainability Management Register spreadsheet).  The majority of trees removed were approved under the EIS and Consistency Assessment. The vegetation removal register includes the permit or approval under which trees were removed.		Compliant

Audit Descriptors Compliance Codes: Compliant; Non-Compliant; Not triggered

ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
			<p>aim to enhance the City of Sydney Council's position in respect of the Sydney Green Grid. Replacement trees must:</p> <ul style="list-style-type: none"> <li>a) be on public land and within 500 metres of the SSI construction boundary or as otherwise agreed by the Planning Secretary;</li> <li>b) have a pot size consistent with the City of Sydney Council's plans / programs / strategies for street planting or open space landscaping or as agreed by the City of Sydney Council;</li> <li>c) be planted prior to the operation of the project, unless an alternate timeframe is approved by the Planning Secretary.</li> </ul>	<p>Overall, the process of review and approval prior to tree clearing reduced the numbers of trees to be removed.</p> <p>New evidence:</p> <p>There have been a number of revisions to the Vegetation Removal Register spreadsheet.</p> <p>Sighted Site Plants Tree Report – Figure 9: Location Plan, by Aurecon. The Vegetation map shows trees approved under TfNSW Tree Removal Permit (blue) other trees (yellow).</p>		
102.	D	D42	<p><b>Gibbons Street Reserve</b></p> <p>The Gibbons Street Reserve must be reinstated to its pre-existing condition (as a minimum) prior to operation of the SSI or by such other time as may be approved by the Planning Secretary. Restoration works must be undertaken in consultation with the City of Sydney Council and improve local biodiversity by using local species for plantings.</p>	<p>Reinstating works will take place at the end of construction works.</p>		Not Triggered
103.	D	D43	<p><b>SOCIO-ECONOMIC, LAND USE AND PROPERTY</b></p> <p>The Proponent must identify the utilities and services (hereafter "services") potentially affected by Work to determine requirements for diversion, protection and/or support. Alterations to services must be determined by negotiation between the Proponent and the service providers. The Proponent, in consultation with service providers, must ensure that disruption to services resulting from the Work is avoided where possible and where unavoidable customers are advised in accordance with the <b>Communication Strategy</b> required under <b>Condition B1</b>.</p>	<p>To date the construction has not impacted external services. Identified in the Design Package and interface with designers.</p> <p>Only disruption to train services.</p> <p>Design plans against drainage – no impact so far.</p>		Compliant
104.	D	D44	<p><b>Condition Surveys</b></p>	<p>Pre-construction condition surveys were conducted. 58 Properties took up the pre-condition surveys.</p>		Compliant

Audit Descriptors Compliance Codes: Compliant; Non-Compliant; Not triggered

ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
			The Proponent must offer pre-construction surveys to the owners of surface and sub-surface structures and other relevant assets identified at risk from vibration. Where the offer is accepted, the survey must be undertaken by a suitably qualified and experienced engineer and/or building surveyor prior to the commencement of vibration-generating works that could impact on the structure/asset. The results of each survey must be documented in a <b>Pre-construction Condition Survey Report</b> and the report must be provided to the owner of the item(s) surveyed no later than five (5) business days before enabling works and no later than one (1) month before the commencement of all other potentially impacting works.	Sighted Land Surveys Report dated 4/12/2020 with photographic evidence of the condition of the property e.g. 135 Little Eveleigh St. LS-003-236.  Structural surveys for 2 additional properties – note.		
105.	D	D45	Where pre-construction surveys have been undertaken in accordance with <b>Condition D44</b> , subsequent post-construction surveys of the structure / asset must be undertaken by a suitably qualified and experienced engineer and/or building surveyor to assess damage that may have resulted from the vibration-generating works. The results of the post-construction surveys must be documented in a <b>Post-Construction Condition Survey Report</b> for each item surveyed. The <b>Post-construction Condition Survey Reports</b> must be provided to the owner of the structures/assets surveyed, and no later than three (3) months following the completion of construction activities that have the potential to impact on the structure / asset.	Not yet triggered.		Not Triggered
106.	D	D46	Where damage has been determined to occur as a result of the SSI, the Proponent must carry out rectification at its expense and to the reasonable requirements of the owner of the structure/asset within nine (9) months of the completion of construction unless another timeframe is agreed with the owner. Alternatively, the Proponent may pay compensation for the damage as agreed with the owner.	Not yet triggered.		Not Triggered

Audit Descriptors Compliance Codes: Compliant; Non-Compliant; Not triggered

ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
107.	D	D47	<p><b>SOILS</b></p> <p>All reasonably practicable erosion and sediment controls must be installed and appropriately maintained to prevent water pollution. Erosion and sediment controls must be implemented in accordance with any relevant guidance in the <i>Managing Urban Stormwater</i> series.</p>	<p>Erosion and sedimentation mitigation measures are defined in ERSED Plans and ECM.</p> <p>Erosion and sediment controls installed – as seen during virtual site inspection – see photos <b>Appendix E</b>.</p> <p>Erosion and sediment controls are reviewed as part of ER inspections.</p>		Compliant
108.	D	D48	<p><b>Contaminated Sites</b></p> <p>Prior to the commencement of any works that would result in the disturbance of potential or contaminated soils, materials, groundwater or sediments, a <b>Contaminated Sites Investigation Report</b> must be prepared, or reviewed and approved, by consultants certified under either the Environment Institute of Australia and New Zealand’s Certified Environmental Practitioner (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme. The site investigations must be undertaken in accordance with guidelines made or approved under section 105 of the <i>Contaminated Land Management Act 1997</i>.</p> <p><i>Note: Where Stage 1 and Stage 2 contamination assessments have already been undertaken for contaminated soils, materials, groundwater or sediments they do not need to be undertaken again for the purposes of this condition.</i></p>	<p>The process is included in the SWMP.</p> <p>The Phase 1 Site Assessment did not identify significant contamination.</p> <p>No Stage 2.</p>		Not Triggered
109.	D	D49	<p>The <b>Contaminated Sites Investigation</b> Report must document the outcomes of the detailed site investigation and any site-specific risk assessments of land upon which the CSSI is to be carried out, that is suspected, or known, to be contaminated. The report must identify the nature and extent of the contamination and any existing remediation (such as impervious surface capping, liners or barriers). The Contamination Site Investigation Report must detail, where relevant, whether the land is suitable (for the intended final land</p>	<p>Not required to this date.</p>		Not Triggered

Audit Descriptors Compliance Codes: Compliant; Non-Compliant; Not triggered

ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
			use) or can be made suitable through remediation and outline the potential contamination risks from the CSSI to human health and the environment.			
110.	D	D50	<p>Should remediation be required to make land suitable for the final intended land use, a <b>Remediation Action Plan</b> must be prepared. Prior to commencing with the remediation, the Proponent must submit to the Planning Secretary for information, the <b>Remediation Action Plan</b> and an <b>Interim Audit Advice</b> or a <b>Section B Site Audit Statement</b> from a NSW EPA accredited Site Auditor that certifies that the <b>Remediation Action Plan</b> is appropriate and that the site can be made suitable for the proposed use.</p> <p>The <b>Remediation Action Plan</b> must be implemented and any changes to the Remediation Action Plan must be approved in writing by the EPA-accredited Site Auditor.</p> <p><i>Note: It is strongly recommended that a site auditor is engaged as early in the assessment and remediation process as possible, as early communication between parties improves the efficiency of the audit.</i></p>	Not required to this date.		Not Triggered
111.	D	D51	<p>A <b>Section A Site Audit Statement</b> and its accompanying <b>Section A Site Audit Report</b>, which state that the contaminated land disturbed by the works has been made suitable for the intended land use, must be submitted to the Planning Secretary and City of Sydney Council after remediation and no later than one (1) month before the commencement of operation. Contaminated land must not be used for the purpose approved under the terms of this approval until a Section A Site Audit Statement is obtained which states that the land is suitable for that purpose and any conditions on the Section A Site Audit Statement have been complied with.</p>	Not required to this date.		Not Triggered

Audit Descriptors Compliance Codes: Compliant; Non-Compliant; Not triggered

ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
112.	D	D52	An <b>Unexpected Contaminated Land and Asbestos Finds Procedure</b> must be prepared before the commencement of Work and must be followed should unexpected contaminated land or asbestos (or suspected contaminated land or asbestos) be excavated or otherwise discovered during Work. A copy of the procedure must be provided to the Planning Secretary for information prior to the commencement of Work.	<p>Sighted Unexpected Contaminated Land and Asbestos Finds Procedure Ref TAP04-PLN-EN-0015 issued 11 Dec 2020.</p> <p>The Unexpected Contaminated Land and Asbestos Finds Procedure dated 11/12/2020 was submitted to DPIE on 17/12/2020.</p> <p>Sighted email dated 18/12/20 from DPIE acknowledging receipt of the Unexpected Contaminated Land and Asbestos Finds.</p>		Compliant
113.	D	D53	The <b>Unexpected Contaminated Land and Asbestos Finds Procedure</b> must be implemented throughout the duration of Work.	<p>Unexpected finds – platforms 2-3 on 19/05/21.</p> <p>Sighted Asbestos Remediation Clearance Certificate – MW47 Platform 2/3 Work Area by K. Purkiss of Aurecon Australasia (Licence Asbestos Assessor No. LAA001061).</p> <p>Presented Site map plan with hoarded work areas, remediation work area, asbestos pipes removed and air sample locations. Details were lodged in the INX system.</p>		Compliant
114.	D	D54	<p><b>STORMWATER DRAINAGE</b></p> <p>All new or modified drainage systems associated with the SSI must be designed to:</p> <ul style="list-style-type: none"> <li>a) meet the capacity constraints of the City of Sydney Council's stormwater drainage system to receive and convey the proposed flows from the SSI, or otherwise upgrade council's drainage system at the Proponent's expense, in consultation with the City of Sydney Council; and</li> <li>b) not worsen localised flooding, including along Little Eveleigh Street.</li> </ul>	<p>Part of the design process.</p> <p>Documented in the Design Report Package 3.</p>		Compliant
115.	D	D55	<p><b>SUSTAINABILITY</b></p> <p>The SSI must achieve a minimum excellent 'Design' and 'As built' rating level under the Infrastructure Sustainability Council</p>	<p>This is work in progress. ISCA rating is not yet measurable.</p> <p>New evidence:</p>		Not Triggered

Audit Descriptors Compliance Codes: Compliant; Non-Compliant; Not triggered

ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
			of Australia infrastructure rating tool, unless otherwise approved by the planning secretary	40 Credit summary forms.  Sighted "Infrastructure Sustainability Rating – Round 1 Design verification feedback" email from E. Doyle with a summary that outlines what has been done for round 1 – submitted on 7/07/21, received comments 1/09/21.  Round 2 will be submitted within the next 6 weeks.		
116.	D	D56	<b>TRAFFIC AND ACCESS</b>  The Proponent must consult with the City of Sydney Council on the use of any local roads for hauling spoil and fill that have not been identified for haulage in the documents listed in <b>Condition A1</b> . Use of any additional local roads for haulage must not be undertaken until the Proponent has consulted with the Council.	There were haulage roads proposed in the EIS  The EIS was reviewed and refined and submitted to TfNSW and consultation with CoS dated 27/11/2020.  CoS noted TMP has been submitted and reviewed by CoS on 22/12/2020. Final TMP was submitted to CoS on 23/12/2020.		Compliant
117.	D	D57	When consulting with the City of Sydney Council on the use of other local roads for haulage, as required by Condition D56, the Proponent must provide the Council with:  a) a swept path analysis of the local roads; b) information to demonstrate that the use of local roads will not compromise the safety of the public and have no more than minimal amenity impacts; c) details as to the date of completion of the road dilapidation surveys for the subject local roads; and d) details on the measures that will be implemented to avoid where practicable the use of local roads past schools, aged care facilities and childcare facilities during peak times for operation.	The following records were sighted as evidence for this condition:  <ul style="list-style-type: none"> <li>• Heavy Vehicle Haulage Route dated 4/02/2020 response from CoS not within their LGA.</li> <li>• TfNSW submitted to Inner West Council (Ken Welsh) 16/02/2021- regarding the access point in Newtown</li> <li>• Road Corridor Carriage works Way, Eveleigh NSW 2015.</li> </ul>		Compliant
118.	D	D58	Before any local road is used by a heavy vehicle for the purposes of construction of the SI, a <b>Road Dilapidation Report</b> must be prepared for the road. A copy of the <b>Road Dilapidation Report</b> must be provided to the City of Sydney Council within three weeks of completion of the survey and at	Dilapidation Reports were prepared for Carriage Way, Eveleigh, dated 17/12/2020, LS-003-244.  Record sighted was email dated 16/12/20 from TfNSW to CoS with submission of Road Dilapidation reports for the project.		Compliant

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ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
			least five (5) business days before the road is used by heavy vehicles associated with the construction of the SSI.	Similar email dated 17/02/21 for Inner West Council with road dilapidation reports for relevant roads was also presented as evidence.		
119.	D	D59	<p>If damage to roads occurs as a result of the construction of the SSI, the Proponent must either (at the relevant road authority's discretion):</p> <ul style="list-style-type: none"> <li>a) compensate the relevant road authority for the damage so caused; or</li> <li>b) rectify the damage to restore the road to at least the condition it was in pre-construction</li> </ul> <p>within three (3) months of the subject road no longer being used for the construction of the SSI, unless an alternative timeframe is agreed to by the relevant road authority.</p>	Roads are still being used.		Not Triggered
120.	D	D60	During construction, all reasonably practicable measures must be implemented to maintain pedestrian and vehicular access to, and parking in the vicinity of, residences, businesses and other affected properties. Disruptions must be avoided, and where avoidance is not possible, minimised. Where disruption cannot be minimised, alternative pedestrian and vehicular access must be developed in consultation with affected residents, businesses and other affected property owners and implemented before the disruption. Adequate signage and directions to businesses must be provided before, and for the duration of, any disruption.	<p>Evidence sighted was the Pedestrian-Cyclist Plan Marian Street, Redfern TCP21-0-070.</p> <p>Currently, Novo Rail is coordinating with Council for the widening of a footpath where hoardings will be put up, to mitigate impact to pedestrians and cyclists.</p> <p>Hoardings installed on Little Eveleigh St. (Big Issue building) and some cyclist diversions at the corner with Wilson Street – as seen during site inspection. Doing what is possible to maintain access.</p>		Compliant
121.	D	D61	The SSI (including new or modified local roads, parking, pedestrian and cycle infrastructure) must be designed to meet relevant design, engineering and safety guidelines, including the <i>Austrroads Guide to Traffic Management, Guide to Road Design Part 6A: Paths for Walking and Cycling</i> (Austrroads, 2017) and relevant Australian Standards for off-street parking, and take into consideration relevant City of Sydney Council design codes and technical specifications.	<p>This is part of roads Package 3, not yet completed.</p> <p>There have been some changes in Lt Eveleigh Street design – in consultation with CoS – 20 + 2 disabled spaces in the off-street car park – Note.</p>		Not Triggered

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ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
122.	D	D62	<p>Safe pedestrian and cyclist access must be maintained around work sites during construction. In circumstances where pedestrian and cyclist access is restricted or removed due to construction activities, an alternate route must be provided and signposted.</p> <p><i>Note: The City of Sydney Council is the relevant road authority under the Roads Act 1993 for local roads in the vicinity of the SSI. If a consent(s) under section 138 of the Roads Act 1993 is required for the SSI, Section 5.24(1)(f) of the EP&amp;A Act requires that any such consent be substantially consistent with this approval.</i></p>	<p>Evidence sighted was the Pedestrian-Cyclist Plan Marian Street, Redfern TCP21-0-070.</p> <p>Safe pedestrian and cyclist access was maintained around work sites at the time of audit.</p>		Compliant
123.	D	D63	<p>The Proponent must investigate, in consultation with the City of Sydney Council, the feasibility of providing access to the Little Eveleigh Street car park via Wilson Street, Redfern (near the intersection of Ivy Street). If the investigation indicates that it is feasible to access the car park via Wilson Street, then this access must be considered in the detailed design of the SSI. A report on the investigation must be submitted to the Planning Secretary for information within six (6) months of commencing construction.</p> <p><i>Note: Changes to the approved access arrangements may need to be further assessed under the EP&amp;A Act.</i></p>	<p>Not Triggered. Note the investigation report to be submitted to DPIE 6 months from commencement of construction.</p> <p>Has put an extension of time request to DPIE – sighted “Post Approval Document Received – (SSI-10041-PA-55)” email from DPIE to TfNSW on 24/06/21, confirming Extension of time Request COA 63 was received</p> <p>Received response on 6/07/2021 – with approval to submit by 01/09/2021.</p> <p>Sighted Planning Portal submission email from DPIE to TfNSW on 01/09/2021.</p> <p>Sighted email dated 13/09/2021 from DPIE to TfNSW with comments on the LES Access Option Report (submitted to DPIE on 320/08/2021). Letter attached notes that access through Little Eveleigh St will be maintained.</p>		Compliant
124.	D	D64	<p><b>WASTE</b></p> <p>Waste generated during construction and operation must be dealt with in accordance with the following priorities:</p> <ol style="list-style-type: none"> <li>Waste generation must be avoided and where avoidance is not reasonably practicable, waste generation must be reduced;</li> </ol>	<p>Appendix K1 – RSU Environmental and Sustainability Management Register.</p> <p>Waste Management Register was in place and up to date with waste dockets and receipts data.</p>		Compliant

**Audit Descriptors Compliance Codes:** Compliant; Non-Compliant; Not triggered

ID No.	SSI Part	Req. No.	Requirement SSI 10041, 10 December 2020	Audit Evidence	Audit Findings/ Recommendations	Compliance Rating
			<p>b) Where avoiding or reducing waste is not possible, waste must be re-used, recycled, or recovered; and</p> <p>c) Where re-using, recycling or recovering waste is not possible, waste must be treated or disposed of.</p>			
125.	D	D65	The importation of waste and the storage, treatment, processing, reprocessing or disposal of any waste must be done in accordance with a Resource Recovery Exemption or Order issued under the <i>Protection of the Environment Operations (Waste) Regulation 2014</i> , as the case may be.	<p>The importation of waste and the storage, treatment, processing, reprocessing or disposal of any waste was done in accordance with a Resource Recovery Exemption.</p> <p>Reviewed options for importing soil from Rozelle Interchange Tunnel.</p> <p>Presented Table with Details of Proposed Receival Site by Novo Rail Alliance – for bulk earthworks/filling LES offset car park, with VENM/ENM classified materials from 15/07/21 to 21/07/21.</p>		Compliant
126.	D	D66	Waste must only be exported to a site licensed by the EPA for the storage, treatment, processing, reprocessing or disposal of the subject waste, or in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, or to any other place that can lawfully accept such waste.	<p>Appendix K1 – RSU Environmental and Sustainability Management Register</p> <p>Waste Management Tracker included the facility license details.</p>		Compliant
127.	D	D67	All waste generated during construction must be classified in accordance with the EPA's Waste Classification Guidelines, with appropriate records and disposal dockets retained for audit purposes.	<p>All waste generated to date was classified in accordance with the EPA's Waste Classification Guidelines, with appropriate records and disposal dockets retained for audit purposes.</p> <p>Refer to the Appendix K1 – RSU Environmental and Sustainability Management Register</p> <p>Waste Management Tracker in place.</p>		Compliant
128.	D	D68	<p>The Proponent must develop and implement a waste tracking register that details:</p> <p>a) The quantity of each type of waste generated, its classification source location (recorded using latitude and longitude coordinates);</p>	<p>Refer to the Appendix K1 – RSU Environmental and Sustainability Management Register</p> <p>Waste Management Tracker included the quantity of each type of waste, destination location, waste classification, license of the facility and type waste they are accepting.</p>		Compliant

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			<ul style="list-style-type: none"> <li>b) The destination location(s) for all wastes generated during construction;</li> <li>c) The quantities of any waste types imported onto the SSI site, including their classification and emplacement location (recorded using latitude and longitude coordinates)</li> <li>d) The quantities and types of waste that are subject to a Resource Recovery Order and/or Exemption; and</li> <li>e) Disposal records demonstrating that receiving facilities have lawfully accepted the waste type</li> </ul> <p>The waste tracking register must be made available to the Planning Secretary and EPA on request.</p>	<p>The Waste Management Tracker was up to date and available to the Planning Secretary and EPA upon request.</p>		

## Appendix E. Audit Photos



**Photo 1** – Construction of Station Services Equipment Room (SSER) building on Marian Street Compound.



**Photo 2** – Brickwork step to be removed as part Station Services Equipment Room (SSER) works on Marian Street compound.



**Photo 3** – Heritage retaining wall found during works on Little Eveleigh Street Car park.



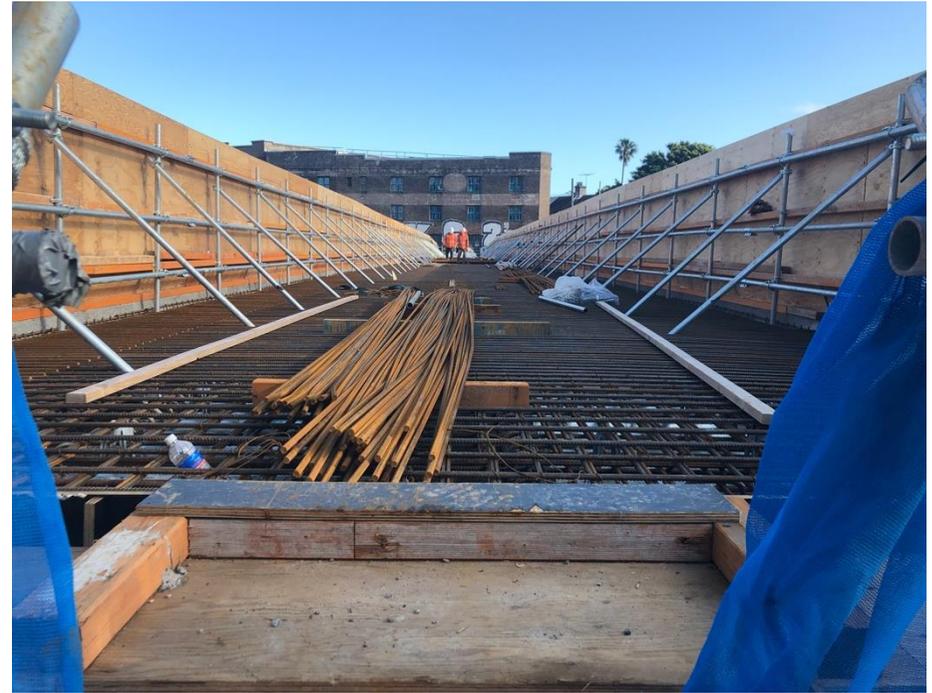
**Photo 4** – Project signage on Gibbons Street Reserve near Marian Street.



**Photo 5** – Project Cards with contact information to be provided by project staff to members of the public making enquiries about the project.



**Photo 6** – Erosion and Sediment controls on Marian St. compound.



**Photo 7** – Works on canopy after bridge beams were installed.



**Photo 8** – Works in progress for relocation of heritage building on Platform 1.



**Photo 9** – Works in progress for relocation of heritage building on Platform 1.



**Photo 10** – Noise controls installed around noisy plant – Lt. Eveleigh Street car park compound.



**Photo 11** – Erosion and Sediment controls on Lt. Eveleigh Street car park compound – stockpiles covered.



**Photo 12** – Recycling bins available for waste recycling on Lt. Eveleigh Street car park compound.



**Photo 13** – Spill kits available onsite.



**Photo 14** – works progressing on Lt. Eveleigh Street car park.



**Photo 15** – Water cart available for dust suppression.



**Photo 16** – Barrier to avoid light spillage on nearby residents.

## Appendix F. Consultation Records

### F1. Department of Planning, Industry and Environment

Michelle Larkin <[michelle.larkin@dpie.nsw.gov.au](mailto:michelle.larkin@dpie.nsw.gov.au)>  
Wednesday, 8 September 2021 1:15 PM  
Garzon, Luis <[luis.garzon@aquas.com.au](mailto:luis.garzon@aquas.com.au)>  
Subject: Redfern Station Upgrade SSI 10041 - Independent Environmental Audit

Good afternoon Luis,

Thank you for consulting with the Department of Planning, Industry and Environment on the scope of the audit. We will ensure the audit is conducted in accordance with Condition A33 of Development Consent SSI 10041, which requires the audit to be carried out in accordance with the document *Independent Audit, Post Approval Requirements (DPIE 2020)*.

If you have any questions, please do not hesitate to contact me on the details provided below.

Best

Michelle Larkin  
Compliance Officer Compliance – Government Projects

Michelle Larkin  
Compliance Officer Compliance | Planning & Assessment | Department of Planning, Industry and Environment  
995 6799 | M 0424 197 922 | E [michelle.larkin@dpie.nsw.gov.au](mailto:michelle.larkin@dpie.nsw.gov.au)  
Level 11 Bag 5022 | PARRAMATTA NSW 2124  
[dpie.nsw.gov.au](http://dpie.nsw.gov.au)



Planning,  
Industry &  
Environment

The Department of Planning, Industry and Environment acknowledges that it stands on Aboriginal land. We acknowledge the traditional custodians of the land and we show our respect for elders past, present and emerging through thoughtful and innovative approaches to our work, seeking to demonstrate our ongoing commitment to providing places in which Aboriginal people are included socially, culturally and economically.

Please note that I work flexibly. I'm sending this message now because it's a good time for me, but I don't expect that you will respond to or action it outside of your own regular hours.

When submitting a compliance document or request as required under the conditions of consent or approval, please note the Department is no longer accepting lodgement via [compliance@planning.nsw.gov.au](mailto:compliance@planning.nsw.gov.au).

The Department has recently upgraded the Major Projects Website to improve the timeliness and transparency of its post-approval and compliance functions. As part of this upgrade, proponents are now requested to submit all post-approval or compliance documents online, via the Major Projects Website. To do this, please refer to the instructions available [here](#).

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Garzon, Luis <[luis.garzon@aquas.com.au](mailto:luis.garzon@aquas.com.au)>  
Thursday, 2 September 2021 7:19 PM  
Michelle Larkin <[michelle.larkin@dpie.nsw.gov.au](mailto:michelle.larkin@dpie.nsw.gov.au)>  
Tara Wilcoxon <[Tara.Wilcoxon@transport.nsw.gov.au](mailto:Tara.Wilcoxon@transport.nsw.gov.au)>; Hannah Barker  
<[h.Barker@transport.nsw.gov.au](mailto:h.Barker@transport.nsw.gov.au)>; Tungol, Annabelle <[Annabelle.Tungol@aquas.com.au](mailto:Annabelle.Tungol@aquas.com.au)>  
Subject: Redfern Station Upgrade - Independent Environmental Audit

Hi Michelle,

I am writing to advise that AQUAS will be conducting the second independent environmental audit for the Redfern Station Upgrade – New Southern Concourse Project, as a requirement of the Infrastructure Development Consent SSI 10041. The audit will be conducted remotely on 14<sup>th</sup> - 16<sup>th</sup> September 2021 and will include the review of progress of the works onsite and compliance against SSI 10041 Schedule 2, Part D.

requirements 2020 Section 3.2, I kindly ask if you have feedback or if there are any particular areas where you would like us to focus during the environmental review at this stage of the project.

Regards,

**Garzon** | Senior Consultant | AQUAS |  
Level 7, 116 Miller Street, North Sydney NSW 2060 Australia | Cammeragal Country  
Tel 2 9963 9908 | M +61 403 461 040 | E [luis.garzon@aquas.com.au](mailto:luis.garzon@aquas.com.au) | [www.aquas.com.au](http://www.aquas.com.au) |

**IA S: enables compliance ~ verifies compliance**

AQUAS acknowledges Aboriginal and Torres Strait Islander Peoples as the Traditional Custodians of the Land, Rivers and Sea. We acknowledge and pay our respects to the Elders past, present and emerging of all Nations.

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## F2. Environmental Representative (ER)

**From:** [mcwenvironmental@bigpond.com](mailto:mcwenvironmental@bigpond.com) <[mcwenvironmental@bigpond.com](mailto:mcwenvironmental@bigpond.com)>  
**Date:** Monday, 13 September 2021 8:38 PM  
**To:** Garzon, Luis <[luis.garzon@aquas.com.au](mailto:luis.garzon@aquas.com.au)>  
'Tara Wilcoxon' <[Tara.Wilcoxon@transport.nsw.gov.au](mailto:Tara.Wilcoxon@transport.nsw.gov.au)>; 'Hannah Barker' <[hannah.Barker@transport.nsw.gov.au](mailto:hannah.Barker@transport.nsw.gov.au)>; Tungol, Annabelle <[Annabelle.Tungol@aquas.com.au](mailto:Annabelle.Tungol@aquas.com.au)>  
**Subject:** RE: Redfern Station Upgrade - Independent Environmental Audit

Luis

Thank you for your email in regards to the second IEA at the Redfern Station Upgrade Project. I will be in attendance at many of the audit sessions and will be able to provide specific feedback during the sessions.

In regards to particular areas to focus on, heritage management remains an area that requires continued attention from the Alliance partners.

With Regards

Michael Woolley

McW Environmental  
Phone 0409492197

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**From:** Garzon, Luis <[luis.garzon@aquas.com.au](mailto:luis.garzon@aquas.com.au)>  
**Date:** Thursday, 2 September 2021 7:20 PM  
**To:** Woolley, Michael <[mcwenvironmental@bigpond.com](mailto:mcwenvironmental@bigpond.com)>  
'Tara Wilcoxon' <[Tara.Wilcoxon@transport.nsw.gov.au](mailto:Tara.Wilcoxon@transport.nsw.gov.au)>; 'Hannah Barker' <[hannah.Barker@transport.nsw.gov.au](mailto:hannah.Barker@transport.nsw.gov.au)>; Tungol, Annabelle <[Annabelle.Tungol@aquas.com.au](mailto:Annabelle.Tungol@aquas.com.au)>  
**Subject:** Redfern Station Upgrade - Independent Environmental Audit

Michael,

I hope you are keeping well.

As you may be aware, AQUAS will be conducting the second independent environmental audit of the Redfern Station Upgrade – New Southern Concourse Project, as a requirement of the Infrastructure Provision SSI 10041. The audit will be conducted remotely on 14<sup>th</sup> - 16<sup>th</sup> September 2021 and will include review of progress of the works onsite and compliance against SSI 10041 Schedule 2, Parts A, B and D.

In order to meet the consultation requirements of the PAR Guidelines, I'm writing to ask if you have any feedback or if there are any particular areas where you would like us to focus during the environmental review at this stage of the project.

Regards,

**Garzon** | Senior Consultant | AQUAS |  
Level 7, 116 Miller Street, North Sydney NSW 2060 Australia | Cammeragal Country

### F3. Transport for NSW

**From:** Garzon, Luis  
**Sent:** Thursday, 2 September 2021 7:19 PM  
**To:** Justin Perrott <[justin.perrott@transport.nsw.gov.au](mailto:justin.perrott@transport.nsw.gov.au)>  
**Cc:** Tara Wilcoxon <[Tara.Wilcoxon@transport.nsw.gov.au](mailto:Tara.Wilcoxon@transport.nsw.gov.au)>; Hannah Barker <[Hannah.Barker@transport.nsw.gov.au](mailto:Hannah.Barker@transport.nsw.gov.au)>; Tungol, Annabelle <[Annabelle.Tungol@aquas.com.au](mailto:Annabelle.Tungol@aquas.com.au)>  
**Subject:** Redfern Station Upgrade - Independent Environmental Audit

Hi Justin,

I am writing to advise that AQUAS will be conducting the second independent environmental audit of the Redfern Station Upgrade – New Southern Concourse Project, as a requirement of the Infrastructure Approval SSI 10041. The audit will be conducted remotely on 14<sup>th</sup> - 16<sup>th</sup> September 2021 and will include review of progress of the works onsite and compliance against SSI 10041 Schedule 2, Parts A, B, C and D.

In line with the consultation requirements of the DPIE guideline Independent Audit Post Approval Requirements 2020 Section 3.2, I kindly ask if you have feedback or if there are any particular areas where you would like us to focus during the environmental review at this stage of the project.

Regards,

**Luis Garzon** | Senior Consultant | AQUAS |  
A Level 7, 116 Miller Street, North Sydney NSW 2060 Australia | Cammeragal Country  
T +61 2 9963 9908 | M +61 403 461 040 | E [luis.garzon@aquas.com.au](mailto:luis.garzon@aquas.com.au) | [www.aquas.com.au](http://www.aquas.com.au) |

**AQUAS: enables compliance ~ verifies compliance**

AQUAS acknowledges Aboriginal and Torres Strait Islander Peoples as the Traditional Custodians of the Land, Rivers and Sea. We acknowledge and pay our respects to the Elders past, present and emerging of all Nations.

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Note: No feedback was received from Transport for NSW in response to the above email