

# Sydney Metro West

## Eastern Tunnelling Works Incentivised Target Cost Contract

Contract No: 00013/13102

Sydney Metro

ABN 12 354 063 515

and

John Holland Pty Ltd

ABN 11 004 282 268

and

CPB Contractors Pty Ltd

ABN 98 000 893 667

and

Ghella Pty Ltd

ABN 85 142 392 461

## CONTENTS

CLAUSE	PAGE
1. DEFINITIONS AND INTERPRETATION .....	1
1.1 Definitions .....	1
1.2 Interpretation.....	71
1.3 Contra proferentem .....	77
1.4 Ambiguous terms.....	77
1.5 Order of precedence.....	78
1.6 Severability.....	79
1.7 Authorities .....	79
1.8 Electronic files .....	80
1.9 Sydney Metro West Delivery Strategy.....	80
2. CONDITIONS PRECEDENT .....	80
2.1 Commencement of obligations.....	80
2.2 Satisfaction of Conditions Precedent.....	80
2.3 Waiver of Conditions Precedent .....	81
2.4 Condition Precedent Deadline Date .....	81
3. OBJECTIVES AND PROJECT VALUES .....	81
3.1 Objectives for Sydney Metro West.....	81
3.2 Objectives for the Project Works, the Temporary Works and the Tunnelling Contractor's Activities.....	82
3.3 Achievement of the Project Values .....	83
4. GENERAL OBLIGATIONS .....	83
4.1 General .....	83
4.2 Acceptance of risk.....	84
4.3 Environmental requirements .....	85
4.4 Utility Services .....	87
4.5 Control of traffic .....	90
4.6 Collusive arrangements .....	91
4.7 Community relations .....	91
4.8 Media events.....	92
4.9 Special events .....	92
4.10 Cooperation and coordination with Interface Contractors .....	93
4.11 No Claims arising out of Interface Work.....	96
4.12 Liability under the NGER Legislation .....	96
4.13 Provision of Emissions and Energy Data to the Principal.....	97
4.14 Reporting Emissions and Energy Data .....	97
4.15 Indemnity.....	99
4.16 Sustainability .....	99
4.17 Environmental Management System .....	99
4.18 Master Interface Deed .....	99
4.19 Collateral Warranty Deed Poll.....	100
4.20 Australian Jobs Act.....	100
4.21 Workforce development and industry participation .....	100
5. COST PLANNING AND CHANGES TO TARGET COST .....	101
5.1 Cost Planning .....	101
5.2 Cost Control.....	102
5.3 Adjustments.....	103
5.4 Claims for adjustments.....	103
5.5 Determination of adjustments to the Target Cost.....	103

5.6	Outturn Cost exceeds Target Cost.....	103
5.7	Outturn Cost is less than the Target Cost.....	104
<b>6. REIMBURSABLE WORK ..... 105</b>		
6.1	General requirements for Reimbursable Work.....	105
6.2	Subcontracting by the Tunnelling Contractor.....	105
6.3	Probity and competitiveness.....	105
6.4	Subcontract procurement process.....	106
6.5	Pre-approved Subcontracts and Subcontracts for Key Plant and Equipment .....	106
6.6	Nominated Subcontracts.....	107
6.7	Significant Subcontracts.....	108
6.8	Information and requirements for Subcontracts.....	109
6.9	Restrictions on dealings with Subcontractors.....	109
6.10	Subcontractor Warranties.....	110
6.11	Coordination of Subcontractors.....	111
6.12	Disputes with Subcontractors.....	111
6.13	Responsibility for Subcontractors.....	112
6.14	Subcontractor Insolvency.....	113
6.15	Self-performed Reimbursable Work.....	113
<b>7. SECURITY ..... 115</b>		
7.1	Unconditional undertakings.....	115
7.2	Requirements for unconditional undertakings.....	116
7.3	Recourse to unconditional undertakings.....	117
7.4	Release of unconditional undertakings.....	117
7.5	Replacement of unconditional undertakings where the issuer ceases to have the Required Rating.....	119
7.6	Replacement of expiring unconditional undertaking.....	119
7.7	No injunction.....	120
7.8	No interest.....	121
7.9	No trust.....	121
7.10	Parent Company Guarantees.....	121
<b>8. LAW AND APPROVALS ..... 121</b>		
8.1	Compliance with Law.....	121
8.2	Approvals.....	122
8.3	Change in Law.....	123
8.4	Change in Codes and Standards.....	125
8.5	Changes to Planning Approvals.....	125
8.6	Legal challenge.....	127
8.7	Environment Protection Licence.....	127
8.8	Crown Building Work.....	127
8.9	Long service levy.....	128
8.10	Environmental Representative.....	128
8.11	Independent Acoustics Advisor.....	129
8.12	Modern Slavery.....	130
8.13	Rock breaking and noise generating works at Pymont Cross Passage 6 ..	132
<b>8A. ADMINISTRATIVE MODIFICATION ..... 133</b>		
8A.1	Application for the Administrative Modification.....	133
8A.2	Notice of determination in respect of the Administrative Modification.....	133
8A.3	Contesting the Administrative Modification.....	133
8A.4	Relief where the Administrative Modification is refused.....	134

<b>10. WORK HEALTH AND SAFETY</b> .....		139
10.1	Care of people, property and Environment .....	139
10.2	Work health and safety.....	140
10.3	Principal contractor .....	141
10.4	Project Health and Safety Risk Governance Plan .....	143
10.5	Sydney Metro Principal Contractor Health and Safety Standard.....	144
10.6	Rail safety .....	144
10.7	Independent advisers .....	146
<b>11. ENGINEERING AUTHORISATION AND AMB COMPLIANCE</b> .....		148
<b>12. PORTIONS</b> .....		149
12.1	Principal's Representative may direct Portions .....	149
12.2	Interpretation of Portions.....	149
12.3	Occupation and use of partially completed Project Works .....	150
12.4	Reduction in entitlement.....	151
<b>13. PROJECT PLANS</b> .....		152
13.1	General .....	152
13.2	Warranties in relation to Project Plans .....	152
13.3	Review of Project Plans.....	152
13.4	Update of Project Plans.....	153
13.5	Implementation and compliance .....	154
<b>14. LOCATION OF THE PROJECT WORKS</b> .....		155
14.1	Early access to the Construction Site.....	155
14.2	Access.....	156
14.3	Access to the harbour.....	159
14.4	Property Works.....	160
14.5	Control of Construction Site .....	162
14.6	Extra Land .....	163
14.7	Temporary Areas .....	165
14.8	Physical conditions.....	165
<b>14.10 Information Documents</b> .....		169
<b>14.12 Geotechnical Reports</b> .....		172
<b>14.13 Notice of Site Investigation Location Difference</b> .....		172
<b>14.14 Archaeological Clearance Works</b> .....		173
<b>14.15 Artefacts</b> .....		174
<b>14.16 Site induction</b> .....		176
<b>14.17 Hazardous Materials</b> .....		176
<b>14.18 Disposal of Hazardous Materials</b> .....		180
<b>14.19 Contamination</b> .....		181
<b>14.21 Contamination planning documentation</b> .....		186
<b>14.22 Preliminary Works</b> .....		186
<b>14.23 Contamination Classification and Excavation Map and Quantity Register</b> ..		187
<b>14.24 Detailed Site Investigations</b> .....		189
<b>14.25 Remediation Action Plans</b> .....		191
<b>14.26 Site Audit and validation requirements</b> .....		194

14.27	Disposal of Contamination and Waste.....	197
14.28	Remediation of Contaminated Future Development Sites .....	198
14.29	Interface with Third Parties .....	199
14.30	Adjoining Properties .....	201
14.31	Existing Operations .....	204
14.32	Adjustments to Construction Site boundaries following completion of substratum surveys .....	206
15.	QUALITY .....	207
15.1	Quality Management System .....	207
15.2	Independent Certifier .....	207
15.3	Proof Engineer.....	209
15.4	Quality management, verification and certification .....	210
15.5	Hold Points and Witness Points .....	211
15.6	Project quality non-conformance.....	211
15.7	Monitoring and audits by the Principal's Representative .....	212
15.8	Testing .....	212
15.9	No relief from obligations.....	213
15.10	C&SW Monitoring Regime .....	213
16.	DESIGN AND DESIGN DOCUMENTATION.....	213
16.1	Design obligations.....	213
16.2	Tunnelling Contractor's Tender Design.....	214
16.3	Design warranties .....	215
16.4	Preparation, submission and review of Design Documentation .....	217
16.5	Network Assurance Committee .....	217
16.6	Ownership of documentation .....	218
16.7	Delivery up of Design Documentation .....	221
16.8	Initial Tender Design .....	221
16.9	Design Life.....	222
17.	CHANGES .....	222
17.1	Purpose .....	222
17.2	Changes Managers.....	222
17.3	Changes Working Group .....	223
17.4	Minor Changes .....	224
17.5	Proposed Changes .....	225
17.6	Cost of preparing Change Proposals .....	229
17.7	Change Orders .....	230
17.8	Notice of Change .....	232
17.9	Omissions.....	234
17.10	Tunnelling Contractor may propose Change .....	234
17.11	Pre-Agreed Changes.....	237
17.12	Tunnelling Contractor's entitlements .....	239
17.13	Approvals for Changes.....	239
18.	CONSTRUCTION .....	239
18.1	Construction .....	239
18.2	Not used.....	240
18.3	Performance of Tunnelling Contractor's Activities .....	240
18.4	Setting out.....	241
18.5	Principal's right to inspect and seek comments .....	242
18.6	All work included .....	242
18.7	The Principal's action.....	243
18.8	Incident management .....	243
18.9	Instructions from Authorities .....	244
18.10	Survey .....	244
18.11	Cleaning up.....	245

18.12	Work methods.....	245
18.13	Asset Management Information .....	245
18.14	Work as Executed Design Documentation .....	250
18.15	Training.....	252
18.16	Prior Contractor Handover Works.....	252
<b>18.18 Segment Manufacturing Facility .....</b>		
		256
19.	<b>DEFECTS, INSPECTION AND REPAIR .....</b>	<b>257</b>
19.1	Defects.....	257
19.2	Principal's Representative's Direction.....	258
19.3	Correction of Defect or Change.....	259
19.4	Acceptance of work or rectification by others.....	260
19.5	Changes under other contracts to overcome Defects .....	260
19.6	Responsibility for Defects.....	261
19.7	Defects correction period for the Works .....	261
19.8	Defects correction period and process for completion of the Local Area Works .....	261
19.9	Defects correction period and process for completion of the Utility Service Works .....	263
19.10	Defects correction period and process for completion of the Property Works .....	264
19.11	Rights not affected.....	264
19.12	Warranties by others.....	265
19.13	Use of defective facilities .....	265
19.14	Final inspections of Project Works .....	265
20.	<b>ADMINISTRATION OF THE PROJECT WORKS .....</b>	<b>265</b>
20.1	Principal's Representative .....	265
20.2	Tunnelling Contractor's personnel .....	266
20.3	Design development meetings.....	268
20.4	Project Site meetings .....	268
20.5	Management Review Group.....	269
20.6	Management Review Group functions.....	269
20.7	Management Review Group meetings.....	270
20.8	Completion Steering Committee .....	271
20.9	Completion Working Group .....	271
20.10	No legal effect.....	272
20.11	Tunnelling Contractor's reporting obligations.....	272
20.12	Minimise disruption and complaints and notifications.....	273
20.13	Independent Property Impact Assessment Panel.....	274
20.14	Media requests.....	275
20.15	Industrial relations.....	275
20.16	Document management and transmission.....	275
20.17	Exchange of Information between Government agencies .....	276
20.18	Quarterly whole of project reviews.....	276
20.19	Early Warning .....	277
21.	<b>TIME AND COMPLETION.....</b>	<b>278</b>
21.1	Start and progress.....	278
21.2	Tunnelling Contractor's programming obligations.....	278
21.3	Dates for Substantial Completion and Completion .....	280
21.4	Importance of Completion on time .....	280
21.5	Risk and notice of delay.....	280
21.6	Extension of time.....	281
21.7	Corrective action .....	285
21.8	Suspension .....	285

21.9	Directions to change sequencing, accelerate, defer activities or make accessible .....	286
21.10	Liquidated damages for delay in reaching Milestone Achievement and Substantial Completion.....	288
21.11	Milestone Achievement.....	291
21.12	Substantial Completion.....	292
21.13	Completion .....	294
21.14	Effect of Notice of Milestone Achievement, Notice of Substantial Completion or Notice of Completion .....	295
21.15	Access following Milestone Achievement of a Milestone or Substantial Completion of a Portion .....	296
21.16	Transitional Handover Services and handover.....	296
21.17	Final Completion.....	297
22.	PAYMENT .....	297
22.1	Principal's payment obligation for design and construction .....	297
22.2	Monthly payment claim forecasting .....	298
22.3	Payment claims .....	298
22.4	Effect of payment schedules and payments.....	304
22.5	Provision of documentation and other requirements .....	305
22.6	Payment of Subcontractors, workers compensation and payroll tax.....	306
22.7	Payment for Key Plant and Equipment.....	306
22.8	Payment for unfixed Materials .....	308
22.9	SOP Act .....	309
22.10	Right of set-off .....	311
22.11	Interest .....	312
22.12	Title .....	312
22.13	Claim for Share of Savings.....	312
22.14	Provisional Sum Work & Call-off Services.....	313
22.15	Initial Payment.....	314
22.16	Post-Award Performance Incentive Payment Regime .....	315
22.17	Not used.....	316
23.	GST .....	317
23.1	Interpretation.....	317
23.2	GST payable .....	317
23.3	Adjustments.....	317
23.4	Non-monetary consideration .....	317
23.5	Reimbursements.....	318
23.6	Recipient created tax invoices .....	318
23.7	No merger .....	318
24.	LIABILITY .....	318
24.8	Exclusion of proportionate liability scheme.....	325
24.9	Tunnelling Contractor not to apply proportionate liability scheme.....	325
24.10	Insurance requirements.....	325
24.11	Survival.....	325

25.	RISKS AND INSURANCE.....	327
25.1	Responsibility for care of the Project Works.....	327
25.4	Principal's insurance.....	329
25.5	Tunnelling Contractor's insurance obligations .....	330
25.6	Workers' compensation insurance .....	330
25.7	Asbestos liability insurance .....	331
25.8	Not used.....	331
25.9	Construction Plant insurance .....	331
25.10	Motor vehicle insurance .....	331
25.11	Marine transit insurance .....	331
25.12	Marine hull insurance .....	332
25.13	Marine liability insurance .....	332
25.14	Periods of insurance.....	332
25.15	Evidence of policies.....	333
25.16	Provisions in policies .....	333
25.17	Premiums .....	334
25.18	Undertaking to inform .....	334
25.19	Notices and requirements of claims.....	335
25.20	Reinstatement.....	336
25.21	Application of insurance proceeds .....	336
25.22	Damage to property.....	337
25.23	Risk of deductibles or excesses.....	337
26.	TERMINATION BY PRINCIPAL.....	337
26.1	Notice of default .....	337
26.2	Contents of notice.....	338
26.3	Rights of the Principal following Default Notice .....	339
26.4	Termination or take out by the Principal for insolvency or breach.....	339
26.5	Due Diligence Breach Corrective Action Plan.....	341
26.6	The Principal's entitlements after take out or termination.....	342
26.7	The Principal's entitlements after take-out .....	343
26.8	Tunnelling Contractor's rights after repudiation or wrongful termination ..	344
26.9	Termination for convenience .....	344
26.10	Cost.....	344
26.10A	KP&E Residual Value Amounts .....	345
26.11	Preservation of rights .....	346
26.12	Termination by Frustration.....	346
26.13	Codification of Tunnelling Contractor's entitlements .....	347
27.	DISPUTE RESOLUTION .....	347
27.1	Disputes generally .....	347
27.2	Formation of Independent Dispute Avoidance and Resolution Panel.....	348
27.3	Role of IDAR Panel – Dispute Resolution Process .....	348
27.4	Role of IDAR Panel – Dispute Avoidance Process.....	350
27.5	Independent Estimator .....	351
27.6	Representative negotiation.....	355
27.7	Executive negotiation .....	356
27.8	Expert determination.....	357
27.9	Election of litigation .....	358
27.10	Arbitration .....	359
27.11	Payments .....	360
27.12	Tunnelling Contractor to continue performing obligations.....	360
27.13	Urgent relief.....	360
27.14	Dispute under related contracts.....	360
27.15	Survive termination .....	361



28.	RESTRICTIONS, CHANGE IN CONTROL AND RESTRUCTURE EVENT .....	361
28.1	Restrictions relating to the Tunnelling Contractor Consortium Deed.....	361
28.2	Change in control of an entity that comprises the Tunnelling Contractor ..	361
28.3	Change in control of a Tunnelling Contractor Guarantor.....	362
29.	FINANCIAL REPORTING AND NOTIFICATIONS .....	364
29.1	Financial reporting .....	364
29.2	Financial Assessment .....	365
29.3	Financial Reporting Events .....	366
29.3A	Confidentiality .....	366
29.4	Financial Mitigation Plan .....	366
30.	TRANSPORT FOR NSW STATEMENT OF BUSINESS ETHICS .....	369
31.	NSW INDUSTRIAL GUIDELINES: BUILDING AND CONSTRUCTION PROCUREMENT	369
31.1	NSW Guidelines.....	369
31.2	Primary Obligation .....	369
31.3	Access and information.....	370
31.4	Sanctions.....	370
31.5	Compliance .....	371
31.6	Workplace Relations Management Plan .....	371
32.	AUSTRALIAN GOVERNMENT REQUIREMENTS .....	371
33.	NOTIFICATION OF CLAIMS.....	372
33.1	Notice of other claims.....	372
33.2	Prescribed notices.....	373
33.3	Temporary waiver of notification requirements .....	373
33.4	Continuing events .....	375
33.5	Bar .....	375
33.6	Other provisions unaffected .....	375
34.	GENERAL .....	375
34.1	Notices .....	375
34.2	Governing Law and Jurisdiction.....	378
34.3	Stamp duties and other fees .....	378
34.4	Taxes .....	379
34.5	Indemnities to survive.....	379
34.6	Amendment .....	379
34.7	Permitted disclosure.....	380
34.8	Vienna Convention.....	381
34.9	The Principal may act .....	381
34.10	Non reliance.....	381
34.11	Entire agreement.....	382
34.12	Counterparts .....	382
34.13	Unlimited discretion .....	382
34.14	Joint and several liability .....	383
34.15	Assignment.....	383
34.16	No agency, partnership, joint venture or other fiduciary relationship .....	384
34.17	Waiver .....	384
34.18	Further acts and documents.....	385
34.19	Provisions limiting or excluding liability.....	385
34.20	Survival of certain provisions .....	385
34.21	PPS Act .....	385
34.22	Transfer of functions or Public Transport Agency assets .....	386

## **SCHEDULES**

### **Part A - General**

Schedule A1 – Conditions Precedent

Schedule A2 – Portions and Milestones

Schedule A3 – Pre-Agreed Changes

Schedule A4 – Transitional Handover Services

Schedule A5 – Subcontract Requirements

Schedule A6 - Significant Subcontractors and Pre-Approved Subcontractors

Schedule A7 – Subcontractors to provide warranties

Schedule A8 – Form of Warranty

Schedule A9 – Tunnelling Contractor's Personnel

Schedule A10 – Form of Independent Certifier Deed

Schedule A11 – Form of Master Interface Deed

Schedule A12 – Form of Collateral Warranty Deed Poll

Schedule A13 – Form of Confidentiality Undertaking

Schedule A14 – Deed of Covenant

Schedule A15 – Significant Subcontractor Side Deed

Schedule A16 – Nominated Subcontractors

Schedule A17 – IDAR Panel Agreement

Schedule A18 – Deed of Novation

Schedule A19 – Modification to the Expert Determination Rules

Schedule A20 – Deed of Disclaimer

Schedule A21 – Information Documents

Schedule A22 – Geotechnical Reports

Schedule A23 – Overall ETP Program

Schedule A24 – Proof Engineer Requirements

Schedule A25 – Special Events

Schedule A26 – Procedure for submission and review of Design Documentation and Network Assurance Submissions

Schedule A27 [REDACTED]

Schedule A28 – Initial Tender Design

Schedule A29 [REDACTED]

Schedule A30 – Form of Subcontractor Deed

Schedule A31 [REDACTED]

Schedule A32 [REDACTED]

Schedule A33 [REDACTED]

Schedule A34 – C&SW Monitoring Regime

Schedule A35 – Subcontract Procurement Process

Schedule A36 – Independent Estimator Deed

**Part B - Administrative**

Schedule B1 – Tunnelling Contractor's Certificate – Substantial Completion

Schedule B2 – Independent Certifier's Form of Design Certification

Schedule B3 – Tunnelling Contractor's and Subcontractor's Certificate – Design ([Design Stage 1 / Design Stage 2])

Schedule B4 – Tunnelling Contractor's and Subcontractor's Certificate – Design (Design Stage 3)

Schedule B5 – Environmental Manager's Certificate

Schedule B6 – Independent Certifier's Certificate – Asset Management Information

Schedule B7 – Independent Certifier's Certificate – Work as Executed Design Documentation

Schedule B8 – Not used

Schedule B9 – Quality and Systems Manager's Certificate - Mobilisation

Schedule B10 – Quality and Systems Manager's Certificate - Progressive

Schedule B11 – Quality and Systems Manager's Certificate – Substantial Completion

Schedule B12 – Quality and Systems Manager's Certificate – Final Completion

Schedule B13 – Quality and Systems Manager's Certificate – Payment Claims

Schedule B14 – Notice of Substantial Completion

Schedule B15 – Statutory Declaration

Schedule B16 – Property Owner's Certificate

Schedule B17 – Proof Engineer's Certificate

Schedule B18 – Archaeological Site Clearance Certificate

Schedule B19 – Tunnelling Contractor and Subcontractor design certificate – Work as Executed Design Documentation

Schedule B20 – Tunnelling Contractor's Certificate - Completion

Schedule B21 – Notice of Completion

Schedule B22 – Demolition Work Plan Certificate

Schedule B23 – Structural Demolition Work Methodology Certificate

Schedule B24 – Demolition Temporary Works Design Certificate

Schedule B25 – Demolition Temporary Works Independent Checker Certificate

Schedule B26 – Demolition Temporary Works Installation Certificate

Schedule B27 – Tunnelling Contractor's Certificate – Milestone Achievement

Schedule B28 – Notice of Milestone Achievement

**Part C – Tunnelling Specification**

Schedule C1 – Tunnelling Specification

Schedule C2 – Provisional Sum Work

**Part D – Land, Property and Third Party Requirements**

Schedule D1 – Site Access Schedule

Schedule D2 – Approvals to be obtained by the Principal

Schedule D3 – Planning Approvals

Schedule D4 – Approval Conditions

Schedule D5 – Requirements of Third Party Agreements

Schedule D6 – Requirements of Adjoining Property Owner Agreements

Schedule D7 – Requirements of Adjoining Property Owner Easements

Schedule D8 – Third Party Agreements

Schedule D9 – Pro-forma Adjoining Property Owner Agreement

Schedule D10 – Pro-forma Easement

Schedule D11 – Adjoining Properties

Schedule D12 – Adjoining Property Easements

Schedule D13 – Reliance Letters

Schedule D14 – Not used

Schedule D15 – Not used

Schedule D16 – Contaminated Future Development Sites

Schedule D17 – Artefact Risk Areas

Schedule D18 – Identified Utilities Register

Schedule D19 – Compensable Hazardous Materials

Schedule D20 – Principal Hazardous Material Reports

Schedule D21 – Certified Utility Services Designs

Schedule D22 – Principal Enabling Works

Schedule D23 – Substratum Change Zone Areas and Substratum Change Zones

**Part E - Financial**

Schedule E1 – Payment

Schedule E2 – Schedule of Rates for Reimbursable Work

Schedule E3 – Not used

Schedule E4 – Unconditional Undertaking

Schedule E4A – Notice of Release (Unconditional Undertakings)

Schedule E5 – Parent Company Guarantee

Schedule E6 – Insurance Policies

Schedule E7 – Not used

Schedule E8 – Financial Reporting Form

Schedule E9 – Valuation Mechanism

Schedule E10 – Cost Performance Incentive Regime

Schedule E11 – Cost Plan

Schedule E12 – Not used

Schedule E13 – Self-Performed Reimbursable Work

Schedule E14 [REDACTED]

Schedule E15 [REDACTED]

Schedule E16 – KP&E Residual Value Amounts

**Part F – Electronic Files**

Schedule F1 – Electronic Files

THIS DEED is made on 25 NOVEMBER 2022

**BETWEEN:**

- (1) **Sydney Metro** ABN 12 354 063 515, a NSW Government agency constituted by section 38 of the *Transport Administration Act 1988* (NSW) and located at Level 43, 680 George Street, Sydney NSW 2000 (the **Principal**); and
- (2) **John Holland Pty Ltd** ABN 11 004 282 268 of Level 3/65 Pirrama Road, Pyrmont NSW 2009;
- CPB Contractors Pty Limited** ABN 98 000 893 667 of Level 18, 177 Pacific Highway, North Sydney NSW 2060; and
- Ghella Pty Ltd** ABN 85 142 392 461 of Level 12, 2 Elizabeth Plaza, North Sydney NSW 2060,
- (together the **Tunnelling Contractor**).

**RECITALS:**

- (A) The Principal is procuring Sydney Metro West on behalf of the NSW government and the people of New South Wales.
- (B) The Project Works are a critical component of Sydney Metro West.
- (C) Following the completion of a tender process, the Principal selected the Tunnelling Contractor as the successful tenderer for the delivery of the Project Works.
- (D) The Principal and the Tunnelling Contractor now wish to enter into this deed to record the terms on which the Project Works will be designed, constructed, tested, commissioned and handed over by the Tunnelling Contractor to the Principal.

**THE PARTIES AGREE AS FOLLOWS:**

1. **DEFINITIONS AND INTERPRETATION**

1.1 **Definitions**

The following definitions apply in this document.

**Aboriginal Object** means an Artefact which is an 'Aboriginal object' as defined in the *National Parks and Wildlife Act 1974* (NSW).

**Aboriginal Participation Plan** means the Project Plan referred to as the Aboriginal Participation Plan in section 2.9 of the General Specification, as updated from time to time in accordance with the Tunnelling Specification and clause 13.4.

**Acceleration** has the meaning given in clause 21.9(a)(ii).

**Accepted Defect** means a Defect (other than a Minor Defect) in relation to which the Principal has issued a direction under clauses 19.2(a)(iii), 19.2(a)(iv) or 19.2(a)(v) prior to the Date of Milestone Achievement of any Milestone or the Date of Substantial Completion of any Portion (as applicable).

**Accessible** means, in relation to a part of the Construction Site, that such part is clean and clear and capable of safe use by the Tunnelling Contractor or an Interface Contractor for the purpose of carrying out the relevant works.

**Accreditation** means accreditation (including provisional accreditation, conditions or restrictions in respect of accreditation or any variation to the accreditation) under Part 3 of the Rail Safety National Law (or an exemption from the same).

**Accredited Site Auditor** means a person who is accredited as a site auditor under the *Contaminated Land Management Act 1997* (NSW).

**Act of Prevention** means:

- (a) a breach of this deed by the Principal;
- (b) an act or omission by the Principal or its Associates and not being an act or omission:
  - (i) permitted or allowed by this deed including any Direction given by the Principal or the Principal's Representative (other than a matter referred to in paragraph (c)); or
  - (ii) which is within a timeframe permitted, or allowed by this deed (other than a matter referred to in paragraph (c)); or
  - (iii) to the extent the act or omission is caused or contributed to by a breach by the Tunnelling Contractor of this deed or any negligent, or unlawful, act or omission of the Tunnelling Contractor, or its Associates, including any breach, act or omission in connection with the Tunnelling Contractor's obligations in respect of Interface Contractors; or
  - (iv) being the exercise by the Principal of any of its functions and powers pursuant to any Law; or
- (c) a Change the subject of a Direction by the Principal's Representative or any other event or circumstance which is treated as a Change under this deed except:
  - (i) where the Change is:
    - (A) a Minor Change; or
    - (B) a Change approved under clause 17.10(d);
  - (ii) to the extent that the Change arises pursuant to clause 14.15(d), in which case the Tunnelling Contractor must bear the first ■ days of delay as contemplated by clause 14.15(d); or
  - (iii) to the extent that the terms of this deed expressly provide that the Tunnelling Contractor is not entitled to an extension of time in respect of any relevant Change.

**Additional Third Party Agreement** has the meaning given in clause 14.29(a)(iv).

**Adjoining Owner** means a party with an interest in an Adjoining Property.

**Adjoining Property** means a property specified in Schedule D11.

**Adjoining Property Easement** means an:

- (a) Easement for Crane Access;
- (b) Easement for Rock Anchors;
- (c) Easement for Scaffolding; or

(d) Easement for Safety Structure,

and includes the Adjoining Property Easements contained in Schedule D12.

**Adjoining Property Extra Land** has the meaning given in clause 14.30(f)(i).

**Adjoining Property Owner Agreement** means:

- (a) an agreement with an Adjoining Owner substantially in the form of the Pro-forma Adjoining Property Owner Agreement (or such other form as the Principal may agree with any Adjoining Owner) and includes any Adjoining Property Owner Agreements contained in Schedule D8; or
- (b) in respect of any rock anchor that is to be installed under a Classified Road, the Roads Interface Agreement.

**AFC Design Documentation** means any Design Documentation which:

- (a) the Tunnelling Contractor is entitled to use for construction in accordance with clause 6 of Schedule A26; or
- (b) has been amended by a Change directed or approved by the Principal's Representative in writing in accordance with clause 17.

**Agreed Defect** means a Defect (other than a Minor Defect) that:

- (a) the Principal, the Tunnelling Contractor and the Independent Certifier agree in writing; or
- (b) the Principal's Representative otherwise directs,

does not need to be rectified in order to achieve Milestone Achievement of a Milestone or Substantial Completion of a Portion (as applicable).

**Administrative Modification** means a modification to condition D23(d)(i) of the Project Planning Approval under section 4.55 of the EP&A Act to permit tunnelling (excluding cut and cover tunnelling and surface works) 24 hours a day, seven days a week.

**Alternate Operator** means an entity other than OpCo that is engaged by the Principal to operate and, if required by the Principal, maintain Sydney Metro West.

**AMB or Asset Management Branch** means the Transport for NSW Asset Management Branch, an independent unit established within Transport for NSW, and is the network design and standards authority for Transport Assets.

**AMB Authorisation** means an authorisation issued by the AMB to a legal entity which verifies that it has the relevant systems in place to carry out the class of Asset Lifecycle work specified in the authorisation, subject to any specified conditions of the authorisation.

**AMB Charter** means the document which identifies the AMB's objectives, functions, powers and governance and the duties of Public Transport Agencies and TAOs in relation to the AMB (as amended from time to time), a copy of which can be found on <https://www.transport.nsw.gov.au/industry/asset-management-branch>.

**AMB Requirements** has the meaning assigned to it in the AMB Charter.

**Anti-slavery Commissioner** means the Anti-slavery Commissioner appointed under the *Modern Slavery Act 2018* (NSW).



**Appointed Principal Contractor** means John Holland Pty Ltd ABN 11 004 282 268 of Level 9, 180 Flinders St, Melbourne VIC 3000.

**Approval** means any licence, permit, consent, approval, determination, certificate or permission from any Authority or under any Law, or any requirement made under any Law, which must be obtained or satisfied (as the case may be):

- (a) to perform the Tunnelling Contractor's Activities;
- (b) in connection with the Construction Site and any Extra Land prior to the Portion Handover Date (but only to the extent required for the performance of the Tunnelling Contractor's Activities);
- (c) for the use and occupation by the Tunnelling Contractor or its Associates of:
  - (i) any Portion (both individually and in combination with any earlier completed Portions) after Substantial Completion of the Portion; and
  - (ii) the Project Works after Substantial Completion of every Portion;
- (d) for the use and occupation by the Tunnelling Contractor or its Associates of:
  - (i) any Portion (both individually and in combination with any earlier completed Portions) after Completion of the Portion; and
  - (ii) the Project Works after Completion of every Portion; or
- (e) otherwise for the Tunnelling Contractor's Activities, the Project Works and the Temporary Works to comply with Law,

and for the avoidance of doubt includes:

- (f) the Planning Approvals; and
- (g) any Environment Protection Licence issued in relation to the Tunnelling Contractor's Activities,

but does not include:

- (h) any Direction given by the Principal or the Principal's Representative pursuant to this deed; or
- (i) the exercise by the Principal of its rights under this deed.

**Approved Corrective Action Plan** has the meaning given in clause 26.5(c).

**Approved Subcontract** means:

- (a) an agreement which is entered into by the Tunnelling Contractor with a Subcontractor on the terms which have been approved in writing by the Principal's Representative under clause 6 and Schedule A35; and
- (b) a Pre-Approved Subcontract.

**Archaeological Clearance Works** means the works undertaken under archaeological supervision in accordance with the Archaeological Research Design and excavation methodology.

**Archaeological Research Design** means the archaeological research designs referred to in Condition A1 of the Project Planning Approval.

**Artefact** means each:

- (a) valuable mineral, fossil or coin;
- (b) article or object of value or antiquity;
- (c) article, object or relic of heritage significance; or
- (d) other thing of geological, archaeological, anthropological or other special interest, found on or under the surface of the Construction Site.

**Artefact Risk Area** means an area of the Construction Site specified in Schedule D17 in the column headed "Artefact Risk Area".

**Asbestos Removal Control Plan** means the *NSW Government Code of Practice – How to safely remove Asbestos*, amended from time to time, approved under section 274 of the WHS Act.

**Asbestos Work** has the meaning given in clause 25.7.

**Asset Lifecycle** has the meaning assigned to it in the AMB Charter.

**Asset Lifecycle Services** means the aspects of the Tunnelling Contractor's Activities which relate to the Asset Lifecycle of Transport Assets.

**Asset Management Information** means the information and documents relating to the operation and maintenance of the assets forming the Project Works and Handover Works as required by section 7 of the General Specification.

**Associates** means:

- (a) in respect of the Principal, the Principal's Representative and any of the respective employees, agents, contractors, consultants or officers of the Principal and the Principal's Representative, but excludes:
  - (i) the Independent Certifier;
  - (ii) the Environmental Representative;
  - (iii) Independent Acoustics Advisor;
  - (iv) the Tunnelling Contractor and its Subcontractors;
  - (v) any Interface Contractors and their respective subcontractors;
  - (vi) OpCo and its subcontractors;
  - (vii) any Alternate Operator and its subcontractors;
  - (viii) the Financial Auditor;
  - (ix) the Independent Estimator;
  - (x) the Cost Advisor; and

- (xi) employees, agents, contractors, consultants and officers of the persons listed in paragraphs (i) to (x) above; and
- (b) in respect of the Tunnelling Contractor, its Subcontractors, each entity that comprises the Tunnelling Contractor, the Tunnelling Contractor Guarantors and any of their respective employees, agents, contractors, consultants or officers (excluding the Independent Certifier and the Independent Estimator and their respective employees, agents, consultants and officers).

**Assurance and Governance Plan** means the Project Plan referred to as the Assurance and Governance Plan in section 5.1.5 of the General Specification, as updated from time to time in accordance with clause 13.4.

**ATSB** means the Australian Transport Safety Bureau constituted under the *Transport Safety Investigation Act 2003* (Cth).

**Ausgrid** means the statutory state owned corporation of that name established under the *Energy Services Corporations Act 1995* (NSW).

**Authority** means:

- (a) any governmental or semi-governmental or local government authority, administrative or judicial body or tribunal, department, commission, public authority, agency, Minister, statutory corporation or instrumentality;
- (b) any other person having a right to impose a requirement, or whose consent is required, under Law with respect to any part of the Tunnelling Contractor's Activities; or
- (c) any other person having jurisdiction over, or ownership of Utility Services, the Utility Service Works, the Local Areas or the Local Area Works,

and, to avoid doubt, includes the Clean Energy Regulator and the Environmental Representative.

**Background IP Rights** has the meaning given in clause 16.6(b)(ii).

**Bank Bill** means a bill of exchange (under the *Bills of Exchange Act 1909* (Cth)) which has been accepted by any bank authorised under a Law of the Commonwealth or any State to carry on banking business.

**Bank Bill Rate** is, for the relevant period:

- (a) the rate, expressed as a yield percent per annum (rounded downwards to 2 decimal places) quoted as the average bid rate on the Reuters monitor system page BBSY (or any page which replaces that page) at about 10.30 am (Sydney time) on the first day of the relevant period, for Bank Bills having a tenor of approximately 90 days; or
- (b) if there is a manifest error in the calculation of the average bid rate under paragraph (a) or if no average bid rate is published for Bank Bills of that tenor in accordance with paragraph (a), the bid rate agreed in good faith by the Tunnelling Contractor and the Principal having regard to the rates otherwise bid for Bank Bills having a tenor as described above at or around that time.

**BCIIP Act** means the *Building and Construction Industry (Improving Productivity) Act 2016* (Cth).

**Building Code** means the *Code for Tendering and Performance of Building Work 2016* (Cth), or any subsequent code of practice which takes effect and supersedes that code.

**Building Work** has the meaning given to that term in subsection 3(4) of the Building Code.

**Business Day** means any day other than a Saturday, Sunday or public holiday in Sydney, or 27, 28, 29, 30 and 31 December.

**Buy-back Amount** has the meaning given in clause 26.10A(a).

**Call-off Services** means each of the categories of Nominated Subcontract Work described in items 1 to 4 of Schedule A16.

**Cash Deposit** has the meaning given in clause 7.6(b)(ii).

**Cash Deposit Account** has the meaning given in clause 7.6(b)(ii).

**Central Tunnelling Contract** means the deed entered into between the Principal and the Central Tunnelling Contractor to design, construct, test, commission and hand over any physical works for the tunnelling and station excavations components of Sydney Metro West between Sydney Olympic Park and The Bays.

**Central Tunnelling Contractor** means, together, Acciona Construction Australia Pty Ltd ABN 66 618 030 872 and Ferrovial Construction (Australia) Pty Ltd ABN 98 150 820 116, or any replacement entity engaged by the Principal.

**Certified Contaminated Land Consultant** means a consultant that holds current certification in accordance with the NSW EPA Contaminated Land Consultant Certification Policy.

**Certified Utility Services Design** means each certified approved for construction utility services works design specified in Schedule D21.

**Chain of Responsibility Provisions** refers to any section of the Heavy Vehicle National Law under which the Tunnelling Contractor is "a party in the chain of responsibility" (within the meaning given to that term under the Heavy Vehicle National Law).

**Chair** has the meaning assigned to it in the IDAR Panel Agreement.

**Change** means any change or variation to the Project Works, the Temporary Works or the Tunnelling Contractor's Activities or the requirements of this deed for any of them, including:

- (a) additions, increases, decreases, omissions, deletions, substitutions or alterations;
- (b) changes to the character or quality, or demolition or removal, of any material or work;
- (c) changes to the levels, lines, positions or dimensions of any part of the Project Works or the Temporary Works;
- (d) changes to any sequence, method or timing of construction specified in this deed other than changes in programming requirements necessary for the Tunnelling Contractor to comply with its obligations under this deed; and
- (e) changes to the Construction Site.

**Change in Certified Utility Services Designs** means any direction by a relevant Authority to the Tunnelling Contractor to carry out Utility Services Works which are an enhancement,

an upgrade or an increase in the scope of the original Utility Service Works set out in the relevant Certified Utility Services Design.

**Change in Codes and Standards** means a change in, or the introduction of new, Codes and Standards taking effect after the date of this deed but excludes:

- (a) a change which:
  - (i) as at the date of this deed, was published or of which public notice had been given (even as a possible amendment, repeal or change of an existing Codes and Standards or a possible new Codes and Standards) in substantially the same form as the change in an existing Codes and Standards or new Codes and Standards eventuating after the date of this deed;
  - (ii) is substantially the same as an existing Codes and Standards (as at the date of this deed) [REDACTED]

[REDACTED]

**Change in Control** means, in respect of an entity, any event occurs such that a change occurs in the Control of that entity.

**Change in Law** means any of the following if it takes effect after the date of this deed:

- (a) the amendment, repeal or change of an existing Law (other than an Approval, a decision of a court [REDACTED])
- (b) a new Law (other than an Approval, a decision of a court [REDACTED])

[REDACTED]

but excludes an amendment, repeal or change of an existing Law, or a new Law:

- (d) in respect of Tax;  
[REDACTED]
- (f) which was caused or contributed to by any act or omission of the Tunnelling Contractor or its Associates; or
- (g) which, as at the date of this deed:
  - (i) was published or of which public notice had been given (even [REDACTED] as a possible amendment, repeal or change of an existing Law or a possible new Law or judgment) in substantially the same form as the change in an existing Law or new Law eventuating after the date of this deed; or
  - (ii) [REDACTED] a person experienced and competent in the delivery of works and services similar to the Project Works or the Tunnelling Contractor's Activities would have reasonably foreseen or anticipated.

**Change Order** means a written document titled "Change Order" issued under clause 17.7(a).

**Change Proposal** means a proposal submitted by the Tunnelling Contractor under clause 17.5(b).

**Change Proposal Request** means a written document titled "Change Proposal Request" issued under clause 17.5(a).

**Changes Manager** means:

- (a) in respect of the Principal, [REDACTED] or any person appointed from time to time by the Principal and notified in writing to the Tunnelling Contractor.
- (b) in respect of the Tunnelling Contractor, means the individual listed in Schedule A9 as the "Changes Manager" or, subject to clause 20.2(b)(ii), any replacement appointed by the Tunnelling Contractor from time to time to replace that person and notified in writing to the Principal.

**Changes Working Group** means the group referred to in clause 17.3.

**Claim** includes any claim, action, demand or proceeding including for any increase to the Project Contract Sum or any component of the Project Contract Sum for payment of money (including costs, expenses, Losses, disruption or damages), for an extension of time or for any other form of relief:

- (a) under, arising out of, or in any way in connection with, this deed;
- (b) arising out of, or in any way in connection with, any task, fact, matter, thing or relationship connected with the Tunnelling Contractor's Activities or either party's conduct prior to the date of this deed; or
- (c) otherwise at Law including:
  - (i) under or for breach of any statute;
  - (ii) in tort for negligence or otherwise, including negligent misrepresentation; or
  - (iii) for restitution including restitution based on unjust enrichment.

**Classified Road** has the meaning given to that term in the *Roads Act 1993* (NSW).

**Clean Energy Regulator** means the Clean Energy Regulator established under the *Clean Energy Regulator Act 2011* (Cth).

**Codes and Standards** means:

- (a) the relevant building codes (including the Building Code of Australia), Standards Australia codes, standards, specifications, guidelines, rules, procedures or other publications current at the date of this deed, including any specified or required by this deed, including the Tunnelling Specification;
- (b) the Government Policies; and
- (c) the Sydney Metro Principal Contractor Health and Safety Standard.

**Collateral Warranty Deed Poll** means a deed poll in substantially the same form as Schedule A12.

**Comcare** means the entity of that name established under section 68 of the *Safety, Rehabilitation and Compensation Act 1988* (Cth).

**Commonwealth** means the Commonwealth of Australia.

**Commonwealth Funded Building Work** means Building Work in items 1-8 of Schedule 1 of the Building Code, other than Building Work to which item 10 of that Schedule applies.

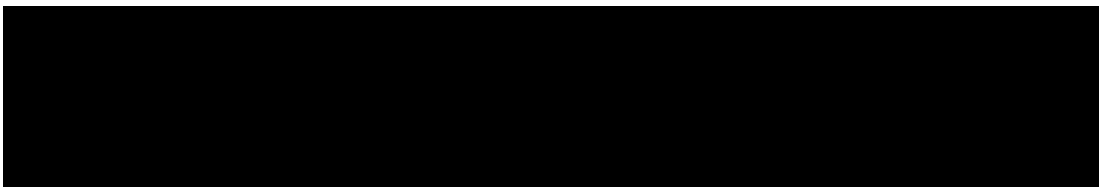
**Community Communications Strategy** means the Project Plan of that name referred to in section 5.1.10 of the General Specification, an initial version of which is included in Attachment B of the General Specification, as updated from time to time in accordance with clause 13.4.

**Compensable Hazardous Material** means the following types of Hazardous Materials in relation to the Demolition Works to be performed by the Tunnelling Contractor:

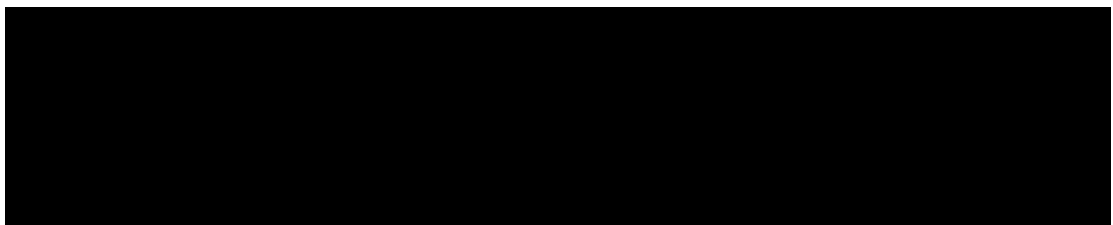
- (a) friable asbestos;
- (b) asbestos containing façade pointing and mastic;
- (c) asbestos containing window mastic;
- (d) Compensable Transformer Oils; and
- (e) Compensable Paint Containing Lead,

but only to the extent that they are identified in a Contractor Hazardous Material Investigation Document and:

- (f) were not positively identified or assumed or suspected to be present in a Principal Hazardous Material Report in respect of the location where the relevant Demolition Works are to be carried out;



- (h) no Principal Hazardous Material Report was provided by the Principal with respect to Demolition Works to be performed on the relevant part of the Construction Site.



**Compensable Paint Containing Lead** means paint containing lead where lead concentrations are  $SCC \geq 1500$  mg/kg and/or  $TCLP \geq 5$ mg/L.

**Compensable Transformer Oils** means transformer oils containing polychlorinated biphenyl at concentration levels  $\geq 2$  mg/kg.

**Completed Portion** has the meaning given in clause 12.3(a).

**Completion** means the stage in the execution of the Tunnelling Contractor's Activities in respect of a Portion when:

- (a) Substantial Completion has been achieved in respect of the Portion;

- (b) the Tunnelling Contractor has executed a certificate in the form of Schedule B20 for the Portion and provided it to the Principal's Representative and the Independent Certifier;
- (c) the Tunnelling Contractor has given to the Principal's Representative (with a copy to any of OpCo, an Alternate Operator or any Interface Contractor as required by the Principal) all:
  - (i) Asset Management Information in respect of the Project Works certified by the Independent Certifier under clause 18.13(j)(ii)(B)(bb); and
  - (ii) Work as Executed Design Documentation certified by the Independent Certifier under clause 18.14(c)(ii)(B);
- (d) the Tunnelling Contractor has corrected all Minor Defects and Agreed Defects that are listed in the Notice of Substantial Completion; and
- (e) to the extent specific Property Works were not required to be completed as a condition precedent to Substantial Completion in accordance with clause 14.4(h):
  - (i) those Property Works have been completed by the Tunnelling Contractor in accordance with clause 14.4; or
  - (ii) a Change has been directed in writing by the Principal in respect of the specific Property Works in accordance with clause 14.4(i).

**Completion Steering Committee** means the group referred to in clause 20.8.

**Completion Working Group** means the group referred to in clause 20.9.

**Concept Approval** means Schedule 2 of the Concept & Stage 1 approval granted by the Minister for Planning under section 5.19 of the EP&A Act dated 11 March 2021, as modified from time to time. A copy of the Concept & Stage 1 approval (as at the date of this deed) appears in Schedule D3.

**Condition Precedent** means a condition precedent set out in Schedule A1.

**Condition Precedent Deadline Date** means the date which is 10 Business Days after the date of this deed or such other date agreed between the parties in writing.

**Configuration Management Framework** means the framework established by the AMB from time to time for configuration management.

**Consequential Loss** means any:

- (a) loss of income, loss of revenue, loss of profit, loss of rent, loss of financial opportunity, loss of business or loss of business opportunity, loss of contract, loss of goodwill, loss of use or loss of production (whether the loss is direct or indirect); or
- (b) direct or indirect financing costs,

whether present or future, fixed or unascertained, actual or contingent.

**Construction and Site Management Plan** means the Project Plan referred to as the 'Construction and Site Management Plan' in section 5.1.9 of the General Specification, as updated from time to time in accordance with clause 13.4.



**Construction Completion (Sydney Train Protection Zone Works)** has the meaning given to the term "Construction Completion" in the Sydney Trains Tunnelling Interface Agreement.

**Construction Environmental Management Plan** means the Project Plan referred to as the 'Construction Environmental Management Plan' in section 5.1.11 of the General Specification, as updated from time to time in accordance with clause 13.4.

**Construction Heritage Management Plan** means the 'Construction Heritage Management Plan' referred to in section 2.7.3 of the General Specification (which is a sub-plan to the Construction Environmental Management Plan), as updated from time to time in accordance with clause 13.4.

**Construction Manager** means the person appointed to that position under clause 20.2(b) as at the date of this deed or any person appointed as a replacement under clause 20.2(b).

**Construction Plant** means plant, equipment (including hand-held tools), machinery, apparatus, vehicles, waterborne craft, appliances and things used in the carrying out of the Tunnelling Contractor's Activities but not forming part of the Project Works.

**Construction Site** means the Project Site and the Temporary Areas.

**Construction Site Access Date** has the meaning given in clause 14.1(d).

**Construction Site Interface Work** has the meaning given in clause 10.3(a)(ii).

**Construction Traffic Management Plan** means the 'Construction Traffic Management Plan' referred to in section 5.1.11.2 of the General Specification (which is a sub-plan to the Construction Environmental Management Plan), as updated from time to time in accordance with clause 13.4.

**Contaminated Future Development Site** means:

- (a) the areas of the Construction Site identified in Schedule D16; or
- (b) such other parts of the Construction Site as may be directed in writing by the Principal's Representative.

**Contamination** means the presence in, on or under land or water or any other aspect of the Environment of:

- (a) a substance (whether occurring naturally or otherwise) which is at a concentration above the concentration at which the substance (whether occurring naturally or otherwise) is normally present in, on or under land or water or any other aspect of the Environment in the same locality, being a presence that presents a risk of harm to human health or any other aspect of the Environment; or
- (b) any natural or artificial substance whether solid, liquid or gas (alone or in combination with any other substance) which is toxic, flammable or otherwise capable of causing harm to humans or damage to the Environment,

but excluding any Hazardous Material.

**Contamination Classification and Excavation Map** has the meaning given in clause 14.23(a)(i).

**Contamination Excavation Quantity Register** has the meaning given in clause 14.23(a)(ii).

**Contract Documentation and Materials** has the meaning given in clause 16.6(b).

**Contractor Hazardous Material Investigation Documents** has the meaning given in clause 14.17(d).

**Control** has the meaning in the Corporations Act.

**Corporate WHS Management System** has the meaning given in the WHS Management Systems and Auditing Guidelines.

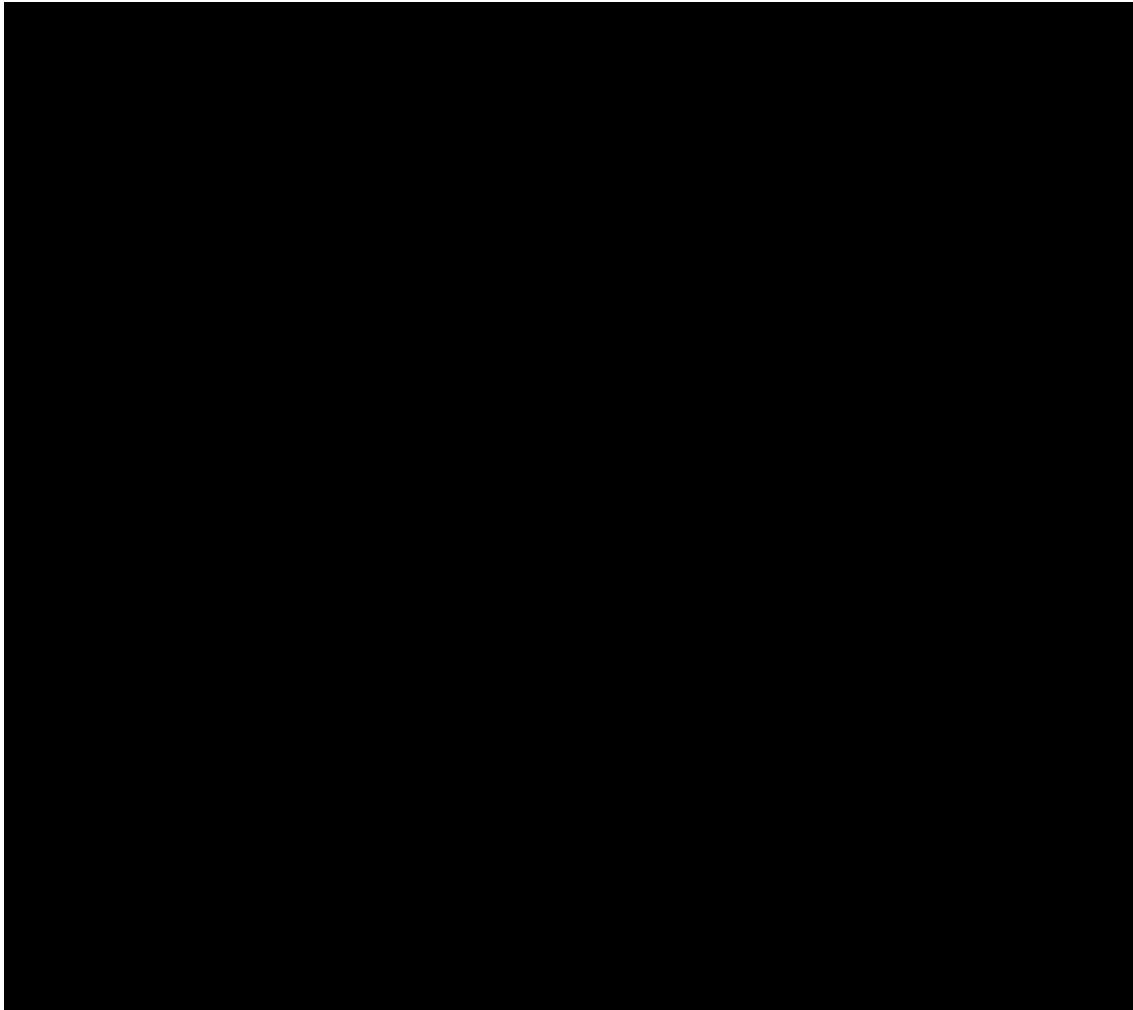
**Corporations Act** means the *Corporations Act 2001* (Cth).

**Cost Advisor** means the cost advisor appointed in accordance with clause 10.7(ba).

**Cost Breakdown** has the meaning given in clause 5.1(b)(i).

**Cost Performance Incentive Regime Schedule** means Schedule E10.

**Cost Plan** has the meaning given in clause 5.1(b)(ii).



**Crown Building Work** has the meaning given to that term in section 6.1 of the EP&A Act.

**Data** for the purposes of the definition of Emissions and Energy Data and clause 4.14(d)(i)(A), includes data, information, records and reports.

**Date for Completion** means, in respect of a Portion:

- (a) the date that is 90 days after the Date of Substantial Completion of the relevant Portion; or
- (b) where, in respect of that Portion an extension of time for Completion is granted by the Principal's Representative or by a determination under the Dispute Procedure or arbitration or litigation proceedings, the date resulting therefrom.

**Date for Milestone Achievement** means, in respect of a Milestone:

- (a) the applicable date specified as the date for Milestone Achievement for that Milestone in section 3 of Schedule A2; or
- (b) where, in respect of that Milestone, an extension of time for Milestone Achievement is granted by the Principal's Representative or by a determination under the Dispute Procedure or arbitration or litigation proceedings, the date resulting therefrom.

**Date for Substantial Completion** means, in respect of a Portion:

- (a) at the date of this deed, the applicable date specified for that Portion in Schedule A2; or
- (b) where, in respect of a Portion, an extension of time for Substantial Completion is granted by the Principal's Representative or by a determination under the Dispute Procedure or arbitration or litigation proceedings, the date resulting therefrom.

**Date of Completion** means, in respect of a Portion:

- (a) the date notified in a Notice of Completion as the date Completion was achieved; or
- (b) where another date is determined in a determination under the Dispute Procedure or arbitration or litigation proceedings as the date upon which Completion was achieved, that date.

**Date of Milestone Achievement** means, in respect of a Milestone:

- (a) the date notified in the Notice of Milestone Achievement as the date Milestone Achievement was achieved; or
- (b) where another date is determined under the Dispute Procedure or arbitration or litigation proceedings as the date upon which that Milestone was achieved, that date.

**Date of Substantial Completion** means, in respect of a Portion:

- (a) the date notified in a Notice of Substantial Completion as the date Substantial Completion was achieved; or
- (b) where another date is determined under the Dispute Procedure or arbitration or litigation proceedings as the date upon which Substantial Completion was achieved, that date.

**Day 1 Clauses** means clauses 1, 2, 3.3, 4.6, 4.7, 4.8, 4.18(a)(i), 4.20, 4.21, 5.1(b)(i), 7, 8.1, 8.2, 14.9, 14.29(a)(vii), 20.14, 24, 25.2, 25.4, 25.14(a), 25.15(a), 27, 28, 29, 30, 31, 32, 33 and 34 and any other clauses or schedules required to have commenced in order to give effect to those clauses.

**Deed of Disclaimer** means the deed of disclaimer signed by the Tunnelling Contractor in favour of the Principal, a copy of which appears in Schedule A20.

**Default Notice** has the meaning given in clause 26.1.

**Defect** means:

- (a) any defect, deficiency, fault, error or omission in the Project Works or Temporary Works; or
- (b) any:
  - (i) cracking, shrinkage, movement or subsidence in the Project Works or Temporary Works; or
  - (ii) other aspect of the Project Works, Temporary Works or the Tunnelling Contractor's Activities,

which is not in accordance with the requirements of this deed,

but does not include any damage caused to the Project Works after the Portion Handover Date other than damage that is caused or contributed to by the Tunnelling Contractor or its Associates.

**Defects Correction Period** means a period referred to in clauses 19.7, 19.8, 19.9 or 19.10.

**Deferred Activities** has the meaning given in clause 21.9(a)(iii).

**Delivery Site Integration Group** has the meaning given to it in the Master Interface Deed.

**Demolition Structural Engineer** has the meaning given in section 1 of the Particular Specification.

**Demolition Temporary Works** has the meaning given in section 1 of the Particular Specification.

**Demolition Temporary Works Independent Checker** has the meaning given in section 1 of the Particular Specification.

**Demolition Work Plan** has the meaning given in section 1 of the Particular Specification.

**Demolition Works** has the meaning given in section 1 of the Particular Specification.

**Design Documentation** means all:

- (a) design documentation (including design standards, concrete mix designs, design reports, durability reports, specifications, models (including Digital Engineering or any part thereof), samples, prototypes, calculations, drawings, shop drawings, digital design records and all other relevant data) in electronic, computer readable and written forms, or stored by any other means, which are required for the performance of the Tunnelling Contractor's Activities, or which the Tunnelling Contractor or any other person on behalf of the Tunnelling Contractor creates in performing the Tunnelling Contractor's Activities (including the design of Temporary Works); and
- (b) computer software (including both source code and object code versions) where the computer software has been specifically created or specifically modified by or on behalf of the Tunnelling Contractor for the purposes of the Tunnelling Contractor's Activities.

**Design Life** has the meaning given in section 9.2 of the General Specification.

**Design Manager** means the person appointed to that position under clause 20.2(b) as at the date of this deed or any person appointed as a replacement under clause 20.2(b).

**Design Stage** means each of Design Stage 1, Design Stage 2, Design Stage 3 and each design stage under a Third Party Agreement.

**Design Stage 1** means that stage in the development of the Design Documentation at which the Design Documentation for any discrete design component, part or element or component has been developed to a fixed design concept in relation to general details and any special details, including those details associated with foundation conditions, tunnel and structure geometry and interfaces with adjacent land formations and infrastructure.

**Design Stage 2** means that stage in the development of the Design Documentation at which the Design Documentation for any discrete design component, part or element includes all the design standards, design reports, specifications, models, calculations and drawings and shop drawings for the discrete design element or component, and is the stage at which the design analysis, design details and drawings demonstrate that the Design Documentation, when fully developed, will comply with and satisfy all the requirements of this deed.

**Design Stage 3** means that stage in the development of the Design Documentation at which the Design Documentation for any discrete design component, part or element is fully developed, including all design standards, design reports, specifications, models, calculations and drawings and shop drawings, for the discrete design element or component.

**Designated Significant Subcontract** means each contract entered into by the Tunnelling Contractor and a Designated Significant Subcontractor for the performance of the Tunnelling Contractor's Activities.

**Designated Significant Subcontractor** means each Subcontractor set out in Part 1 of Schedule E8 and any other Subcontractor that the parties agree in writing from time to time is a Designated Significant Subcontractor.

**Detailed Site Investigation** means a detailed investigation of Contamination performed and reported in accordance with all guidelines made or approved by the EPA including the National Environment Protection (Assessment of Site Contamination) Measure 1999 and the Contaminated Land Guidelines: Consultants Reporting on Contaminated Land (NSW EPA, 2020).

**Determination** has the meaning given in clause 27.8(h).

**Determination Timeframe** has the meaning given in clause 27.8(h).

**Developed IP Rights** has the meaning given in clause 16.6(b)(i).

**Digital Engineering** means a collaborative way of working, using digital processes, to enable more productive methods of planning, designing, constructing, operating and maintaining assets. This is achieved by aligning the management of computer-aided design (CAD), geographic information systems (GIS), building information models (BIM), documentation management systems and project controls.

**Digital Engineering Execution Plan** means the Project Plan referred to as the DEXP in section 5.1.13 of the General Specification, as updated from time to time in accordance with clause 13.4.

**Direct Base Salary** has the meaning given in paragraph 3 of Schedule E2.

**Direction** means any certificate, decision, demand, determination, direction, instruction, order, rejection, request or requirement.

**Dispute** has the meaning given to that term in clause 27.1.

**Dispute Avoidance Process** means the process set out in clause 7A of the IDAR Panel Agreement.

**Dispute Procedure** means the procedure for the resolution of Disputes set out in clause 27.

**Draft Corrective Action Plan** has the meaning given in clause 26.5(a).

**Draft Third Party Agreement** has the meaning given in clause 14.29(a)(iii) and includes the Pro-forma Adjoining Property Owner Agreement.

**Due Diligence Breach** has the meaning given in clause 26.5(a).

**Early Site Access Date** means, in respect of a part of the Construction Site, the date specified as the "Early Site Access Date" for that part of the Construction Site in the Site Access Schedule.

**Early Site Access Payment** means the amounts set out in Part E of Schedule E2.

**Easement for Crane Access** means an easement for crane access in respect of an Adjoining Property that the Principal has acquired by compulsory process under the *Land Acquisition (Just Terms Compensation) Act 1991* (NSW).

**Easement for Rock Anchors** means an easement for rock anchors in respect of an Adjoining Property that the Principal has acquired by compulsory process under the *Land Acquisition (Just Terms Compensation) Act 1991* (NSW).

**Easement for Safety Structure** means an easement for the installation of a safety structure in respect of an Adjoining Property that the Principal has acquired by compulsory process under the *Land Acquisition (Just Terms Compensation) Act 1991* (NSW).

**Easement for Scaffolding** means an easement for scaffolding in respect of an Adjoining Property that the Principal has acquired by compulsory process under the *Land Acquisition (Just Terms Compensation) Act 1991* (NSW).

**Emissions and Energy Data** means:

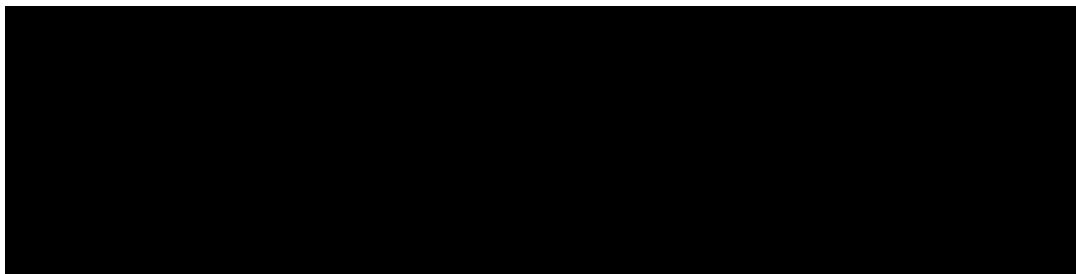
- (a) any Data of the type that a registered corporation or any other person is required by the NGER Legislation to keep or to provide to the Clean Energy Regulator concerning greenhouse gas emissions, energy production or energy consumption;
- (b) any Data of the type that a registered corporation or any other person is entitled to provide to the Clean Energy Regulator under the NGER Legislation concerning reduction of greenhouse gas emissions, removal of greenhouse gases or offsets of greenhouse gas emissions from any greenhouse gas project; and
- (c) any other Data concerning environmental emissions or energy production, use, consumption or efficiency of the type that any person is required by any other Law to keep or to provide to any Authority.

**Encumbrance** means a mortgage, charge, pledge, lien, security interest, lease, title retention, preferential right, trust arrangement, contractual right of set-off and any other encumbrance, security agreement or arrangement in favour of any person, including any Security Interest.

**Environment** means components of the earth, including:

- (a) land, air and water;
- (b) any layer of the atmosphere;
- (c) any organic or inorganic matter and any living organism;
- (d) human-made or modified structures and areas; and
- (e) interacting natural ecosystems that include components referred to in paragraphs (a) to (c) (inclusive) of this definition.

**Environment Protection Licence** means an environment protection licence granted under the POEO Act.



**Environmental Management System** means any environmental management systems required by the Sydney Metro Construction Environmental Management Framework (CEMF) (SM ES-ST-204).

**Environmental Manager** means the person appointed to that position under clause 20.2(b) as at the date of this deed or any person appointed as a replacement under clause 20.2(b).

**Environmental Notice** means any notice (including any notice of an intention to issue an order under the EP&A Act), order or request for information issued by an Authority in respect of a matter concerning the Environment.

**Environmental Representative or ER** means

appointed by the Principal under a separate contract and any person appointed by the Principal as a replacement from time to time, as notified in writing to the Tunnelling Contractor.

**EPA** means the Environment Protection Authority constituted by the *Protection of the Environment Administration Act 1991* (NSW).

**EP&A Act** means the *Environmental Planning and Assessment Act 1979* (NSW).

**Excavated Natural Material (ENM)** means Waste which is excavated natural material in accordance with *The excavated natural material order 2014* (NSW EPA Resource Recovery Order under Part 9, Clause 93 of the *Protection of the Environment Operations (Waste) Regulation 2014* (NSW)).

**Excepted Risk** means:

- (a) war (declared or undeclared), revolution, insurrection, civil commotion, military action, an act of public enemy or an act of sabotage, in each case occurring within Australia;

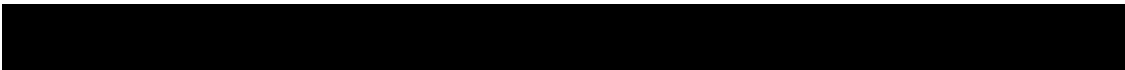
- (b) a terrorist act as defined in section 3 of the *Terrorism Insurance Act 2003* (Cth) occurring within Australia (other than a declared terrorist incident as defined in section 3 of the *Terrorism Insurance Act 2003* (Cth)); or
- (c) ionising radiations or contamination by radioactivity from any nuclear fuel or from any nuclear waste from the combustion of nuclear fuel, in each case occurring within Australia and only to the extent not caused by the Tunnelling Contractor or its Associates.

**Excluded Claim** means any Claim:

- (a) arising out of a Change in Law under clause 8.3(b);



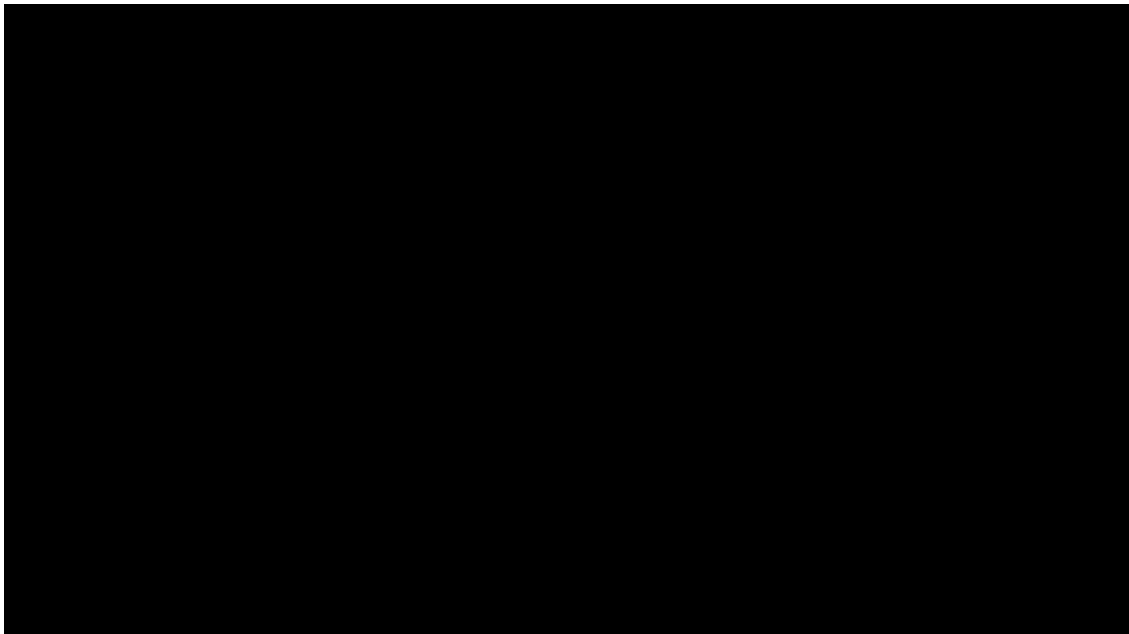
- (c) for a Change directed by the Principal's Representative pursuant to clause 8.4(a)(ii)(B) arising out of a Change in Codes and Standards;
- (d) arising out of a change in the Planning Approvals under clause 8.5(b);
- (e) arising out of a Site Investigation Location Difference under clause 14.13(c);
- (f) for a Change to which clause 17.8 applies;
- (g) for an extension of time under clause 21.6;
- (h) for payment under clause 22; and



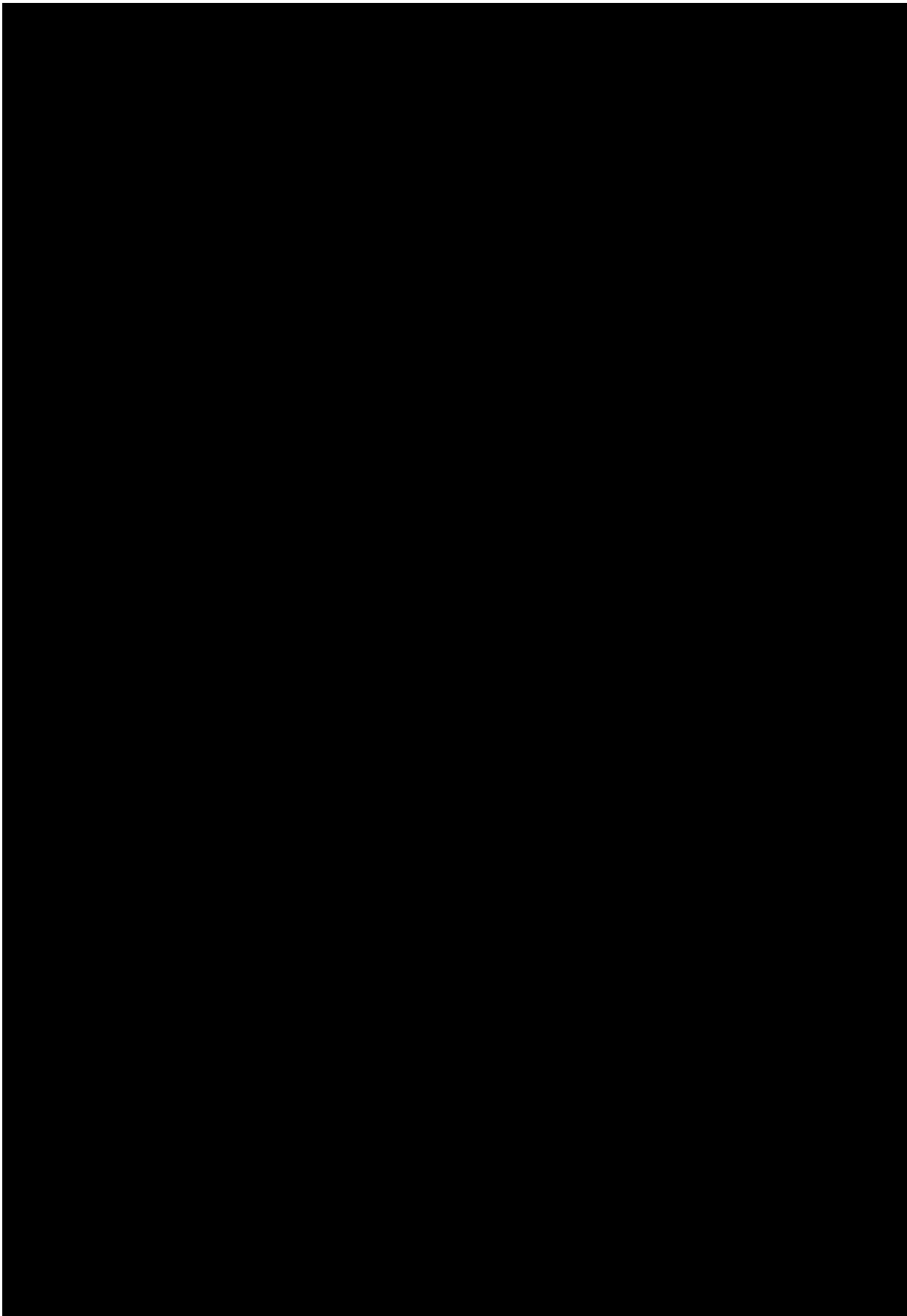
**Excluded Costs** has the meaning given to that term in section 4 of Part B of Schedule E1.

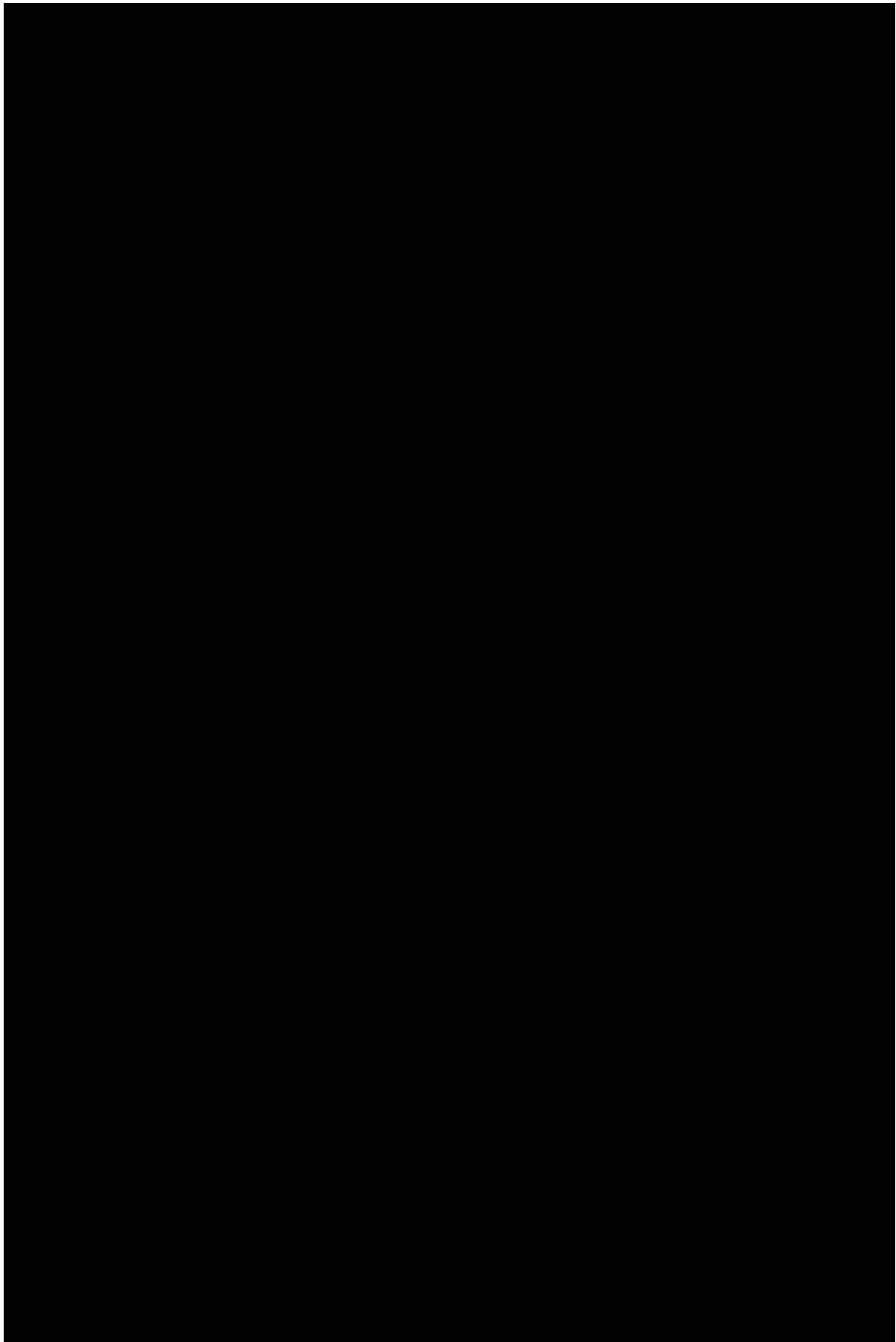
**Exclusion Sanction** has the meaning given to that term in subsection 3(3) of the Building Code.

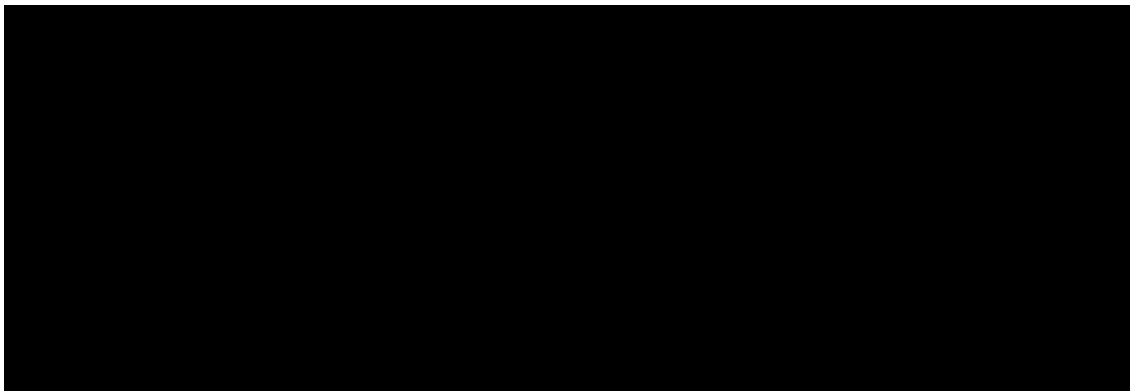
**Excusable Cause of Delay** means:









**Executive** means:

- (a) in respect of the Principal:
  - (i) [REDACTED] (Project Director, Sydney Metro West); and
  - (ii) up to two other persons nominated by the Principal; and
- (b) in respect of the Tunnelling Contractor:
  - (i) for John Holland Pty Ltd, [REDACTED];
  - (ii) for CPB Contractors Pty Limited, [REDACTED]; and
  - (iii) for Ghella Pty Ltd, [REDACTED],

or such other persons as may be notified by the relevant party in writing to the other party from time to time, provided in the case of the Tunnelling Contractor that such other persons meet the requirements set out in clause 27.7(e).

**Existing Operations** means:

- (a) all infrastructure (including existing infrastructure, infrastructure that is under construction and Utility Services) which is owned, operated or under the control of an Existing Operator; and
  - (b) the businesses and operations undertaken by an Existing Operator,
- on or in the vicinity of the Construction Site.

**Existing Operator** means:

- (a) Transport Asset Holding Entity;
- (b) Sydney Trains;
- (c) NSW Trains;
- (d) TfNSW Greater Sydney Division;
- (e) Ausgrid;
- (f) Sydney Water;
- (g) Jemena;
- (h) Telstra;

- (i) NBN Co;
- (j) Optus;
- (k) Planning Ministerial Corporation;
- (l) Endeavour Energy;
- (m) Australia Turf Club;
- (n) Metro Trains Sydney;
- (o) Sydney Light Rail Contractor;
- (p) OpCo2 (C&SW); or
- (q) any other person who owns, operates or controls any infrastructure (including existing infrastructure, infrastructure that is under construction and the Utility Services) or undertakes any business or operation on or in the vicinity of the Construction Site,

and any of their related bodies corporate (as that term is defined in section 9 of the Corporations Act) and contractors.

**Expert** means a person(s) agreed by the parties (or otherwise selected) in accordance with clause 27.8(e) to determine a Dispute.

**Extra Land** means the land and buildings referred to in clause 14.6(a)(i) and includes any Adjoining Property Extra Land and any TPA Extra Land.

**Final Certificate** means the certificate issued by the Principal's Representative pursuant to clause 21.17(b).

**Final Completion** means that stage where:

- (a) all Defects Correction Periods have expired; and
- (b) all Defects that are the subject of a Direction by the Principal's Representative pursuant to clause 19.2(a) have either been accepted pursuant to clause 19.2(a)(iii) or otherwise rectified or overcome in accordance with clause 19.2(a).

**Final Inspection** has the meaning given in clause 19.14(a).

**Financial Assessment** has the meaning given to that term in clause 29.2(a).

**Financial Auditor** means the financial auditor appointed under clause 10.7(c).

**Financial Capacity Event** means any fact, matter or thing which, in the opinion of the Principal (acting reasonably), has or may have a material adverse effect upon the financial standing of the Tunnelling Contractor (or any entity that comprises the Tunnelling Contractor), any Tunnelling Contractor Guarantor or any Designated Significant Subcontractor.

**Financial Mitigation Plan** means a plan which satisfies the requirements of clause 29.4 for the mitigation of a Financial Capacity Event.

**Financial Reporting Event** means any of the following events, as applicable to the Tunnelling Contractor (or any entity that comprises the Tunnelling Contractor), any Tunnelling Contractor Guarantor or any Designated Significant Subcontractor:

- (a) a substantial downgrade in any applicable credit rating;
  - (b) a significant loss suffered or incurred;
  - (c) a material statutory fine or statutory financial penalty;
  - (d) a profit warning to a stock exchange or the making of any public announcement regarding a material deterioration in financial position or prospects;
  - (e) a public investigation into improper financial accounting and reporting or suspected fraud;
  - (f) a material refinancing (other than a material refinancing in the ordinary course of business);
  - (g) a failure to pay a Significant Subcontractor (other than for reason of a bona fide dispute);
  - (h) any financial indebtedness becoming due as a result of an event of default; or
  - (i) its external auditor expressing a qualified opinion in relation to its audited accounts,
- provided that, in the case of a Listed Entity, the relevant event has been Publicly Notified or is Reportable Information.

**Force Majeure Event** means any of the following:

- (a) an Excepted Risk;
  - (b) a declared terrorist incident as defined in section 3 of the *Terrorism Insurance Act 2003* (Cth) occurring within Australia;
  - (c) a terrorist act as defined in section 3 of the *Terrorism Insurance Act 2003* (Cth) occurring within a Key Plant and Equipment Manufacturing Country;
  - (d) an earthquake, tsunami, typhoon, cyclone or hurricane occurring within Australia or a Key Plant and Equipment Manufacturing Country;
  - (e) a flood which might at the date of this deed be expected to occur less frequently than once in every 100 years (based on the 1:100 year average recurrence interval flood event) occurring within Australia or a Key Plant and Equipment Manufacturing Country;
  - (f) a fire or explosion resulting from an event referred to in:
    - (i) paragraphs (a) or (b) above occurring within Australia;
    - (ii) paragraph (c) above occurring within a Key Plant and Equipment Manufacturing Country; or
    - (iii) paragraphs (d) or (e) above in each case occurring within Australia or a Key Plant and Equipment Manufacturing Country; or
  - (g) a landslide resulting from an event referred to in paragraphs (d) or (e) above in each case occurring within Australia or a Key Plant and Equipment Manufacturing Country,
- which:
- (h) is beyond the reasonable control of the Tunnelling Contractor and its Associates; and

- (i) prevents or delays the Tunnelling Contractor from performing an obligation under the Tunnelling Contract Documents, where that event or the consequence of that event does not arise from any act or omission of the Tunnelling Contractor or its Associates (including from any breach by the Tunnelling Contractor of a term of a Tunnelling Contract Document).

**Framework Principles** has the meaning given in the Master Interface Deed.

**General Solid Waste (Non-Putrescible)** means Waste which is general solid waste (non-putrescible) as defined in the Waste Classification Guidelines.

**General Solid Waste (Putrescible)** means Waste which is general solid waste (putrescible) as defined in the Waste Classification Guidelines.

**General Specification** means the section of the Tunnelling Specification of that name.

**Geotechnical Reports** means the geotechnical reports listed in Schedule A22.

**GIPA Act** means the *Government Information (Public Access) Act 2009* (NSW).

**Good Industry Practice** means that degree of skill, care, prudence, foresight and practice which would reasonably be expected from time to time of a skilled and experienced person, engaged in the same or similar type of undertaking as that of the Tunnelling Contractor or its Associates in Australia, as the case may be, under the same or similar circumstances as the performance of the Tunnelling Contractor's Activities and which includes compliance with all Laws, including in relation to the Environment and all guidelines made or approved by the EPA.

**Government Policies** means the NSW Guidelines, WHS Management Systems and Auditing Guidelines, Aboriginal Procurement Policy (January 2021), Environmental Management Systems Guidelines (4th edition) (December 2019), Quality Management Guidelines: Construction Procurement (December 2019), Government Resource Efficiency Policy (GREP), the NSW Government Supplier Code of Conduct, any policies and procedures published on the website "<https://www.transport.nsw.gov.au/about-us/access-to-information/policy-documents>" (or any replacement website established by the NSW Government to provide the same or similar information regarding approved policies and procedures) and any other NSW Government or Commonwealth Government guidelines, policies and requirements specified or required by this deed or by Law.

**GST** has the same meaning as "GST" has in the GST Law.

**GST Law** has the same meaning as "GST law" has in the *A New Tax System (Goods and Services Tax) Act 1999* (Cth).

**Handover Works** means those works referred to as the Handover Works in section 2.2.2 of the Particular Specification (and including, to the extent relevant to such works, Changes directed in accordance with this deed).

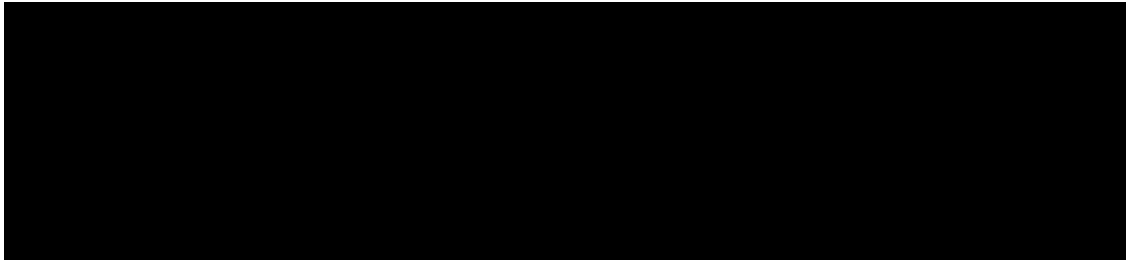
**Harbour Master** means a person appointed to exercise functions of the harbour master for Sydney Harbour under section 85 of the *Marine Safety Act 1998* (NSW).

**Hazardous Chemical** has the meaning given in the WHS Regulation.

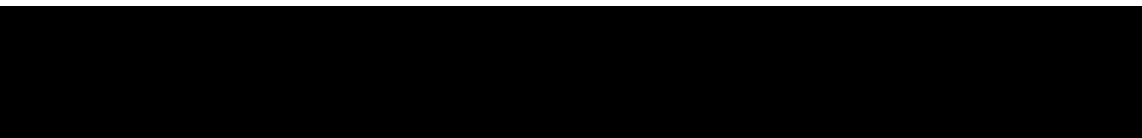
**Hazardous Material** means any natural or artificial substance whether solid, liquid or gas (alone or in combination with any other substance), which is toxic, flammable or otherwise capable of causing harm to humans or damage to the Environment and which is present in a building, structure or facility that is the subject of the Demolition Works or in any debris created by the Demolition Works, including asbestos, toluene, polychlorinated biphenyls, lead based paints, hydrofluorocarbons and hydrocarbons.

**Hazardous Waste** means Waste which is classified as hazardous waste in accordance with the Waste Classification Guidelines.

**Heavy Vehicle National Law** means the *Heavy Vehicle National Law (NSW) No. 42a* and all associated regulations.



**Hold Point** means a point beyond which a work process must not proceed without the authorisation or release of a designated authority.



**IC Project Plans** means:

- (a) the Systems Engineering Management Plan;
- (b) the Assurance and Governance Plan;
- (c) the Construction and Site Management Plan;
- (d) the Construction Environmental Management Plan and sub-plans, including the Construction Heritage Management Plan and the Construction Traffic Management Plan;
- (e) the Digital Engineering Execution Plan; and
- (f) the Integration Management Plan,

which are to be provided to the Independent Certifier in accordance with clause 13.3(a).

**IDAR Panel** means the Independent Dispute Avoidance and Resolution Panel which has been, or will be, constituted under the IDAR Panel Agreement, referred to in clause 27.

**IDAR Panel - Dispute Referral Notice** has the meaning given in clause 27.3(a).

**IDAR Panel Agreement** means the agreement substantially in the form which appears in Schedule A17.

**IDAR Panel Agreement Accession Deed Poll** means an accession deed poll substantially in the form of Schedule 1 of the IDAR Panel Agreement.

**Identified Utilities Register** means:

- (a) the register of Utility Services referred to in Section A of Schedule D18; and
- (b) any investigation reports and supplementary material referred to in Section B of Schedule D18.

**IE Level Dispute** has the meaning given in clause 27.5(a).

**Impact Date** has the meaning given in clause 9.5(a)(i).

**Incident** means any of the following incidents or events arising out of or in connection with the Tunnelling Contractor's Activities:

- (a) any work health and safety, environmental or security incident including:
  - (i) a fatality or injury to any person including any incident which must be reported to SafeWork NSW, ONRSR, or other work health and safety regulator;
  - (ii) an occurrence or set of circumstances as a consequence of which pollution (air, water, noise or land) or an adverse environmental impact has occurred or is likely to occur;
  - (iii) any fire or dangerous event on the Construction Site or Extra Land;
  - (iv) a security breach;
  - (v) any unauthorised removal of trees;
  - (vi) any incident involving the community;
  - (vii) any accidents involving damage to persons or property occurring upon or in the vicinity of the Construction Site or any Extra Land or in the supply chain where the Chain of Responsibility Provisions apply;
  - (viii) a non-compliance with an Approval;
  - (ix) any public complaint; or
  - (x) any incident defined in the Sydney Metro Principal Contractor Health and Safety Standard; or
- (b) any unplanned and/or undesired event which results in or has the potential to result in injury, ill-health, damage to or loss of property, interruption to operations or environmental impairment,

and includes:

- (c) a near miss, breach of procedure, quality failure and/or injuries to contractors and members of the public; and
- (d) a "notifiable incident" under the WHS Legislation and a "notifiable occurrence" under the Rail Safety National Law.

**Incomplete Portion** has the meaning given in clause 12.3(a).

**Independent Acoustics Advisor** means [REDACTED] appointed by the Principal under a separate contract and any person appointed by the Principal as a replacement from time to time, as notified in writing to the Tunnelling Contractor.

**Independent Certifier** means together Systra (387 949 530 R.C.S. Paris) of Level 4, 83 York Street, Sydney NSW 2000 and Kellogg Brown & Root Pty Ltd (ABN 91 007 660 317) of 186 Greenhill Road, Parkside SA 5063 or such other person(s) as may be engaged by the Principal, the Tunnelling Contractor and, if it accedes to the Independent Certifier Deed, OpCo in accordance with the Independent Certifier Deed.



**Independent Certifier Deed** means the deed entered into between the Tunnelling Contractor, the Principal, and the Independent Certifier and to which OpCo may accede, dated on or about the date of this deed and substantially in the form of Schedule A10.

**Independent Estimator** means [REDACTED] or such other person(s) as may be engaged by the Principal and the Tunnelling Contractor.

**Independent Estimator Deed** means the deed to be entered into between the Tunnelling Contractor, the Principal and the Independent Estimator, substantially in the form of Schedule A36.

**Independent Property Impact Assessment Panel** means the "Independent Property Impact Assessment Panel" established by the Principal for the purpose of Sydney Metro West in accordance with the requirements of the Project Planning Approval.

**Independent Safety Assessor** means the independent safety assessor appointed in accordance with clause 10.7(a), or any replacement notified in writing to the Tunnelling Contractor by the Principal's Representative.

**Independent Safety Assessment** means an independent safety assessment undertaken in accordance with the General Specification.

**Information Document** means any information, data, document or material (in any format or medium including any electronic form and whether oral or written) which is:

- (a) referred to in Schedule A21; or
- (b) either:
  - (i) issued or made available by, or on behalf of, the Principal or the NSW Government to the Tunnelling Contractor or its Associates in connection with the Invitation for Expressions of Interest, Request for Tender, the Project Works, the Tunnelling Contractor's Activities or Sydney Metro West (including anything issued or made available through the Principal's website):
    - (A) which at the time of issue (or being made available) was expressly classified or stated to be an "Information Document"; or
    - (B) regardless of whether or not it was expressly classified or stated to be an "Information Document"; or
  - (ii) referred to, or incorporated by reference, in an Information Document, unless such information, data, document or material is otherwise expressly stated to form part of this deed; and

whether issued or made available:

- (c) on, before or after the date of submission of the Tender (including any such information, data, document or material made available as part of the expression of interest phase); or
- (d) on, before or after the date of execution of this deed,

other than any information, data, document or material which the Principal is obliged by the terms of this deed to provide to the Tunnelling Contractor and the Tunnelling Contractor is expressly obliged by the terms of this deed to rely on.

**Initial Cost Breakdown** means the initial cost breakdown set out in Part 2 of Schedule E11.

**Initial Tender Design** means the documents set out in Schedule A28 prepared by the Principal for Sydney Metro West as provided to the Tunnelling Contractor as Information Documents.

**Initial Payment** means the initial payment of [REDACTED] to be made by the Principal to the Tunnelling Contractor in accordance with clause 22.15.

**Insolvency Event** means:

- (a) a controller (as defined in section 9 of the Corporations Act), administrator or similar officer is appointed in respect of a person or any asset of a person;
- (b) a liquidator or provisional liquidator is appointed in respect of a person;
- (c) any application (not withdrawn or dismissed within 7 days) is made to a court for an order, an order is made, a meeting is convened or a resolution is passed, for the purpose of:
  - (i) appointing a person referred to in paragraph (a) or (b) of this definition;
  - (ii) winding up or deregistering a person; or
  - (iii) proposing or implementing a scheme of arrangement, other than with the prior written approval of the Principal under a solvent scheme of arrangement pursuant to Part 5.1 of the Corporations Act;
- (d) any application (not withdrawn or dismissed within 7 days) is made to a court for an order, a meeting is convened, a resolution is passed or any negotiations are commenced, for the purpose of implementing or agreeing:
  - (i) a moratorium of any debts of a person;
  - (ii) any other assignment, composition or arrangement (formal or informal) with a person's creditors; or
  - (iii) any similar proceeding or arrangement by which the assets of a person are subjected conditionally or unconditionally to the control of that person's creditors or a trustee,or any agreement or other arrangement of the type referred to in this paragraph (d) is ordered, declared or agreed to;
- (e) as a result of the operation of section 459F(1) of the Corporations Act, a person is taken to have failed to comply with a statutory demand (as defined in the Corporations Act);
- (f) any writ of execution, garnishee order, mareva injunction or similar order, attachment or other process is made, levied or issued against or in relation to any asset of a person;
- (g) anything analogous to anything referred to in paragraphs (a) to (f) (inclusive) of this definition, or which has a substantially similar effect, occurs with respect to a person under any law; or

- (h) a person is, or admits in writing that it is, or is declared to be, or is taken under any applicable law to be (for any purpose), insolvent or unable to pay its debts.

**Integration Management Plan** means the Project Plan referred to as the 'Integration Management Plan' in section 5.1.15 of the General Specification, as updated from time to time in accordance with clause 13.4.

**Intellectual Property Right** means all present and future rights conferred by law in or in relation to inventions, patents, designs, circuit layouts, copyright, confidential information, trade marks, designs, plant varieties, business and domain names and other results of intellectual activity in the industrial, commercial, scientific, literary or artistic fields whether or not registrable, registered or patentable. These rights include:

- (a) all rights in all applications to register these rights;
- (b) all renewals and extensions of these rights; and
- (c) all rights in the nature of these rights, excluding Moral Rights.

**Interface Contract** means a contract between the Principal and an Interface Contractor for Interface Works and includes the OpCo Project Deed, any Station Contract, any Line-wide Contract, the Central Tunnelling Contract, the Martin Place Station Contract, the Martin Place OSD Contract, the Line-wide Contract (C&SW) and the OpCo2 Project Deed (C&SW).

**Interface Contractor** means any contractor engaged by the Principal or its Associates to do Interface Work on or adjacent to the Construction Site (including their respective subcontractors all the way down the contracting chain) including:

- (a) OpCo;
- (b) any Station Contractor;
- (c) any Line-wide Contractor;
- (d) any Alternate Operator;
- (e) the Central Tunnelling Contractor; and
- (f) any OSD Developer;
- (g) the Martin Place Station Contractor;
- (h) the Martin Place OSD Contractor;
- (i) the Line-wide Contractor (C&SW); and
- (j) OpCo2 (C&SW),

but not including the Tunnelling Contractor and its Subcontractors.

**Interface Works** means any works or design services or the provision of any materials, plant, equipment, machinery, systems or other infrastructure, or the provision of any

operation or maintenance activities required for Sydney Metro West or Sydney Metro City & Southwest, to be performed by an Interface Contractor.

**Interim Site Audit Advice** means formal, written advice provided by an Accredited Site Auditor confirming that a Detailed Site Investigation, Remediation Action Plan (and any addenda), Validation Report or Remediation activity (as applicable) has been performed in accordance with guidelines made or approved by the EPA and is free from significant errors and omissions.

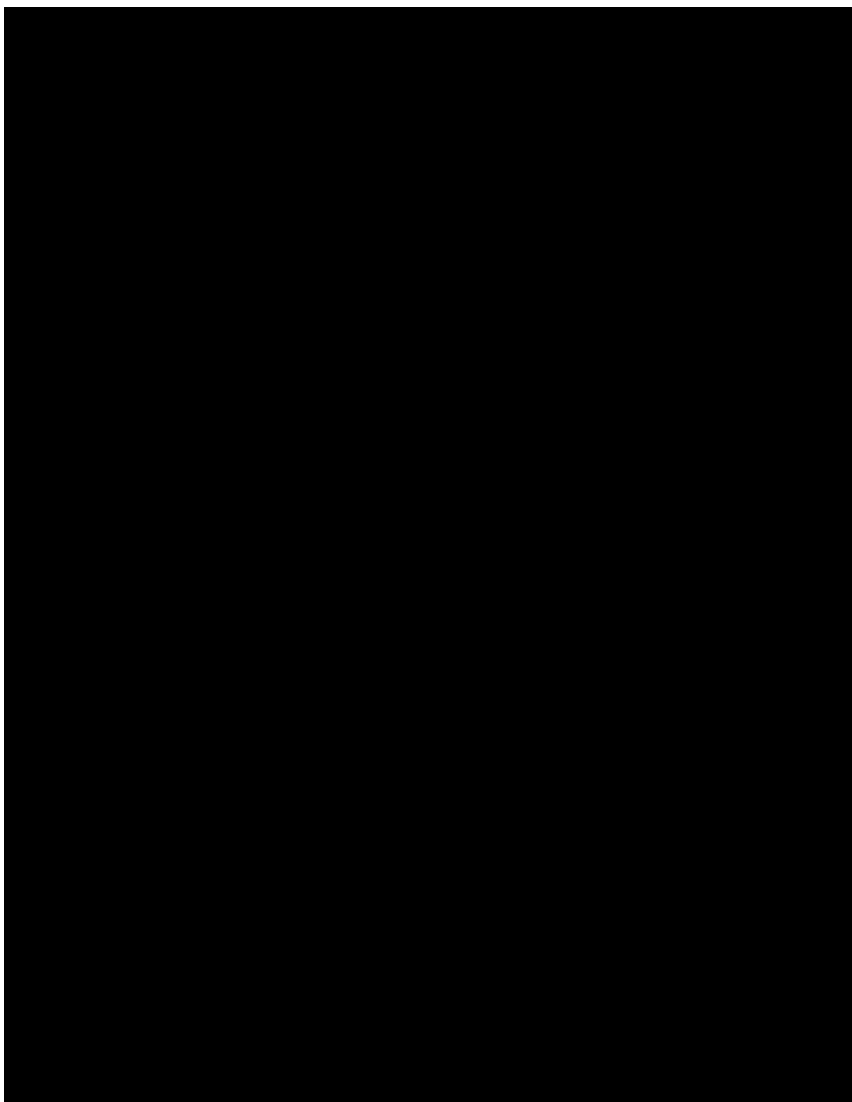
**Investigative Authority** means any Authority having a statutory right to investigate:

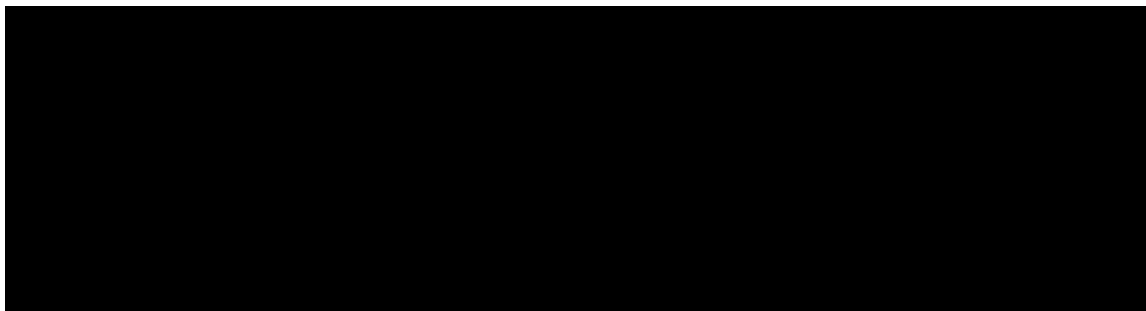
- (a) the Tunnelling Contractor's Activities, the Project Works or Sydney Metro West; or
- (b) any activities of the Principal which are affected by the Tunnelling Contractor's Activities, the Project Works or Sydney Metro West,

including ATSB, ONRSR and OTSI.

**Jemena** means Jemena Limited ABN 95 052 167 405.

**Key Plant and Equipment** means [REDACTED]





**Key Plant and Equipment Amount** means the lump sum amount specified in Part A of Schedule E1 for the Key Plant and Equipment.

**Key Plant and Equipment Manufacturing Country** means [REDACTED]  
[REDACTED]  
to the extent that the Tunnelling Contractor or its Associates is manufacturing the Key Plant and Equipment in those countries.

**Key Professional Services** means [REDACTED]  
[REDACTED]

**KP&E Residual Value Adjustment Month** means each month that is identified as such in Schedule E16.

**KP&E Residual Value Amount** means, in respect of each KP&E Residual Value Adjustment Month, the applicable amount for that KP&E Residual Value Adjustment Month stated in Schedule E16.

**Law** means:

- (a) Commonwealth, New South Wales or local government legislation including regulations, by-laws and other subordinate legislation;
- (b) principles of law or equity established by decisions of courts; and
- (c) Approvals (including any condition or requirement under them).

**Liability** includes any liability of any kind whether for debt, cost (including legal costs, deductibles or increased premiums), expense, loss, damage, compensation or charge and whether:

- (a) liquidated or not;
- (b) arising from or in connection with any obligation (whether as a principal obligation, a surety or an indemnity);
- (c) legal or equitable, and whether arising under or for breach of contract, in tort (including negligence), restitution or at Law;
- (d) present, prospective or contingent;
- (e) owed, incurred or imposed by or to or on account of or for the account of any person alone or severally or jointly with another or others;
- (f) under, arising out of, or in any way in connection with, this deed, including any Direction of the Principal's Representative;

- (g) arising out of, or in any way in connection with the Project Works or the Tunnelling Contractor's Activities or either party's conduct before or after the date of this deed; and
- (h) otherwise at Law including:
  - (i) by statute;
  - (ii) in tort for negligence or otherwise, including negligent misrepresentation; and
  - (iii) for restitution (as a result of unjust enrichment or otherwise).

**Line-wide Contract** means a deed to be entered into between the Principal and a Line-wide Contractor for the design, construction, installation and/or commissioning of any line-wide components of Sydney Metro West which may include track and tunnel services, service facility and cross passage fitout, tunnel ventilation systems, high voltage supply and distribution, traction power supply and overhead conductor rail, drainage, mechanical and fire & life safety systems and the Clyde maintenance and stabling facility including the operations control centre building.

**Line-wide Contract (C&SW)** means the deed between the Principal and the Line-wide Contractor (C&SW) for the design, construction, installation and/or commissioning of any line-wide components of Sydney Metro City and Southwest which may include track and tunnel services, service facility and cross passage fitout, tunnel ventilation systems, high voltage supply and distribution, traction power supply and overhead conductor rail, drainage and mechanical and fire & life safety systems.

**Line-wide Contractor** means any entity selected by the Principal to design, construct, install and/or commission any physical works under a Line-wide Contract.

**Line-wide Contractor (C&SW)** means the unincorporated joint venture between CPB Contractors Pty Limited (ABN 98 000 893 667) and UGL Engineering Pty Limited (ABN 96 096 365 972), or any replacement entity engaged by the Principal and notified to the Tunnelling Contractor in writing.

**Listed Entity** means a company or other body which is included in the official list of ASX Limited ACN 008 624 691 [REDACTED]

**Local Area Works** means:

- (a) the modification, reinstatement and improvement of Local Areas which the Tunnelling Contractor must design and construct and hand over to the Principal or the relevant Authority in accordance with this deed and the Tunnelling Specification (including section 2.1.3.2 of the Particular Specification); and
- (b) the Roads Interface Agreement Road Works, the Sydney Trains Protection Zone Works and the Sydney Trains Works,

and including, to the extent relevant to such works, Changes directed in accordance with this deed.

**Local Areas** means all public spaces, parks, pedestrian ways, pedal cycle paths, local roads, state highways, regional roads and main roads, including their associated road reserves, which are adjacent to, connect to, intersect, cross, or are in any way affected by the Project Works or Temporary Works, including those sections of public spaces, parks, pedestrian ways, pedal cycle paths, local roads, state highways, regional roads and main roads,

including any associated road reserves, that are made redundant or become service roads as part of the road network.

**Loss** means any cost, expense, loss, damage, Liability, fine, penalty or other amount, whether direct, indirect, consequential, present, future, fixed, unascertained, actual or contingent and, for the avoidance of doubt, includes Consequential Loss.

**LRS** means Land Registry Services.

**Major Australian Bank** means Australia and New Zealand Banking Group Limited, Westpac Banking Corporation, National Australia Bank Limited and Commonwealth Bank of Australia.

**Major Change** means a Change that is stated by the Principal's Representative to be a "Major Change" in a Change Proposal Request issued under clause 17.5(a) or Change Order issued under clause 17.7(a).

**Major Excavation Area** means:

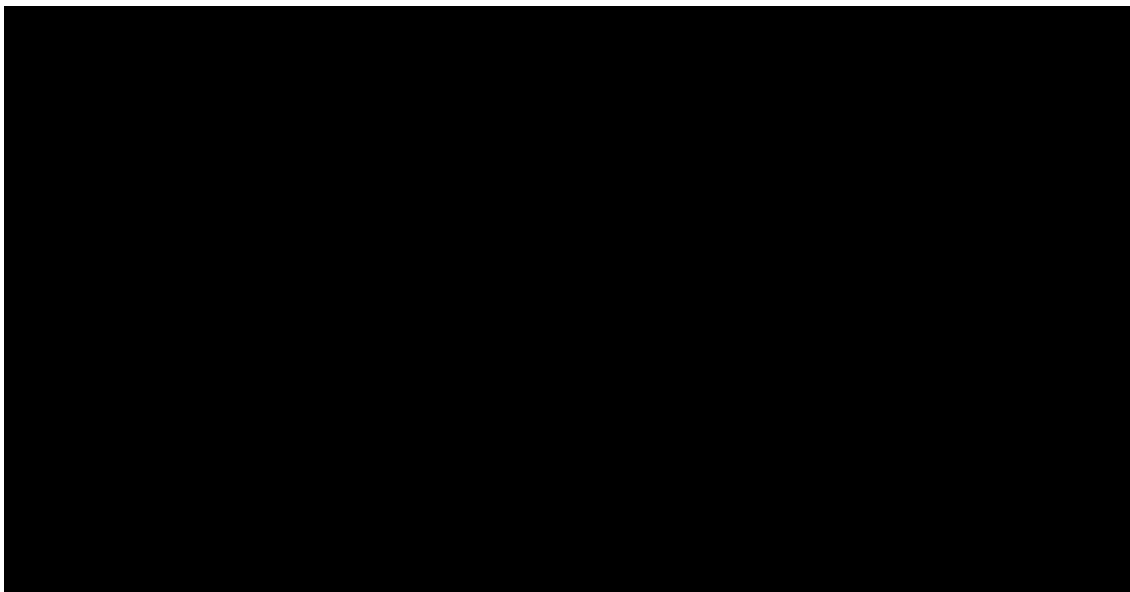
- (a) each Station Shaft Excavation Area; and
- (b) each other area or part of the Construction Site where the required volume of spoil excavation and offsite disposal from surface excavations will exceed 1000m<sup>3</sup> of spoil that is disposed to landfill.

**Making Accessible** has the meaning given in clause 21.9(a)(iv).

**Management Fee** means the lump sum amount set out in Schedule E1, which is on account of those items listed in section 3 of Part B of Schedule E1, as adjusted by any Management Fee Adjustment for any Management Fee Adjustment Events.

**Management Fee Adjustment** means an adjustment to the Management Fee in respect of a Management Fee Adjustment Event, as agreed by the parties, as calculated in accordance with clause 5 of Schedule E9 or determined under clause 27, which may be a positive or negative amount.

**Management Fee Adjustment Event** means:



**Management Fee Percentage** means the percentage described as the "Management Fee Percentage" in section 4 of Part A of Schedule E1.

**Management Review Group** means the group referred to in clause 20.5.

**Mandatory Defect** means a Defect which has been notified by the Principal's Representative under clause 19.2(a)(i) at any time before the date that is 28 days prior to the estimated:

- (a) Date of Milestone Achievement specified in a notice given under clause 21.11(a)(iii) in respect of any relevant Milestone; or
- (b) Date of Substantial Completion specified in a notice given under clause 21.12(a)(iii) in respect of any relevant Portion,



but does not include an Accepted Defect, an Agreed Defect, or a Minor Defect that is not reasonably capable of correction within the 28 day period contemplated by this definition.

**Manifest Error** has the meaning given in clause 27.5(m).

**Martin Place OSD Contract** means the deed between the Principal and the Martin Place OSD Developer for the development of the overstation development at Martin Place.

**Martin Place OSD Developer** means Macquarie Group Limited (ABN 94 122 169 279), or any replacement entity engaged by the Principal and notified to the Tunnelling Contractor in writing.

**Martin Place Station Contract** means the deed between the Principal and the Martin Place Station Contractor to design and construct the physical works (including mechanical, electrical or systems works component thereof) for the station at Martin Place forming part of Sydney Metro City and Southwest, including station structure and station fitout.

**Martin Place Station Contractor** means Macquarie Group Limited (ABN 94 122 169 279) or any replacement entity engaged by the Principal and notified to the Tunnelling Contractor in writing.

**Master Interface Deed** means a deed entered into (or to be entered into) by the Principal, the Tunnelling Contractor, OpCo and each Interface Contractor nominated by the Principal in the form of Schedule A11.

**Master Interface Deed Accession Deed Poll** means a deed poll in the form contained in Schedule 1 to the Master Interface Deed.

**Material Impact** has the meaning given in the Master Interface Deed.

**Materials** means any equipment, plant, materials, fixtures, fittings, furniture, machinery, goods, parts and other items incorporated or to be incorporated into the Project Works or the Temporary Works.

**Member** has the meaning given to it in the IDAR Panel Agreement.

**Metro Trains Sydney** means Metro Trains Sydney Pty Ltd (ACN 600 820 737) of Level 3, 65 Pirrama Road, Pyrmont NSW 2009.

**Milestone** means a milestone specified in section 3 of Schedule A2.

**Milestone Achievement** means, in respect of a Milestone, the stage in the execution of the Tunnelling Contractor's Activities when the Project Works and the Temporary Works comprising that Milestone have achieved the level of completion required for that Milestone in section 3 of Schedule A2 except for any:

- (a) Minor Defects;
- (b) Accepted Defects; or
- (c) Agreed Defects.

**Milestone Area** means, in relation to a Milestone, the area (if any) identified in the column headed "Milestone Area" in the table contained in section 3 of Schedule A2 including all Project Works and Temporary Works (if any) contained in that area.

**Milestone Area Handover Date** means in respect of a Milestone Area:

- (a) the day after the Date of Milestone Achievement of the Milestone to which the Milestone Area relates; or

- (b) where the Principal's Representative gives written notice under clause 21.16(a) in respect of the Milestone Area, the date notified in the corresponding notice given under clause 21.16(d) in respect of that Milestone Area.

**Minister** for the purposes of clause 32 has the meaning given in the *Building and Construction Industry (Improving Productivity) Act 2016* (Cth).

**Minor Change** means:

- (a) a Change:
- (i) for which the estimated Reimbursable Costs of implementation are less than [REDACTED]; and
  - (ii) that:
    - (A) will not impact upon the Date for Substantial Completion or Date for Completion of any Portion or the Date for Milestone Achievement of any Milestone; and
    - (B) will not jeopardise the Principal's Accreditation or adversely affect the Principal's compliance with the Principal's Accreditation obligations under the Rail Safety National Law and Rail Safety Regulations; or
- (b) any other Change that the parties agree in writing to be a Minor Change.

**Minor Change Allowance** has the meaning given in clause 17.4(a)(ii).

**Minor Change Register** has the meaning given in clause 17.4(b).

**Minor Defect** means a Defect which, at Milestone Achievement or Substantial Completion (as applicable):

- (a) is capable of being corrected:
- (i) after the relevant part of the Construction Site has been handed over to the Principal; and
  - (ii) without causing delay or disruption to the activities that are to be performed by an Interface Contractor under an Interface Contract,
- within the relevant part of the Construction Site; and
- (b) the Independent Certifier determines the Tunnelling Contractor has reasonable grounds for not promptly correcting prior to Milestone Achievement or handover of the relevant Portion to the Principal (as applicable),

but does not include a Mandatory Defect, an Accepted Defect or an Agreed Defect.

**Minor Non-Compliance** means a minor error, minor omission or minor non-compliance which:

- (a) does not:
- (i) prevent the Project Works or the Temporary Works from being at all relevant times fit for their intended purposes;
  - (ii) prevent the achievement of the performance requirements specified in the Tunnelling Specification; or

- (iii) affect the safety of the Project Works or Temporary Works;
- (b) the Principal's Representative or the Independent Certifier (as applicable) determines (acting reasonably) that the Tunnelling Contractor has reasonable grounds for not promptly correcting prior to the certification required to be obtained under this deed; or
- (c) the parties agree is a Minor Non-Compliance.

**Modern Slavery** has the same meaning as in the Modern Slavery Laws and includes slavery, servitude, forced labour, human trafficking, debt bondage, organ trafficking, forced marriage and the exploitation of children.

**Modern Slavery Laws** means, as applicable, the *Modern Slavery Act 2018* (NSW) and the *Modern Slavery Act 2018* (Cth).

**Modern Slavery Offence** has the same meaning as in the *Modern Slavery Act 2018* (NSW), and includes an offence listed from time to time in Schedule 2 to the *Modern Slavery Act 2018* (NSW).

**Modern Slavery Practice** includes any one or more of the following:

- (a) using any form of forced or child labour or deceptive recruitment practices;
- (b) requiring personnel to work excessive hours in the performance of, or in connection with, this deed;
- (c) save for short periods where legally required to do so for the purposes of administering employment, retaining the passports and/or identity documents of personnel or any potential personnel;
- (d) denying personnel the right to terminate their employment or join or form, or discouraging personnel from joining or forming, a trade union if they so desire;
- (e) save where required by law, paying wages to any individual other than personnel; and
- (f) if any personnel are migrant workers, providing migrant workers with any lesser entitlements than given to local employees.

**Moral Rights** means:

- (a) the right of attribution of authorship or performership;
- (b) the right not to have authorship or performership falsely attributed; and
- (c) the right of integrity of authorship or performership,

conferred by the *Copyright Act 1968* (Cth) and rights of a similar nature anywhere in the world, that exist now or that may come to exist in the future.

**MS Information** means any information as to any risks of, and controls in place to mitigate, actual or suspected occurrences of, and remedial action taken in respect of, Modern Slavery but excludes "personal information" as defined in the *Privacy and Personal Information Protection Act 1998* (NSW) or information which tends to identify individuals (as applicable).

**NAC Required Actions** has the meaning given in clause 8.2(b) of Schedule A26.

**NAC Requirements** means the requirements set out in the Tunnelling Specification, including section 5.1.5.3 of the General Specification.

**National Remediation Framework** means the National Remediation Framework (CRC CARE, 2020).

**Native Title Claim** means any claim or application for a determination of native title under the *Native Title Act 1993* (Cth) or any similar Law.

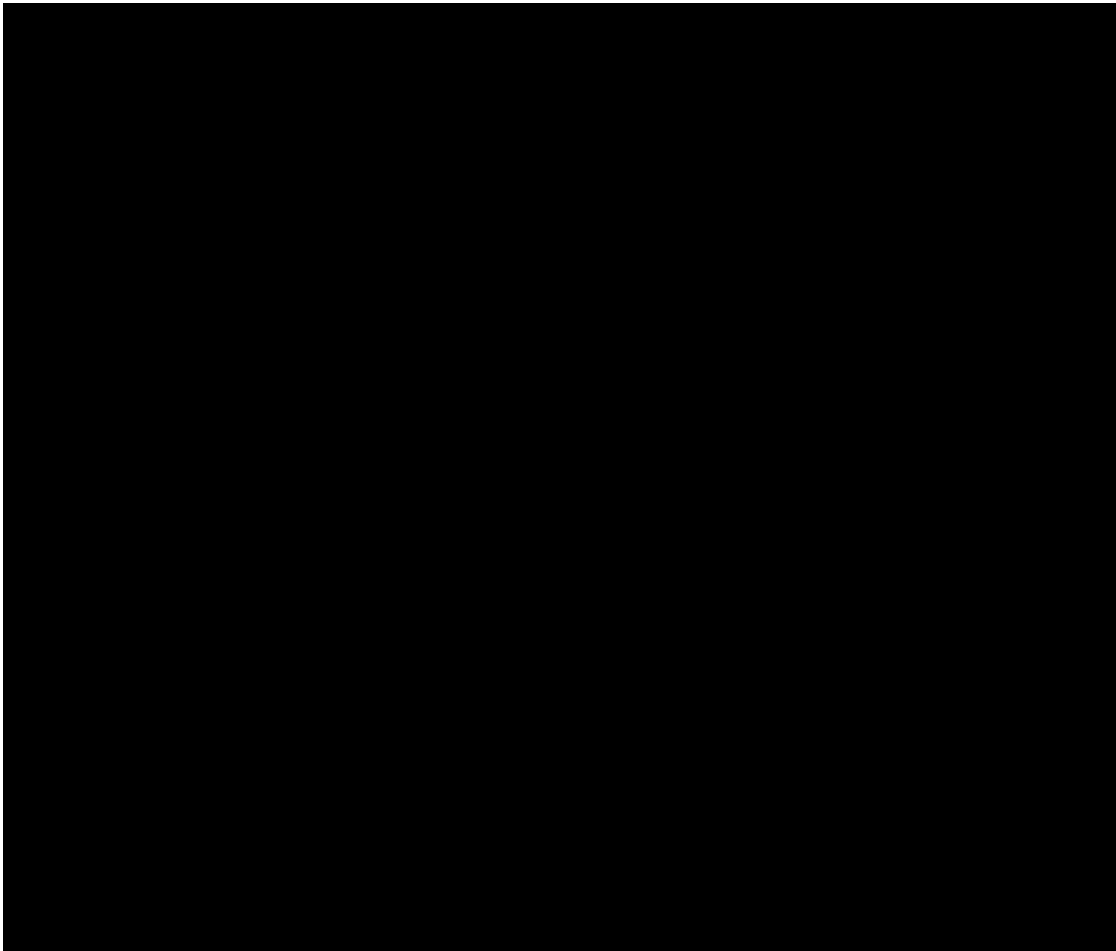
**NBN Co** means the state owned corporation of that name incorporated under the *Corporations Act 2001* (Cth).

**Network Assurance Committee** or **NAC** means the network assurance committee established by the Principal to manage configuration changes for the Sydney Metro delivery office in accordance with the Configuration Management Framework.

**Network Assurance Submission** or **NAS** means a package of documentation meeting the requirements set out in the Tunnelling Specification, including section 3.2.4 of the General Specification.

**NGER Legislation** means the *National Greenhouse and Energy Reporting Act 2007* (Cth) and the regulations and any other legislative instruments under that Act.

**Nominated Early IC Package** means the Design Stage 1 Design Documentation and Design Stage 2 Design Documentation in respect of the following design packages:



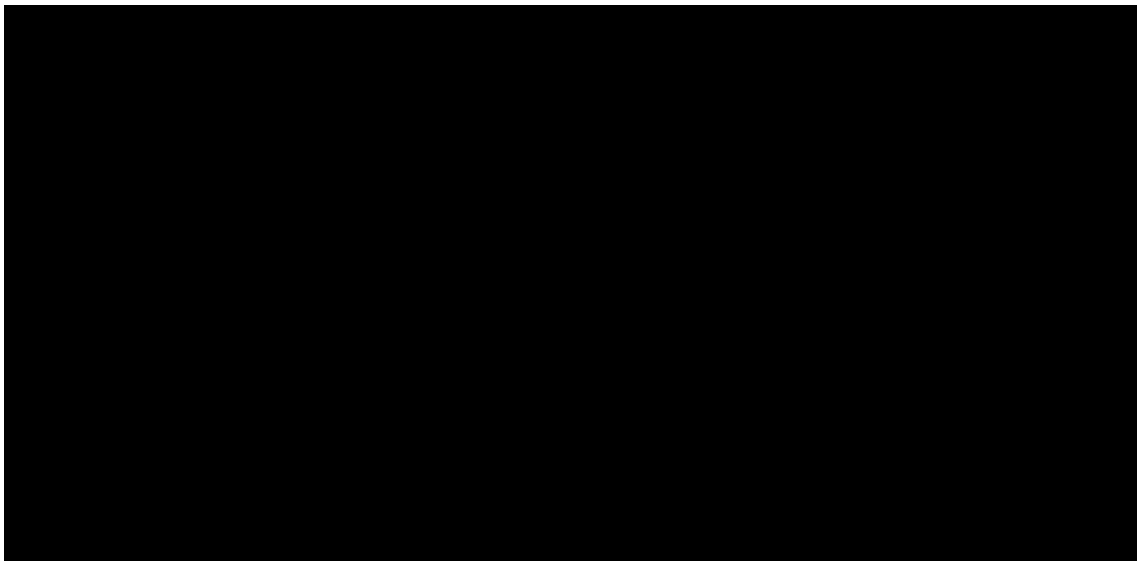


**Nominated Member** has the meaning given in the IDAR Panel Agreement.

**Nominated Subcontract** means the form of subcontract referred to in Schedule A16.

**Nominated Subcontract Work** means the Tunnelling Contractor's Activities to be performed by a Nominated Subcontractor which are described in Schedule A16.

**Nominated Subcontractor** means the nominated subcontractors and suppliers specified in Schedule A16.



**Non-Proof Engineered Temporary Works** means all Temporary Works that are not Proof Engineered Temporary Works.

**Notice Confirming Participation** has the meaning given in clause 27.4(c).

**Notice of Completion** means a notice in the form of Schedule B21 issued by the Independent Certifier pursuant to clause 21.13(b)(i).

**Notice of Dispute** has the meaning given in clause 27.6(a).

**Notice of Dissatisfaction** has the meaning given in clause 27.8(j).

**Notice of IE Level Dispute** has the meaning given in clause 27.5(b).

**Notice of Milestone Achievement** means a notice in the form of Schedule B28 issued by the Independent Certifier pursuant to clause 21.11(f)(i).

**Notice of Referral of Dispute to Expert Determination** has the meaning given in clause 27.8(b).

**Notice of Substantial Completion** means a notice in the form of Schedule B14 issued by the Independent Certifier pursuant to clause 21.12(f)(i)(A).

**NSW Guidelines** means the NSW Industrial Relations Guidelines: Building and Construction Procurement (July 2013, updated September 2017), or any substitute for, or update to, such guidelines.

**NSW Procurement Board** means the board established under section 164 of the *Public Works and Procurement Act 1912* (NSW).

**NSW Trains** means NSW Trains (ABN 50 325 560 455) a NSW Government agency constituted by the *Transport Administration Act 1988* (NSW).

**ONRSR** means the Office of the National Rail Safety Regulator constituted under the Rail Safety National Law.

**OpCo** means any entity that enters into the OpCo Project Deed with the Principal.

**OpCo2 (C&SW)** means NRT CSW Pty Ltd (ACN 635 509 036) , or any replacement entity engaged by the Principal and notified to the Tunnelling Contractor in writing.

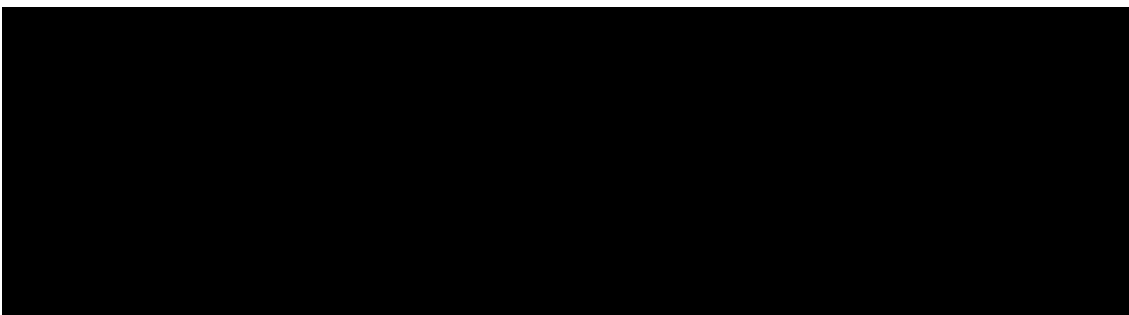
**OpCo Project Deed** means a deed between the Principal and OpCo for the provision of the OpCo Project Works and the performance of various services, including in particular the operation and maintenance of Sydney Metro West.

**OpCo2 Project Deed (C&SW)** means the deed between the Principal and OpCo2 (C&SW) for the provision of the OpCo2 Project Works (C&SW) and the performance of various services, including in particular the operation and maintenance of Sydney Metro City and Southwest.

**OpCo Project Works** means all things, works and materials (including all systems and software incorporated in, or necessary to enable their operation) that OpCo must, in accordance with the OpCo Project Deed, design, construct, manufacture, install, test and commission for the purposes of completing Sydney Metro West, which may include rolling stock, CBTC signalling and train control systems, central control system, radio systems, platform screen doors, communication systems, line-wide works and systems integration.

**OpCo2 Project Works (C&SW)** means all things, works and materials (including all systems and software incorporated in, or necessary to enable their operation) that OpCo2 (C&SW) must, in accordance with the OpCo2 Project Deed (C&SW), design, construct, manufacture, install, test and commission for the purposes of completing Sydney Metro City and Southwest, which may include rolling stock, CBTC signalling and train control systems, central control system, radio systems, platform screen doors, communication systems, line-wide works and systems integration.

**Open Book Basis** means [REDACTED]



**Original Share of Cost Overrun Cap** means an amount [REDACTED].

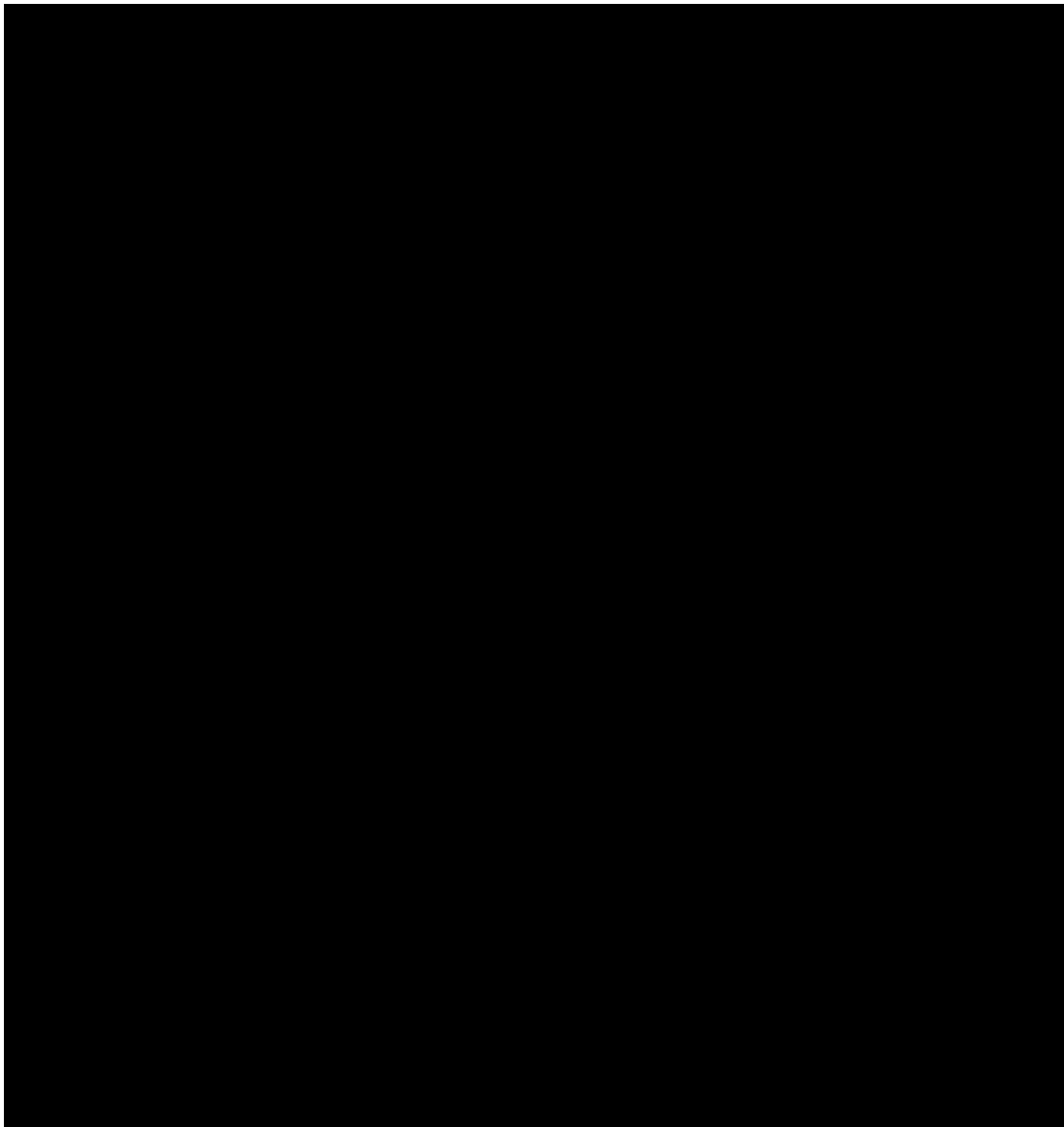
**OSD Developer** means each contractor appointed (by either the Principal or by an entity purchasing development rights from the Principal) to design and construct any over station development that is intended, once completed, to fully integrate with Sydney Metro West.

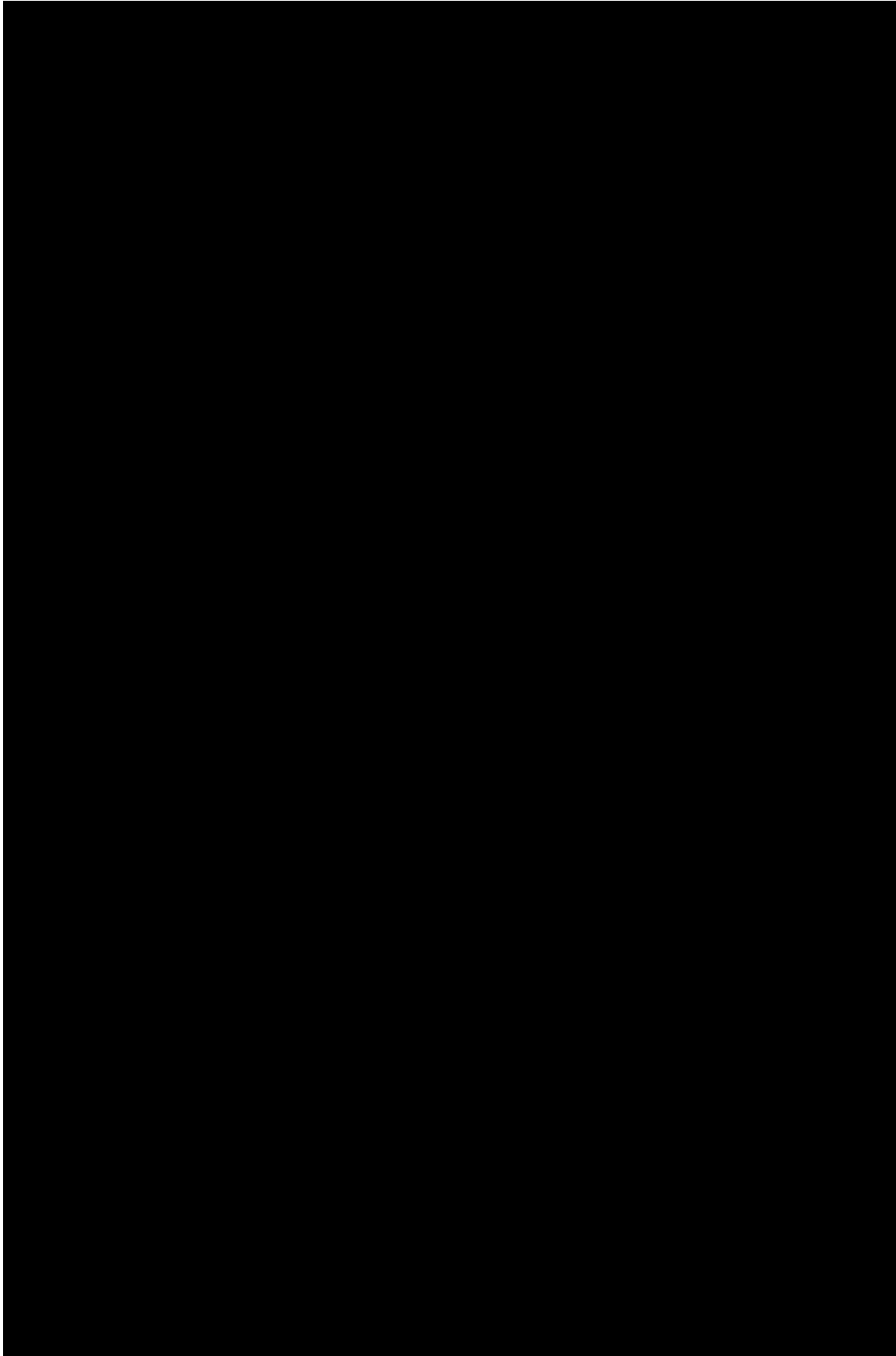
**OTSI** means the Office of Transport Safety Investigations constituted under the *Transport Administration Act 1988* (NSW).

**Outturn Cost** means, subject to this deed, the sum of:

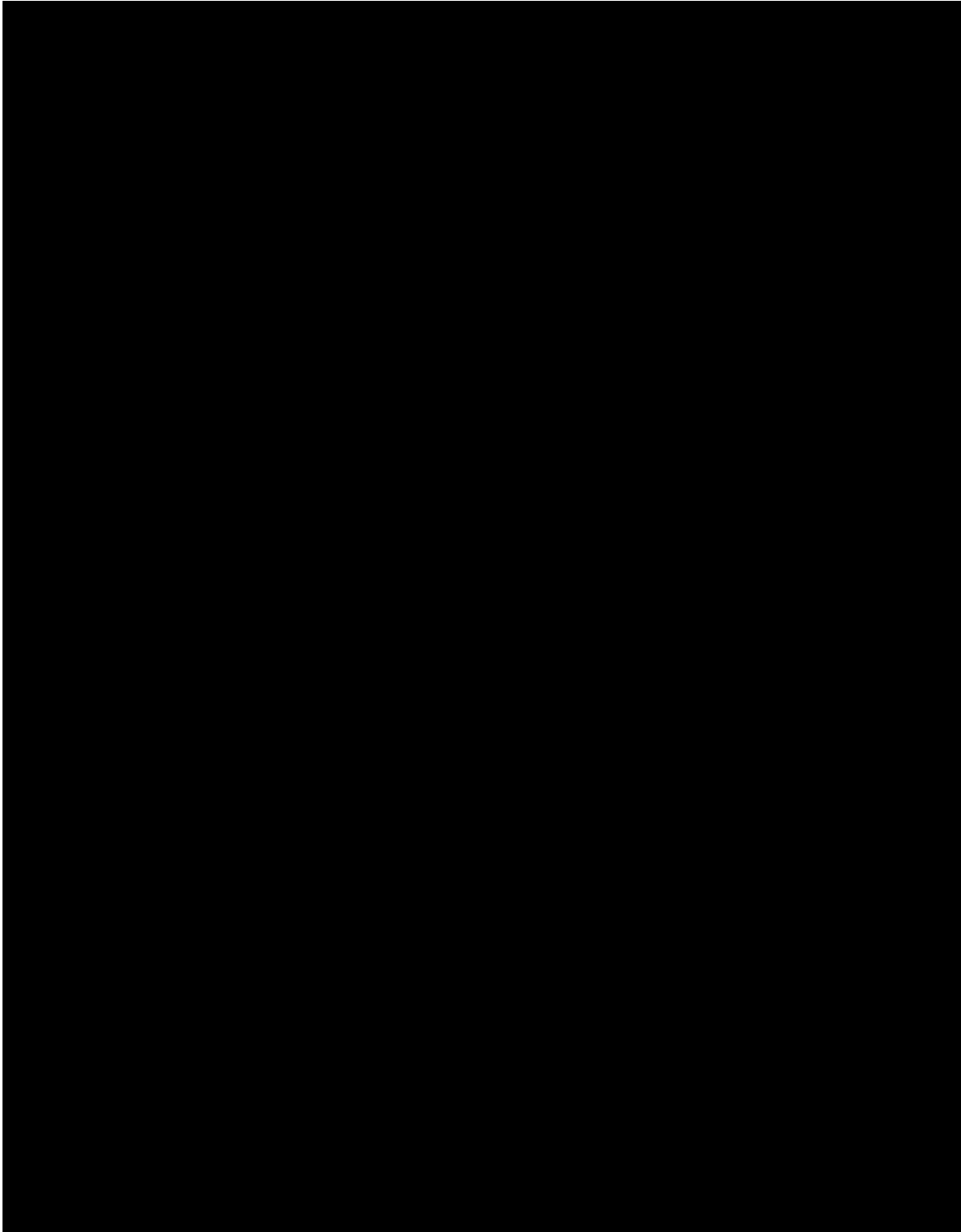
- (a) the Management Fee;
- (b) the Preliminaries Fee; and
- (c) the aggregate of all Reimbursable Costs; and
- (d) any Early Site Access Payment that may become payable pursuant to clause 14.1(h).

**Overall ETP Program** means the overall program for design and construction activities required by section 2.2 of the General Specification, an initial version of which is contained in Schedule A23, as updated from time to time in accordance with clause 21.2.









**Parent Company Guarantee** means a deed of guarantee and indemnity substantially in the form of Schedule E5.

**Particular Specification** means the section of the Tunnelling Specification of that name.

**Party A** has the meaning given in clause 27.6(a).

**PDCS** means the Principal's web based TeamBinder project document and control system, or such other electronic project data and collaboration system notified by the Principal's Representative under clause 34.1(b).

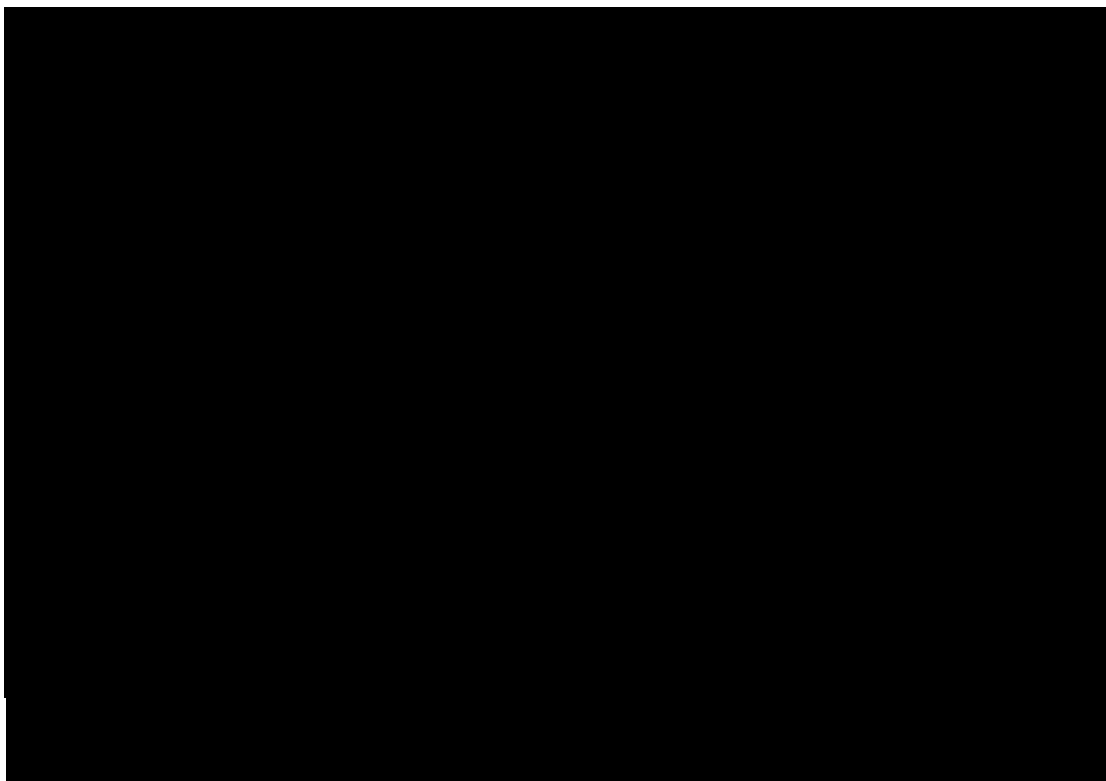
**Permitted Use** means the investigation, design, construction, testing, commissioning and completion of the Project Works and the Temporary Works, the carrying out of the Tunnelling Contractor's Activities and the performance by the Tunnelling Contractor of its other obligations under this deed.

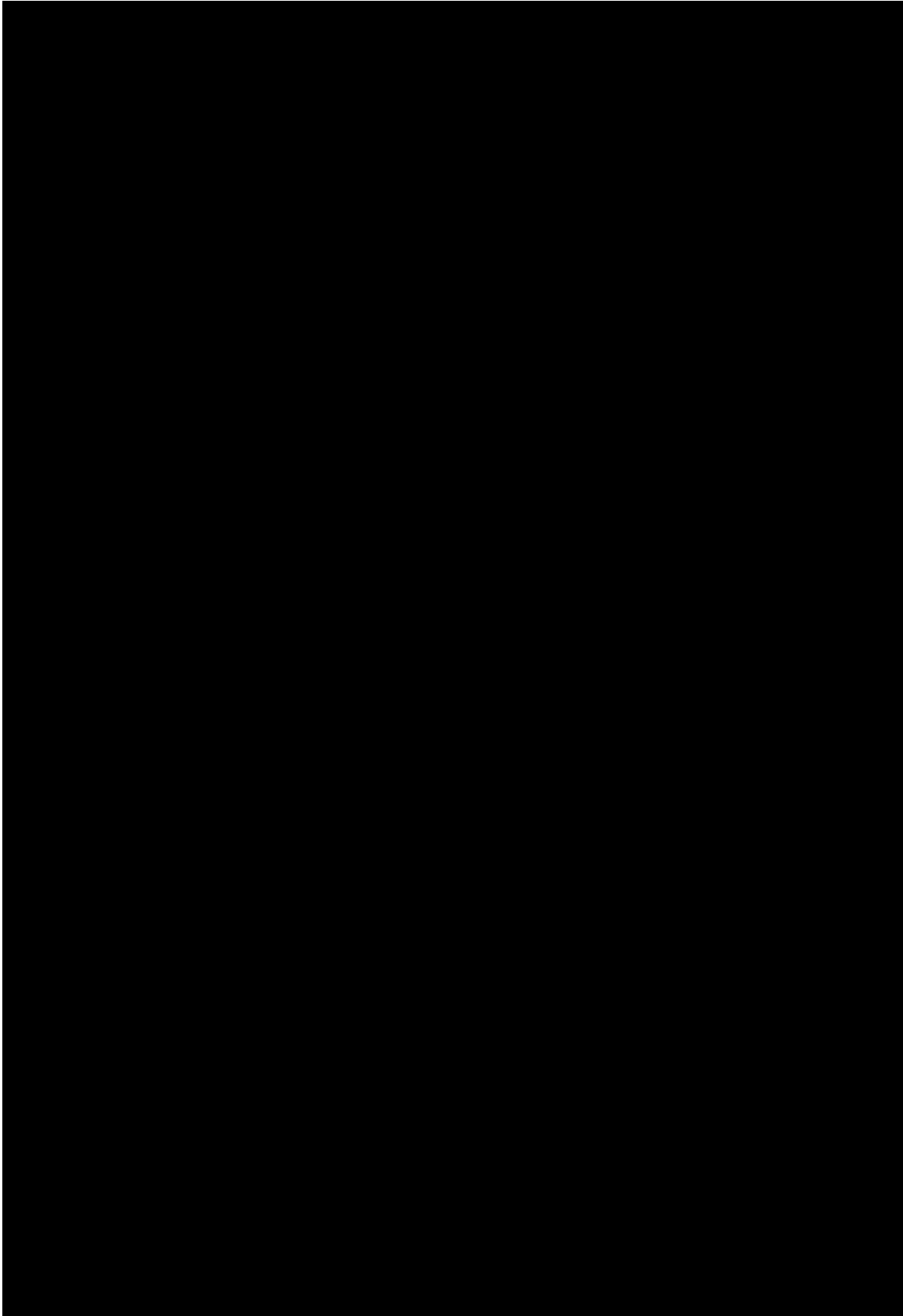
**Permitted Variation** means a variation to a Subcontract or the works under a Subcontract which:

- (a) will not cost, or result in an increase to the cost of the Subcontract works of, more than [REDACTED] on its own or when combined with all related variations;
- (b) will not cost, or result in an increase to the cost of the Subcontract works of, more than [REDACTED] when aggregated with the costs of all variations to the Subcontract or Subcontract works made up to that time;
- (c) will not extend the date for substantial completion (or equivalent) under the Subcontract by more than 5 Business Days for any single variation or more than 20 Business Days when aggregated with all variations made up to that time; and
- (d) will not delay the Tunnelling Contractor in achieving Substantial Completion of a Portion or Milestone Achievement of a Milestone by the relevant Date for Substantial Completion of the Portion or Date for Milestone Achievement of the Milestone (as applicable),

but which is not a variation to the Subcontract works:

- (e) as to quality (other than a variation to increase or better the quality);
- (f) which would or might adversely affect the suitability of the Project Works for their intended purpose; or
- (g) which is inconsistent with the requirements of, or would breach or cause the breach of, any Tunnelling Contract Document.





**Planning Approvals** means:

- (a) the Concept Approval;

- (b) the Project Planning Approval;
- (c) the REF Approval; and
- (d) any other consent, concurrence or approval, or determination of satisfaction with any matter, which is made, given or issued under the Concept Approval, Project Planning Approval or the REF Approval from time to time and all conditions to any of them, and includes all documents incorporated by reference, as that consent, concurrence or approval may be modified from time to time.

**Planning Ministerial Corporation** means the Planning Ministerial Corporation established under the EP&A Act and administered by the Office of Strategic Lands within the Department of Planning and Environment.

**POEO Act** means the *Protection of the Environment Operations Act 1997* (NSW).

**Portion** means a part of the Project Works and Handover Works described in the columns headed "Portion" and "Description of Infrastructure" in section 2 of Schedule A2 or as directed by the Principal's Representative under clause 12.1.

**Portion Handover Date** means, in respect of:

- (a) each Portion other than Portion 10, the later of:
  - (i) the day after the Date of Substantial Completion of the Portion; or
  - (ii) where the Principal's Representative gives written notice under clause 21.16(a) in respect of the Portion, the date notified in the corresponding notice given under clause 21.16(d) in respect of that Portion; and
- (b) Portion 10:
  - (i) where Portion 10:
    - (A) is the last Portion to achieve Substantial Completion, the day after the Date of Substantial Completion of Portion 10; or
    - (B) is not the last Portion to achieve Substantial Completion, the day after the Date of Substantial Completion of the last Portion to achieve Substantial Completion; or
  - (ii) if the Principal's Representative specifies an earlier or later date in a written notice given under clause 21.16(d) in respect of Portion 10, such earlier or later date as is specified in that notice.

**Potential Matter** means any matter which a party considers may, or has the potential to, give rise to a Dispute.

**PPS Act** means the *Personal Property Securities Act 2009* (Cth) and regulations made under that Act.

**PPS Register** has the meaning given to the term "register" in the PPS Act.

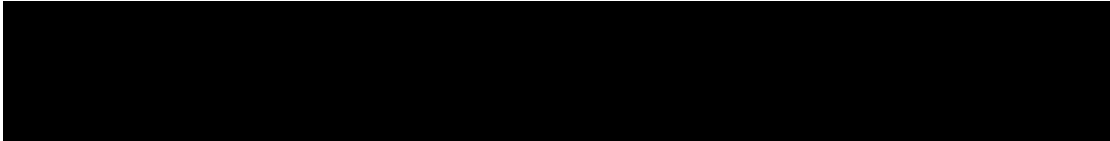
**Practical Completion (Roads Interface Agreement Road Works)** has the meaning given to the term "Practical Completion" in the Roads Interface Agreement.

**Practical Completion (Sydney Trains Works)** has the meaning given to the term "Practical Completion" in the Sydney Trains Tunnelling Interface Agreement.

**Pre-Agreed Change** means any of the Changes listed in Schedule A3.

**Pre-Approved Subcontract** means an agreement which is entered into by the Tunnelling Contractor with a Pre-Approved Subcontractor.

**Pre-Approved Subcontractor** means a Subcontractor listed in Part B of Schedule A6 for the corresponding works listed in Part B of Schedule A6.



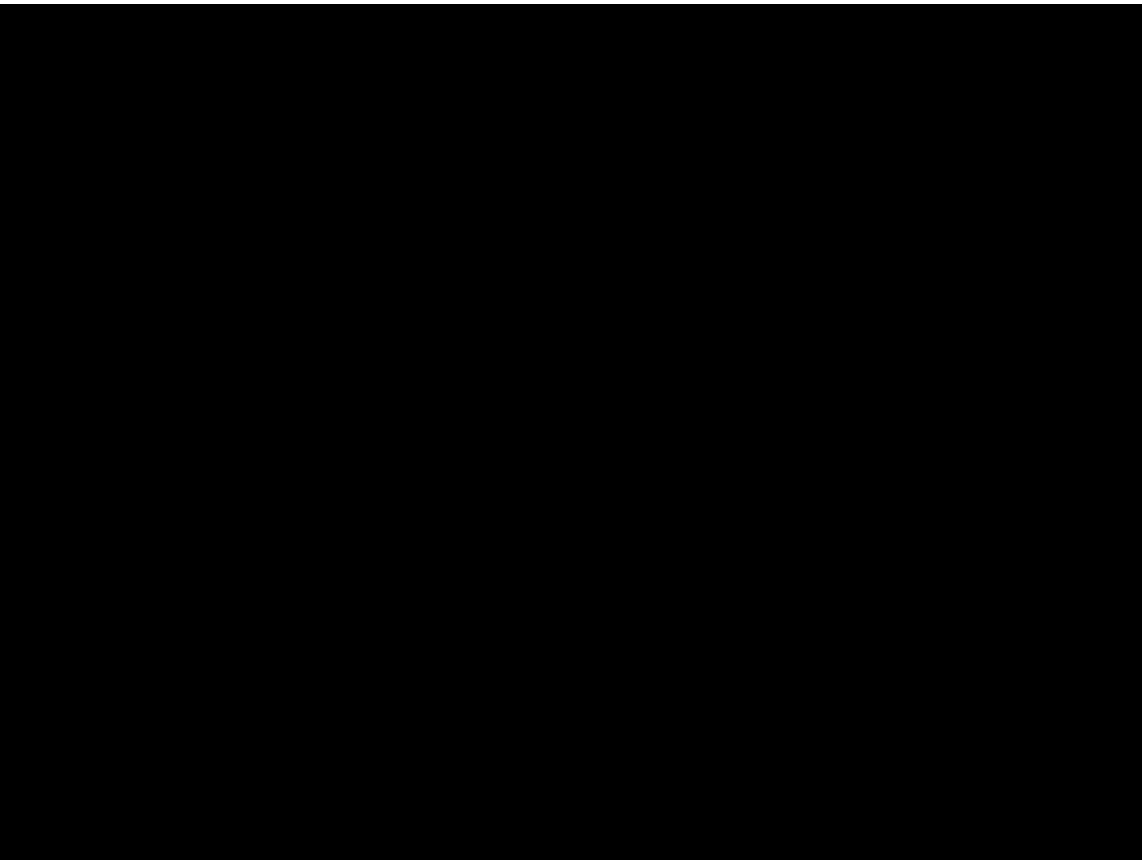
**Pre-Tender Checklist** has the meaning given in Schedule A35.

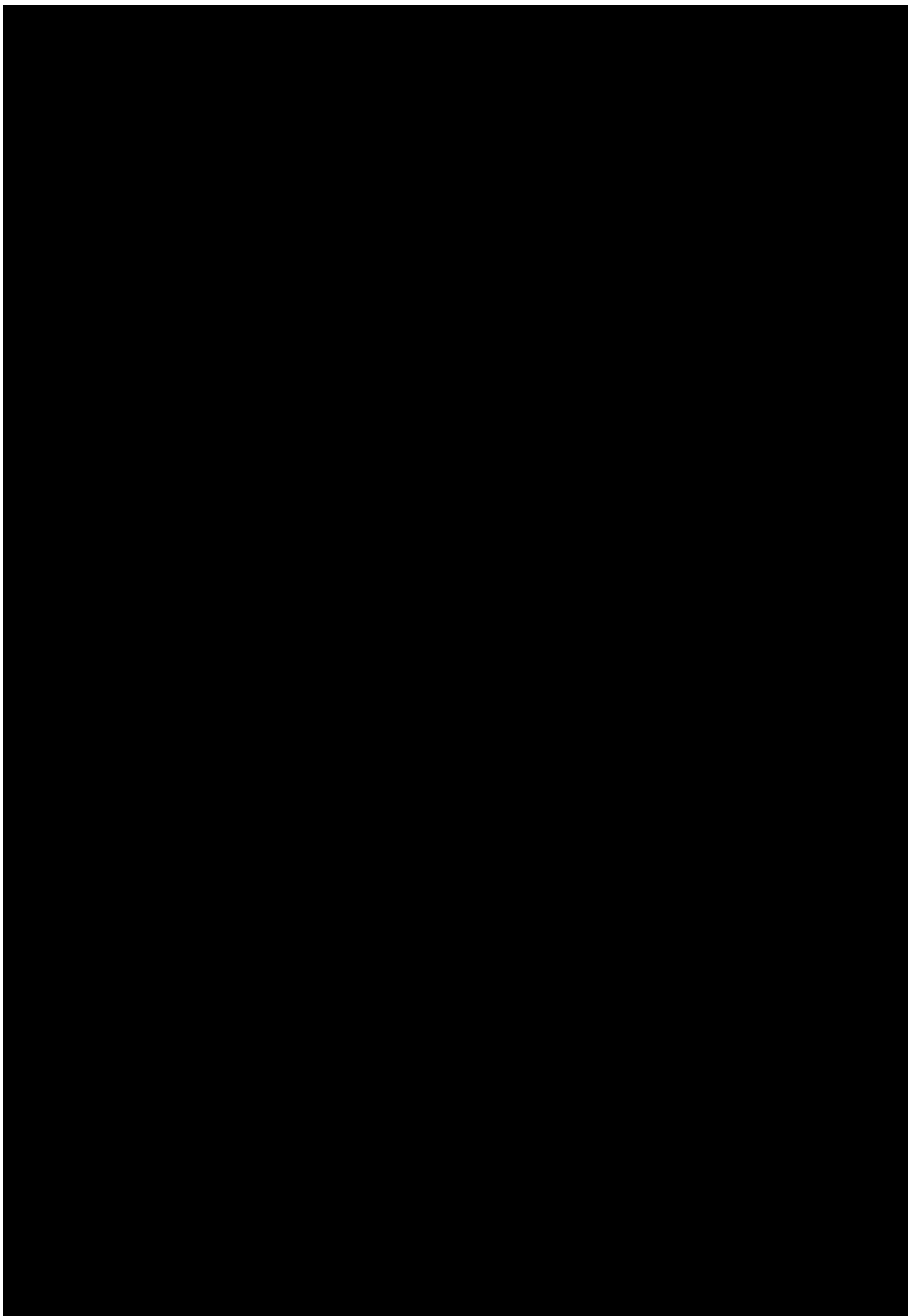
**Preliminaries** has the meaning given to that term in section 2 of Part B of Schedule E1.

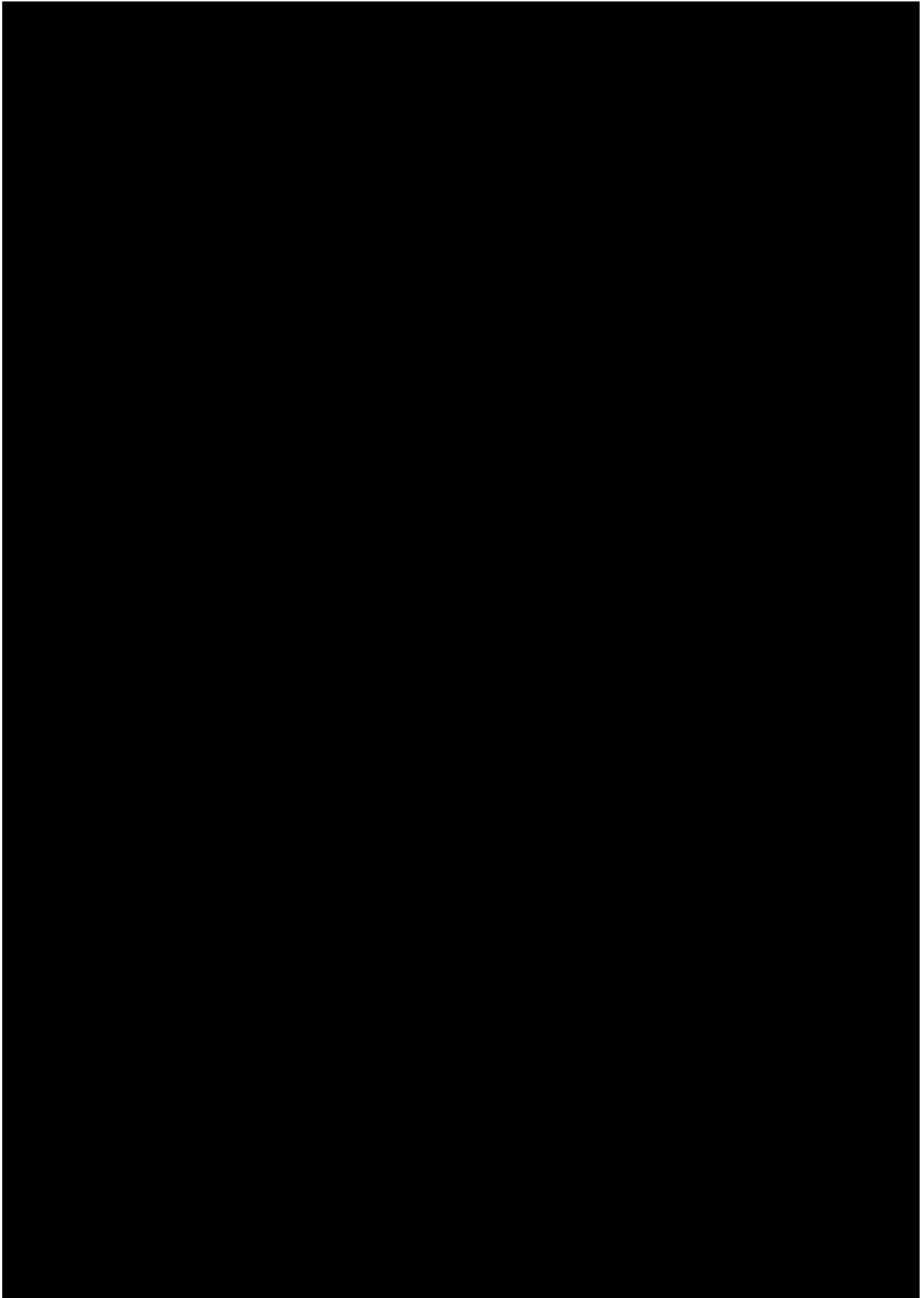
**Preliminaries Fee** means the lump sum set out in Part A of Schedule E1 payable to the Tunnelling Contractor for performing the Preliminaries, as adjusted for any Preliminaries Fee Adjustment Events.

**Preliminaries Fee Adjustment** means an adjustment to the Preliminaries Fee in respect of a Preliminaries Fee Adjustment Event, as agreed by the parties, as calculated in accordance with clause 4 of Schedule E9 or determined under clause 27, which may be a positive or negative amount.

**Preliminaries Fee Adjustment Event** means:



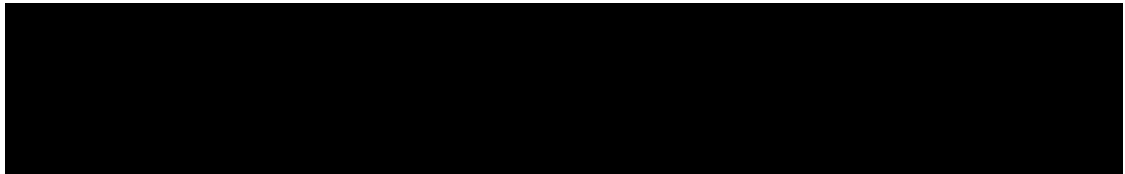




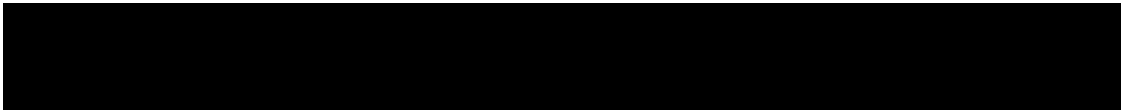


**Preliminary Works** means works required for the initial establishment of the Construction Site including site clearance works, installation of services, devegetation, erection of work compounds and site offices, fencing to site boundaries and any Archaeological Clearance Works.

**Principal Enabling Works** means each package of enabling works identified in Schedule D22 (Principal Enabling Works) that are to be completed by a Principal Enabling Works Contractor after the date of this deed.



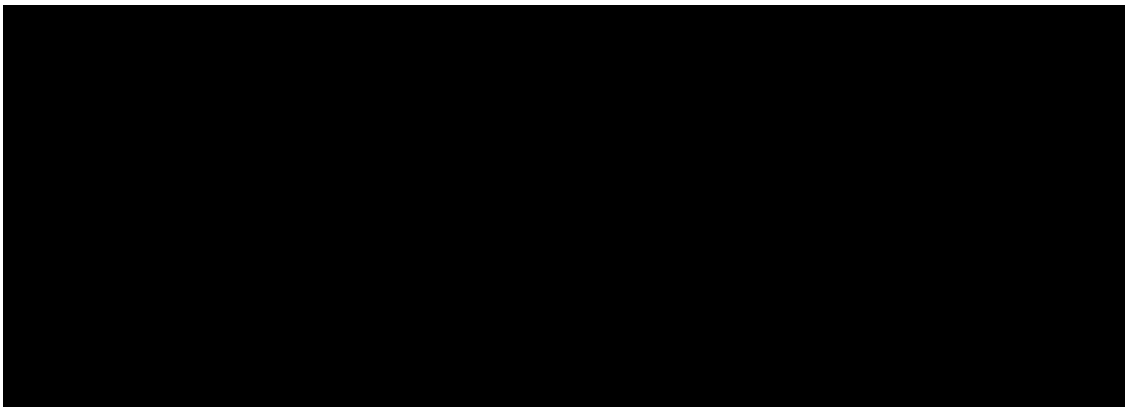
**Principal Enabling Works Contractor** means the contractor identified as such in Schedule D22 for a package of Principal Enabling Works.



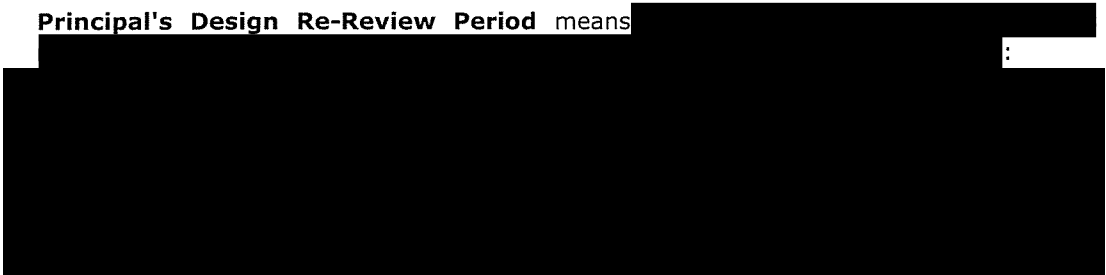
**Principal Hazardous Material Report** means a document listed in Schedule D20.

**Principal Insurance Policy** means a policy of insurance required to be effected and maintained under clause 25.4.

**Principal's Design Review Period** means:



**Principal's Design Re-Review Period** means





**Principal's Representative** means:

- (a) the person appointed by the Principal under clause 20.1(a)(i); or
- (b) any other person appointed from time to time by the Principal under clause 20.1(a)(ii),

and includes any appointee under clauses 20.1(b) or 20.1(d).

**Prior Contractor** means:

- (a) the Central Tunnelling Contractor; and
- (b) the Martin Place Station Contractor.

**Prior Contractor Handover Works** means the works, services, plant and equipment described in section 2.3 of the Particular Specification.

**Pro-forma Adjoining Property Owner Agreement** means the pro-forma adjoining property owner agreement set out in Schedule D9.

**Pro-forma Easement** means the pro-forma easement for crane access, rock anchors, scaffolding or safety structure (as appropriate) set out in Schedule D10.

**Procurement Management Plan** means the Project Plan referred to as the Procurement Management Plan in section 5.1.3.1 of the General Specification, as updated from time to time in accordance with clause 13.4.

**Progress Claim Forecast** has the meaning given in clause 22.2(a).

**Prohibited Subcontractor** means:

- (a) any Subcontractor:
  - (i) who has made an admission to the Independent Commission Against Corruption; or
  - (ii) in respect of whom the Independent Commission Against Corruption has made a finding,

that it has engaged in corrupt conduct as defined in the *Independent Commission Against Corruption Act 1988* (NSW); or

- (b) any Subcontractor employing an employee in respect of whom paragraph (a)(i) or (a)(ii) applies.

**Project Bank Account** means the bank account as notified in writing by the Tunnelling Contractor to the Principal, held in the Tunnelling Contractor's name, to which the Principal is a signatory.

**Project Contract Sum** means the sum of the following components:

- (a) the Reimbursable Cost Element;
- (b) the Preliminaries Fee;

- (c) the Management Fee;
- (d) the Key Plant and Equipment Amount;
- (e) the Provisional Sum Amount; and
- (f) any Early Site Access Payment.

**Project Director** means the person appointed to that position under clause 20.2(b) as at the date of this deed or any person appointed as a replacement under clause 20.2(b).

**Project Health and Safety Risk Governance Plan** means the Project Plan of that name referred to in clause 10.4 and section 5.1.8 of the General Specification, an initial version of which is included in Attachment B of the General Specification, as updated from time to time in accordance with clause 13.4.

**Project Plan** means any plan of the kind referred to in clause 13.1 as that plan may be updated, amended and further developed under clause 13.4.

**Project Planning Approval** means the approval granted by the Minister for Planning under section 5.19 of the EP&A Act dated 24 August 2022, a copy of which (as at the date of this deed) appears in Schedule D3, and includes all:

- (a) conditions to such approval; and
- (b) documents incorporated by reference,

as the approval may be modified from time to time.

**Project Site** means the land described as the 'Project Site' in section 2 of the Site Access Schedule.

**Project Values** means the values that will guide the delivery of Sydney Metro West, being:

- (a) safety and wellbeing;
- (b) collaboration;
- (c) integrity;
- (d) innovation;
- (e) excellence; and
- (f) achievement.

**Project Works** means the physical works which the Tunnelling Contractor must design, construct, complete and hand over under this deed (including, to the extent relevant to such works, Changes directed in accordance with this deed), including the Works and Third Party Works, but excluding Temporary Works.

**Proof Engineer** means the person or persons engaged from time to time by the Tunnelling Contractor in accordance with clause 15.3 to perform the role of the Proof Engineer.

**Proof Engineered Temporary Works** means "Category 1" and "Category 2" Temporary Works described in section 3.2.2 of the Particular Specification.

**Property Works** means all works required to existing buildings and infrastructure or to and within properties arising out of the Tunnelling Contractor's Activities as described or

specified in section 2.1.3.4 of the Particular Specification (and including, to the extent relevant to such works, Changes directed in accordance with this deed).

**Provisional Sum Amount** means the total aggregate amount of all provisional sums that have been allowed for in the Project Contract Sum for the performance of Provisional Sum Work under this deed, as stated in Schedule C2.

**Provisional Sum Amount (Excluding Transitional Handover Services Amounts)** means the Provisional Sum Amount less the amount of the provisional sums that have been allowed for in the Project Contract Sum for the performance of Transitional Handover Services pursuant to clause 21.16, as stated in Schedule C2.

**Provisional Sum Work** means those parts of the Tunnelling Contractor's Activities described in Schedule C2.

**Public Transport Agency** means the Principal, TfNSW (and each of its divisions), Transport Asset Holding Entity, Sydney Trains and NSW Trains.

**Publicly Notified** means information which is publicly available or accessible due to the notification or lodgement of such information by the Tunnelling Contractor (or any entity that comprises the Tunnelling Contractor) or any Tunnelling Contractor Guarantor to an Authority or to the public by the following means:

- (a) pursuant to the listing rules of a recognised stock exchange;
- (b) pursuant to any applicable laws, including laws relating to corporations, security of payment or industrial relations; or
- (c) via the public website of a Listed Entity.

**Pymont Cross Passage 6** means cross passage 6 located at MLE 2km 020.000 on RT01.

**Quality and Systems Manager** means the person appointed to that position under clause 20.2(b) as at the date of this deed and any person appointed as a replacement under clause 20.2(b).

**Quality Management System** means a corporate system that details the organisational structure, policies, procedures, practices, recourses and responsibilities for quality management.

**Quantity Cap** has the meaning given in Schedule E15.

**Rail Infrastructure Manager** has the meaning given in the Rail Safety National Law.

**Rail Safety National Law** means the *Rail Safety National Law 2012 No. 82a* (NSW).

**Rail Safety Regulations** means the regulations made under the Rail Safety National Law or the *Rail Safety (Adoption of National Law) Act 2012 No. 82a* (NSW).

**Rail Transport Operator** has the meaning given in the Rail Safety National Law.

**Railway Operations** has the meaning given in the Rail Safety National Law.

**RCTI** has the meaning given in clause 23.6(a)(i).

**REF Approval** means the terms of the Principal's determination to proceed with the activity which is the subject of the environmental assessment titled "Sydney Metro Review of Environmental Factors" in respect of the project titled "Sydney Metro West Precast Facility" and dated 11 March 2021.



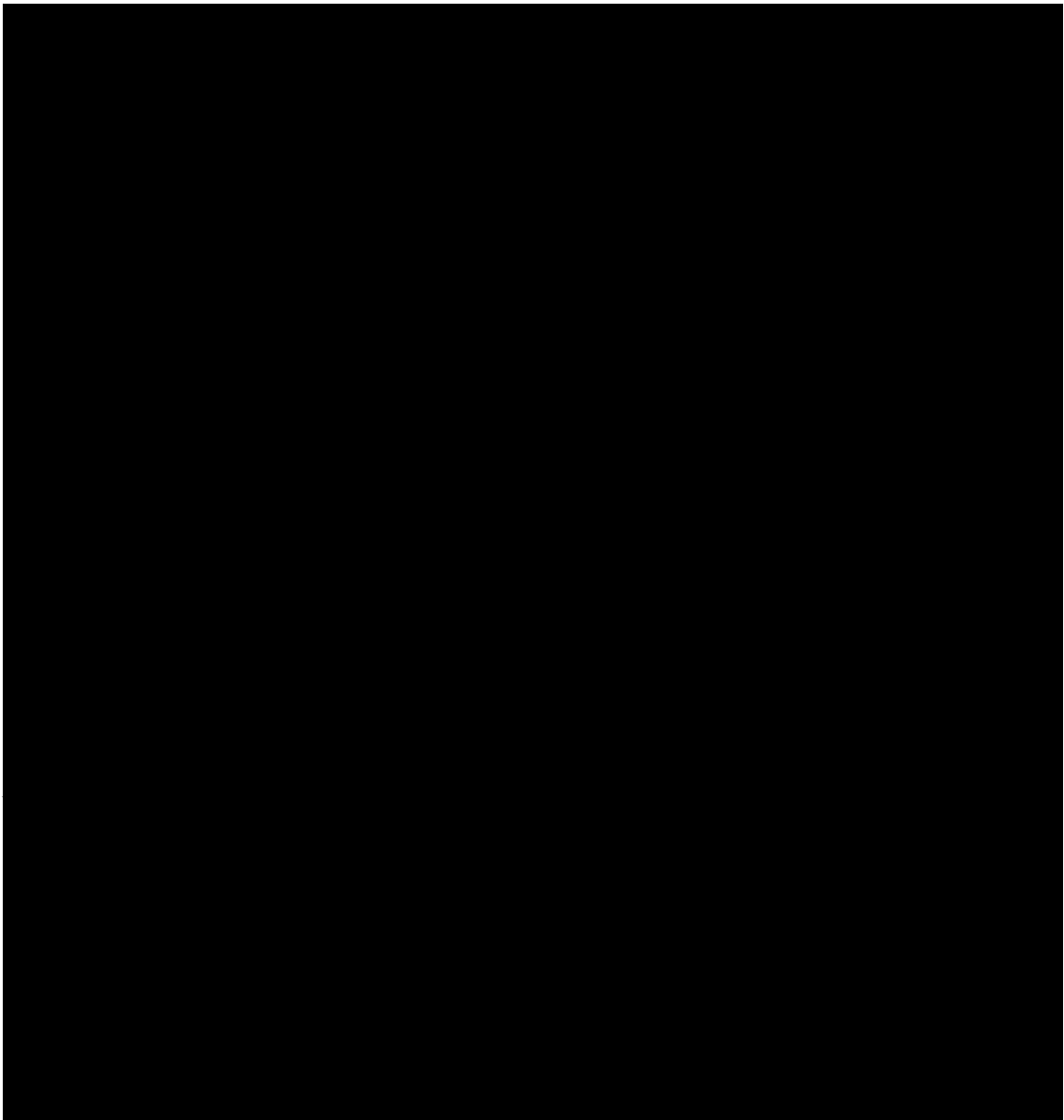
**Reimbursable Cost Element** means the estimate of Reimbursable Costs set out in section 2 of Part A of Schedule E1 and included in the Target Cost, as adjusted:

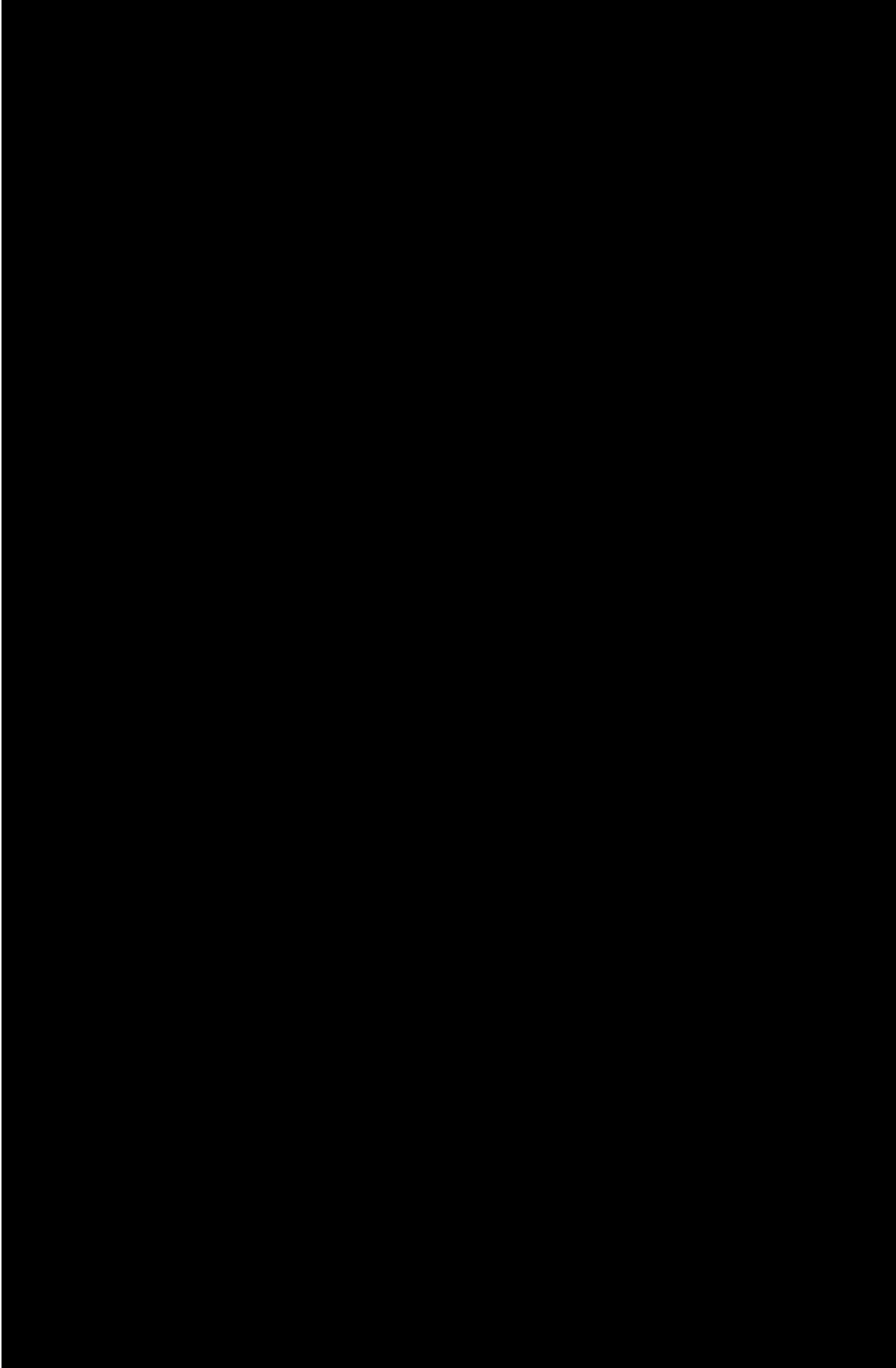
- (a) by any Reimbursable Cost Element Adjustment for any Reimbursable Cost Element Adjustment Events; and

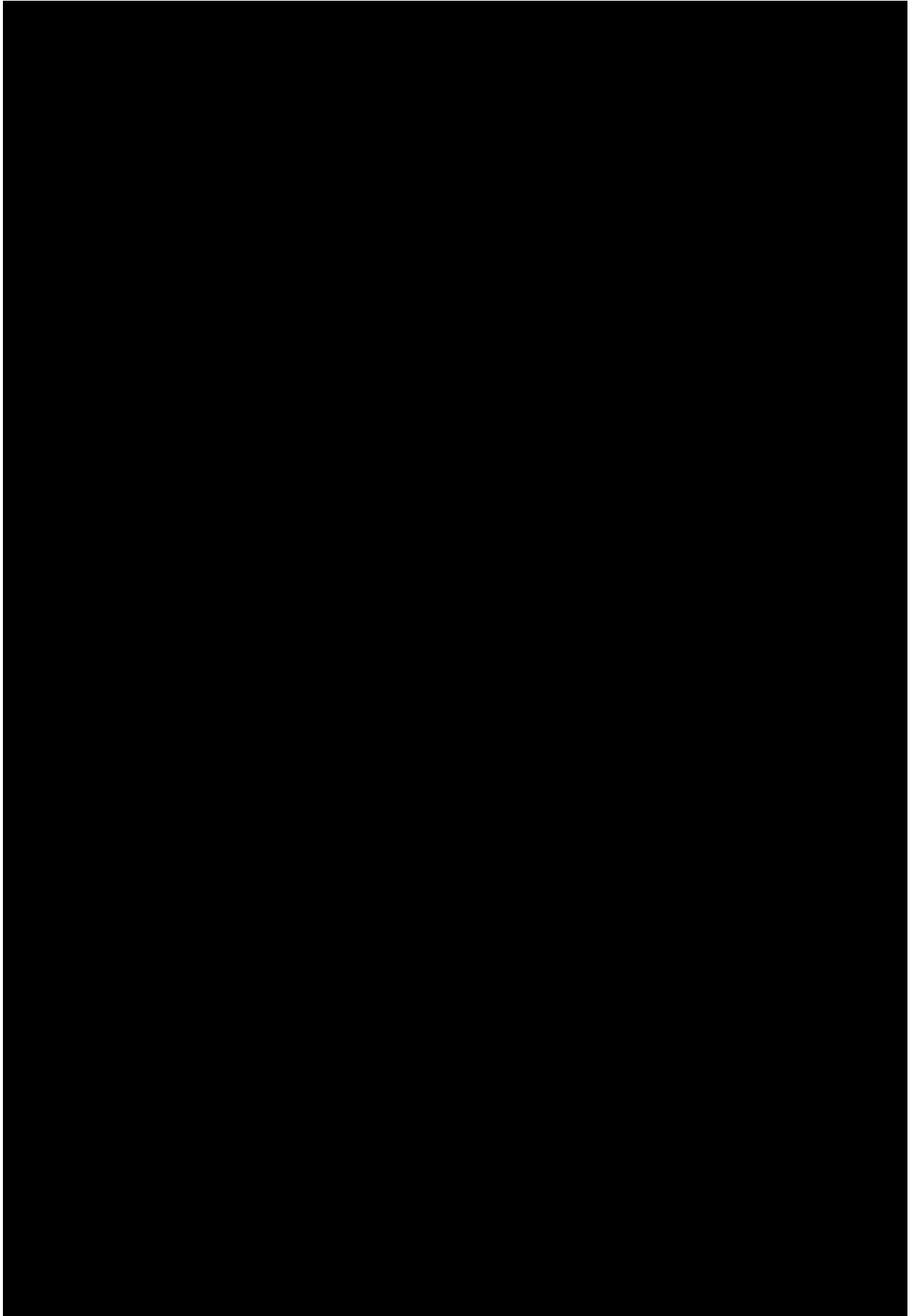


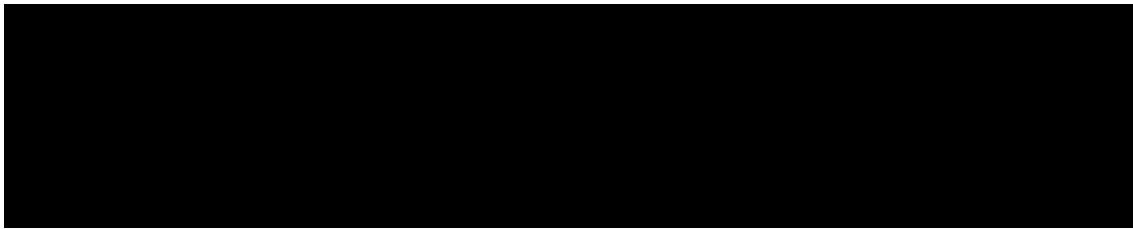
**Reimbursable Cost Element Adjustment** means an adjustment to the Reimbursable Costs Element in respect of a Reimbursable Cost Element Adjustment Event, as agreed by the parties, as calculated in accordance with clause 3 of Schedule E9 or determined under clause 27, which may be a positive or negative amount.

**Reimbursable Cost Element Adjustment Event** means:









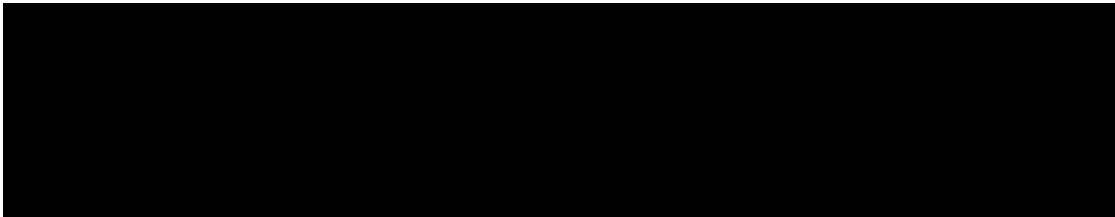
**Reimbursable Costs** has the meaning given to that term in section 1 of Part B of Schedule E1.

**Reimbursable Work** means the entirety of the Tunnelling Contractor's Activities other than:

- (a) the activities covered by the Management Fee, the Preliminaries Fee, the Key Plant and Equipment Amount, and the Excluded Costs; and
- (b) Provisional Sum Work.

**Related Body Corporate** has the meaning given to that term in the Corporations Act.

**Reliance Letters** means the reliance letters contained in Schedule D13.



**Remediation** or **Remediate** has the meaning given in the *Contaminated Land Management Act 1997* (NSW).

**Remediation Action Plan** means a plan for the Remediation of Contamination which satisfies the requirements of clause 14.25 and is prepared in accordance with the Contaminated Land Guidelines: Consultants Reporting on Contaminated Land (NSW EPA, 2020).

**Remediation Practical Completion** means the stage where the physical Remediation of any area has reached practical completion as described in the applicable Remediation Action Plan.

**Reportable Information** means information or documents which is not Publicly Notified but the provision of which by the Tunnelling Contractor to the Principal pursuant to this deed does not trigger any reportable event pursuant to any applicable laws or the listing rules of any recognised stock exchange.

**Request for Tender** means the document titled "Sydney Metro West Eastern Tunnelling Package Request for Tender" dated 17 March 2022, being a request for tenders for the "Eastern Tunnelling Works" component of Sydney Metro West.

**Required Rating** means a credit rating of at least A by Standard and Poor's (Australia) Pty Limited or A2 by Moody's Investors Service, Inc (or such other credit rating as the Principal may approve in writing from time to time) or, if no rating is provided by Standard and Poor's (Australia) Pty Limited or by Moody's Investors Service, Inc, an equivalent rating with another reputable rating agency that is approved by the Principal's Representative in writing.

**Resequencing** has the meaning given in clause 21.9(a)(i).

**Resolution Institute** means the Resolution Institute, Australia.

**Restricted Solid Waste** means Waste which is classified as restricted solid waste in accordance with the Waste Classification Guidelines.

**Restructure Event** means where there is one or more asset transfer or corporate restructure that:

- (a) results in a Tunnelling Contractor Guarantor or the Tunnelling Contractor (or any entity that comprises the Tunnelling Contractor) having a materially diminished financial capacity; or
- (b) adversely affects the ability of a Tunnelling Contractor Guarantor or the Tunnelling Contractor (or any entity that comprises the Tunnelling Contractor) to meet its obligations under the relevant Parent Company Guarantee or this deed (as relevant).

**Revised Allocation** has the meaning given in clause 14.29(c)(ii).

**Risk Register** means a register of risks which the parties have notified in accordance with clause 20.19.

**Roads Interface Agreement** means the Draft Third Party Agreement titled "Roads Interface Agreement – Sydney Metro West Eastern Tunnelling Package" between the Principal and TfNSW (as may be updated or replaced in accordance with clause 14.29).

**Roads Interface Agreement Project Works** has the meaning given to the term "Project Works" in the Roads Interface Agreement.

**Roads Interface Agreement Road Works** has the meaning given to the term "Road Works" in the Roads Interface Agreement.

**Security Interest** has the meaning given to that term in section 12 of the PPS Act.

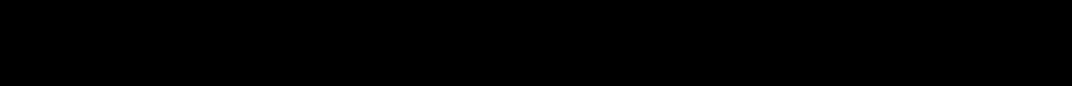
**Segment Manufacturing Facility** has the meaning given in section 1 of the Particular Specification.

**Sensitive Land Use(s)** has the meaning given in the Project Planning Approval.

**Self-Performed Reimbursable Work** means any part of the Reimbursable Work to be performed by the Tunnelling Contractor, an entity comprising the Tunnelling Contractor or a Related Body Corporate of an entity comprising the Tunnelling Contractor as described in Schedule E13 or otherwise approved by the Principal's Representative in writing under clause 6.15.

**Share of Cost Overrun** means:

- (a) the amount determined by applying the Share of Cost Overrun Percentage to the amount (if any) by which the Outturn Cost is greater than the Target Cost; or



**Share of Cost Overrun Cap** means:

- (a) the Original Share of Cost Overrun Cap; or



**Share of Cost Overrun Percentage** means the percentage determined in accordance with clause 3.1(a)(ii) of Schedule E10.



**Share of Savings** means the amount determined by applying the Share of Savings Percentage to the amount (if any) by which the Outturn Cost is less than the Target Cost.

**Share of Savings Percentage** means the percentage determined in accordance with clause 3.1(a)(i) of Schedule E10.

**Significant Subcontract** means:

- (a) each contract entered into by the Tunnelling Contractor for the provision of any Significant Subcontract Work;
- (b) each contract entered into by the Tunnelling Contractor in connection with the Tunnelling Contractor's Activities with a contract value of greater than [REDACTED]; and
- (c) any other contract that the parties agree in writing from time to time is a Significant Subcontract.

**Significant Subcontract Work** means any part of the Tunnelling Contractor's Activities that is identified in Part A of Schedule A6.

**Significant Subcontractor** means a party (other than the Tunnelling Contractor) to a Significant Subcontract.

**Site Access Date** means, in respect of a part of the Construction Site, the date specified as a "Site Access Date" for that part of the Construction Site in the Site Access Schedule.

**Site Access Expiry Date** means, in respect of a Temporary Area identified in Table 4a of the Site Access Schedule, the date specified as the "Site Access Expiry Date" for that Temporary Area.

**Site Access Schedule** means Schedule D1.

**Site Audit Report** has the meaning given in the *Contaminated Land Management Act 1997* (NSW).

**Site Audit Statement** has the meaning given in the *Contaminated Land Management Act 1997* (NSW).

**Site Audit Statement – Section A1** means a Site Audit Statement that indicates the site is suitable for its intended use.

**Site Audit Statement – Section A2** means a Site Audit Statement that indicates the site is suitable for its intended use subject to compliance with either an active or passive environmental management plan.

**Site Audit Statement – Section B5** means a Site Audit Statement that indicates that Remediation has been completed and the site can be made suitable for its intended use if the site is subject to further Remediation.

**Site Conditions** means any physical conditions and characteristics of, upon, above, below or over the surface, or in the vicinity of, the Construction Site and any Extra Land or their surroundings including:

- (a) Artefacts and any other natural and artificial conditions;
- (b) physical and structural conditions, including old footings, underground structures, buildings, improvements, partially completed structures and in-ground works;

- (c) all improvements, including any artificial things, foundations, retaining walls and other structures installed by or on behalf of the Principal or others;
- (d) surface water, ground water, ground water hydrology and the effects of any dewatering;
- (e) any Contamination, Hazardous Chemical, Hazardous Material or other spoil or Waste;
- (f) topography of the Construction Site and Extra Land, ground surface conditions and geology, including rock and sub-surface conditions or other materials encountered at the Construction Site or Extra Land;
- (g) geological, geotechnical and subsurface conditions or characteristics;
- (h) any underground strata;
- (i) all Utility Services, systems and facilities, above or below ground level and all facilities with which such Utility Services and systems are connected;
- (j) the Environment, water and weather or climatic conditions, or the effects of the Environment, water and weather or climatic conditions, including rain, surface water runoff and drainage, floods, water seepage, wind blown dust and sand, seasons and physical conditions that are a consequence of weather or climatic conditions; and
- (k) any latent conditions.

**Site Investigation Location Difference** means a material difference between:

- (a) the actual location on the ground surface (including the seabed surface), at the time of collection, from which ground data included in the Geotechnical Report was collected; and
- (b) the location on the ground surface (including the seabed surface) reported in the Geotechnical Report as being the location, at the time of collection, from which ground data included in the Geotechnical Report was collected.

**SLR Interface Deed** means the Third Party Agreement titled "Works Deed (Sydney Light Rail and Sydney Metro West Interface)" to be entered into between the Principal and TfNSW, as amended from time to time.

**SLR Interface Works** has the meaning given to the term "Works" in the SLR Interface Deed.

**Solid Waste** means soils and rock excavated for the purpose of Remediation, comprising General Solid Waste (Putrescible), General Solid Waste (Non-Putrescible), Special Waste (Asbestos), Restricted Solid Waste, Hazardous Waste, Virgin Excavated Natural Material (VENM) and/or Excavated Natural Material (ENM).

**SOP Act** means the *Building and Construction Industry Security of Payment Act 1999* (NSW).

**Special Event** means:

- (a) an event listed in Schedule A25 which recurs on an annual basis; and
- (b) any other major public event adjacent to or in the vicinity of any part of the Construction Site or any Extra Land that is:

- (i) published on the website "http://www.sydney.com/events" (or any replacement website established by the NSW Government to provide the same or similar information regarding events in Sydney); or
- (ii) notified to the Tunnelling Contractor in writing by the Principal's Representative.

**Special Waste (Asbestos)** means Waste which is classified as special waste (asbestos) in accordance with the Waste Classification Guidelines.

**Specific Contaminant Concentration (SCC)** has the meaning described in *Test Methods for Evaluating Solid Waste, Physical / Chemical Methods* (USEPA 2007) as amended from time to time, as applied in accordance with the Waste Classification Guidelines.

**Specified Material** has the meaning given in Schedule E15.

**Stage 1 Major Change Proposal** has the meaning given in clause 17.5(e).

**Stage 2 Major Change Proposal** has the meaning given in clause 17.5(h)(ii)(B).

**Stakeholder and Community Engagement Manager** means the person appointed to that position under clause 20.2(b) as at the date of this deed or any person appointed as a replacement under clause 20.2(b).

**State Indemnified Party** means:

- (a) the Principal; and
- (b) each other Public Transport Agency.

**State Significant Artefact** means an Artefact that meets any one or more of the requirements for "State Heritage Significance" under the *Heritage Act 1977* (NSW).

**Station Contract** means a deed to be entered into between the Principal and a Station Contractor to design and/or construct any physical works (including any mechanical, electrical or systems works component thereof) for any station forming part of Sydney Metro West, including excavation of any remaining station shafts (to the extent not forming part of the Works), station structure and station fitout.

**Station Contractor** means any entity selected by the Principal to design and/or construct any physical works under a Station Contract.

**Station Shaft Excavation Area** means the following areas of the Construction Site as identified in the Site Access Schedule:

- (a) at The Bays, Nil;
- (b) at Pyrmont, Areas 'PY1' and 'PY2'; and
- (c) at Hunter Street, Areas 'HU2', 'HU3', 'HU4', 'HU5', 'HU6', 'HU7', 'HU8', 'HU9', and 'HU10'.

**Subcontract** means a contract between the Tunnelling Contractor and a Subcontractor and includes an agreement for supply of goods or services (including professional services and Construction Plant hire) or both.

**Subcontract Adjustment Event** means an adjustment event under an Approved Subcontract which corresponds with:

- (a) a Reimbursable Cost Element Adjustment Event;
- (b) a Management Fee Adjustment Event; or
- (c) a Preliminaries Fee Adjustment Event.

**Subcontract Proposal** has the meaning given in Schedule A35.

**Subcontract Tender Documentation** means:

- (a) the Design Documentation, which the Tunnelling Contractor intends to use for tendering purposes, relevant to the part of the Reimbursable Work to be subcontracted;
- (b) the conditions of the Subcontract which must, unless otherwise expressly directed in writing by the Principal's Representative, be on the terms approved by the Principal's Representative;
- (c) a request for tender unless otherwise expressly directed in writing by the Principal's Representative; and
- (d) any other documentation necessary for that part of the Reimbursable Work to be subcontracted.

**Subcontracting Strategy** has the meaning given in Schedule A35.

**Subcontractor** means any person who enters into a contract in connection with the Tunnelling Contractor's Activities with the Tunnelling Contractor or whose subcontract is in connection with the Tunnelling Contractor's Activities and is in a chain of contracts where the ultimate contract is with the Tunnelling Contractor.

**Substantial Completion** means the stage in the execution of the Tunnelling Contractor's Activities in respect of a Portion when:

- (a) the Portion is complete in accordance with this deed except for any:
  - (i) Minor Defects;
  - (ii) Accepted Defects; and
  - (iii) Agreed Defects;
- (b) the Tunnelling Contractor has rectified all Mandatory Defects;
- (c) the Tunnelling Contractor has:
  - (i) carried out and passed all tests which:
    - (A) are required under this deed to be carried out and passed before the Portion reaches Substantial Completion; or
    - (B) must necessarily be carried out and passed before the Portion can be used for its intended purpose and to verify that the Portion is in the condition this deed requires it to be in at Substantial Completion;
  - (ii) obtained all Approvals that it is required under this deed to obtain before Substantial Completion of the Portion and provided such Approvals to the Principal's Representative;

- (iii) given to the Principal's Representative (with a copy to any of OpCo, an Alternate Operator or any Interface Contractor as required by the Principal) all documents or other information in respect of the design, construction, testing, commissioning, completion, occupation, use and maintenance of the Portion which:
  - (A) are required by this deed to be given to the Principal's Representative before Substantial Completion of the Portion; or
  - (B) must necessarily be handed over before the Portion can be used for its intended purpose,

including copies of all documentation in accordance with the requirements of the Tunnelling Specification, but excluding all Work as Executed Design Documentation and Asset Management Information in respect of the Project Works;
- (iv) executed a certificate in the form of Schedule B1 for the Portion and provided it to the Principal's Representative and the Independent Certifier;
- (v) provided the training referred to in clause 18.15(a) to the reasonable satisfaction of the Principal's Representative;
- (vi) removed all Construction Plant from the parts of the Construction Site that relate to the Portion, other than:
  - (A) where the Principal's Representative has given a notice under clause 21.16(a) to carry out Transitional Handover Services in respect of the Portion, any Construction Plant required to carry out the Transitional Handover Services; and
  - (B) any Construction Plant necessary to facilitate the handover of the Portion to the Principal or retained on the Construction Site in accordance with clause 18.11(d) (where approved by the Principal's Representative in accordance with clause 18.11(d));
- (vii) in respect of the first Portion to achieve Substantial Completion only, executed the Collateral Warranty Deed Poll and provided it to the Principal's Representative; and
- (viii) provided the Subcontractor warranties required by clause 6.10;
- (d) the Quality and Systems Manager has executed a certificate in the form of Schedule B11 for the Portion and provided it to the Principal's Representative;
- (e) the Tunnelling Contractor has, in respect of any Extra Land occupied or used in connection with the Portion, provided the Principal's Representative with:
  - (i) properly executed releases on terms satisfactory to the Principal's Representative from all claims or demands from the owners or occupiers of the Extra Land and from other persons having interests in such land; or
  - (ii) statements under clause 14.6(a)(ii)(B)(bb);
- (f) the Tunnelling Contractor has given the Principal's Representative (with a copy to any Interface Contractor as required by the Principal) all Asset Management Information in respect of the Handover Works certified by the Independent Certifier under clause 18.13(j)(ii)(B)(bb); and

- (g) the Tunnelling Contractor has done everything else which is stated to be a condition precedent to Substantial Completion of the Portion or which the Tunnelling Contractor is otherwise expressly required by this deed to do before Substantial Completion of the Portion, including any additional conditions precedent to Substantial Completion specified in Schedule A2.

**Substratum Change Zone** means, in each Substratum Change Zone Area, the area shaded blue in the applicable drawing contained in Part 2 of Schedule D23 for that Substratum Change Zone Area.

**Substratum Change Zone Area** means each substratum part of the Construction Site that is identified as such (via eastbound and westbound chainage references and, where applicable, drawing references) in the table contained in Part 1 of Schedule D23.

**Sustainability Manager** means the person appointed to that position under clause 20.2(b) as at the date of this deed or any person appointed as a replacement under clause 20.2(b).

**Sustainability Management Plan** means the Project Plan referred to as the Sustainability Management Plan in section 5.1.7 of the General Specification, as updated from time to time in accordance with clause 13.4.

**Sydney Light Rail Contractor** means the ALTRAC Light Rail Partnership, a partnership between ALTRAC Light Rail 1 Pty Limited ACN 603 192 203 in its capacity as trustee of ALTRAC Light Rail Trust 1, ALTRAC Light Rail 2 Pty Limited ACN 603 194 476 in its capacity as trustee of ALTRAC Light Rail Trust 2 and ALTRAC Light Rail 3 Pty Limited ACN 603 190 601 in its capacity as trustee of ALTRAC Light Rail Trust 3, being the party engaged by TfNSW under the "Sydney Light Rail Project Deed" dated 17 December 2014, as amended from time to time.

**Sydney Metro Australian Industry Participation Plan** means the "Australian Industry Participation Plan" (as defined in the *Australian Jobs Act 2013* (Cth)) developed by the Principal for Sydney Metro West, as amended from time to time.

**Sydney Metro City and Southwest** means the railway line from Bankstown to Chatswood including stations, tunnels, viaduct, bridges, earthworks, landscaping, equipment, systems, trackwork and support structures, rolling stock and ancillary infrastructure.

**Sydney Metro Principal Contractor Health and Safety Standard** means the document referred to as the "Sydney Metro Principal Contractor Health and Safety Standard (SM-20-00100838)", as amended from time to time.

**Sydney Metro Unexpected Heritage Finds Procedure** means the document referred to as the "Sydney Metro Unexpected Heritage Finds Procedure" (SM-18-00105232), as amended from time to time.

**Sydney Metro West** means the railway line from Westmead to Sydney CBD, including stations, tunnels, viaduct, bridges, earthworks, landscaping, equipment, systems, trackwork and support structures, rolling stock and ancillary infrastructure.

**Sydney Trains** means Sydney Trains (ABN 38 284 779 682), a NSW Government agency constituted under the *Transport Administration Act 1988* (NSW).

**Sydney Trains Tunnelling Interface Agreement** means the Third Party Agreement titled "Sydney Metro West Tunnelling Packages - Sydney Trains Tunnelling Interface Agreement" between the Principal, Transport Asset Holding Entity and Sydney Trains dated 27 September 2021.

**Sydney Trains Protection Zone Works** has the meaning given to the term "Protection Zone Works" in the Sydney Trains Tunnelling Interface Agreement.

**Sydney Trains Works** has the meaning given to that term in the Sydney Trains Tunnelling Interface Agreement.

**Sydney Water** means Sydney Water Corporation (ABN 49 776 225 038).

**Systems Engineering Management Plan** means the Project Plan referred to as the Systems Engineering Management Plan in section 5.1.4 of the General Specification, as updated from time to time in accordance with clause 13.4.

**TAHE** or **Transport Asset Holding Entity** means Transport Asset Holding Entity of New South Wales as constituted by Part 2 of the Transport Administration Act 1988 (NSW).

**TAO** or **Technically Assured Organisation** means a legal entity to whom the AMB has issued an AMB Authorisation.

**Target Cost** means the sum of the:

- (a) Management Fee;
- (b) Preliminaries Fee;
- (c) Reimbursable Cost Elements,

as set out in Part A of Schedule E1, as adjusted for:

- (d) Management Fee Adjustments;
- (e) Preliminaries Fee Adjustments;
- (f) Reimbursable Cost Element Adjustments;
- (g) a Pre-Agreed Change directed pursuant to clause 17.11;
- (h) any Early Site Access Payment that may become payable pursuant to clause 14.1(h); and

**Taxes** means income, stamp, indirect or other taxes (including payroll tax), levies, imposts, deductions, charges (including any superannuation guarantee charge), duties (including import duty), workers compensation insurance premiums, compulsory loans and withholdings (including financial institutions duty, debits tax or other taxes whether incurred by, payable by return or passed on to another person) together with interest thereon or penalties, if any, and charges, fees or other amounts made on, or in respect thereof.

**TBM** means a tunnel boring machine which forms part of the Key Plant and Equipment.

**Telstra** means Telstra Corporation Limited ABN 33 051 775 556.

**Template Subcontract Tender Documents** has the meaning given in Schedule A35.

**Template Subcontracts** has the meaning given in Schedule A35.

**Temporary Areas** means the land described as the Temporary Areas in clause 3 of the Site Access Schedule.

**Temporary Works** means any temporary physical works required for the purpose of the carrying out of the Tunnelling Contractor's Activities but which does not form part of the Project Works including:

- (a) any such works specified in section 2.2 of the Particular Specification; and
- (b) the Handover Works,

and including, to the extent relevant to such works, Changes directed in accordance with this deed.

**Tender** means the response provided by a Tenderer to undertake the Tunnelling Contractor's Activities.

**Tender Evaluation Checklist** has the meaning given in Schedule A35.

**Tender Form** means the document entitled Tender Form executed by the Tunnelling Contractor as part of its Tender.

**Tenderer** means an entity which submits a Tender for the Tunnelling Contractor's Activities in response to the Request for Tender.

**TfNSW** means Transport for NSW (ABN 18 804 239 602), a NSW Government agency constituted by section 3C of the *Transport Administration Act 1988* (NSW).

**Third Party** means a party to a Third Party Agreement other than the Principal.

**Third Party Agreement** means:

- (a) an agreement referred to in Schedule D8 and any Adjoining Property Owner Agreement which has been, or will be, entered into by the Principal; and
- (b) any Draft Third Party Agreement or Additional Third Party Agreement which the Tunnelling Contractor must comply with pursuant to clause 14.29.

**Third Party Agreement Design Documentation** means any Design Documentation that is required to be submitted under or in connection with any Third Party Agreement.

**Third Party Works** means the Local Area Works, Property Works and Utility Service Works.

**Toxicity Characteristic Leaching Procedure (TCLP)** has the meaning described in *Test Methods for Evaluating Solid Waste, Physical / Chemical Methods* (USEPA 2007) as amended from time to time, as applied in accordance with the Waste Classification Guidelines.

**TPA Extra Land** has the meaning given in clause 14.6(c)(iii).

**Transitional Handover Services** means, in respect of any:

- (a) Milestone Area for which the Principal's Representative gives a notice under clause 21.16(a); or
- (b) Portion for which the Principal's Representative gives a notice under clause 21.16(a),

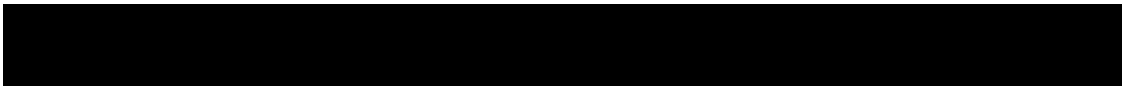


the relevant activities set out in Schedule A4 required to be performed after Milestone Achievement of the Milestone associated with the Milestone Area or Substantial Completion of the Portion.

**Transport Assets** has the meaning assigned to it in the AMB Charter.

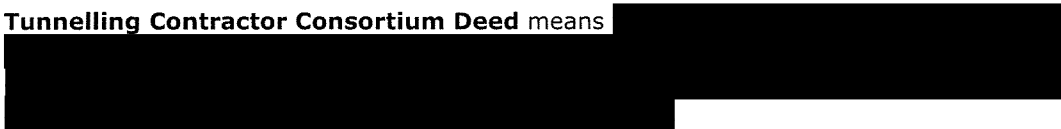
**Tunnelling Contract Documents** means:

- (a) this deed;
- (b) the Independent Certifier Deed;
- (c) the Master Interface Deed;
- (d) Master Interface Deed Accession Deed Poll;
- (e) the Collateral Warranty Deed Poll;
- (f) each Parent Company Guarantee;
- (g) the IDAR Panel Agreement;
- (h) the IDAR Panel Agreement Accession Deed Poll executed by the Tunnelling Contractor;
- (i) the Deeds of Disclaimer;

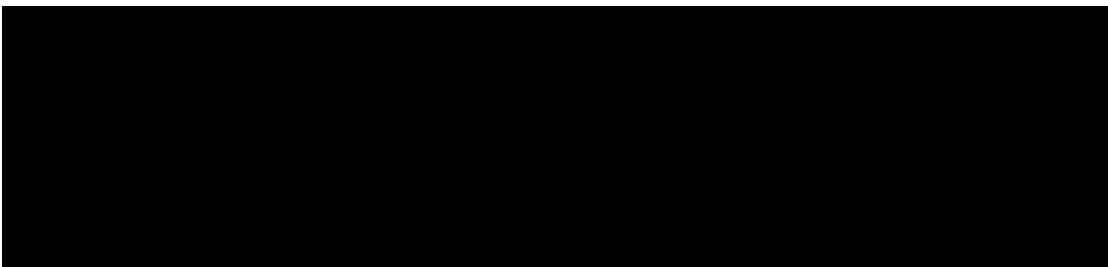


- (k) any side deed entered into between the Principal, the Tunnelling Contractor and a Significant Subcontractor under this deed; and
- (l) any document which the Principal and the Tunnelling Contractor acknowledge in writing to be a Tunnelling Contract Document.

**Tunnelling Contractor Consortium Deed** means



**Tunnelling Contractor Guarantor** means each of:



**Tunnelling Contractor Insurance Policy** means a policy of insurance required under clause 25.5.

**Tunnelling Contractor's Activities** means all things and tasks which the Tunnelling Contractor is, or may be, required to carry out or do under this deed to comply with its obligations under this deed, whether or not the performance of such things or tasks is subcontracted by the Tunnelling Contractor to another person, including designing and

constructing the Project Works and Temporary Works and carrying out any required Transitional Handover Services.

**Tunnelling Contractor's Controlling Corporation** means John Holland Pty Ltd (ABN 11 004 282 268) of Level 9, 180 Flinders St, Melbourne VIC 3000 in its capacity as a "controlling corporation" within the meaning of the NGER Legislation.

**Tunnelling Contractor's Emissions and Energy Data** means any Emissions and Energy Data relating to any aspect of the Tunnelling Contractor's Activities, or the activities of any Subcontractors engaged by the Tunnelling Contractor, in connection with the Tunnelling Contractor's Activities under this deed, including any such Emissions and Energy Data that:

- (a) the Tunnelling Contractor is required at any time to keep or to provide to the Principal or to any Authority (or both) pursuant to an obligation under this deed;
- (b) the Tunnelling Contractor or the Tunnelling Contractor's Controlling Corporation is required at any time to keep or to provide to the Principal or to any Authority (or both) pursuant to an obligation at Law (including an obligation under the NGER Legislation); or
- (c) the Tunnelling Contractor or the Tunnelling Contractor's Controlling Corporation is entitled at any time to provide to the Clean Energy Regulator under the NGER Legislation concerning any greenhouse gas project.

**Tunnelling Contractor's Employee** means any employee or officer of the Tunnelling Contractor or its Related Body Corporate, whether permanent, part time or casual, who is engaged pursuant to a contract of employment.

**Tunnelling Contractor's Tender Design** means the tender design prepared by the Tunnelling Contractor and included at Attachment B of the Particular Specification.

**Tunnelling Specification** means the specification for the Tunnelling Contractor's Activities set out in Schedule C1, comprising:

- (a) the General Specification; and
- (b) the Particular Specification.

**Unowned Parcel** means a parcel of land and property of which the Principal is not the registered proprietor and in relation to which, or upon which, Property Works are to be undertaken.

**Urgent Defect** means a Defect, which:

**Utility Service** means any utility, service, facility or item of public (State or Federal) or private infrastructure, including railway systems, above ground and below ground utilities, services or facilities in a rail, pedestrian or vehicular corridor, water, electricity, gas, ethane,

fuel, telephone, drainage, stormwater, sewerage, industrial waste disposal and electronic communications service.

**Utility Service Works** means the construction, modification, or relocation of Utility Services all of which are to be designed and/or constructed by the Tunnelling Contractor and handed over to the Principal, an Authority or any other person in accordance with this deed including any such works specified in section 2.1.3.3 of the Particular Specification (and including, to the extent relevant to such works, Changes directed in accordance with this deed).

**Validation Report** has the meaning given in the Contaminated Land Guidelines: Consultants Reporting on Contaminated Land (NSW EPA, 2020).

**Value for Money** means an approach that balances quality levels, performance standards, risk, price and whole of life costs, having regard to the requirements of this deed.

**Virgin Excavated Natural Material (VENM)** means Waste which is virgin excavated natural material as defined in the POEO Act.

**Waste** has the meaning given in the POEO Act.

**Waste Classification Guidelines** means the NSW EPA *Waste Classification Guidelines (2014)* as revised from time to time.

**Waste Management Plan** means the waste management plan that is detailed under Chapter 14 of the Metro Construction Environmental Management Framework (CEMF).

**WHS Accreditation Scheme** means the Australian Government Building and Construction WHS Accreditation Scheme established by the BCIIIP Act, or any scheme replacing it.

**WHS Act** means the *Work Health and Safety Act 2011* (NSW).

**WHS Codes of Practice** means the codes of practice published by SafeWork NSW on the website "<https://www.safework.nsw.gov.au/resource-library/list-of-all-codes-of-practice/pre-whs-codes-accordion/whs-codes-of-practice>" (or any replacement website established by SafeWork NSW to provide the same or similar information regarding approved codes of practice).

**WHS Legislation** means:

- (a) the WHS Act and the WHS Regulation; and
- (b) any legislation in other States and Territories of Australia addressing work health and safety which applies to the Project Works, the Temporary Works or the Tunnelling Contractor's Activities.

**WHS Management Systems and Auditing Guidelines** means the New South Wales Government Work Health and Safety Management Systems and Auditing Guidelines (5th edition) September 2013 (updated May 2014), or any document issued from time to time which amends or replaces this document.

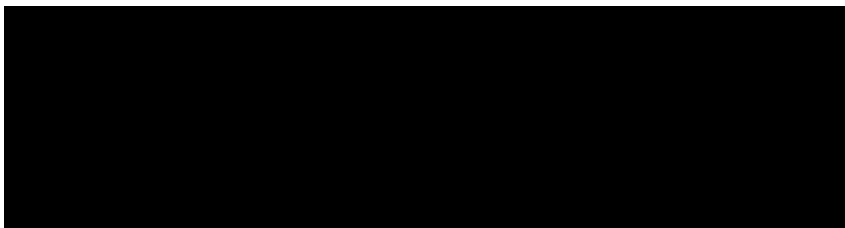
**WHS Regulation** means the *Work Health and Safety Regulation 2017* (NSW).

**Wilful Misconduct** means any malicious conduct or any breach of this deed which results from a conscious and intentional indifference and disregard to the relevant provisions of this deed and the risk of causing the Loss claimed by the relevant party in respect of the breach but does not include errors of judgement, mistakes, errors or acts or omissions made in good faith.

**Witness Point** means a point in a work process for which the Tunnelling Contractor must give prior written notice to the Principal's Representative to allow the Principal's Representative to attend and witness the point in the work process should it choose to do so.

**Work as Executed Design Documentation** has the meaning given in section 9.2 of the General Specification.

**Working Parameters** means:



specified in the conditions and requirements of the Planning Approvals.

**Workforce Development and Industry Participation Plan** means the Project Plan referred to as the Workforce Development and Industry Participation Plan in section 5.1.16 of the General Specification, as updated from time to time in accordance with clause 13.4.

**Workplace Relations Management Plan** means the Project Plan of that name referred to in clause 31.6 and section 5.1.17 of the General Specification, an initial version of which is included in Attachment B of the General Specification, as updated from time to time in accordance with clause 13.4.

**Works** means the physical works which the Tunnelling Contractor must design, construct, complete and hand over to the Principal in accordance with this deed (including, to the extent relevant to such works, Changes directed in accordance with this deed) but excluding the Third Party Works and the Temporary Works.

## 1.2 Interpretation

In this deed:

- (a) headings are for convenience only and do not affect the interpretation of this deed, and unless the context indicates a contrary intention:
- (b) "person" includes an individual, the estate of an individual, a body politic, a corporation, a statutory or other authority, an association or a joint venture (whether incorporated or unincorporated), a partnership and a trust;
- (c) a reference to a party is a reference to a party to this deed and includes that party's executors, administrators, successors and permitted substitutes and assigns, including persons taking by way of novation and, in the case of a trustee, includes a substituted or an additional trustee;
- (d) "includes" in any form is not a word of limitation;
- (e) a reference to any Authority, institute, association or body is:
  - (i) if that Authority, institute, association or body is reconstituted, renamed or replaced or if the powers or functions of that Authority, institute, association or body are transferred to another organisation, deemed to refer to the

reconstituted, renamed or replaced organisation or the organisation to which the powers or functions are transferred, as the case may be; and

- (ii) if that Authority, institute, association or body ceases to exist, deemed to refer to the organisation which serves substantially the same purposes or object as that Authority, institute, association or body;
- (f) a reference to a document (including this deed and any other deed, agreement, instrument, guideline, code of practice or Code and Standard) is to that document as amended, varied, novated, ratified, supplemented or replaced from time to time;
- (g) a reference to a statute includes its delegated legislation and a reference to a statute or delegated legislation or any section or provision of either of these includes:
  - (i) all ordinances, by-laws, regulations of and other statutory instruments (however described) issued under the statute or delegated legislation; and
  - (ii) any consolidations, amendments, re-enactments and replacements;
- (h) a reference to a part, clause, schedule, exhibit, attachment or annexure is a reference to a part, clause, schedule, exhibit, attachment or annexure to or of this deed;
- (i) a reference to:
  - (i) this deed includes all schedules, exhibits (subject to clause 14.10(b)(ii)), attachments and annexures to it, including the Tunnelling Specification; and
  - (ii) a reference to the Tunnelling Specification includes all Appendices to the Tunnelling Specification;
- (j) a word importing the singular includes the plural (and vice versa) and a word indicating a gender includes every other gender;
- (k) if a word or phrase is given a defined meaning, any other part of speech or other grammatical form of that word or phrase has a corresponding meaning;
- (l) for the purposes of clause 21.6:
  - (i) any extension of time stated in days; or
  - (ii) any reference to "day",
 

will exclude days which are public holidays in Sydney and include only those days which are stated in the most recent Overall ETP Program submitted under clause 21.2(a) as working days;
- (m) for all purposes other than as set out in clause 1.2(l), "day" means calendar day;
- (n) a reference to a court or tribunal is to an Australian court or tribunal;
- (o) a reference to a group of persons is a reference to all of them collectively, to any two or more of them collectively and to each of them individually;
- (p) a reference to a "month" is a reference to a calendar month;
- (q) a reference to "\$" or "dollar" is to Australian currency;

- (r) the Environmental Representative will perform the functions of the Environmental Representative or the ER under the Planning Approvals and this deed;
- (s) subject to clause 16.3(c), any reference to:
  - (i) the Project Works (including the Third Party Works);
  - (ii) the Temporary Works;
  - (iii) the Project Plans or the Asset Management Information;
  - (iv) the Tunnelling Specification;
  - (v) the General Specification;
  - (vi) the Particular Specification;
  - (vii) the Design Documentation; or
  - (viii) any other document or thing,
 or any part of any of them:
  - (ix) being fit for its purpose or for its intended purpose; or
  - (x) as having an intended use,
 (or any similar reference) will be read as referring to the purpose, intended purpose or intended use having regard to:
  - (xi) the Principal's intention that the Project Works will be used as an integral part of an operating rail system intended to provide frequent high speed mass transit services between Westmead and Sydney CBD and which may:
    - (A) be required to accommodate and utilise various rolling stock, railway track, rail systems and related equipment;
    - (B) be subject to continuous operation;
    - (C) be operated by either the State of New South Wales or by private operator(s) on its behalf;
    - (D) involve further development of rail stations, including station structures and fitout to the extent referred to in this deed;
    - (E) be upgraded, augmented, extended and expanded to the extent referred to in this deed;
    - (F) be connected to and/or integrated with other transport infrastructure to the extent referred to in this deed; and
    - (G) involve future construction and development of buildings and/or other infrastructure on, over or adjacent to railway stations to the extent referred to in this deed; and
  - (xii) any purpose, intended purpose or intended use stated in, contemplated by or ascertainable:
    - (A) in respect of the Works and the Temporary Works, on or prior to the Date of Substantial Completion of the relevant Portion; and

(B) in respect of Third Party Works, on or prior to the date of handover to the relevant Authority or Owner,

from:

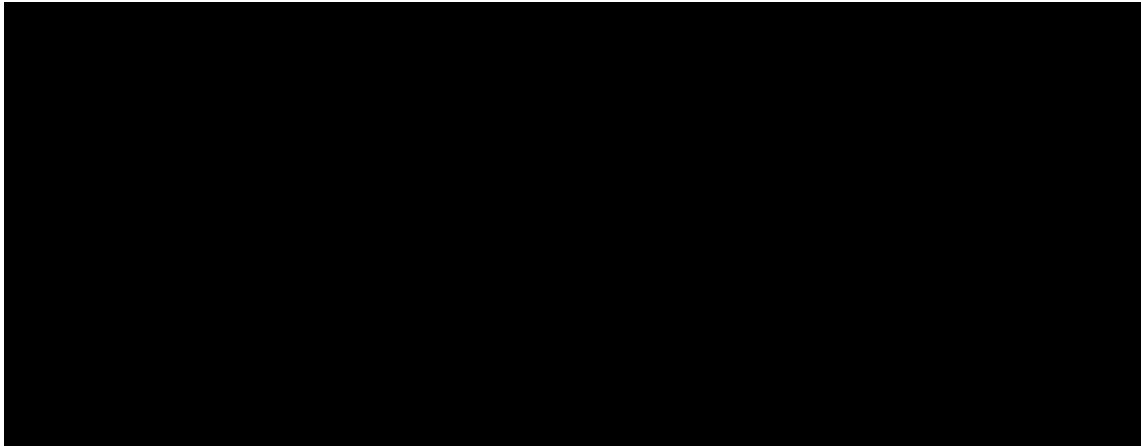
(C) this deed, including:

(aa) the objectives referred to in clause 3.2; and

(bb) the requirement that the Project Works, when completed, will be designed and constructed in compliance with all health and safety requirements of the WHS Legislation; or

(D) (to the extent relevant for determining the purpose, intended purpose or intended use in connection with a Change) any document provided by the Principal to the Tunnelling Contractor specifically in connection with the Change (excluding any information, data, document or material which is referred to in Schedule A21);

(t) subject to clause 16.3(c), any reference to the Project Works or any part of any of them being capable of remaining at all relevant times fit for their purpose or for their intended purpose will be read as being subject to the Principal, OpCo (or, if applicable, any Alternate Operator) and their respective Associates operating and maintaining the Project Works in accordance with the operation and maintenance manuals forming part of the Asset Management Information (as described in section 7 of the General Specification);



(v) any reference to "information" will be read as including information, representations, statements, data, samples, bore logs, calculations, assumptions, deductions, determinations, drawings, design, specifications, models, plans and other documents in all forms including the electronic form in which it was generated;

(w) any obligation of the Tunnelling Contractor under this deed with respect to:

(i) a Project Plan, will be read as an obligation with respect to the version of the relevant Project Plan last submitted by the Tunnelling Contractor to the Principal's Representative and the Independent Certifier under clause 13.3 in respect of which the Principal's Representative (or, when applicable, the Independent Certifier) has not given a notice under clause 13.3(b)(ii); or

(ii) the Asset Management Information will be read as an obligation with respect to the version of the relevant Asset Management Information last submitted by the Tunnelling Contractor to the Principal's Representative under clause 18.13:

- (A) which has not been rejected by the Independent Certifier under clause 18.13(j)(ii)(A); and
  - (B) in respect of which the Principal's Representative has not given a direction under clause 18.13(j)(iii);
- (x) if a Project Plan seeks to impose any obligation on the Principal, the Tunnelling Contractor will not be entitled to make any Claim against the Principal in respect of that obligation (unless that same obligation is expressly imposed on the Principal in a clause or schedule);
  - (y) words and terms defined in the GST Law have the same meaning in clauses concerning GST;
  - (z) on the basis that the Principal is notionally liable to pay GST under the GST Law, a reference in this deed to a liability to pay GST or an entitlement to an input tax credit includes any notional GST liability or input tax credit entitlement;
  - (aa) if a person is a member of a GST group, references to GST which the person must pay and to input tax credits to which the person is entitled to claim include GST which the representative member of the GST group of which the party is a member must pay and input tax credits to which the representative member is entitled;
  - (bb) any obligation of the Tunnelling Contractor under the Tunnelling Specification to "demonstrate" includes the Tunnelling Contractor exercising Good Industry Practice to provide:
    - (i) clear, concise and logical reasoning, including:
      - (A) pertinent background information;
      - (B) an analysis of the options considered (if any); and
      - (C) an analysis of why an option (if any) was chosen; and
    - (ii) sufficient reference documentation from reliable, published sources that are authorised from reputable, recognised organisations, including Standards Australia, Engineers Australia, TfNSW or their international counterparts to justify the Tunnelling Contractor's position or proposal; and
  - (cc) where, in this deed, it is stated that:
    - (i) the Tunnelling Contractor is not entitled to make any Claim against the Principal; or
    - (ii) the Principal is not liable to the Tunnelling Contractor,
 or words to that effect, then:
    - (iii) subject to clause 1.2(cc)(iv), the Tunnelling Contractor releases the Principal absolutely from any Claim whatsoever and however arising (including in negligence) which the Tunnelling Contractor had or, but for this deed, might have had in connection with the subject matter for which this deed states that the Tunnelling Contractor has no entitlement to make a Claim; and
    - (iv) for the purposes of the following clauses:
      - (A) 1.4(c)(ii) (*Ambiguous terms*);





- (EE) 20.16(d) (*Document management and transmission*);
- (FF) 21.6(e) (*Extension of time*);
- (GG) 21.7(b) (*Corrective action*);
- (HH) 21.8(b)(i) and 21.8(c) (*Suspension*);
- (II) 21.9(i) (*Directions to change sequencing, accelerate, defer activities or make accessible*);
- (JJ) 21.10(k) (*Liquidated damages for delay*);
- (KK) 22.9(i)(ii) (*SOP Act*);
- (LL) 22.14(g)(iv) (*Provisional Sum Work & Call-off Services*);
- (MM) 22.16(c)(ii) (*Post-Award Performance Incentive Payment Regime*);
- (NN) [REDACTED]
- (OO) 29.4(l) (*Financial Mitigation Plan*);
- (PP) 33.5 (*Bar*)
- (QQ) 16 of Schedule A34 (*C&SW Monitoring Regime*);
- (RR) 3(i)(ii), 4(i)(ii), 5(i)(ii), 6(i)(ii), 8(i)(ii), 9(i)(ii), 10(i)(ii), 11(i)(ii), 12(i)(ii), 13(i)(ii) and 14(i)(ii) of Schedule D5 (*Requirements of Third Party Agreements*); and
- (SS) 2(i)(ii) of Schedule D7 (*Requirements of Adjoining Property Easements*),

the Tunnelling Contractor is not prevented from making a Claim for payment under and in accordance with clause 22 for Reimbursable Costs, the Management Fee, the Preliminaries Fee, the Key Plant and Equipment Amount and amounts in respect of Provisional Sum Work.

### 1.3 **Contra proferentem**

In the interpretation of this deed, no rule of construction applies to the disadvantage of one party on the basis that the party (or its representative) put forward or drafted this deed or any provision in it.

### 1.4 **Ambiguous terms**

- (a) If the Principal's Representative considers, or if the Tunnelling Contractor notifies the Principal's Representative in writing that it considers, that there is an omission, ambiguity, discrepancy, inadequacy or inconsistency in, or between, the documents comprising this deed (including in any schedules and exhibits), the Principal's Representative must, subject to clause 1.5, direct the interpretation of this deed which the Tunnelling Contractor must follow.
- (b) The Principal's Representative, in giving a direction in accordance with clause 1.4(a), is not required to determine whether or not there is an omission, ambiguity, discrepancy, inadequacy or inconsistency in, or between, the documents comprising this deed.

- (c) Any direction which the Principal's Representative gives in accordance with clause 1.4(a):
  - (i) will not relieve the Tunnelling Contractor from or alter its liabilities or obligations under this deed or otherwise according to Law;
  - (ii) will not entitle the Tunnelling Contractor to make (nor will it make the Principal liable upon) any Claim arising out of or in any way in connection with the direction;
  - (iii) will not limit or otherwise affect the Principal's rights against the Tunnelling Contractor, whether under this deed or otherwise according to Law; and
  - (iv) must, in respect of a notice given by the Tunnelling Contractor under clause 1.4(a), be given within 20 Business Days of receipt of that notice.

### 1.5 Order of precedence

The following order of precedence applies in the event of any ambiguity, discrepancy or inconsistency in, or between, the documents comprising this deed:

- (a) subject to clauses 1.5(b) to 1.5(d), to the extent the ambiguity, discrepancy or inconsistency is in or between the documents comprising this deed, the documents will be given precedence in accordance with the following:
  - (i) this deed excluding the schedules and exhibits; and
  - (ii) the schedules and exhibits;
- (b) where there is an ambiguity, discrepancy or inconsistency in or between different codes, standards, specifications or guidelines with which the Tunnelling Contractor must comply, the order of precedence set out in section 9.1 of the General Specification will apply;
- (c) to the extent paragraph (b) does not apply or resolve the ambiguity, discrepancy or inconsistency and the ambiguity, discrepancy or inconsistency is in or between different parts of the Tunnelling Specification and the Planning Approval, the part of the document which prescribes or requires the highest standard of compliance consistent with complying with all Approvals will prevail (unless otherwise directed in writing by the Principal's Representative);
- (d) to the extent paragraphs (b) and (c) do not apply or resolve the ambiguity, discrepancy or inconsistency and the ambiguity, discrepancy or inconsistency relates to the required scope, quantum, quality, standard, safety or other requirement of the Project Works or the Temporary Works, the highest scope, quantum, quality, standard or other requirement on the Tunnelling Contractor or the more onerous obligation specified will prevail; and
- (e) to the extent paragraphs (a) to (d) do not apply or resolve the ambiguity, discrepancy or inconsistency and the ambiguity, discrepancy, or inconsistency is between figured and scaled dimensions, figured will prevail over the scaled dimensions.

The documents comprising this deed (including the Tunnelling Specification) are to be regarded as mutually explanatory and anything contained in one but not the others will be equally binding as if contained in all of them.

## 1.6 Severability

If at any time any provision of this deed is or becomes void, illegal, invalid or unenforceable in any respect under the law of any jurisdiction (including the SOP Act), then:

- (a) that will not affect or impair:
  - (i) the legality, validity or enforceability in that jurisdiction of any other provision of this deed; or
  - (ii) the legality, validity or enforceability under the Law of any other jurisdiction of that or any other provision of this deed; and
- (b) the provision will be construed in a manner which:
  - (i) avoids the provision being void, illegal, invalid or unenforceable; and
  - (ii) subject to clause 1.6(b)(i), preserves to the maximum possible extent:
    - (A) the enforceability of the provision and the provisions of this deed; and
    - (B) the original effect and intent of this deed.

## 1.7 Authorities

- (a) This deed will not in any way unlawfully restrict or otherwise unlawfully affect the unfettered discretion of:
  - (i) the Principal to exercise any of its functions and powers pursuant to any Law; or
  - (ii) the AMB to exercise any of its functions and powers pursuant to the AMB Charter.
- (b) The Tunnelling Contractor acknowledges and agrees that, without limiting clause 1.7(a), anything which the Principal or the AMB does, fails to do or purports to do pursuant to their respective functions and powers either as an TAO or under any Law or under the AMB Charter will be deemed not to be an act or omission by the Principal (including a breach of contract) under or in connection with this deed and will not entitle the Tunnelling Contractor to make any Claim against the Principal.
- (c) The parties agree that clauses 1.7(a) and 1.7(b) are taken not to limit any liability which the Principal would have had to the Tunnelling Contractor under this deed as a result of a breach by the Principal of a term of this deed but for clauses 1.7(a) and 1.7(b) of this deed.
- (d) The Tunnelling Contractor acknowledges and agrees that:
  - (i) there are many Authorities (other than the Principal) with jurisdiction over aspects of the Tunnelling Contractor's Activities, parts of the Construction Site and other areas affected by the Tunnelling Contractor's Activities (including Extra Land);
  - (ii) such Authorities may from time to time exercise their statutory functions and powers in such a way as to disrupt, interfere with or otherwise affect the Tunnelling Contractor's Activities (including, the exercise by persons (including individuals) acting on behalf of such Authorities of powers and functions including as necessary for such Authorities to comply with their statutory functions and powers); and

- (iii) except to the extent expressly stated otherwise in this deed, the Tunnelling Contractor bears the full risk of all occurrences of the kind referred to in clause 1.7(d)(ii) and will not be entitled to make, and the Principal will not be liable upon, any Claim arising out of or in any way in connection with such occurrences.

## 1.8 **Electronic files**

Where this deed (including the Tunnelling Specification) refers to an electronic file on a separate disc which forms part of this deed, such electronic files are contained in the disc or discs included in Schedule F1.

## 1.9 **Sydney Metro West Delivery Strategy**

- (a) The parties acknowledge that as at the date of this deed the Principal is still finalising the procurement and delivery strategy for Sydney Metro West. This includes the possibility that the Principal will enter into an OpCo Project Deed under which OpCo would undertake the OpCo Project Works and subsequently operate and maintain Sydney Metro West.
- (b) The Principal will notify the Tunnelling Contractor in writing of the approved procurement and delivery strategy for Sydney Metro West following its finalisation and on any material changes to this strategy over time.
- (c) If OpCo is not selected as part of the approved procurement and delivery strategy for Sydney Metro West the Principal may procure the delivery of the OpCo Project Works by alternative means and engage an Alternate Operator to operate Sydney Metro West. This deed therefore contemplates that:
  - (i) the OpCo Project Works may be carried out by OpCo and/or one or more Interface Contractors; and
  - (ii) the operation and maintenance of Sydney Metro West may be carried out by OpCo or an Alternate Operator and/or an Interface Contractor.

## 2. **CONDITIONS PRECEDENT**

### 2.1 **Commencement of obligations**

The rights and obligations of the parties under this deed will not commence unless and until each of the Conditions Precedent have been satisfied (or waived under clause 2.3), except for those under the Day 1 Clauses which will commence on the date of this deed.

### 2.2 **Satisfaction of Conditions Precedent**

- (a) The parties must use all reasonable endeavours to satisfy each Condition Precedent which is expressed to be for the benefit of the other party (or for the benefit of both parties) by the relevant Condition Precedent Deadline Date.
- (b) When a party is of the opinion that a Condition Precedent has been satisfied it must give the other party written notice of its opinion.
- (c) The party receiving a notice given under clause 2.2(b) must promptly notify the other party in writing whether or not it agrees that the Condition Precedent has been satisfied, such agreement not to be unreasonably withheld.
- (d) If the party receiving a notice given under clause 2.2(b) fails to give the other party a written notice under clause 2.2(c) within 5 Business Days, the Condition Precedent will be deemed to have been satisfied.

- (e) Upon the satisfaction (or waiver under clause 2.3) of all Conditions Precedent, the parties must promptly acknowledge in writing that all Conditions Precedent have been satisfied (or waived).

### 2.3 Waiver of Conditions Precedent

A Condition Precedent is waived if, and only if:

- (a) where the Condition Precedent is included for the benefit of a particular party, that party gives written notice of the waiver of the Condition Precedent to the other party; and
- (b) where the Condition Precedent is included for the benefit of both parties, both parties agree in writing to waive the Condition Precedent.

### 2.4 Condition Precedent Deadline Date

- (a) If a Condition Precedent has not been satisfied (or waived under clause 2.3) by 5.00 pm on the relevant Condition Precedent Deadline Date, then the party listed as the "Benefiting Party" in Schedule A1 in respect of that Condition Precedent (or, if both parties are the "Benefiting Party" in respect of that Condition Precedent, either party) may give notice in writing to the other party that it is terminating this deed if the Condition Precedent in question is not satisfied (or waived under clause 2.3) within the period specified in its notice (which must not be less than 2 Business Days).
- (b) If a party gives notice under clause 2.4(a) and the Condition Precedent in question is not satisfied (or waived under clause 2.3) within the period specified in that notice (or such longer period as the parties may agree in writing) then this deed will terminate upon the expiry of that period.
- (c) If this deed is terminated pursuant to this clause 2.4:
  - (i) each of the other Tunnelling Contract Documents will be taken to have terminated at the time this deed is terminated;
  - (ii) the Principal must return all unconditional undertakings provided by the Tunnelling Contractor within 10 Business Days after the date of termination of this deed; and
  - (iii) no party will have any Claim against any other party under or in respect of the Tunnelling Contract Documents or in respect of the reimbursement of costs or expenses or otherwise in connection with Sydney Metro West, except for any Claim in relation to a breach of any Day 1 Clause.

## 3. OBJECTIVES AND PROJECT VALUES

### 3.1 Objectives for Sydney Metro West

The Principal's objectives for Sydney Metro West are to:

- (a) deliver outcomes that align with and support key strategic land use and transport frameworks including the *Smart Cities Plan*, *Greater Sydney Region Plan* and the *District Plans*;
- (b) boost Sydney's international competitiveness, productivity and employment growth by supporting new and existing centres;
- (c) unlock employment growth opportunities;

- (d) support future housing needs by increasing housing supply, choice and affordability;
- (e) unlock additional housing supply;
- (f) improve liveability and provide a catalyst for positive change by unlocking urban renewal opportunities, enhancing housing supply and supporting productivity centres;
- (g) support urban renewal initiatives in the Greater Parramatta to Sydney CBD corridor, including key government precincts such as GOPP and The Bays precinct;
- (h) ensure transport services are meeting the needs of customers;
- (i) reduce travel times between key destinations, providing new access to mass transit rail;
- (j) improve access to and resilience of the transport network through integrated land use and transport planning, including the integration of Sydney Metro West with other modes;
- (k) relieve congestion on the busy T1 Western Line and T2 Inner West Line, increasing rail patronage and mode shift and relieving bus and road congestion in the study area; and
- (l) ensure value for money and a sustainable and deliverable solution.

### 3.2 **Objectives for the Project Works, the Temporary Works and the Tunnelling Contractor's Activities**

- (a) The Principal's objectives for the Project Works, the Temporary Works and the Tunnelling Contractor's Activities are to:
  - (i) ensure the Project Works and the Temporary Works are safe and de-risked through innovation and expertise in planning, design and delivery methodologies;
  - (ii) provide the tunnel infrastructure required for conveying passenger rolling stock over a 120-year Design Life between The Bays and Hunter Street Station;
  - (iii) deliver the Project Works and the Temporary Works and perform the Tunnelling Contractor's Activities in a collaborative and cooperative manner to ensure the timely and effective delivery of Sydney Metro West;
  - (iv) minimise impacts on the environment, including noise and vibration, air quality, traffic and transport, heritage, waste, water and energy management and embodied environmental impacts;
  - (v) maximise opportunities in relation to social sustainability, including workforce development and local procurement;
  - (vi) minimise disruption, delay and inconvenience to the affected public, road and public transport users, adjacent businesses, stakeholders and the community during the construction of the Project Works and the Temporary Works and the performance of the Tunnelling Contractor's Activities; and
  - (vii) achieve a value-for-money outcome when viewed on the basis of effective risk management, certainty of delivery and whole-of-life cost.

- (b) Nothing in clause 3.2(a)(ii) amends or otherwise varies the Tunnelling Contractor's Design Life obligations as set out in the Particular Specification.

### 3.3 **Achievement of the Project Values**

The parties:

- (a) acknowledge that adherence to and upholding of the Project Values is of fundamental importance to the Principal; and
- (b) agree to:
  - (i) adhere to and uphold the Project Values; and
  - (ii) work collaboratively in a spirit of mutual trust and cooperation in the performance of their obligations under this deed.

## 4. **GENERAL OBLIGATIONS**

### 4.1 **General**

The Tunnelling Contractor:

- (a) must carry out the Tunnelling Contractor's Activities (including any Transitional Handover Services (if applicable)), including investigating, designing, constructing, commissioning and handing over the Project Works and the Handover Works, in accordance with this deed;
- (b) must comply with all Directions of the Principal's Representative given under the Tunnelling Contract Documents;
- (c) warrants that the Project Works and the Handover Works will, upon Substantial Completion:
  - (i) be fit for their intended purposes; and
  - (ii) be capable of remaining at all relevant times fit for their intended purpose;
- (d) warrants that it will use its best endeavours to ensure that it achieves Substantial Completion of each Portion, Milestone Achievement of each Milestone and Completion of the Project Works in accordance with the Cost Plan and so that the Outturn Cost does not exceed the Target Cost;
- (e) warrants that the Temporary Works (other than the Handover Works, which are subject to clause 4.1(c)) will at all relevant times be fit for their intended purposes; and
- (f) warrants that it will exercise a duty of the utmost good faith to the Principal in performing the following obligations under this deed:
  - (i) the preparation of documentation and proposals in relation to Self-Performed Reimbursable Work;
  - (ii) the preparation of the Subcontract Tender Documentation for Reimbursable Work and in all post-tender communications (verbal or otherwise) with tenderers prior to the entry into any Approved Subcontract (where applicable);



- (iii) the administration of Approved Subcontracts including all negotiations concerning variations and extensions of time; and
- (iv) in making payment claims under clause 22.3.

#### 4.2 Acceptance of risk

Without limiting the generality of the Tunnelling Contractor's obligations, but subject to its entitlement to payment of the Reimbursable Costs, the Management Fee, the Preliminaries Fee, the Key Plant and Equipment Amount and amounts for Provisional Sum Work under clause 22 and the express provisions of the Tunnelling Contract Documents, the Tunnelling Contractor:

- (a) accepts responsibility for and the risk of any Loss, delays or disruptions which it incurs or suffers arising out of or in any way in connection with, the performance of its obligations under this deed, including the following risks:
  - (i) the performance and cost of all Subcontractors;
  - (ii) the impact and interface with Interface Contractors under clause 4.10;
  - (iii) obtaining access to all areas other than the Construction Site under clause 14.6 and clause 14.30(g);
  - (iv) the Site Conditions encountered including under clause 14.8;
  - (v) all information provided or not provided by the Principal about the Project Works, the Temporary Works, Sydney Metro West and the Construction Site;
  - (vi) Artefacts, State Significant Artefacts and Aboriginal Objects under clause 14.15;
  - (vii) Hazardous Material under clauses 14.17 and 14.18;
  - (viii) Contamination under clauses 14.19 and 14.27;
  - (ix) complying with Schedule D5, Schedule D6 and clause 14.29;
  - (x) complying with Schedule D7 and clause 14.30;
  - (xi) congestion on approach roads to the Construction Site or any waterways used to access the Construction Site and any other difficulties with obtaining access to and from the Construction Site;
  - (xii) complying with all Laws, Approvals and requirements of Authorities;
  - (xiii) the existence, location, condition and availability of Utility Services in respect of the Tunnelling Contractor's Activities;
  - (xiv) reliance upon or the use of the Initial Tender Design or the Tunnelling Contractor's Tender Design;
  - (xv) providing all Materials, Construction Plant, Utility Services and labour necessary for the Tunnelling Contractor's Activities under clause 18.6;
  - (xvi) industrial relations issues;
  - (xvii) foreign exchange movements in any currencies adverse to the Tunnelling Contractor;

- (xviii) increases in the costs of Materials, Construction Plant, Utility Services and labour required for the performance of the Tunnelling Contractor's Activities;
  - (xix) damage to the Tunnelling Contractor's Activities, Project Works, Temporary Works, Construction Site or any Extra Land under clause 25.1; and
  - (xx) third party claims under clause 25.2; and
- (b) accepts responsibility for and the risk of all:
- (i) delay, disruption or additional cost; and
  - (ii) constructability issues or challenges,

which may arise out of or in connection with the alignment, location, position, level or dimensions of the Project Works and notwithstanding that any of these may have been specified in whole or in part by the Principal in the Tunnelling Specification.

### 4.3 Environmental requirements

- (a) The Tunnelling Contractor must not use the Construction Site or any Extra Land, or allow its Associates to use the Construction Site or any Extra Land, so that:
- (i) any Hazardous Chemical is abandoned or dumped on the Construction Site or any Extra Land;
  - (ii) any Hazardous Chemical or Hazardous Material is handled in a manner which is likely to cause a state of danger to human beings or the Environment whether imminent or otherwise resulting from the location, storage, handling or release of any substance having toxic, corrosive, flammable, explosive, infectious or otherwise dangerous characteristics; or
  - (iii) any other substance is released from, deposited to, or emanates from, the Construction Site or any Extra Land as a result of the Tunnelling Contractor's Activities such that a state of Contamination occurs, except to the extent such release, deposit, or emanation was an unavoidable consequence of performing the Tunnelling Contractor's Activities in accordance with Good Industry Practice and otherwise in accordance with the requirements of this deed.
- (b) The Tunnelling Contractor must at all times carry out, and ensure that its Associates carry out, the Tunnelling Contractor's Activities in an environmentally responsible manner, in accordance with Good Industry Practice, and so as to protect the Environment.
- (c) The Tunnelling Contractor must, without limiting clause 8.1 or clause 8.2 but subject to clause 8.2(a)(ii):
- (i) comply with, and ensure that its Associates in performing the Tunnelling Contractor's Activities comply with:
    - (A) all Laws relating to the Environment;
    - (B) all Environmental Notices; and
    - (C) the Construction Environmental Management Plan and the Sustainability Management Plan; and

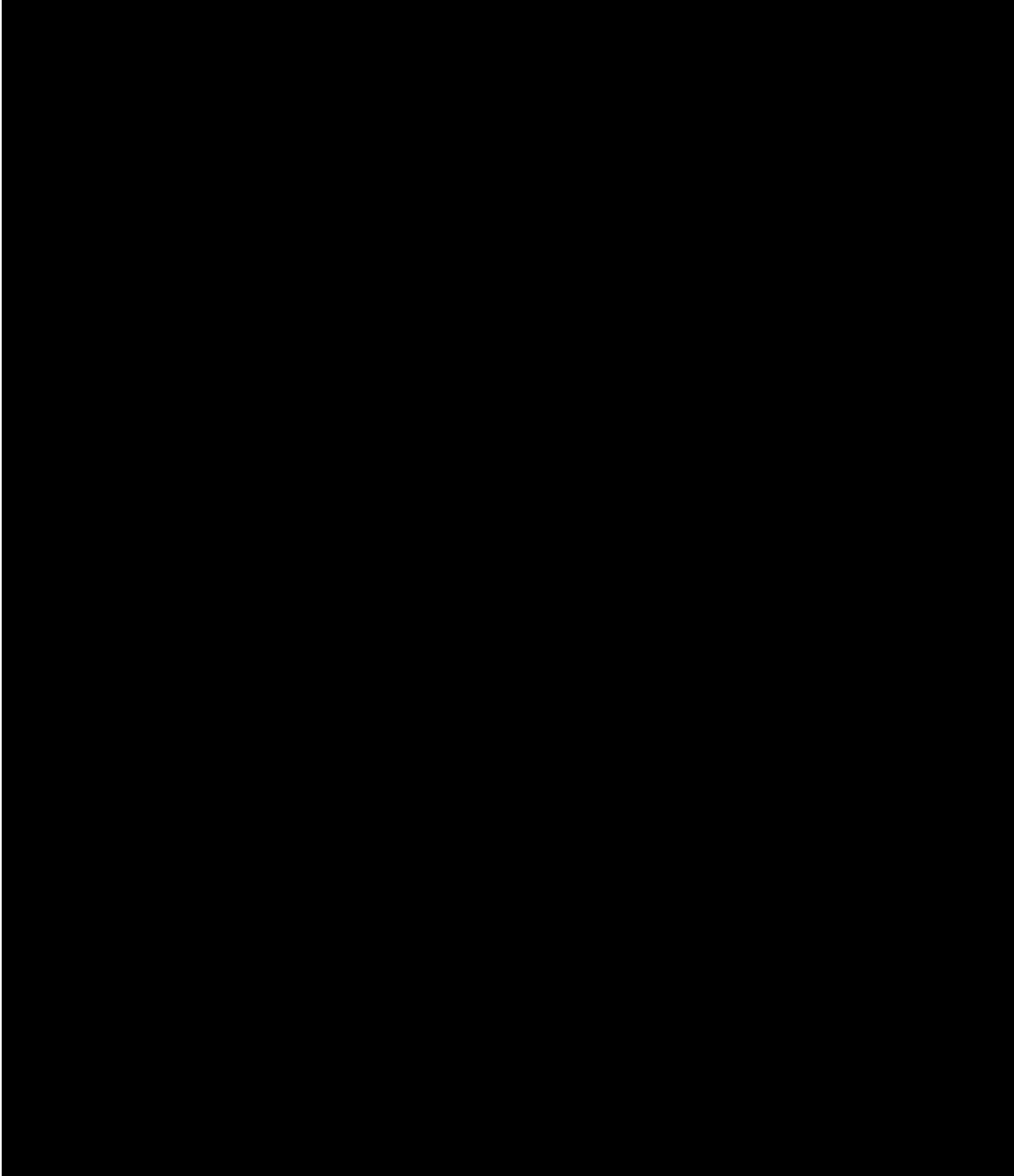
- (ii) obtain and comply with all requirements of, and ensure that its Associates in performing the Tunnelling Contractor's Activities obtain and comply with all requirements of, any Approvals required in order to release or emit anything from the Construction Site or any Extra Land into the air or water or onto the ground or otherwise into the Environment, including to emit any substantial noise or vibrations.
- (d) The Tunnelling Contractor must carry out all parts of the Tunnelling Contractor's Activities that may impact upon Sensitive Land Use(s):
- (i) in accordance with:
    - (A) Good Industry Practice;
    - (B) Law and all guidelines made or approved by the EPA;
    - (C) the 'Detailed Noise and Vibration Impact Statements' prepared and updated in accordance with conditions D29 and D30 of the Project Planning Approval; and
    - (D) not used; and
  - (ii) in a manner that adopts all reasonable and feasible measures to minimise the impact of construction noise and vibration on Sensitive Land Use(s).
- (e) Unless otherwise specified in Schedule D4 and without limiting the Tunnelling Contractor's other obligations or express entitlements under this deed, and insofar as they apply to the Project Works, Temporary Works or Tunnelling Contractor's Activities, the Tunnelling Contractor must comply with, carry out and fulfil the conditions and requirements of the Planning Approvals, including those conditions and requirements which the Principal is expressly or impliedly required under the terms of the Planning Approvals to comply with, carry out and fulfil but only to the extent that those conditions and requirements relate to the scope and extent of the Project Works, Temporary Works and Tunnelling Contractor's Activities.
- (f) The Tunnelling Contractor must immediately notify the Principal in writing as soon as the Tunnelling Contractor:
- (i) becomes aware of any breach or potential breach or non-compliance or potential non-compliance with the conditions or requirements of any Law or Approval regarding the Environment in the performance of the Tunnelling Contractor's Activities;
  - (ii) becomes aware of any information, fact or circumstance where, if the Principal were to be aware of such information, fact or circumstance, the Principal would be required to notify any Authority of that information, fact or circumstance pursuant to any Law relating to the Environment (without limiting any other obligation of the Tunnelling Contractor in relation to the information, fact or circumstances); or
  - (iii) notifies any Authority of any matter pursuant to any Law relating to the Environment, in which case the Tunnelling Contractor must provide to the Principal a copy of such notification and of any subsequent correspondence with the Authority in relation to the subject of the notification.
- (g) Any notice given by the Tunnelling Contractor under clause 4.3(f)(ii) must include such details and other information as the Principal would be required to provide to any relevant Authority pursuant to any Law relating to the Environment and must be in a format reasonably capable of provision to any such Authority.

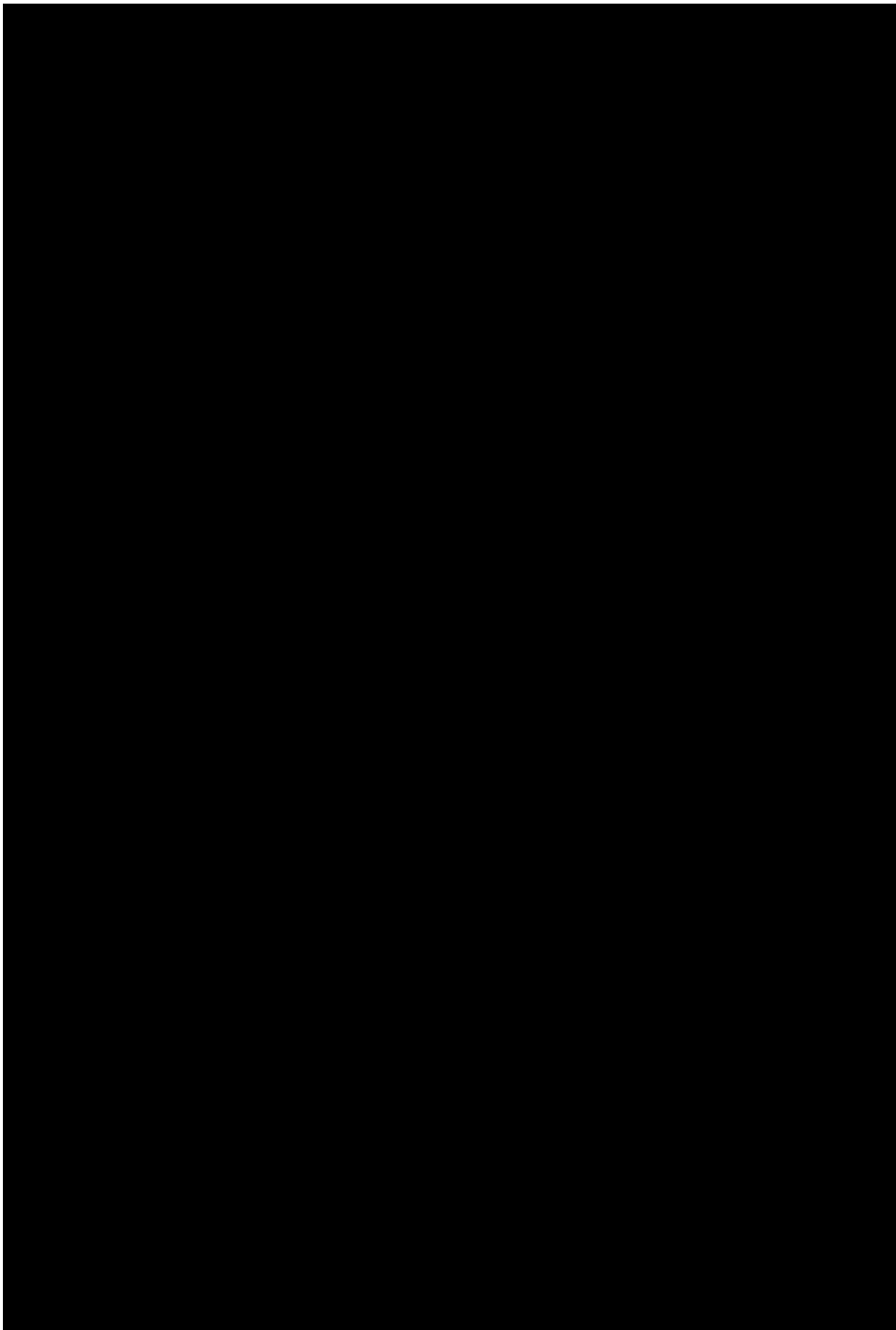
#### 4.4 Utility Services

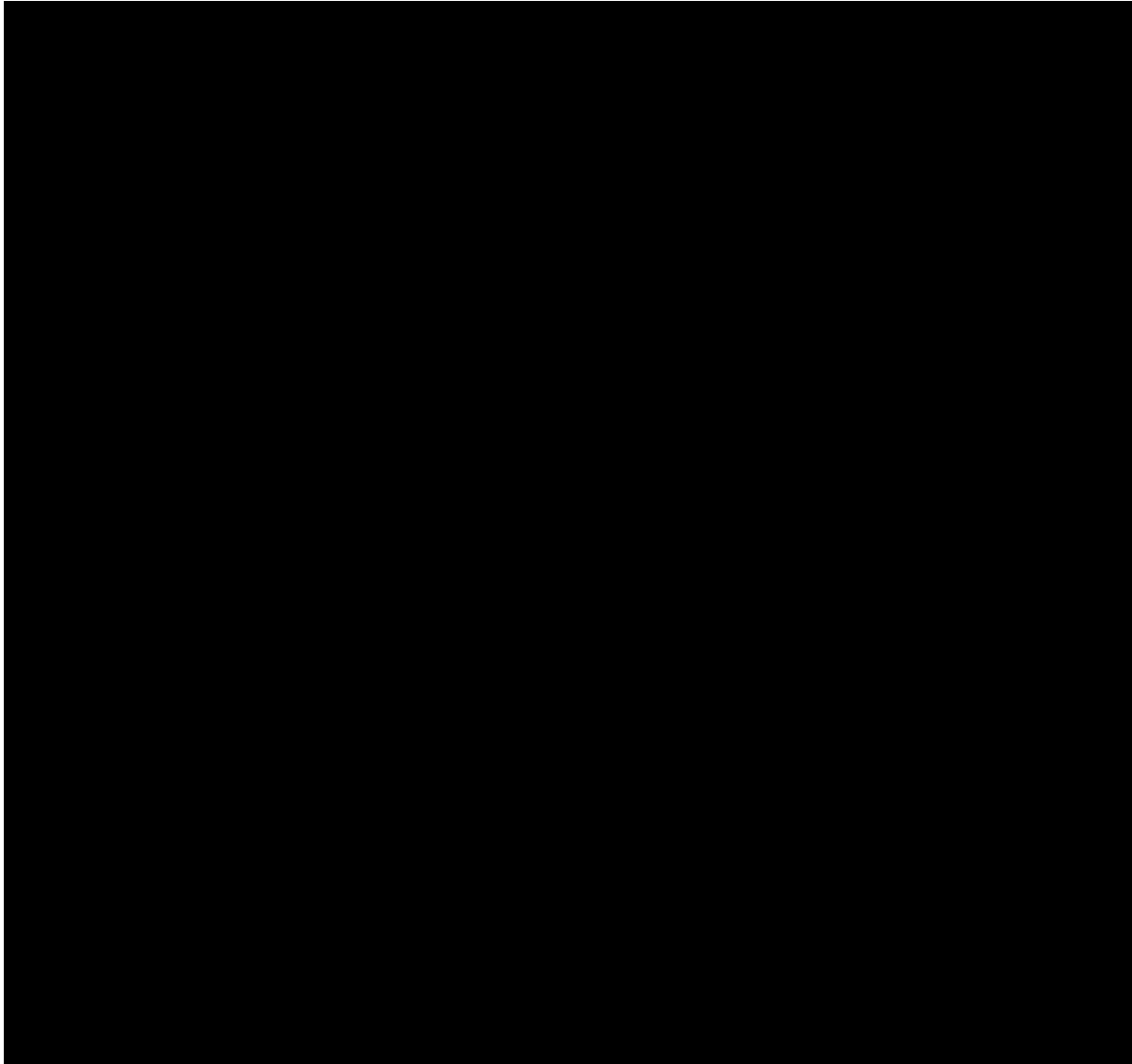
- (a) The Tunnelling Contractor:
- (i) must obtain and pay for any Utility Services and all connections for all Utility Services it needs to perform its obligations under this deed;
  - (ii) must investigate, protect, relocate, remove, modify, support, reinstate and provide for Utility Services necessary for the Tunnelling Contractor to comply with its obligations under this deed;
  - (iii) must not, without the Principal's Representative's prior written consent, obtain any Utility Services or connect any Utility Services to the Project Works that are not necessary to allow the Tunnelling Contractor to carry out the Tunnelling Contractor's Activities;
  - (iv) must obtain the Principal's Representative's prior written consent (such consent not to be unreasonably withheld or delayed) in respect of any new connections for Utility Services or changes or modifications to existing connections for Utility Services;
  - (v) must consult with and keep the Principal fully informed as to dealings with the Authorities and owners of Utility Services and comply fully with their requirements;
  - (vi) must ensure there are no unplanned disruptions to the Utility Services in carrying out the Tunnelling Contractor's Activities and that planned disruptions to the Utility Services are minimised and that otherwise no Utility Services are damaged, destroyed, disconnected, disrupted, interfered with or interrupted by reason of the performance of the Tunnelling Contractor's Activities;
  - (vii) must ensure that maintenance points for Utility Services are located within the Project Site in a location approved by the Principal's Representative in writing (such approval not to be unreasonably withheld or delayed);
  - (viii) except to the extent expressly provided otherwise by this deed, must contract for the provision of, acquire or otherwise procure or provide all Materials, Construction Plant and Utility Services (including electricity) required for the performance of its obligations under this deed; and
  - (ix) must, to the extent not prohibited by Law, indemnify the Principal from and against any claims against the Principal, or Loss suffered or incurred by the Principal, arising out of or in connection with:
    - (A) any damage to, disconnection or destruction of, disruption to or interference with or interruption to, any Utility Service arising out of or in connection with:
      - (aa) a failure by the Tunnelling Contractor to comply with any obligations under this deed; or
      - (bb) any act or omission of the Tunnelling Contractor or its Associates; or
    - (B) a failure by the Tunnelling Contractor to comply with any obligation under this deed with respect to Utility Services or the Utility Service Works including the Tunnelling Contractor's obligations under the

Tunnelling Specification (including sections 2.1.3.3 and 3.1 of the Particular Specification).

- (b) [REDACTED] the Tunnelling Contractor is responsible for, and assumes the risk of all additional work, increased costs and any other Loss, Liability, claim, delay or disruption (including any delay in achieving Milestone Achievement, Substantial Completion and Completion) it suffers or incurs arising out of or in any way in connection with the existence, location, condition and availability of all Utility Services required for the execution of the Tunnelling Contractor's Activities.







4.5 **Control of traffic**

The Tunnelling Contractor:

- (a) is responsible for the control, direction and protection of all road, waterborne and pedestrian traffic, in any way affected by the carrying out of the Tunnelling Contractor's Activities;
- (b) must, without limiting clauses 4.5(c) and 4.5(d), manage all such traffic to ensure:
  - (i) its continuous, safe and efficient movement;
  - (ii) the traffic carrying capacity of Local Areas is maintained; and
  - (iii) that any delays and disruptions to:
    - (A) road traffic and the movement of road traffic; and
    - (B) waterborne traffic and the movement of waterborne traffic,are minimised;

- (c) must at all times comply with the Construction Traffic Management Plan; and
- (d) must comply with the directions of any relevant Authority and the Principal's Representative with respect to such management.

#### 4.6 Collusive arrangements

- (a) The Tunnelling Contractor:
  - (i) warrants that, prior to the close of Tenders, the Tunnelling Contractor had no knowledge of the Tender price of any other Tenderer and had not directly or indirectly communicated the Tunnelling Contractor's Tender price to any other Tenderer;
  - (ii) warrants that except as disclosed in the Tender and as agreed with the Principal in writing, the Tunnelling Contractor:
    - (A) has not made any contract or arrangement or arrived at any understanding with any other Tenderer or with any trade or industry association to the effect that:
      - (aa) the Tunnelling Contractor will pay money to or confer any benefit upon any of the unsuccessful Tenderers; or
      - (bb) the Tunnelling Contractor will pay money to or confer any benefit upon any trade or industry association (above the published standard fee) in respect of this deed;
    - (B) has not made any allowance in the Tunnelling Contractor's Tender price on account of a contract, arrangement or understanding of a kind referred to in clause 4.6(a)(ii)(A); and
    - (C) will not pay any money or confer any benefit on any other Tenderer or any trade or industry association of the kind referred to in clause 4.6(a)(ii)(A); and
  - (iii) acknowledges that it is aware that the Principal entered this deed in reliance upon the warranties in clause 4.6(a)(i) and clause 4.6(a)(ii).
- (b) The Principal and the Tunnelling Contractor agree that if any matter warranted in clauses 4.6(a)(i) or 4.6(a)(ii) is found not to be true or not to be correct, in addition to any other rights that the Principal may have, the Tunnelling Contractor:
  - (i) will be in fundamental breach of this deed; and
  - (ii) without limiting the Principal's rights under clause 26.3, must pay to the Principal as liquidated damages the sum equivalent to that paid or to be paid pursuant to any contract, arrangement or understanding referred to in clause 4.6(a)(ii) and such amounts will be Excluded Costs.

#### 4.7 Community relations

The Tunnelling Contractor:

- (a) acknowledges that the areas where the Tunnelling Contractor's Activities are being carried out are of great importance to many people, including stakeholders, local residents and businesses; and



- (b) must manage and participate in all community relations and involvement programs and activities as:
  - (i) required by the Tunnelling Specification (including section 6 of the General Specification);
  - (ii) contained in the Sydney Metro Overarching Community Communications Strategy;
  - (iii) contained in the Community Communications Strategy; or
  - (iv) reasonably required by the Principal from time to time.

#### 4.8 Media events

- (a) The Tunnelling Contractor must:
  - (i) permit the Principal and the NSW Government to hold media events on the Construction Site:
    - (A) on or about the occurrence of each of the milestones specified in section 6.16.2 of the General Specification; and
    - (B) at such other times as may be reasonably requested by the Principal; and
  - (ii) cooperate with the Principal and provide all reasonable assistance that the Principal may request in connection with any such media event.
- (b) Where the Principal holds a media event, regardless of whether it is on or about the occurrence of a milestone specified in section 6.16.2 of the General Specification, or otherwise, the Tunnelling Contractor will not be entitled to make, and the Principal will not be liable upon, any Claim arising out of or in connection with any such media event.

#### 4.9 Special events

- (a) The Tunnelling Contractor:
  - (i) acknowledges that Special Events may be held in areas that are adjacent to or in the vicinity of parts of the Construction Site and Extra Land;
  - (ii) must:
    - (A) perform the Tunnelling Contractor's Activities so as to minimise any interference with or disruption to any Special Event (or the planning and preparation for any Special Event);
    - (B) co-operate with the Principal and all relevant Authorities and emergency services in relation to any Special Event (and the planning and preparation for any Special Event);
    - (C) coordinate the Tunnelling Contractor's Activities with any Special Event (and the planning and preparation for any Special Event); and
    - (D) if requested by the Principal's Representative, attend any meeting relating to any Special Event (or the planning and preparation for any Special Event),

which is adjacent to or in the vicinity of any part of the Construction Site or any Extra Land.

- (b) The Tunnelling Contractor:
- (i) acknowledges and agrees that the Principal will not be liable upon any Claim by the Tunnelling Contractor arising out of or in any way in connection with any Special Event (or the planning and preparation for any Special Event); and
  - (ii) warrants that (as at the date of this deed) each element of the Target Cost and the Overall ETP Program contains sufficient allowances for the assumption by the Tunnelling Contractor of the obligations and risks under this clause 4.9.

#### 4.10 Cooperation and coordination with Interface Contractors

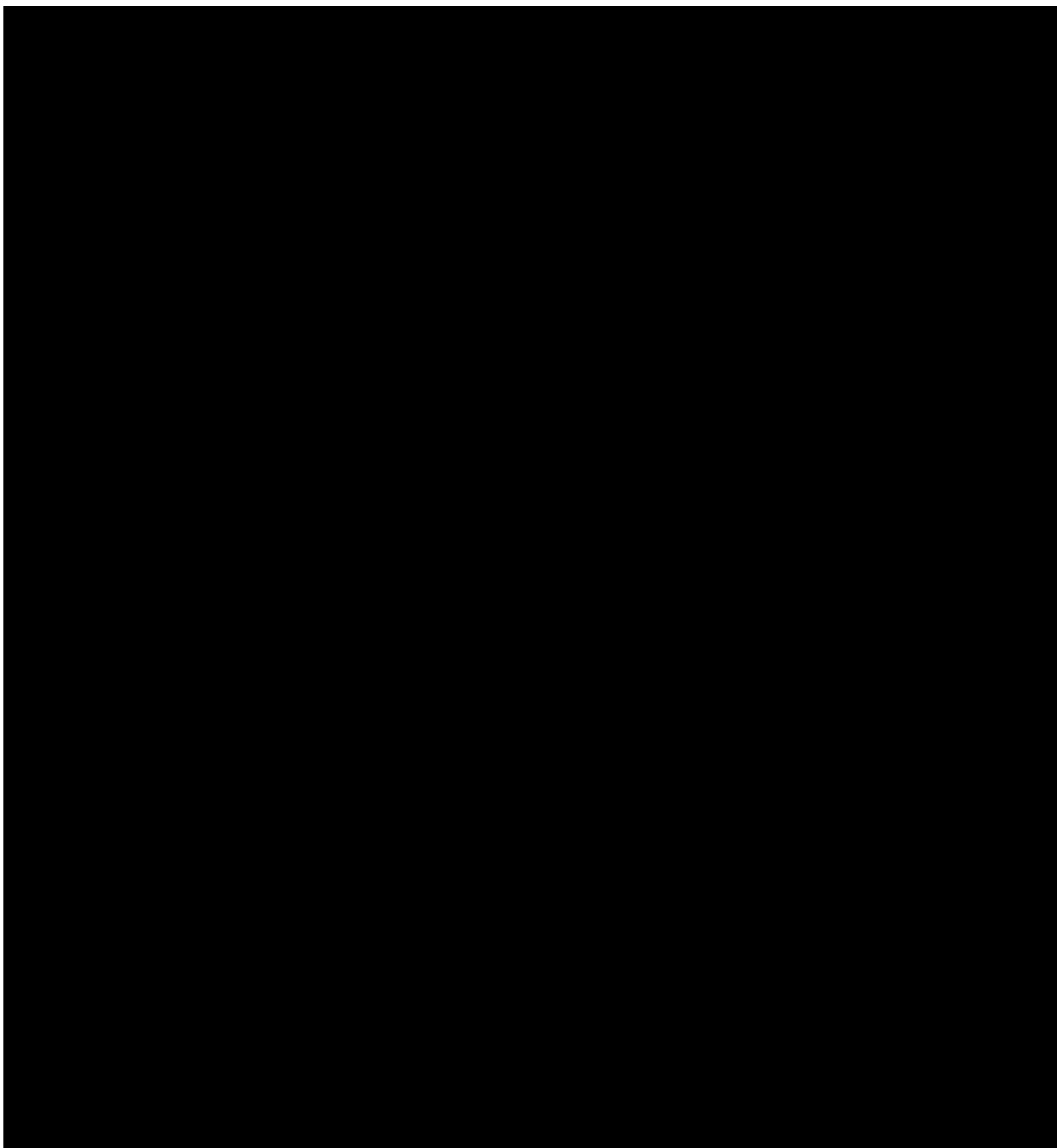
Without limiting the Tunnelling Contractor's obligations under the Master Interface Deed, the Tunnelling Contractor:

- (a) acknowledges that:
- (i) the Interface Work forms part of Sydney Metro West;
  - (ii) the Tunnelling Contractor's Activities interface with the Interface Work;
  - (iii) Interface Contractors will be executing work on parts of the Construction Site, or adjacent to the Construction Site, at the same time as the Tunnelling Contractor is performing the Tunnelling Contractor's Activities;
  - (iv) it may require certain design and work methodology input from Interface Contractors to coordinate the design of the Project Works and Temporary Works with the Interface Work;
  - (v) Interface Contractors may require the Tunnelling Contractor to provide information to them to coordinate the design of the Interface Work with the Project Works and the Temporary Works, and this must be provided in a timely manner by the Tunnelling Contractor; and
  - (vi) any delay in the performance of the Tunnelling Contractor's Activities or in the Tunnelling Contractor providing information to, or cooperating and coordinating with any Interface Contractor, may adversely impact upon, delay or disrupt any one or more Interface Contractors or the Tunnelling Contractor's Activities in a way which may lead to the Principal suffering or incurring additional costs, Losses and damages;
- (b) [REDACTED] must at all times on a 24 hour per day, 7 day per week basis:
- (i) permit Interface Contractors to execute the Interface Work on the applicable parts of the Construction Site or on any adjacent property to the Construction Site and for this purpose ensure they have safe, clean and clear access to those parts of the Construction Site, or property adjacent to the Construction Site, required by them for the purpose of carrying out their work (subject to, where the relevant Interface Contractor is carrying out Construction Site Interface Work, the Interface Contractor executing a deed poll in favour of the Tunnelling Contractor in the form set out in Schedule 4 of the Master Interface Deed);

- (ii) protect the Project Works, Temporary Works and other improvements on the Construction Site or Extra Land from accidental damage by Interface Contractors and allow goods and equipment supplied by Interface Contractors to be received and stored by the Interface Contractors on the Construction Site;
- (iii) fully cooperate with Interface Contractors, and do everything reasonably necessary to:
  - (A) facilitate the execution of work by Interface Contractors, including providing Interface Contractors with such assistance as may be directed by the Principal's Representative; and
  - (B) ensure the effective coordination of the design and construction of the Project Works and the Temporary Works with the design and construction of the Interface Work;
- (iv) carefully coordinate and interface the Tunnelling Contractor's Activities with the Interface Work and for this purpose;
  - (A) make proper allowance in all programs for the Interface Work;
  - (B) review all programs provided by Interface Contractors and confirm that they adequately allow for the Tunnelling Contractor's Activities and the interfaces of the Interface Work with the Tunnelling Contractor's Activities;
  - (C) monitor the progress or conduct of the Interface Work;
  - (D) notify the Principal's Representative of any interface or sequence of activities of which the Tunnelling Contractor is aware that may affect the commencement, progress or Milestone Achievement of any Milestone or Substantial Completion of any Portion; and
  - (E) provide the Interface Contractors with sufficient information about the current and expected Tunnelling Contractor's Activities to assist them to coordinate their Interface Work with the Tunnelling Contractor's Activities,
- (v) perform the Tunnelling Contractor's Activities so as to minimise any interference with or disruption or delay to the Interface Work;
- (vi) be responsible for coordinating the Tunnelling Contractor's Activities, including work sequencing, construction methods, safety and industrial relations matters with those affecting, and influenced by, Interface Contractors' personnel and work;
- (vii) without limiting clause 4.18(b), attend coordination meetings chaired by the Principal's Representative with Interface Contractors and others at such times as may be reasonably required by the Principal's Representative, to review current and future issues; and
- (viii) when any information is requested by the Principal or the Interface Contractors, including commenting on the compatibility or suitability of the design of, work methods to be used in, or any other aspect of, the Interface Work with the Project Works or the Tunnelling Contractor's Activities:
  - (A) provide the information to the Principal's Representative or the Interface Contractor, with a copy to the Principal's Representative (as

the case may be), within the time requested by the Principal or the Interface Contractor, provided that this time is reasonable; and

- (B) ensure and warrant that the information provided is accurate as at the date it is provided;
- (c) must promptly advise the Principal's Representative of all matters arising out of the liaison with Interface Contractors that may have an adverse effect upon the Tunnelling Contractor's Activities; and
- (d) must, prior to performing any construction work on an Interface Contractor's site, execute a deed poll in favour of the relevant Interface Contractor and the relevant appointed principal contractor in the form set out in Schedule 4 of the Master Interface Deed.



#### 4.11 **No Claims arising out of Interface Work**

The Tunnelling Contractor:

- (a) acknowledges and agrees that:
  - (i) no act or omission by an Interface Contractor will, whether or not it causes any delay, disruption or interference to the Tunnelling Contractor's Activities, constitute an Act of Prevention; and
  - (ii) except as expressly provided in this deed, the Principal will not be liable upon any Claim by the Tunnelling Contractor arising out of or in any way in connection with:
    - (A) the Interface Contractors carrying out Interface Work; or
    - (B) any act or omission of an Interface Contractor; and
- (b) warrants that as at the date of this deed each element of the Target Cost and the Overall ETP Program contains sufficient allowances for the assumption by the Tunnelling Contractor of the obligations and risks under clause 4.10 and this clause 4.11, including the cost of all the design iterations required to accommodate Interface Work.

#### 4.12 **Liability under the NGER Legislation**

- (a) Without limiting any other clause in this deed, the Tunnelling Contractor acknowledges and agrees that, if the Tunnelling Contractor's Activities constitute a "facility" within the meaning of the NGER Legislation, then for the purposes of the NGER Legislation, it has operational control of that facility or facilities and the Tunnelling Contractor will comply with any obligations arising in respect of the Tunnelling Contractor's Activities under the NGER Legislation.
- (b) If, for the purpose of the NGER Legislation, the Tunnelling Contractor is not taken to have operational control of the facility or facilities referred to in clause 4.12(a):
  - (i) the Tunnelling Contractor must comply with any obligations arising under the NGER Legislation in respect of the Tunnelling Contractor's Activities as if it was the person with operational control of such facility or facilities; and

- (ii) where section 11B(1) of the *National Greenhouse and Energy Reporting Act 2007* (Cth) applies, the Tunnelling Contractor agrees that upon written request by the Principal the parties will, for the purposes of the NGER Legislation, jointly nominate the Tunnelling Contractor as the person with operational control of such facility or facilities (with such nomination continuing until the completion of the Tunnelling Contractor's Activities) and will do all things reasonably necessary to give effect to such nomination (including providing all relevant information and completing and executing all relevant documents and forms).
- (c) If, despite the operation of clauses 4.12(a) and 4.12(b), the Principal incurs, or but for this clause 4.12 would incur, a liability under or in connection with the NGER Legislation as a result of or in connection with the Tunnelling Contractor's Activities, and the NGER Legislation provides:
  - (i) that such liability can be transferred by the Principal to the Tunnelling Contractor; or
  - (ii) for a declaration or other mechanism by which the Tunnelling Contractor can become the person with such liability under the NGER Legislation,

the Tunnelling Contractor must, upon written request by the Principal, do all things reasonably necessary to achieve such outcome (including providing all relevant information and completing and executing all relevant documents and forms).

#### 4.13 Provision of Emissions and Energy Data to the Principal

- (a) The Tunnelling Contractor must provide the Tunnelling Contractor's Emissions and Energy Data to the Principal's Representative:
  - (i) at such times as may be agreed by the Principal and the Tunnelling Contractor, or, if no such agreement is reached within 10 Business Days of receiving written notice from the Principal indicating that it requires the Tunnelling Contractor's Emissions and Energy Data to be provided; and
  - (ii) on each occasion that the Tunnelling Contractor is required to provide the Tunnelling Contractor's Emissions and Energy Data to an Authority under the NGER Legislation or any other applicable Law.
- (b) The Tunnelling Contractor acknowledges and agrees that the Principal may use the Tunnelling Contractor's Emissions and Energy Data for any purpose as it sees fit.

#### 4.14 Reporting Emissions and Energy Data

- (a) This clause 4.14 applies if, despite the operation of clause 4.12, the Principal incurs a liability under or in connection with the NGER Legislation as a result of or in connection with the Tunnelling Contractor's Activities.
- (b) If the Principal notifies the Tunnelling Contractor in writing that the Tunnelling Contractor is required to provide Tunnelling Contractor's Emissions and Energy Data to the Principal, then the Tunnelling Contractor must:
  - (i) provide the Tunnelling Contractor's Emissions and Energy Data to the Principal's Representative in the same manner, form and level of detail, based on the same methods and at the same times:
    - (A) as if the Tunnelling Contractor was obliged under the NGER Legislation or any other applicable Law to provide Emissions and Energy Data to an Authority and the Principal was that Authority;

- (B) in accordance with the requirements or approvals of any Authority and any directions by the Principal's Representative; and
- (C) without limiting clauses 4.14(b)(i)(A) or 4.14(b)(i)(B), as may be required to enable the Principal:
  - (aa) to discharge, as and when they fall due, any obligations that it may have to provide the Tunnelling Contractor's Emissions and Energy Data to any Authority; and
  - (bb) to provide to the Clean Energy Regulator, any Tunnelling Contractor's Emissions and Energy Data concerning any greenhouse gas project;
- (ii) keep all such Tunnelling Contractor's Emissions and Energy Data as may be required to enable it to discharge its obligations under clause 4.14(b)(i);
- (iii) retain records of its activities that are the basis of its Tunnelling Contractor's Emissions and Energy Data for any financial year, for a period of not less than 7 years from the end of the year in which the relevant activities take place; and
- (iv) permit the Tunnelling Contractor's Emissions and Energy Data to be examined, monitored, measured, copied, audited and verified by any persons appointed or authorised for that purpose by the Principal or any Authority, and co-operate with and provide all reasonable assistance to any such persons, including giving access to premises, plant and equipment, producing and giving access to documents (including any records kept and retained under clauses 4.14(b)(ii) and 4.14(b)(iii)) and answering questions.
- (c) Without limiting clause 4.14(b), the Tunnelling Contractor must assist the Principal to comply with the NGER Legislation in relation to any aspect of the Tunnelling Contractor's Activities.
- (d) The Tunnelling Contractor acknowledges and agrees that:
  - (i) the Tunnelling Contractor's Emissions and Energy Data is provided to the Principal:
    - (A) to discharge any obligations that the Principal may have to provide such Data to an Authority; and
    - (B) so that the Principal may provide to the Clean Energy Regulator any Tunnelling Contractor's Emissions and Energy Data concerning any greenhouse gas project;
  - (ii) the Principal may provide or otherwise disclose the Tunnelling Contractor's Emissions and Energy Data to any applicable Authority; and
  - (iii) nothing in this clause 4.14 is to be taken as meaning that the Principal has agreed to perform on behalf of the Tunnelling Contractor, any obligation that the Tunnelling Contractor itself may have under any Law regarding the provision of Emissions and Energy Data to any Authority (including any obligation under the NGER Legislation).

#### 4.15 **Indemnity**

The Tunnelling Contractor must at all times indemnify the Principal and its Associates from and against any claims against the Principal, or Loss suffered or incurred by the Principal or its Associates, arising out of or in connection with:

- (a) the Tunnelling Contractor's breach of its obligations; and
- (b) any inaccuracy or omission in information provided to the Principal,

under clauses 4.12, 4.13 and 4.14.

#### 4.16 **Sustainability**

- (a) The Tunnelling Contractor must comply with the requirements of and achieve the sustainability ratings set out in section 2.8 of the General Specification.
- (b) Without limiting clause 4.16(a), in order to achieve the ratings referred to in section 2.8 of the General Specification the Tunnelling Contractor must:
  - (i) register with the Infrastructure Sustainability Council of Australia for the purposes of obtaining the ratings;
  - (ii) cooperate and liaise with the Infrastructure Sustainability Council of Australia as required; and
  - (iii) provide any documentation required by the Infrastructure Sustainability Council of Australia.

#### 4.17 **Environmental Management System**

The Tunnelling Contractor must implement an Environmental Management System in accordance with the applicable requirements of the Tunnelling Specification, including section 2.5.4 of the General Specification.

#### 4.18 **Master Interface Deed**

- (a) The Tunnelling Contractor must:
  - (i) on or before the Condition Precedent Deadline Date, provide to the Principal the Master Interface Deed Accession Deed Poll, duly executed by the Tunnelling Contractor in the number of counterparts required by the Principal;
  - (ii) at all relevant times comply with the terms of the Master Interface Deed; and
  - (iii) update the Integration Management Plan as required to reflect the interface between the Tunnelling Contractor's Activities and the activities to be performed by each relevant Interface Contractor.
- (b) The Tunnelling Contractor must ensure that the Interface and Integration Manager (as referred to in Schedule A9) attends and participates in all relevant Governance Forums (as that term is defined in the Master Interface Deed) established in accordance with the Master Interface Deed.
- (c) The Principal will procure that OpCo and each Interface Contractor which is a member of a Delivery Site Integration Group to which the Tunnelling Contractor is also a member under the terms of the Master Interface Deed accedes to the Master Interface Deed in accordance with its terms.



- (d) If:
- (i) the Framework Principles are amended or replaced in accordance with clause 10.5(b) of the Master Interface Deed; and
  - (ii) compliance with the amended or replacement Framework Principles will have a Material Impact on the Tunnelling Contractor,
- then such amendment will be treated as a Change.

#### 4.19 **Collateral Warranty Deed Poll**

Without prejudice to the Tunnelling Contractor's obligations to execute a Collateral Warranty Deed Poll as a condition precedent to Substantial Completion of the first Portion, the Tunnelling Contractor must, within 5 Business Days of receipt of a request from the Principal, give the Principal's Representative an executed Collateral Warranty Deed Poll.

#### 4.20 **Australian Jobs Act**

The Tunnelling Contractor must:

- (a) take reasonable steps to ensure that Australian companies have full, fair and reasonable opportunities to bid for the supply of key goods and services that the Tunnelling Contractor requires in order to perform the Tunnelling Contractor's Activities; and
- (b) without limiting clause 8.1, cooperate with the Principal in relation to:
  - (i) compliance with the requirements of the *Australian Jobs Act 2013* (Cth); and
  - (ii) the implementation of the Sydney Metro Australian Industry Participation Plan.

#### 4.21 **Workforce development and industry participation**

- (a) The Tunnelling Contractor together with its Subcontractors must:
  - (i) comply with the requirements of sections 2.9, 5.1.16 and 5.2.2.10 of the General Specification;
  - (ii) achieve the "Workforce Development and Industry Participation Outputs" required by section 2.9.3 of the General Specification; and
  - (iii) at all times comply with the requirements of the Aboriginal Participation Plan and the Workforce Development & Industry Participation Plan that the Tunnelling Contractor is permitted to use in accordance with clause 13.5.
- (b) Without limiting clause 4.21(a), the Tunnelling Contractor must, and must procure that its Subcontractors (but only in respect of the Subcontractor's works):
  - (i) cooperate with the Principal and provide any assistance or documentation that the Principal may reasonably require in relation to the implementation of its workforce development and industry participation initiatives for Sydney Metro West;
  - (ii) attend and participate in working groups and forums established by the Principal in relation to its workforce development and industry participation initiatives for Sydney Metro West;

- (iii) maintain records evidencing the Tunnelling Contractor's compliance with the requirements of section 2.9 of the General Specification; and
  - (iv) make available all records maintained in accordance with clause 4.21(b)(iii) to the Principal or its nominees.
- (c) Without limiting its other obligations under this clause 4.21, the Tunnelling Contractor must maximise opportunities for its Subcontractors to contribute to the Tunnelling Contractor's achievement of the "Workforce Development and Industry Participation Outputs" required by section 2.9.3 of the General Specification.

## 5. COST PLANNING AND CHANGES TO TARGET COST

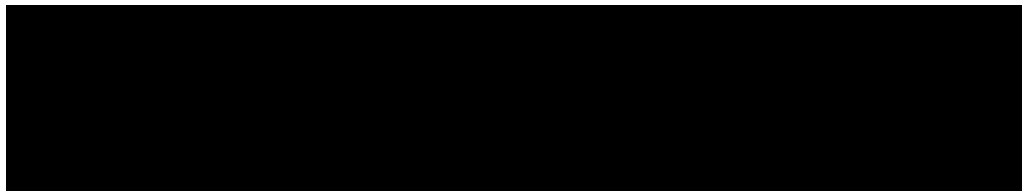
### 5.1 Cost Planning

- (a) The Tunnelling Contractor must plan the Project Works, Temporary Works and Tunnelling Contractor's Activities in consultation with the Principal's Representative and provide estimates of and costings for the design, construction and commissioning phase of the Project Works.
- (b) The Tunnelling Contractor must:
  - (i) within 10 Business Days of the date of this deed (or any longer period agreed by the Principal's Representative in writing), prepare and submit to the Principal's Representative for approval a cost breakdown structure which must, at a minimum, include all of the items from the cost breakdown structure in the Initial Cost Breakdown (**Cost Breakdown**); and
  - (ii) within 30 Business Days of the date of this deed (or any longer period agreed by the Principal's Representative in writing), prepare and submit to the Principal's Representative for approval a cost plan which meets the requirements of Part 1 of Schedule E11 and is consistent with the Cost Breakdown (**Cost Plan**).
- (c) Within 15 Business Days of receiving any submission under clause 5.1(b), the Principal's Representative (acting reasonably) must notify the Tunnelling Contractor in writing whether the Cost Breakdown or the Cost Plan (as applicable) is:
  - (i) approved; or
  - (ii) not approved, in which case the Principal's Representative must provide reasons for withholding its approval.
- (d) If the Principal's Representative gives the Tunnelling Contractor a notice under clause 5.1(c)(ii), the Tunnelling Contractor must amend the relevant documents to address the reasons for disapproval and resubmit such amended documents to the Principal's Representative after which the process in clause 5.1(c) will reapply.
- (e) The Tunnelling Contractor must not make any changes to the Cost Breakdown or the Cost Plan that have been approved under clause 5.1(c)(i)(including any cost codes that are set out in either of those documents) without the prior written approval of the Principal's Representative.
- (f) If requested at any time by the Principal's Representative, the Tunnelling Contractor must provide to the Principal's Representative (or any person authorised by the Principal's Representative):
  - (i) all information necessary to corroborate the Cost Plan on an Open Book Basis and must co-operate in respect of any audit of the information concerning the

Cost Plan undertaken by the Principal or an external auditor appointed by the Principal; and

- (ii) read only access to all components of the Tunnelling Contractor's cost system that relate to the Tunnelling Contractor's Activities and/or the Project Works and the Temporary Works including:
  - (A) links to all underlying documents in sufficient detail to allow the Principal to evaluate any of the Tunnelling Contractor's cost planning obligations; and
  - (B) if multiple reports are required to be provided in connection with this clause 5.1(f)(ii), the Tunnelling Contractor must ensure such reports utilise consistent information.

(g)



## 5.2 Cost Control

The Tunnelling Contractor must:

- (a) use its best endeavours to ensure that it achieves Completion of all Portions so that the Outturn Cost does not exceed the Target Cost;
- (b) without limiting clause 5.2(a), review the Cost Plan with the Principal's Representative as the preparation of the Design Documentation proceeds, to:
  - (i) ensure that the cost of construction of the design is in accordance with the Cost Plan; and
  - (ii) advise the Principal's Representative how the design should or can be modified to ensure that the cost of the design is in accordance with the Cost Plan; and
- (c) without limiting clause 5.2(a), institute a system of cost control (including monthly reports to the Principal setting out the cost to date, forecast cost to complete and forecast cost at completion) and, together with the Principal's Representative, review and, where approved by the Principal's Representative in writing, amend the Cost Plan to take account of any item affecting or likely to affect any component of the Cost Plan, and advise the Principal's Representative as to the alternative steps available where:
  - (i) the tenders for any part of the Reimbursable Work exceed the amount included for that work in the Cost Plan;
  - (ii) no tenders are received for any part of the Reimbursable Work;
  - (iii) the Reimbursable Costs incurred under any Approved Subcontract exceed (or appear likely to exceed) the amount allowed for that particular Approved Subcontract in the Cost Plan; or
  - (iv) the Reimbursable Costs incurred in respect of Self-Performed Reimbursable Work exceed (or appear likely to exceed) the amount allowed for that particular Self-Performed Reimbursable Work in the Cost Plan.

### 5.3 Adjustments

The parties acknowledge and agree that:

- (a) the Target Cost will only change as a result of:
  - (i) Reimbursable Cost Element Adjustments;
  - (ii) Management Fee Adjustments;
  - (iii) Preliminaries Fee Adjustments;
  - (iv) any Early Site Access Payment that may become payable pursuant to clause 14.1(h); and

- (b) the Key Plant and Equipment Amount is a fixed lump sum amount that does not form part of the Target Cost and the Tunnelling Contractor will not be entitled to any adjustment to the Key Plant and Equipment Amount for rise and fall or otherwise.

### 5.4 Claims for adjustments

- (a) If a Reimbursable Cost Element Adjustment Event, a Preliminaries Fee Adjustment Event or a Management Fee Adjustment Event (as applicable) has occurred and the Tunnelling Contractor wishes to make a Claim for a Reimbursable Cost Element Adjustment, Preliminaries Fee Adjustment or a Management Fee Adjustment (as applicable), the Tunnelling Contractor must:

- (i) submit the notices and Claims required by clause 33.1 and clause 33.2 to the Principal; and
- (ii) with the Tunnelling Contractor's Claim submitted pursuant to clause 33.2(b), provide the Tunnelling Contractor's proposed Reimbursable Cost Element Adjustment, Preliminaries Fee Adjustment or the Management Fee Adjustment (as applicable), including sufficient evidence to support the proposed adjustments on an Open Book Basis,

and clause 5.5 will apply.

- (b) Without limiting clause 5.4(a), if the Principal considers that a Reimbursable Cost Element Adjustment Event, Preliminaries Fee Adjustment or a Management Fee Adjustment Event has occurred it may notify the Tunnelling Contractor and clause 5.5 will apply.

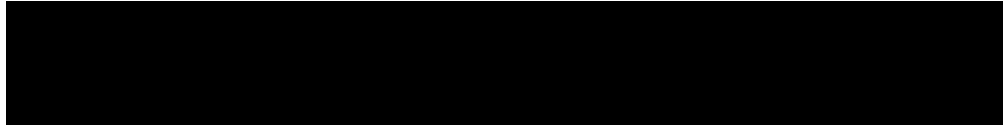
### 5.5 Determination of adjustments to the Target Cost

Any Reimbursable Cost Element Adjustment, Management Fee Adjustment and Preliminaries Fee Adjustment, as the case may be, must be determined by the Principal's Representative in accordance with Schedule E9 and will be added to or deducted from the Target Cost (as applicable).

### 5.6 Outturn Cost exceeds Target Cost

- (a) If the Outturn Cost is greater than the Target Cost, the Share of Cost Overrun will be a debt due and payable by the Tunnelling Contractor to the Principal.
- (b) [REDACTED] the Tunnelling Contractor's total aggregate Liability under clause 5.6(a) is limited to an amount equal to the Share of Cost Overrun Cap.

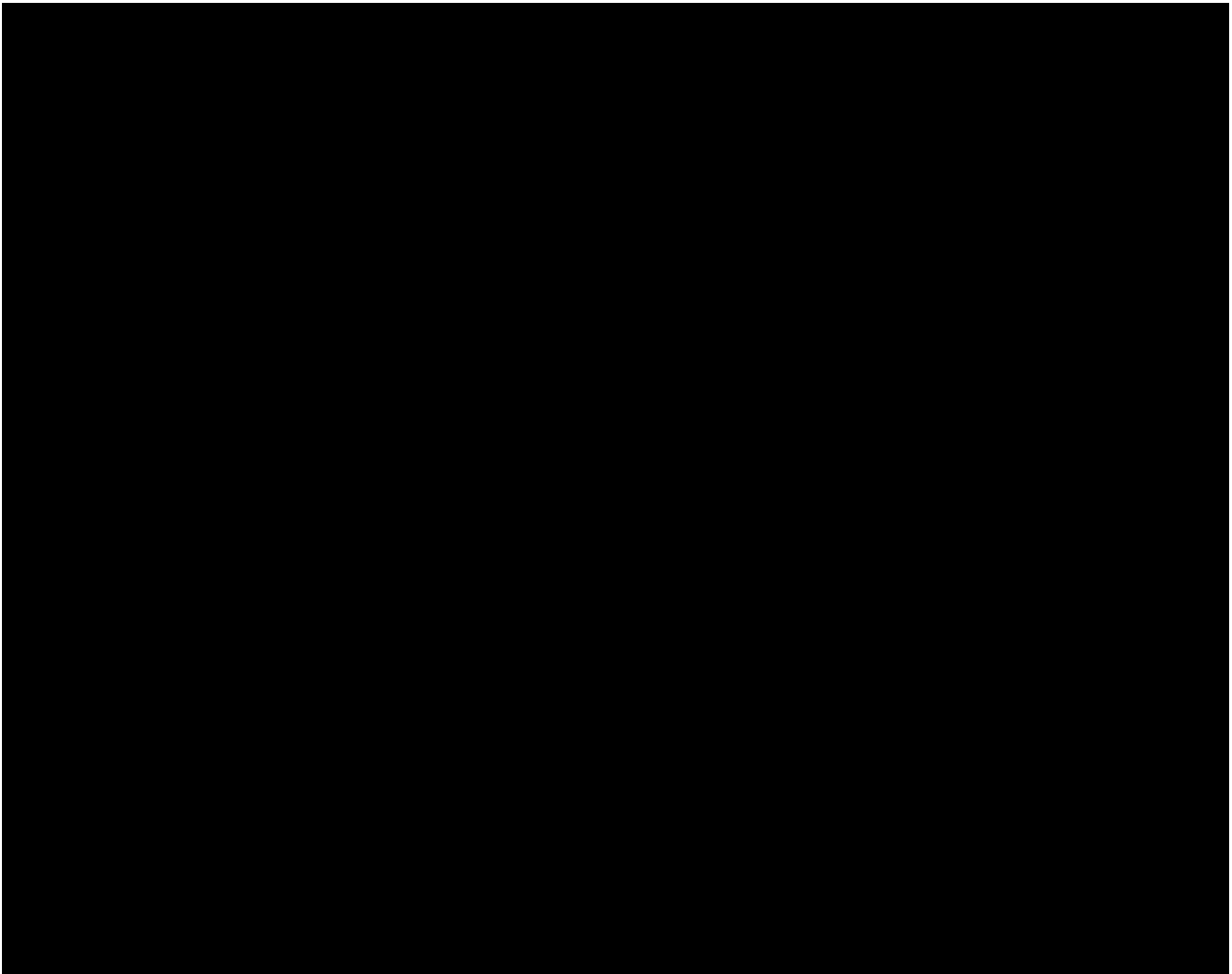
- (c) If at any time after the second anniversary of the date of this deed:
- (i) the Principal reasonably determines during the carrying out of the Tunnelling Contractor's Activities that the Outturn Cost will exceed the Target Cost; or
  - (ii) the Outturn Cost has actually exceeded the Target Cost,
- the Principal may withhold payment of all or part of:

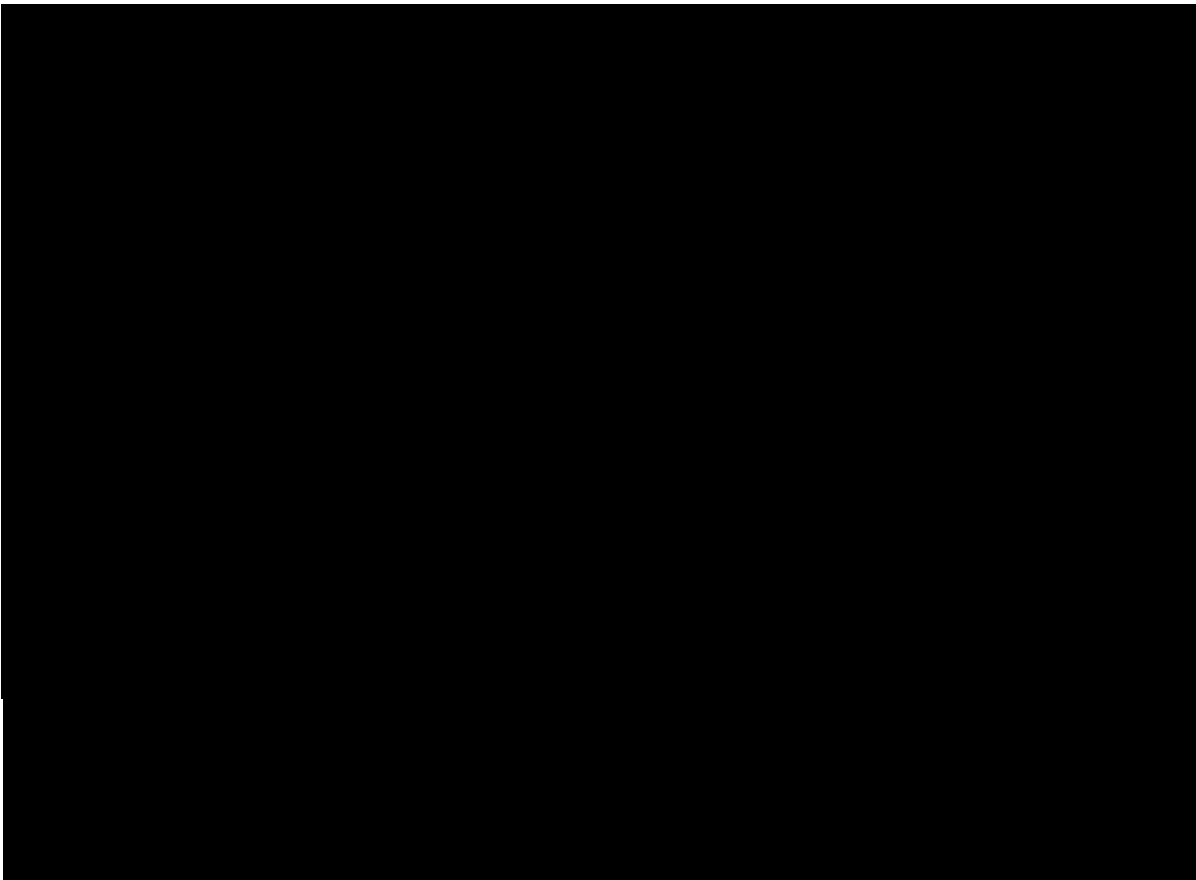


otherwise due to the Tunnelling Contractor under this deed to meet the Principal's determination of the liability that the Tunnelling Contractor has (or will have) under clause 5.6(a).

**5.7 Outturn Cost is less than the Target Cost**

If the Outturn Cost is less than the Target Cost, the Tunnelling Contractor will be entitled to claim payment of the Share of Savings in accordance with clause 22.13.





**6. REIMBURSABLE WORK**

**6.1 General requirements for Reimbursable Work**

- (a) Unless otherwise agreed by the Principal's Representative in writing, Reimbursable Work must be performed by:
  - (i) Subcontractors under Approved Subcontracts entered into in accordance with the procedure in this clause 6; or
  - (ii) the Tunnelling Contractor as Self-Performed Reimbursable Work pursuant to clause 6.15.
- (b) The Tunnelling Contractor must not include any of the work which forms part of the Preliminaries in the scope of any part of the Reimbursable Work or in any Subcontract Proposal.

**6.2 Subcontracting by the Tunnelling Contractor**

- (a) Subject to this clause 6, the Tunnelling Contractor may enter into Subcontracts for the performance of the Tunnelling Contractor's Activities or any part of them.
- (b) The Tunnelling Contractor must not enter into any Subcontract with a Prohibited Subcontractor.

**6.3 Probity and competitiveness**

- (a) The Tunnelling Contractor must ensure that all aspects of its procurement processes for Reimbursable Work are conducted:

- (i) on terms which maximise Value for Money for the Principal;
  - (ii) with the highest standards of probity, fairness and equal opportunity; and
  - (iii) in accordance with the Procurement Management Plan.
- (b) The Tunnelling Contractor:
- (i) must comply with any direction by the Principal's Representative concerning the probity and competitiveness of the procurement process for Reimbursable Work; and
  - (ii) will not be relieved from compliance with any of its obligations under this deed or from any of its liabilities whether under this deed or otherwise according to law as a result of any direction of the Principal's Representative or the Principal's probity auditor concerning the probity and competitiveness of the procurement process for Reimbursable Work.

#### 6.4 Subcontract procurement process

- (a) Subject to clauses 6.4(b) and 6.4(c), the Tunnelling Contractor must procure and engage all Subcontractors in accordance with the process set out in Schedule A35 (including the upfront approvals process in clause 2 of Schedule A35).
- (b) The Principal's Representative may for any reason and at any time direct in writing that all or part of the process in Schedule A35 shall not apply to the procurement or engagement of any one or more Subcontractors. A direction under this clause 6.4(b):
  - (i) may be given or not given by the Principal's Representative in its absolute discretion;
  - (ii) is not required to be given for the convenience of, or to assist, the Tunnelling Contractor; and
  - (iii) may be conditional.
- (c) Clause 6.4(a) does not apply to the procurement or engagement of any:
  - (i) Pre-approved Subcontractors;
  - (ii) Subcontractors for the supply of Key Plant and Equipment;
  - (iii) Nominated Subcontractors; or
  - (iv) Subcontractors carrying out work which solely forms part of the Preliminaries (and does not form part of the Reimbursable Work).

#### 6.5 Pre-approved Subcontracts and Subcontracts for Key Plant and Equipment

- (a) The Tunnelling Contractor must submit a copy of the terms on which it proposes to engage any Pre-Approved Subcontractor and supplier of each item of Key Plant and Equipment to the Principal's Representative for approval within:
  - (i) in respect of design work, 20 Business Days of the date of this deed;
  - (ii) in respect of an item of Key Plant and Equipment, 60 Business days after the date of this deed; and

- (iii) in respect of any other work, 60 Business Days prior to the intended execution of the relevant Pre-Approved Subcontract.
- (b) The Tunnelling Contractor must notify the Principal's Representative in writing of the identity of the supplier of each item of Key Plant and Equipment within 60 Business Days of the date of this deed.
- (c) Within 15 Business Days of receiving any submission under clause 6.5(a), the Principal's Representative (acting reasonably) must notify the Tunnelling Contractor in writing whether the terms on which the Tunnelling Contractor proposes to engage the relevant Pre-Approved Subcontractor or supplier of Key Plant and Equipment are:
  - (i) approved; or
  - (ii) not approved, in which case the Principal's Representative must provide reasons for withholding its approval.
- (d) If the Principal's Representative gives the Tunnelling Contractor a notice under clause 6.5(c)(ii), the Tunnelling Contractor must amend the proposed terms of engagement to address the reasons for disapproval and resubmit such amended terms to the Principal's Representative after which the process in clause 6.5(c) will reapply.
- (e) The Tunnelling Contractor must promptly enter each agreement with a Pre-Approved Subcontractor or supplier of Key Plant and Equipment on the terms that are approved in writing by the Principal's Representative under clause 6.5(c)(i).

#### 6.6 Nominated Subcontracts

- (a) The Tunnelling Contractor:
  - (i) acknowledges that the Principal's existing contracts with the Nominated Subcontractors have been provided to the Tunnelling Contractor as Information Documents on or before the date of this deed;
  - (ii) must, if the Principal directs the Tunnelling Contractor to perform any Nominated Subcontractor Work in accordance with sections 6.11 or 6.17.2 of the General Specification:
    - (A) enter into a contract (**Nominated Subcontract**) with the relevant Nominated Subcontractor on terms which:
      - (aa) are no more onerous to the Nominated Subcontractor; and
      - (bb) contain rates for payment which are no higher, than those contained in the existing contract between the Principal and the Nominated Subcontractor; and
    - (B) provide the Principal with a copy of each Nominated Subcontract; and
  - (iii) must ensure that Nominated Subcontract Work is carried out by the Nominated Subcontractors pursuant to the relevant Nominated Subcontract.
- (b) The Tunnelling Contractor will be liable to the Principal for the acts and omissions of the Nominated Subcontractors in connection with the Tunnelling Contractor's Activities as if such acts or omissions were acts or omissions of the Tunnelling Contractor.



- (c) The Tunnelling Contractor will not be entitled to make, and the Principal will not be liable upon, any Claim, arising out of or in connection with any Nominated Subcontractor or Nominated Subcontract Work including the management and interface with Nominated Subcontractors other than under clause 22.14.
- (d) The provisions of clause 6.7(a), clause 6.7(b)(i) and clause 6.7(c) apply as if each Nominated Subcontract is a Significant Subcontract.

#### 6.7 Significant Subcontracts

- (a) The Tunnelling Contractor must not enter into any Significant Subcontract with any person other than a Subcontractor named in Schedule A6 (with respect to the corresponding Significant Subcontract Work for that Subcontractor) unless it first obtains the prior written approval of the Principal's Representative (which approval must not be unreasonably withheld or delayed).
- (b) The Tunnelling Contractor must:
  - (i) use its best endeavours to ensure that each Significant Subcontractor:
    - (A) is solvent and reputable;
    - (B) does not have any interest or duty which conflicts in a material way with the interests of the Principal and is not involved in any business or activity which is incompatible with, or inappropriate in relation to, the Tunnelling Contractor's Activities; and
    - (C) has sufficient expertise and ability, and is of sufficiently high financial and commercial standing, to properly carry out the obligations of the Tunnelling Contractor which are being subcontracted to it; and
  - (ii) immediately upon becoming aware that a Significant Subcontractor does not satisfy the requirements of clause 6.7(b)(i), use its best endeavours to cause the Significant Subcontractor to do whatever is necessary to promptly satisfy the requirements of clause 6.7(b)(i).
- (c) The Tunnelling Contractor must:
  - (i) use its best endeavours to ensure that each Significant Subcontractor complies with the terms of its Significant Subcontract; and
  - (ii) immediately notify and thereafter keep the Principal informed of:
    - (A) any material breach of a Significant Subcontract; or
    - (B) any dispute which is notified as such under a Significant Subcontract.
- (d) If required by the Principal, the Tunnelling Contractor must procure that a Significant Subcontractor and if a parent company guarantee is provided to the Tunnelling Contractor by the Significant Subcontractor in respect of the relevant Significant Subcontract, its parent company, enters into a side deed with the Principal in the form of Schedule A15 (or such other form reasonably required by the Principal).
- (e) The Tunnelling Contractor must at all relevant times comply with the terms of any side deed entered into in accordance with clause 6.7(d).
- (f) Nothing in this clause 6.7 limits the balance of this clause 6.

## 6.8 Information and requirements for Subcontracts

- (a) The Tunnelling Contractor must:
- (i) provide the Principal with the details of each Subcontract which has a contract value of [REDACTED] or more, including the name of the Subcontractor and the goods or services being provided under the Subcontract;
  - (ii) if required by the Principal's Representative, provide the Principal's Representative with a copy of any executed Subcontract, together with all documentation relevant to that agreement within 5 Business Days of request;
  - (iii) ensure that each Subcontractor executes a Confidentiality Undertaking in the form of Schedule A13 and provides this to the Principal's Representative within 7 days of the engagement of that Subcontractor;
  - (iv) where a Subcontractor is to carry out Key Professional Services, unless not required by the Principal's Representative, procure that Subcontractor to execute a deed in the form of Schedule A14 and provide this to the Principal's Representative within 7 days of the engagement of that Subcontractor; and
  - (v) unless otherwise agreed in writing by the Principal's Representative, procure that each Subcontractor:
    - (A) engaged under a Subcontract that has an initial subcontract price equal to or greater than [REDACTED]; or
    - (B) in respect of all Subcontractor engagements which include any element of design work to be carried out by the Tunnelling Contractor in designing the Project Works and Temporary Works or technical support during construction,
 

executes a deed in the form of Schedule A30 and provides this to the Principal's Representative within 7 days of being engaged by the Tunnelling Contractor (except that this requirement will not apply where the Subcontractor is a Significant Subcontractor from which the Tunnelling Contractor must procure a side deed pursuant to clause 6.7(d)).
- (b) The Tunnelling Contractor must in respect of all Subcontracts in which it holds retention money from the Subcontractor, comply with all requirements under the *Building and Construction Industry Security of Payment Regulation 2020* (NSW).
- (c) The Tunnelling Contractor must (unless otherwise approved in writing by the Principal's Representative having regard to the nature and location of the Subcontractor) ensure that:
- (i) except where a form of Nominated Subcontract is set out in Schedule A16, each Subcontract that it enters into in connection with the Tunnelling Contractor's Activities, regardless of its value, includes the provisions required by section 1 of Schedule A5; and
  - (ii) without limiting clause 6.8(c)(i), each Significant Subcontract that it enters into in connection with the Tunnelling Contractor's Activities includes the provisions required by sections 1 and 2 of Schedule A5.

## 6.9 Restrictions on dealings with Subcontractors

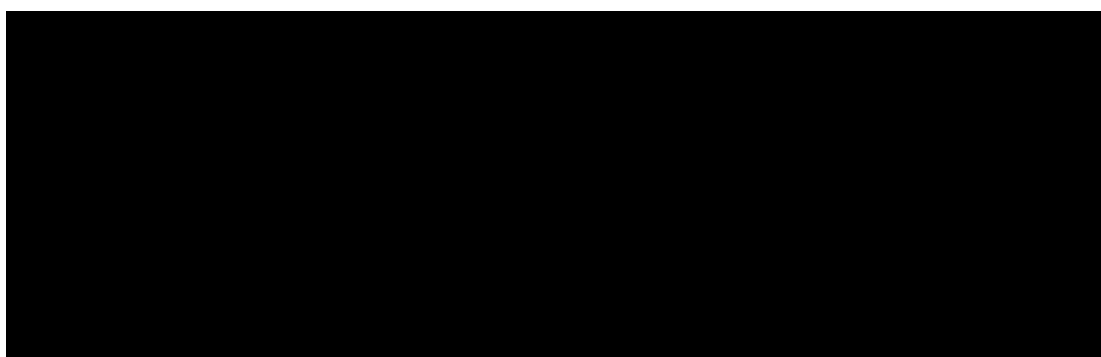
The Tunnelling Contractor must not cause, instruct, permit, request or consent to:

- (a) a variation or amendment to the Subcontract or the work under the Subcontract other than a Permitted Variation;
- (b) without limiting clause 6.9(a), any amendment to, or replacement of or waiver of a provision of, or entry into any agreement or arrangement which affects the operation or interpretation of, the Subcontract where it may impact the rights or increase the liabilities or obligations of the Principal;
- (c) any increase in the amount payable to the Subcontractor under, or for the performance of, the Subcontract works other than the cost of Permitted Variations or in response to a Subcontract Adjustment Event;
- (d) the novation, assignment or substitution of any counterparty's right, obligation or interest in the Subcontract, unless the novation, assignment or substitution is expressly required by the terms of this deed; or
- (e) the termination of any Subcontract,

without the prior written approval of the Principal's Representative, which approval will not be unreasonably withheld.

#### 6.10 Subcontractor Warranties

- (a) As a condition precedent to Substantial Completion of a Portion, the Tunnelling Contractor must procure and provide the Principal with the warranties described in Schedule A7 or elsewhere in this deed:
  - (i) from the relevant Subcontractor undertaking or supplying the work or item the subject of the warranty;
  - (ii) in favour of, and directly enforceable by, the Principal, the Operator and any other entity nominated by the Principal's Representative from time to time against the relevant Subcontractor; and
  - (iii) [REDACTED] in the form set out in Schedule A8.



- (d) No warranty from a Subcontractor will be construed in any way to modify or limit any of the rights, powers or remedies of the Principal against the Tunnelling Contractor whether under this deed or otherwise.
- (e) If the Tunnelling Contractor is unable to or fails for any reason to provide any warranty from a Subcontractor required by this deed:
  - (i) the Tunnelling Contractor is deemed to have provided the Subcontractor warranty itself on like terms and is deemed to have satisfied the condition precedent for Substantial Completion set out in clause 6.10(a);

- (ii) the Principal will be entitled to elect to take an assignment of all the right, title and interest in the Tunnelling Contractor's rights against the Subcontractor in relation to the Tunnelling Contractor's Activities; and
  - (iii) for the purpose of clause 6.10(e)(ii), the Tunnelling Contractor irrevocably appoints the Principal as its lawful attorney to execute any instrument necessary to give effect to the assignment where the Tunnelling Contractor fails to execute the instrument within 5 Business Days of a written request to do so.
- (f) No assignment under this clause will be construed in any way to modify or limit any of the rights, powers or remedies of the Principal against the Tunnelling Contractor whether under this deed or otherwise.

#### 6.11 **Coordination of Subcontractors**

The Tunnelling Contractor must:

- (a) administer, supervise, inspect, coordinate and control the work of all Subcontractors engaged by it;
- (b) provide and direct all necessary personnel to administer, supervise, inspect, coordinate and control the Approved Subcontracts and all Subcontractors engaged by it;
- (c) appoint a duly qualified person to exercise the functions of the Tunnelling Contractor's Representative under the Approved Subcontracts and otherwise ensure the Approved Subcontracts are administered in accordance with:
  - (i) the terms of the Approved Subcontracts; and
  - (ii) the directions of the Principal's Representative; and
- (d) at all times coordinate the Tunnelling Contractor's Activities and ensure execution and completion of the Approved Subcontracts in a proper and workmanlike manner according to:
  - (i) the Design Documentation which the Tunnelling Contractor is entitled to use for construction purposes pursuant to clause 18.1(a)(i)(B); and
  - (ii) the obligations of the respective Subcontractors.

#### 6.12 **Disputes with Subcontractors**

If the Tunnelling Contractor has a dispute with a Subcontractor in respect of any aspect of the Tunnelling Contractor's Activities and either the Tunnelling Contractor or the Subcontractor pursues any court action, arbitration or adjudication application under the SOP Act, then:

- (a) the Tunnelling Contractor will be responsible for carriage of the dispute, provided it must:
  - (i) keep the Principal's Representative fully informed of all aspects of the dispute; and
  - (ii) act in accordance with the reasonable instructions of the Principal's Representative (including in respect of lodging any appeals against any decisions made in respect of the dispute);

- (b) subject to the Principal's Representative prior written approval (which may be given or withheld at the Principal's Representative's absolute discretion), any external legal, expert or consultants costs incurred by the Tunnelling Contractor arising out of the defence of any court action, arbitration or adjudication will form part of the Reimbursable Costs; and
- (c) the Tunnelling Contractor's own internal costs of administering the court action, arbitration or adjudication application will not form part of the Reimbursable Costs and will be treated as Excluded Costs.

### 6.13 Responsibility for Subcontractors

- (a) The Tunnelling Contractor will:
  - (i) not be relieved from any of its liabilities or obligations under this deed; and
  - (ii) remain responsible for all Subcontractors and for all work which is or may be subcontracted as if it was itself executing the work, whether or not any Subcontractors default or otherwise fail to observe or comply with the requirements of the relevant Subcontract,
 despite:
  - (iii) subcontracting any part of the Reimbursable Work, Key Plant and Equipment or Preliminaries;
  - (iv) any comments upon, consent to or review, approval or disapproval of:
    - (A) any Subcontracting Strategy, Template Subcontracts or Template Subcontract Tender Documents under clause 2 of Schedule A35;
    - (B) any Pre-Tender Checklist, Subcontract Proposal, tender list or other document under clause 3 of Schedule A35;
    - (C) any Tender Evaluation Checklist, evaluation report or other document under clause 5 of Schedule A35;
    - (D) a tenderer recommended by the Tunnelling Contractor under clause 5.1 of Schedule A35,
 by the Principal or the Principal's Representative;
  - (v) the Principal nominating persons from whom tenders are to be obtained under clauses 3.2 or 3.3 of Schedule A35;
  - (vi) any direction by the Principal's Representative under clause 5.2 or 5.3 of Schedule A35 to accept the tender of a tenderer other than that recommended by the Tunnelling Contractor; or
  - (vii) any other act or omission of the Principal or the Principal's Representative in connection with the subcontracting of any part of the Reimbursable Work, Key Plant and Equipment or Preliminaries.
- (b) Without limiting clause 6.14, if the Tunnelling Contractor terminates an Approved Subcontract the Tunnelling Contractor must:
  - (i) complete the work the subject of the terminated Approved Subcontract; and

- (ii) bear the extra costs incurred by the Tunnelling Contractor in completing this work, and such costs will not form part of the Reimbursable Costs and will be treated as Excluded Costs.

#### 6.14 **Subcontractor Insolvency**

Where an Insolvency Event occurs in relation to a Subcontractor, the Tunnelling Contractor must:

- (a) promptly notify the Principal's Representative of this fact; and
- (b) if the Tunnelling Contractor terminates the Approved Subcontract:
  - (i) promptly notify the Principal's Representative of this; and
  - (ii) engage another person as Subcontractor in accordance with this clause 6 to complete the work the subject of the terminated Approved Subcontract.

#### 6.15 **Self-performed Reimbursable Work**

- (a) Other than in respect of the pre-approved Self-Performed Reimbursable Work set out in Part A of Schedule E13, the Tunnelling Contractor must not, and must ensure that its Related Body Corporates do not, commence any part of the Self-Performed Reimbursable Work until written approval is received from the Principal's Representative.
- (b) Prior to receiving approval from the Principal's Representative pursuant to clause 6.15(a) the Tunnelling Contractor must provide to the Principal's Representative the following particulars in writing:
  - (i) a detailed scope of the proposed work to be undertaken as Self-Performed Reimbursable Work;
  - (ii) a detailed methodology addressing the following:
    - (A) a description of the resource methodology that will be used to undertake the proposed works;
    - (B) details of how the Tunnelling Contractor will ensure that the quality of the proposed works complies with this deed and ensure compliance with all relevant AMB Requirements;
    - (C) a statement as to how the Tunnelling Contractor will ensure the proposed works are carried out in an efficient manner; and
    - (D) a description of the information and particulars the Tunnelling Contractor will provide to the Principal's Representative supporting any payment claim made by the Tunnelling Contractor for carrying out the proposed works;
  - (iii) the fixed price or (where rates are agreed to apply to the work) estimate (including contingency) for the proposed works broken down into sufficient detail and reconciled against the Cost Plan including details of the applicable rate or rates set out in Schedule E2 or if there are no applicable rate or rates, explaining why the rates in the Schedule E2 do not apply and providing details of its proposed rate (which must be exclusive of any margin for overheads or profit);
  - (iv) the cash flow for the proposed works;

- (v) the Value for Money for the Principal if the proposed works are undertaken as Self-Performed Reimbursable Work;
  - (vi) the time for commencement and completion of the proposed works and confirmation that these times are in accordance with the then current Overall ETP Program;
  - (vii) the proposed project team to undertake the proposed works including all construction workers, managerial and technical personnel;
  - (viii) the number of resources (labour) and the anticipated total hours to carry out the proposed works onsite and offsite;
  - (ix) the cost of any materials and equipment the Tunnelling Contractor intends to purchase as part of the Self-Performed Reimbursable Work for use in the proposed works and the proposed rate proposed to be charged for use of such equipment for the purposes of demonstrating that the purchase represents Value for Money; and
  - (x) the type and number of Construction Plant and the anticipated total hours/days the Construction Plant will be used to carry out the proposed works.
- (c) If required by the Principal's Representative the Tunnelling Contractor must provide further particulars prior to the Principal's Representative giving approval for the proposed works to commence.
- (d) In carrying out the Self-Performed Reimbursable Work the Tunnelling Contractor must:
- (i) carry out the Self-Performed Reimbursable Work in an efficient manner;
  - (ii) carry out the Self-Performed Reimbursable Work so as to avoid interfering with, disrupting or delaying the work of Subcontractors and Interface Contractors;
  - (iii) not vary the work which is the subject of the Self-Performed Reimbursable Work unless the Principal's Representative has directed a Change under clause 17.7 and that Change relates directly to the work the subject of the Self-Performed Reimbursable Work; and
  - (iv) each week provide the Principal's Representative with details of all resources, labour and construction plant, used by the Tunnelling Contractor in the execution of the Self-Performed Reimbursable Work which identifies as a minimum:
    - (A) the part of the Self-Performed Reimbursable Work being performed by the Tunnelling Contractor as described in Schedule E13;
    - (B) the name of each person performing the work for each part of the Self-Performed Reimbursable Work with details of their labour category, the time when the person started and finished work, the number of hours being claimed for each person and whether those hours are at normal time, time and a half or double time; and
    - (C) details of the type of plant being used for each part of the Self-Performed Reimbursable Work and the number of hours being claimed.

- (e) The Principal's Representative may direct the manner in which the matters described in clause 6.15(d)(iv) are to be recorded.
- (f) The Tunnelling Contractor represents and warrants to the Principal that it holds and will continue to hold all relevant licences to legally execute the Self-Performed Reimbursable Work.
- (g) The Reimbursable Work (including Self-Performed Reimbursable Work) is to be undertaken on an Open Book Basis and may be subject to an independent third party audit as required by the Principal's Representative.
- (h) The Tunnelling Contractor must cooperate in facilitating any audit under clause 6.15(g) including by making available all necessary records and documents to the Principal's Representative and the auditor to enable an audit to be conducted of the amount properly incurred and payable pursuant to this clause 6.15.
- (i) The Tunnelling Contractor or a Related Body Corporate of the Tunnelling Contractor must not itself carry out any part of the Reimbursable Work other than the Self-Performed Reimbursable Work unless:
  - (i) the prior written approval of the Principal's Representative is obtained (which approval may be given or withheld in the Principal's Representative's absolute discretion and, if given, may be subject to conditions); and
  - (ii) the Tunnelling Contractor and the Principal's Representative agree upon a fixed price or rates, or a combination of a fixed price and rates, for the work prior to the Tunnelling Contractor or the Related Body Corporate of the Tunnelling Contractor commencing the work.
- (j) Approval for the Tunnelling Contractor performing Reimbursable Work as Self-Performed Reimbursable Work will be at the Principal's discretion, however the Principal will not object to the Tunnelling Contractor performing the Reimbursable Work as Self-Performed Reimbursable Work provided that:
  - (i) in the Principal's Representative's opinion doing so represents Value for Money to the Principal, including where:
    - (A) the Tunnelling Contractor has first followed the procurement process in Schedule A35 for supply of items valued over [REDACTED] and demonstrated that better value for money will be achieved if the relevant Reimbursable Work is instead performed as Self-Performed Reimbursable Work; and
    - (B) the Tunnelling Contractor's price is consistent with the schedule of rates set out in Schedule E2; and
  - (ii) the Principal is satisfied that the Self-Performed Reimbursable Work is to be undertaken on an Open Book Basis in accordance with clause 6.15(g).
- (k) The Tunnelling Contractor may not subcontract any Self-Performed Reimbursable Work described in Schedule E13 unless it first obtains the prior written approval of the Principal. If the Principal's written approval is given then clause 6.4 will apply.

## 7. SECURITY

### 7.1 Unconditional undertakings

- (a) Without limiting clauses 22.7 and 22.8, the Tunnelling Contractor must give the Principal:



- (i) on or before the Condition Precedent Deadline Date:
  - (A) two or more unconditional undertakings which in total are equal to [REDACTED] of the Project Contract Sum;
  - (B) two or more unconditional undertakings which in total are equal to [REDACTED] of the Project Contract Sum; and
  - (C) two or more unconditional undertakings which in total are equal to [REDACTED] of the Project Contract Sum; and
- (ii) each time the Target Cost increases by an amount equivalent to [REDACTED] of the Target Cost as at the date of this deed (**Target Cost Increase**), if the Principal issues a written request for an unconditional undertaking for the Target Cost Increase, within 10 Business Days of the issue of any request:
  - (A) two or more unconditional undertakings which in total are equal to [REDACTED] of the Target Cost Increase;
  - (B) two or more unconditional undertakings which in total are equal to [REDACTED] of the Target Cost Increase; and
  - (C) two or more unconditional undertakings which in total are equal to [REDACTED] of the Target Cost Increase,

[REDACTED]

- (b) The unconditional undertakings provided under clause 7.1(a) are provided for the purposes of ensuring the due and proper performance by the Tunnelling Contractor of its obligations under this deed and to provide for the bearing of risk of financial burden during the time of any unresolved dispute or difference to be borne by the Tunnelling Contractor.

## 7.2 Requirements for unconditional undertakings

Each unconditional undertaking provided under clauses 7.1(a), 22.7 or 22.8 (and any replacement unconditional undertaking provided under clauses 7.4, 7.5 or 7.6) must be:

- (a) in the form of Schedule E4 (or such other form approved in writing by the Principal);
- (b) in favour of the Principal;
- (c) provided by [REDACTED]
  - [REDACTED]
  - [REDACTED]
  - [REDACTED]
- (ii) subject to clause 7.5(b), at all times maintains the Required Rating; and

- (d) payable at an office of the issuer in Sydney (or such other place approved in writing by the Principal).

If any unconditional undertaking provided under clauses 7.1(a), 22.7 or 22.8 (or any replacement unconditional undertaking provided under clauses 7.4, 7.5 or 7.6) includes an expiry date, such expiry date must be no earlier than [REDACTED].

### 7.3 Recourse to unconditional undertakings

The Principal may have recourse to any unconditional undertaking provided under clauses 7.1(a), 22.7 or 22.8 (and any replacement unconditional undertaking provided under clauses 7.4, 7.5 or 7.6) at any time. [REDACTED]

### 7.4 Release of unconditional undertakings

- (a) Subject to clause 7.4(d) and to the Principal's rights to have recourse to the unconditional undertakings and to the cash proceeds if one or more of the unconditional undertakings are converted into cash, the Principal must:

- (i) within 20 Business Days after the Date of Substantial Completion of the last Portion to achieve Substantial Completion, release the unconditional undertakings provided by the Tunnelling Contractor under clause 7.1(a)(i)(A) and clause 7.1(a)(ii)(A) (or the remaining proceeds of the unconditional undertakings if any of them have been converted into cash);
- (ii) subject to clause 7.4(b), within 20 Business Days of the date which is 18 months after the Date of Substantial Completion of the last Portion to achieve Substantial Completion, release the unconditional undertaking provided by the Tunnelling Contractor under clause 7.1(a)(i)(B) and clause 7.1(a)(ii)(B) (or the remaining proceeds of the unconditional undertakings if any of them have been converted into cash); and
- (iii) subject to clause 7.4(b), within 20 Business Days after the issuance of the Final Certificate by the Principal's Representative, release the unconditional undertaking provided by the Tunnelling Contractor under clause 7.1(a)(i)(C) and clause 7.1(a)(ii)(C) (or the remaining proceeds of the unconditional undertakings if any of them have been converted into cash).

- (b) If, prior to the date that is:

- (i) 18 months after the Date of Substantial Completion of the last Portion to achieve Substantial Completion; or
- (ii) [REDACTED],

the Principal has required the Tunnelling Contractor:

- (iii) to correct one or more Defects pursuant to clause 19.2(a)(i); or
- (iv) to carry out one or more Changes to overcome one or more Defects pursuant to clause 19.2(a)(ii),



- (i) providing a written notice in the form set out in Schedule E4A to the relevant institution (with a copy to the Tunnelling Contractor) stating that the unconditional undertaking is no longer required and is thereby released; or
- (ii) returning the original copy of the unconditional undertaking to the Tunnelling Contractor.

#### 7.5 **Replacement of unconditional undertakings where the issuer ceases to have the Required Rating**

- (a) If the issuer of any unconditional undertaking provided under this deed ceases to have the Required Rating and, at that time, another institution or issuer regulated by the Australian Prudential Regulation Authority maintains the Required Rating, then the Tunnelling Contractor must:
  - (i) promptly notify the Principal in writing of that circumstance; and
  - (ii) within 20 Business Days of being requested to do so by the Principal (irrespective of whether or not the Tunnelling Contractor has first given notice under clause 7.5(a)(i)), procure the issue to the Principal of a replacement unconditional undertaking from an institution that maintains the Required Rating and which is acceptable to the Principal which must have a face value equal to that of the unconditional undertaking being replaced and must satisfy the requirements of clause 7.2,

and the Principal must surrender the original unconditional undertaking to the Tunnelling Contractor in exchange for the issue of the replacement unconditional undertaking.

- (b) If the issuer of any unconditional undertaking ceases to have the Required Rating and, at that time, no Major Australian Bank has the Required Rating, then:
  - (i) if the current issuer of the unconditional undertaking has a rating of less than the second highest rated Major Australian Bank, the Tunnelling Contractor must procure the issue to the Principal of a replacement unconditional undertaking from an issuer which has a rating equal to or higher than the second highest rated Major Australian Bank which otherwise satisfies the requirements of clause 7.2;
  - (ii) the Tunnelling Contractor must monitor the credit rating of the issuer of the replacement unconditional undertaking and the credit rating of the Major Australian Banks and procure a replacement unconditional undertaking from an issuer which has the Required Rating within 20 Business Days after any Major Australian Bank regains a rating equal to or greater than the Required Rating; and
  - (iii) the Principal must surrender the original unconditional undertaking to the Tunnelling Contractor in exchange for the replacement unconditional undertaking.

#### 7.6 **Replacement of expiring unconditional undertaking**

- (a) If any unconditional undertaking provided under this deed has an expiry date:
  - (i) the Tunnelling Contractor must, if the Principal has not returned the relevant unconditional undertaking in accordance with this clause 7:

- (A) give the Principal's Representative at least 30 Business Days' prior written notice of the date on which it intends to provide a replacement unconditional undertaking under clause 7.6(a)(i)(B); and
  - (B) no later than 20 Business Days prior to the relevant expiry date, provide the Principal with a replacement unconditional undertaking for the same amount as the unconditional undertaking that it is to replace and which satisfies the requirements of clause 7.2; and
  - (ii) promptly following receipt of such replacement unconditional undertaking, the Principal must deliver to the Tunnelling Contractor the unconditional undertaking that has been replaced.
- (b) If the Tunnelling Contractor fails to provide the Principal with a replacement unconditional undertaking as required by clause 7.6(a)(i)(B), the Principal:
- (i) may call on the full amount of the relevant unconditional undertaking which was required to be replaced without notice to the Tunnelling Contractor;
  - (ii) must hold the amount of that unconditional undertaking as a cash deposit (**Cash Deposit**) in a separate bank account in the name of the Principal (**Cash Deposit Account**);
  - (iii) may withdraw money (including accrued interest) from the Cash Deposit Account and use that money:
    - (A) in accordance with clause 7.3 as if the Cash Deposit were the amount secured by the relevant unconditional undertaking; and
    - (B) to pay all costs, charges, expenses and Taxes payable in connection with that Cash Deposit Account; and
  - (iv) must return the amount held in the relevant Cash Deposit Account (including accrued interest but less any amounts payable to or by the Principal under clause 7.5(b)(iii)) to the Tunnelling Contractor:
    - (C) following receipt of a replacement unconditional undertaking subsequently provided by the Tunnelling Contractor (if any) which satisfies the requirements of clause 7.2; or
    - (D) if the Tunnelling Contractor does not provide a replacement unconditional undertaking, in accordance with clause 7.4 as if the amount in that Cash Deposit Account were the relevant unconditional undertaking.
- (c) The Tunnelling Contractor must repeat compliance with this clause 7.6 at all times and in respect of each unconditional undertaking provided under clauses 7.1(a), 22.7 or 22.8 (and any replacement unconditional undertaking provided under clauses 7.4 or 7.5 or this clause 7.6) until such time as each relevant unconditional undertaking is released in accordance with this deed.

## 7.7 No injunction

The Tunnelling Contractor must not take any steps to injunct or otherwise restrain:

- (a) any issuer of any unconditional undertaking provided under this deed from paying the Principal pursuant to the unconditional undertaking;

- (b) the Principal from taking any steps for the purposes of making a demand under any unconditional undertaking provided under this deed or receiving payment under any such unconditional undertaking; or
- (c) the Principal using the money received under any unconditional undertaking provided under this deed.

#### 7.8 **No interest**

The Principal is not obliged to pay the Tunnelling Contractor interest on any unconditional undertaking or the proceeds of any unconditional undertaking.

#### 7.9 **No trust**

The Principal does not hold the proceeds of any unconditional undertaking on trust for the Tunnelling Contractor.

#### 7.10 **Parent Company Guarantees**

On or before the Condition Precedent Deadline Date the Tunnelling Contractor must:

- (a) provide to the Principal a Parent Company Guarantee duly executed by each Tunnelling Contractor Guarantor in favour of the Principal; and
- (b) ensure that all stampings, registrations and filings required by Law (or by the law of any foreign jurisdiction) or the Principal's Representative in relation to each Parent Company Guarantee have been completed in form and substance satisfactory to the Principal.

### 8. **LAW AND APPROVALS**

#### 8.1 **Compliance with Law**

Subject to clause 8.2(a)(i), the Tunnelling Contractor must in carrying out the Tunnelling Contractor's Activities:

- (a) comply with, and ensure that the Project Works and Temporary Works comply with, all applicable Law;
- (b) comply with and provide the Principal's Representative copies of any requirement, notice, order or direction, received from or given by any Authority including any infringement notice, fine or penalty given or made in respect of the Tunnelling Contractor's Activities;
- (c) give all notices and pay all fees and other amounts which it is required to pay in respect of the performance of its obligations under this deed;
- (d) give the Principal's Representative at least 10 Business Days' prior written notice of any date on which the Tunnelling Contractor will submit an application for an Approval (or for any change to an Approval), which notice must include details of the information the Tunnelling Contractor will provide to the Authority and the date it will be provided;
- (e) give the Principal's Representative notices, reports and submissions it gives to Authorities in respect of the Tunnelling Contractor's Activities at the time it submits such notices, reports and submissions together with responses from, and details of any consultations or other communications with, Authorities;

- (f) give the Principal's Representative copies of all documents (including Approvals and other notices) that Authorities issue to it in respect of the Tunnelling Contractor's Activities as soon as possible;
- (g) give the Principal's Representative copies of any other material communications between the Tunnelling Contractor (or its Associates) and an Authority promptly following the giving or receiving of such communications;
- (h) subject to clause 8.4(a)(ii)(A), at all times conform and comply with all Codes and Standards; and
- (i) not engage in any fraud, bribery or corruption.

## 8.2 Approvals

- (a) The Tunnelling Contractor must:
  - (i) obtain all Approvals except for those specified in Schedule D2 which either:
    - (A) were obtained by the Principal prior to the date of this deed; or
    - (B) will be obtained by the Principal after the date of this deed if required;
  - (ii) unless otherwise expressly specified in Schedule D4, comply with, satisfy, carry out and fulfil the conditions and requirements of all Approvals (whether obtained by the Tunnelling Contractor or the Principal) including those conditions and requirements which the Principal is expressly or impliedly required under the terms of the Approvals specified in Schedule D4 to comply with, satisfy, carry out and fulfil;
  - (iii) as a condition precedent to Substantial Completion of any Portion, ensure that it has (as applicable to the relevant Portion):
    - (A) obtained all Approvals it is required to obtain under this deed including those which are required for the purposes set out in paragraph (c) of the definition of Approval in clause 1.1;
    - (B) complied with, satisfied, carried out and fulfilled all conditions and requirements of all Approvals it is required to comply with, carry out, satisfy and fulfil under this deed including those which must be satisfied for the purposes set out in paragraph (c) of the definition of Approval in clause 1.1 (unless the condition or requirement requires the performance of activities which can only be performed after the Date of Substantial Completion); and
    - (C) without limiting clauses 8.2(a)(iii)(A) and 8.2(a)(iii)(B), complied with, carried out, satisfied and fulfilled all conditions and requirements of the Planning Approvals which it is required to comply with, satisfy, carry out and fulfil (including the obtaining of the approval of any person for anything) under this deed insofar as this is necessary including for the purposes set out in paragraph (c) of the definition of Approval in clause 1.1 (unless the condition or requirement requires the performance of activities which can only be performed after the Date of Substantial Completion); and
  - (iv) in respect of any:
    - (A) Approvals which are to be obtained by the Principal after the date of this deed; or

- (B) conditions and requirements of Approvals which pursuant to Schedule D4 are to be satisfied or fulfilled by the Principal,

without limiting the requirements of Schedule D4, provide the Principal with such reasonable assistance as may be required by the Principal to enable the Principal to obtain the Approvals or satisfy or fulfil the conditions and requirements.

- (b) The Principal must comply with, satisfy, carry out and fulfil the conditions and requirements of those Approvals for which the Principal is stated to have responsibility to the extent specified in Schedule D4.
- (c) Without limiting clause 8.8 and Schedule D4, in respect of any submissions, surveys, investigations, reports, studies or other documents:
- (i) required to be submitted by a term of the Planning Approvals; or
- (ii) proposed to be submitted by the Tunnelling Contractor in support of any application to amend the Planning Approvals,

the Tunnelling Contractor:

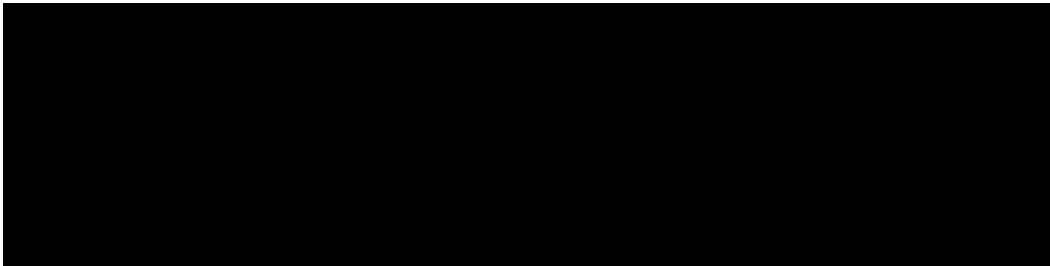
- (iii) must prepare, carry out and provide to the Principal any submissions, surveys, investigations, reports, studies or other documents:
- (A) requested by the Principal's Representative;
- (B) to the standard directed by the Principal's Representative; and
- (C) within the time directed by the Principal's Representative;
- (iv) must provide whatever other assistance and information the Principal's Representative reasonably requests within the time reasonably requested by the Principal's Representative; and
- (v) agrees that any act or omission (including delay or refusal) by the Principal or the relevant Authority in respect of an application to amend the Planning Approvals does not constitute an Act of Prevention.

### 8.3 Change in Law

- (a) Where there is a Change in Law, the Tunnelling Contractor must:
- (i) within 20 Business Days of becoming aware of (or when it ought reasonably to have first become aware of) the Change in Law give a written notice to the Principal and the Principal's Representative:
- (A) containing details of the Change in Law; and
- (B) where it believes that the Change in Law will cause a delay to the Tunnelling Contractor's Activities that will entitle it to an extension of time pursuant to clause 21.6 (and without limiting its obligation to give any notices required by clause 21.6), such notice must be given within the time, and must contain the details, required by clause 21.6(b); and
- (ii) subject to clause 8.3(b), comply with the Change in Law.
- (b) Where there is:



- (i) a Change in Law comprising any amendment, repeal or change to any legislation or introduction of new legislation imposing an effective carbon price on liquid and gaseous fuels;
- (ii) any other Change in Law which:
  - (A) results in a change to, an addition to or omission from the Project Works, the Temporary Works or the Transitional Handover Services or a direct change to the Tunnelling Contractor's work methodology; and
  - (B) directly results in an increase or decrease in the Tunnelling Contractor's costs of carrying out the Tunnelling Contractor's Activities;



and either the Principal or the Tunnelling Contractor wishes to claim an increase or decrease to the Target Cost on account of the Change in Law:

- (v) the party wishing to claim the increase or decrease must:
  - (A) if the party is the Tunnelling Contractor, give a written notice to the Principal's Representative within 15 Business Days of the notice provided under clause 8.3(a)(i); or
  - (B) if the party claiming the increase or decrease is the Principal, give a written notice to the Tunnelling Contractor,

which:

- (C) states that the party considers there to be [REDACTED] on account of a Change in Law;
  - (D) contains details of the Change in Law; and
  - (E) sets out that party's estimate of the [REDACTED] that are directly attributable to it complying with the Change in Law, including sufficient information to support the estimate.
- (c) The Tunnelling Contractor must provide the Principal with copies of and access to all pricing, costing and other financial information which in any way relates to a claim under clause 8.3(b) on an Open Book Basis.
  - (d) If a party serves a notice under clause 8.3(b)(v):
    - (i) clause 5 will apply in respect of the [REDACTED] and [REDACTED]
    - (ii) the Tunnelling Contractor may make a claim for an extension of time under clause 21.6 in respect of any delays the Tunnelling Contractor suffers in complying with the Change in Law.

- (e) The Tunnelling Contractor must comply with all Changes in Law.

#### 8.4 Change in Codes and Standards

- (a) Subject to clause 10.5(d), where there is a Change in Codes and Standards:
- (i) the Tunnelling Contractor must give a written notice to the Principal's Representative within 20 Business Days of becoming aware of (or when it ought reasonably to have first become aware of) the Change in Codes and Standards containing:
    - (A) details of the Change in Codes and Standards; and
    - (B) the information required by clause 17.5(b) as if such notice was a Change Proposal; and
  - (ii) if a notice is given by the Tunnelling Contractor which complies with clause 8.4(a), then within 10 Business Days of the notice being given, the Principal's Representative will either:
    - (A) to the extent compliance with the relevant Codes and Standards is not required by Law, direct the Tunnelling Contractor to disregard the Change in Codes and Standards; or
    - (B) direct a Change under clause 17.7(a) in respect of the Change in Codes and Standards.
- (b) If the Principal's Representative gives a written notice under clause 8.4(a)(ii)(A), the Tunnelling Contractor will not be regarded as being in breach of this deed to the extent that it disregarded the relevant Change in Codes and Standards.
- (c) Subject to clauses 8.4(d) and 16.3(c), if the Principal gives a written notice under clause 8.4(a)(ii)(B), the Tunnelling Contractor will not be entitled to make any Claim:
- (i) except to the extent that the relevant Design Documentation which would need to be amended for the relevant Change in Codes and Standards, before such notice under clause 8.4(a)(ii)(B), complied, or would have complied, with the requirements of this deed at such time; or
  - (ii) to the extent that, notwithstanding the Change in Codes and Standards, the Tunnelling Contractor would have had to make a change to the Project Works or the Temporary Works or a change to the methods of construction used in carrying out the Project Works or the Temporary Works, in order that the Project Works and the Temporary Works comply with the relevant requirements of the deed at such time.
- (d) Clause 8.4(c)(i) will not disentitle the Tunnelling Contractor to make a Claim where the relevant non-compliance in the Design Documentation is a Minor Non-Compliance that is capable of correction without the need for any significant redesign of the relevant part of the Project Works or the Temporary Works.

#### 8.5 Changes to Planning Approvals

- (a) Where a change in the Planning Approvals occurs after the date of this deed (other than a change arising from or in connection with a breach of this deed by the Tunnelling Contractor or a wrongful act or omission of the Tunnelling Contractor or its Associates or a change made in response to a request by the Tunnelling Contractor) which necessitates a Change to the Project Works or the Temporary Works or a reduction in the working hours, working days or noise or vibration limits

permitted by the Planning Approvals for the Tunnelling Contractor's Activities as at the date of this deed or a change in the permitted Working Parameters for the Tunnelling Contractor's Activities, the Tunnelling Contractor must, within 10 Business Days of the earlier of the date on which the Tunnelling Contractor becomes aware or ought reasonably to have first become aware of the change taking effect, notify the Principal's Representative in writing with detailed particulars of the reason why the change necessitates a Change to the Project Works or the Temporary Works or a reduction in the permissible working hours, working days or noise or vibration limits for the Tunnelling Contractor's Activities or a change in the permitted Working Parameters for the Tunnelling Contractor's Activities.

- (b) If the Tunnelling Contractor gives a notice under clause 8.5(a) and the change necessitates a Change to the Project Works or the Temporary Works or a reduction in the permissible working hours, working days or noise or vibration limits for the Tunnelling Contractor's Activities or a change in the permitted Working Parameters for the Tunnelling Contractor's Activities:
- (i) in the case where the change necessitates a Change to the Project Works or the Temporary Works, the Principal's Representative will direct a Change under clause 17.7(a) after which relevant adjustments will be made pursuant to Schedule E9; and
  - (ii) in the case where the change necessitates a reduction in the permissible working hours, working days or noise or vibration limits for the Tunnelling Contractor's Activities or a change in the permitted Working Parameters for the Tunnelling Contractor's Activities, the Principal will determine the [REDACTED] pursuant to clause 5 arising out of the reduction in the permissible working hours, working days or noise or vibration limits for the Tunnelling Contractor's Activities or a change in the permitted Working Parameters for the Tunnelling Contractor's Activities.
- (c) Except to the extent expressly stated otherwise in this clause 8.5, the Tunnelling Contractor will not be entitled to make, and the Principal will not be liable upon, any Claim arising out of or in any way in connection with a change in the Planning Approvals.
- (d) The Tunnelling Contractor:
- (i) acknowledges that:
    - (A) only the Principal can apply for modification to the Planning Approvals;
    - (B) the Principal may, in its absolute discretion, refuse to seek such modification or discontinue or withdraw or change an application for such modification at any time; and
    - (C) the Principal need not apply for any modification to the Planning Approvals on behalf of the Tunnelling Contractor unless the Tunnelling Contractor first submits its proposal for modification to the Principal's Representative for its review and the Principal's Representative consents to the modification, which consent it may give or withhold in its absolute discretion;
  - (ii) must not seek to modify or apply for any modification to the Planning Approvals other than via the Principal; and
  - (iii) must pay the Principal all fees, costs and expenses arising out of, or in any way in connection with, such modification.

- (e) For the purpose of this clause 8.5, the parties agree that any changes to the Planning Approvals in Schedule D2 made pursuant to this clause 8.5 will be determined by reference to the version of the Planning Approvals included in Schedule D3 at the date of this deed.

#### 8.6 Legal challenge

If there is a legal challenge in relation to the assessment or determination of, or otherwise in relation to, Sydney Metro West under the:

- (a) EP&A Act;
- (b) Environment Protection and Biodiversity Conservation Act 1999 (Cth); or
- (c) any other Law,

the Tunnelling Contractor must continue to perform its obligations under this deed unless, as a result of that legal challenge, it is otherwise:

- (d) ordered by a court or tribunal; or
- (e) directed by the Principal's Representative.

#### 8.7 Environment Protection Licence

The Tunnelling Contractor must:

- (a) obtain an Environment Protection Licence in respect of the Tunnelling Contractor's Activities to cover each Portion from the date on which the Tunnelling Contractor is given access to that part of the Construction Site to which the Portion relates (or any part thereof) pursuant to clauses 14.1 and 14.2;
- (b) hold an Environment Protection Licence in respect of the Tunnelling Contractor's Activities to cover each Portion until the Portion Handover Date for that Portion; and
- (c) ensure that:
  - (i) from each Portion Handover Date, the Tunnelling Contractor's Environment Protection Licence is varied so as to exclude that part of the Construction Site to which the Portion relates; and
  - (ii) the Tunnelling Contractor's Environment Protection Licence is surrendered on and from the Portion Handover Date for the last Portion to be handed over by the Tunnelling Contractor,

so as to allow OpCo, an Alternate Operator and/or any applicable Interface Contractor to obtain an Environment Protection Licence for the relevant part of the Construction Site.

#### 8.8 Crown Building Work

- (a) The Tunnelling Contractor must, in relation to any part of the Project Works or Temporary Works that is Crown Building Work, certify (on behalf of the Principal) as required by section 6.28 of the EP&A Act.
- (b) Any certification under clause 8.8(a) will not lessen or otherwise affect:
  - (i) the Tunnelling Contractor's other liabilities or responsibilities under this deed or otherwise according to Law; or

- (ii) the Principal's rights against the Tunnelling Contractor, whether under this deed or otherwise according to Law.

### 8.9 Long service levy

Before commencing any construction work under this deed, the Tunnelling Contractor must:

- (a) pay (or procure payment) to the Long Service Corporation or that body's agent all amounts due and payable for the long service levy in respect of the Tunnelling Contractor's Activities under the *Building and Construction Industry Long Service Payments Act 1986* (NSW); and
- (b) produce to the Principal's Representative the documents evidencing payment of the amounts referred to in clause 8.9(a).

### 8.10 Environmental Representative

- (a) The Tunnelling Contractor acknowledges that:
  - (i) the Environmental Representative is required to discharge certain functions as identified in the Planning Approvals;
  - (ii) the Principal has appointed the Environmental Representative as required by the Planning Approvals; and
  - (iii) the Environmental Representative:
    - (A) is independent of the parties;
    - (B) is required to oversee the implementation of all environmental management plans and monitoring programs required under the Planning Approvals and will advise the Principal upon achievement of the outcomes contemplated in the Planning Approvals; and
    - (C) shall advise the Principal and the Principal's Representative on the Tunnelling Contractor's compliance with the Planning Approvals (including licences and approvals relating to environmental performance and environmental impacts).
- (b) The Tunnelling Contractor must provide the Environmental Representative with all information and documents (including licences and approvals relating to environmental performance and environmental impacts) and allow the Environmental Representative:
  - (i) to attend meetings;
  - (ii) access to such premises; and
  - (iii) to insert Hold Points or Witness Points in the Project Plans and designate the nominated authority to release the Hold Points,

all as may be:

  - (iv) necessary or reasonably required by the Environmental Representative or the Principal's Representative to allow the Environmental Representative to perform its functions in connection with this deed or the Planning Approvals; or

- (v) lawfully requested by the Environmental Representative or directed by the Principal's Representative.
- (c) The Tunnelling Contractor must, and ensure that its Associates engaged in or in connection with the Tunnelling Contractor's Activities must:
  - (i) comply with the lawful requirements of the Environmental Representative, including so as to allow the Environmental Representative to discharge any functions of the Environmental Representative provided for in the Planning Approvals;
  - (ii) not interfere with or improperly influence any Environmental Representative in the performance of any of its functions in connection with this deed; and
  - (iii) cooperate with the Environmental Representatives.
- (d) Nothing that the Environmental Representative does or fails to do pursuant to the purported exercise of its functions in connection with this deed or the Planning Approvals will entitle the Tunnelling Contractor to make any Claim against the Principal.

#### 8.11 Independent Acoustics Advisor

- (a) The Tunnelling Contractor acknowledges that:
  - (i) the Principal has appointed the Independent Acoustics Advisor as required by the Planning Approvals; and
  - (ii) the Independent Acoustics Advisor:
    - (A) is independent of the parties;
    - (B) shall oversee the implementation of all noise and vibration management plans and monitoring programs required under the Planning Approvals and shall advise the Principal upon achievement of the outcomes contemplated in the Planning Approvals;
    - (C) shall advise the Principal and the Principal's Representative on the Tunnelling Contractor's compliance with the Planning Approvals; and
- (b) The Tunnelling Contractor must provide the Independent Acoustics Advisor with all information and documents and allow the Independent Acoustics Advisor:
  - (i) to attend meetings; and
  - (ii) access to such premises;
 all as may be:
  - (iii) necessary or reasonably required by the Independent Acoustics Advisor or the Principal's Representative to allow the Independent Acoustics Advisor to perform its functions in connection with this deed; or
  - (iv) lawfully requested by the Independent Acoustics Advisor or directed by the Principal's Representative.
- (c) The Tunnelling Contractor must comply with the lawful requirements of the Independent Acoustics Advisor, including so as to allow the Independent Acoustics

Advisor to discharge any functions of the Independent Acoustics Advisor provided for in the Planning Approvals.

- (d) Nothing that the Independent Acoustics Advisor does or fails to do pursuant to the purported exercise of its functions in connection with this deed will entitle the Tunnelling Contractor to make any Claim against the Principal.

#### 8.12 Modern Slavery

- (a) The Tunnelling Contractor warrants that:
- (i) it is not aware (including through the making of reasonable inquiries), of any Modern Slavery occurring within its operations or supply chain (or in those of any entity it owns or controls); and
  - (ii) at the date of this deed:
    - (A) it (and any entity it owns or controls or subcontractor of the Tunnelling Contractor) has not been convicted of any Modern Slavery Offence; and
    - (B) it is not aware of any circumstance within its operations (or in those of any entity it owns or controls) that could give rise to an official investigation or prosecution of a Modern Slavery Offence.
- (b) The Tunnelling Contractor agrees that it must:
- (i) at all times:
    - (A) comply, and take reasonable steps to ensure any entity it owns or controls complies, with the Modern Slavery Laws; and
    - (B) take reasonable steps (including developing strategies, due diligence processes and training) to ensure that:
      - (aa) Modern Slavery is not occurring (whether directly or indirectly) in the operations and supply chains of the Tunnelling Contractor and any entity it owns or controls; and
      - (bb) it (and any entity it owns or controls) does not use, nor procure, any goods, plant, equipment or other materials and work or services that are the product of Modern Slavery;
  - (ii) provide to the Principal any MS Information and other assistance, as reasonably requested by the Principal (and within the time required by the Principal), to enable the Principal to meet its obligations under the *Modern Slavery Act 2018* (NSW) and associated regulatory requirements (for example, annual reporting requirements and NSW Procurement Board directions), including cooperating in any Modern Slavery audit undertaken by the Principal (including by a third party on behalf of the Principal) or the NSW Audit Office, providing reasonable access to the Principal's or NSW Audit Office's auditors to interview the Tunnelling Contractor's personnel and disclosing the source, place and country of origin of goods, plant, equipment or other materials and work or services being procured or supplied under or in connection with this deed;
  - (iii) comply with any policies, procedures, investigations or additional conditions relating to Modern Slavery notified in writing by the Principal to the Tunnelling Contractor from time to time during the term of this deed; and

- (iv) without limiting the Tunnelling Contractor's obligations at Law, at all times during the performance of the Tunnelling Contractor's Activities and for a period of seven (7) years after Substantial Completion of the last Portion to achieve Substantial Completion, the Tunnelling Contractor must:
- (A) maintain; and
- (B) promptly upon request from the Principal, give the Principal access to, and/or copies of,
- a complete set of records in the possession or control of the Tunnelling Contractor to trace, so far as practicable, the supply chain of all goods and services provided under this deed and to enable the Principal to assess the Tunnelling Contractor's compliance with this clause 8.12.
- (c) The Tunnelling Contractor must not (and must ensure any entity it owns or controls does not) at any time engage in any Modern Slavery Practice.
- (d) If the Tunnelling Contractor is a 'reporting entity' for the purposes of any Modern Slavery Law, it must provide to the Principal a copy of any report or statement (unredacted) it has prepared under the Modern Slavery Law within 7 days of providing that report or statement in accordance with the Modern Slavery Law, and otherwise, promptly upon the Principal's request.
- (e) If the Tunnelling Contractor becomes aware of any actual or suspected occurrence of Modern Slavery in its operations or supply chains (or in those of any entity that it owns or controls), the Tunnelling Contractor must take reasonable steps to respond to and remedy the occurrence, including in accordance with any internal Modern Slavery strategy and procedures of the Tunnelling Contractor and any relevant code of practice, code of conduct or other guidance issued by the Anti-slavery Commissioner or the NSW Procurement Board. The Tunnelling Contractor must immediately notify the Principal in writing of the actual or suspected occurrence of Modern Slavery and the steps it is taking to respond to and remedy the occurrence, which must be satisfactory to the Principal.
- (f) The Tunnelling Contractor must promptly notify the Principal in writing if it becomes aware of any change in the Tunnelling Contractor's operations or supply chain (including in respect of any entity that it owns or controls) which may:
- (i) give rise to a position where any information (including any MS Information) that has been provided by the Tunnelling Contractor to the Principal under this clause 8.12 is incorrect, inadequate or incomplete; or
- (ii) otherwise put the Tunnelling Contractor in breach of this clause 8.12 or result in any inconsistency with any of the original attestations or warranties given by the Tunnelling Contractor under this clause 8.12,
- and if the Tunnelling Contractor gives notice under this clause 8.12(f) the Tunnelling Contractor must thereafter promptly provide any further information that may be requested by the Principal.
- (g) The Tunnelling Contractor must take reasonable steps to ensure all subcontracts of the whole or part of this deed contain Modern Slavery provisions that are reasonably consistent with the provisions in this clause 8.12, having regard to the nature and origin of the procurement.
- (h) The Tunnelling Contractor consents to the Principal sharing MS Information obtained from the Tunnelling Contractor in respect of Modern Slavery pursuant to this



clause 8.12 with any other NSW Government agency or entity and, without limiting any other provision of this clause 8.12, the Tunnelling Contractor:

- (i) agrees that the communication of MS Information to any NSW Government agency is a communication falling within section 30 of the *Defamation Act 2005* (NSW); and
- (ii) releases and indemnifies the Principal and the State of New South Wales from and against any claim (of any kind or nature) in respect of any matter arising out of such communications, including the use of the MS Information by the recipient.

#### 8.13 **Rock breaking and noise generating works at Pyrmont Cross Passage 6**

- (a) The parties acknowledge that the construction of Pyrmont Cross Passage 6 will involve rock breaking and other noise generating works that may impact on Sensitive Land Use(s) in the vicinity of Pyrmont Cross Passage 6.
- (b) If, as a result of a legal requirement imposed by the EPA or the Secretary of the Department of Planning and Environment, the period in which the Tunnelling Contractor is able to carry out rock breaking or other noise generating works that will result in noise levels above the NMLs (as defined in the Project Planning Approval) at Pyrmont Cross Passage 6 is less than:

[REDACTED]

then, subject to clause 8.13(c), the Tunnelling Contractor will be entitled to claim:

- (iii) an extension of time; and
- (iv) [REDACTED]

to account for the delay and additional costs that are suffered or incurred by the Tunnelling Contractor as a result thereof.

- (c) The Tunnelling Contractor will not be entitled to claim an extension of time or [REDACTED] under clause 8.13(b) to the extent that:
  - (i) the imposition of any applicable legal requirement by the EPA or the Secretary of the Department of Planning and Environment arises out of or in any way in connection with any wrongful act or omission by the Tunnelling Contractor or its Associates or any failure by the Tunnelling Contractor to comply with the Project Planning Approval or the requirements of this deed (including the requirements of clause 4.3); or
  - (ii) the Tunnelling Contractor has failed to provide any assistance or documentation that has been reasonably requested by Sydney Metro for the purpose of its dealings with any Sensitive Land Use(s) in the vicinity of Pyrmont Cross Passage 6.
- (d) Except to the extent provided in this clause 8.13, the Tunnelling Contractor will not be entitled to make, and the Principal will not be liable upon, any Claim arising out of or in any way in connection with the imposition of a legal requirement that

prevents the Tunnelling Contractor from carrying out rock breaking or other noise generating works at the times specified in clause 8.13(b).

## 8A. ADMINISTRATIVE MODIFICATION

### 8A.1 Application for the Administrative Modification

- (a) The parties acknowledge that:
- (i) the Principal has submitted or will submit an application to the Minister for Planning for the Administrative Modification;
  - (ii) as at the date of this deed the Administrative Modification has not yet been granted by the Minister for Planning; and
  - (iii) any decision of the Minister for Planning to grant or not grant the Administrative Modification arises from the exercise of a statutory discretion in accordance with the terms of the EP&A Act.
- (b) The Tunnelling Contractor must cooperate with, and provide any assistance that is reasonably requested by, the Principal in connection with the application for the Administrative Modification, including by:
- (i) preparing, or assisting with the preparation of, any documents, plans, drawings or reports that are required by the Principal in connection with the application for the Administrative Modification within a reasonable period of time required by the Principal and doing so in accordance with Good Industry Practice;
  - (ii) attending any relevant meeting and providing any available information reasonably required by the Principal; and
  - (iii) providing reasonable assistance with any necessary:
    - (A) community or stakeholder consultation; or
    - (B) public exhibition.

### 8A.2 Notice of determination in respect of the Administrative Modification

Within 10 Business Days of the Administrative Modification being determined by the Minister for Planning, the Principal's Representative must provide the Tunnelling Contractor with a copy of the notice of determination of the Administrative Modification.

### 8A.3 Contesting the Administrative Modification

- (a) If the Minister for Planning determines to refuse the Administrative Modification (or determines to grant the Administrative Modification on terms that permit tunnelling (excluding cut and cover tunnelling and surface works) less than 24 hours per day 7 days per week), the Principal may (but is not obliged to) contest such determination and notify the Tunnelling Contractor in writing that it intends to do so.
- (b) If the Principal gives the Tunnelling Contractor notice under clause 8A.3(a), the Tunnelling Contractor must cooperate with, and provide any assistance that is reasonably requested by, the Principal in connection with such contest, including by:
- (i) preparing, or assisting with the preparation of, any documents, plans, drawings or reports that are required by the Principal in connection with the contest to the Minister for Planning's determination within a reasonable period

of time required by the Principal and doing so in accordance with Good Industry Practice; and

- (ii) attending any relevant meeting and providing any available information reasonably required by the Principal.

**8A.4 Relief where the Administrative Modification is refused**

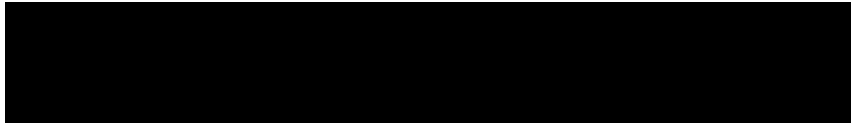
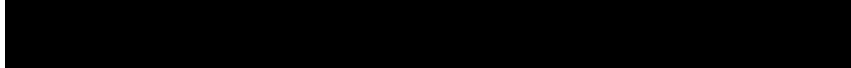
- (a) If the Minister for Planning determines to refuse the Administrative Modification (or determines to grant the Administrative Modification on terms that permit tunnelling (excluding cut and cover tunnelling and surface works) less than 24 hours per day 7 days per week) and the Principal:

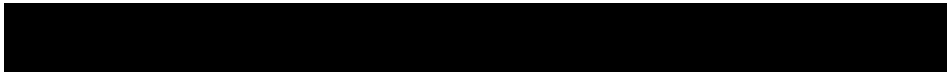
- (i) elects not to contest that determination; or
- (ii) is unsuccessful in contesting that determination,

the Principal will notify the Tunnelling Contractor in writing and the Tunnelling Contractor may thereafter submit a written claim which must detail:

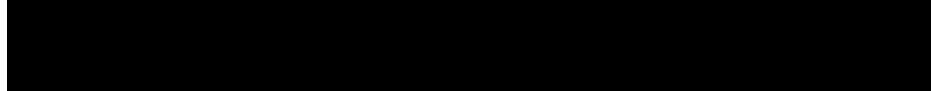
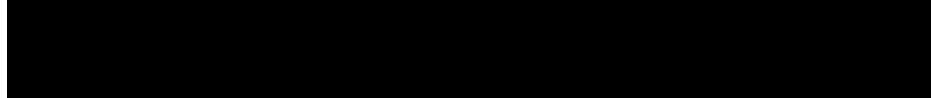
- (iii) the impact of such determination on:
  - (A) the Tunnelling Contractor's Activities; and
  - (B) the Overall ETP Program including the Tunnelling Contractor's ability to achieve Milestone Achievement of each Milestone and Substantial Completion and Completion (as applicable) of each Portion; and

- (iv) any proposed:

- (A) 
- (B) 

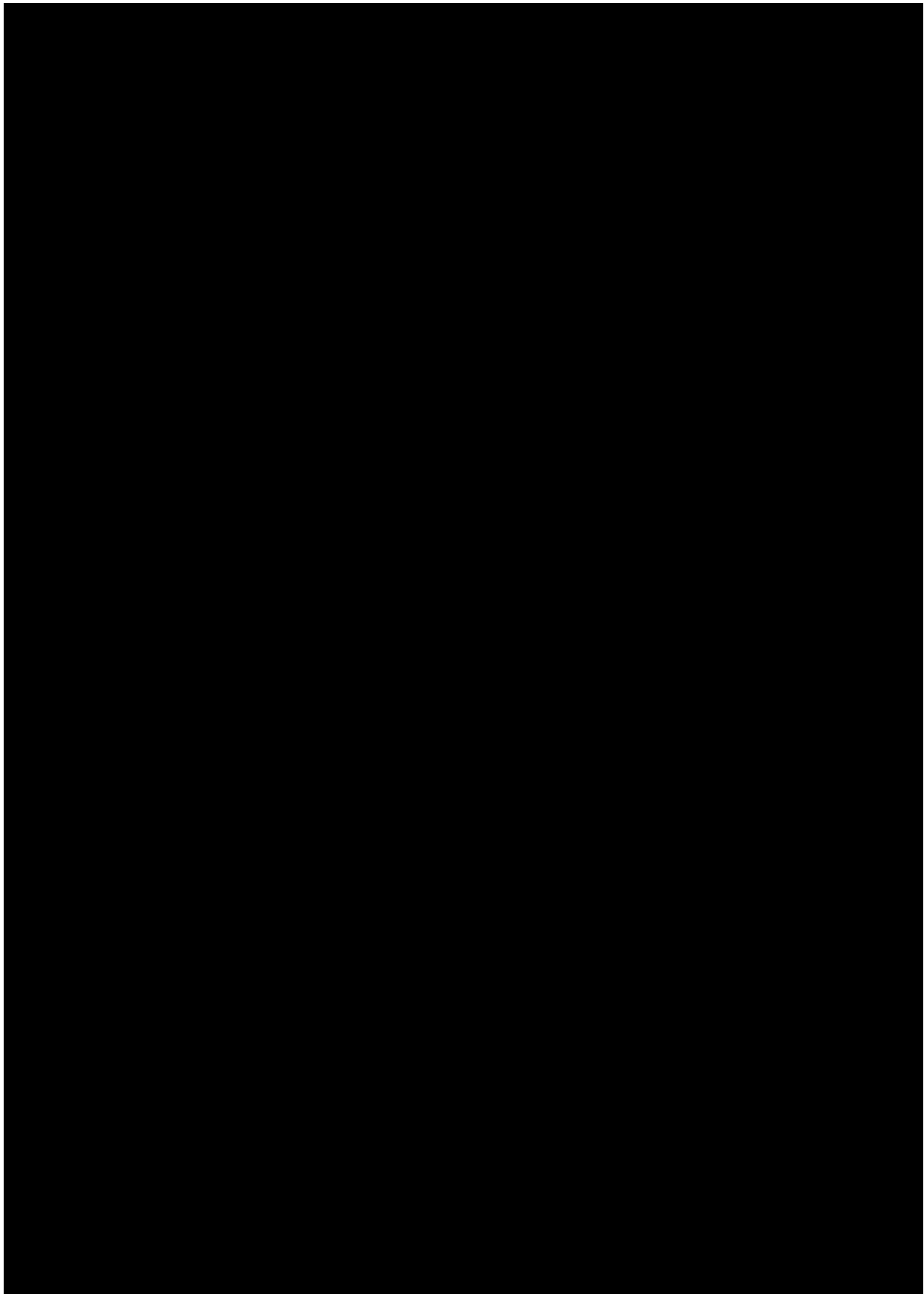


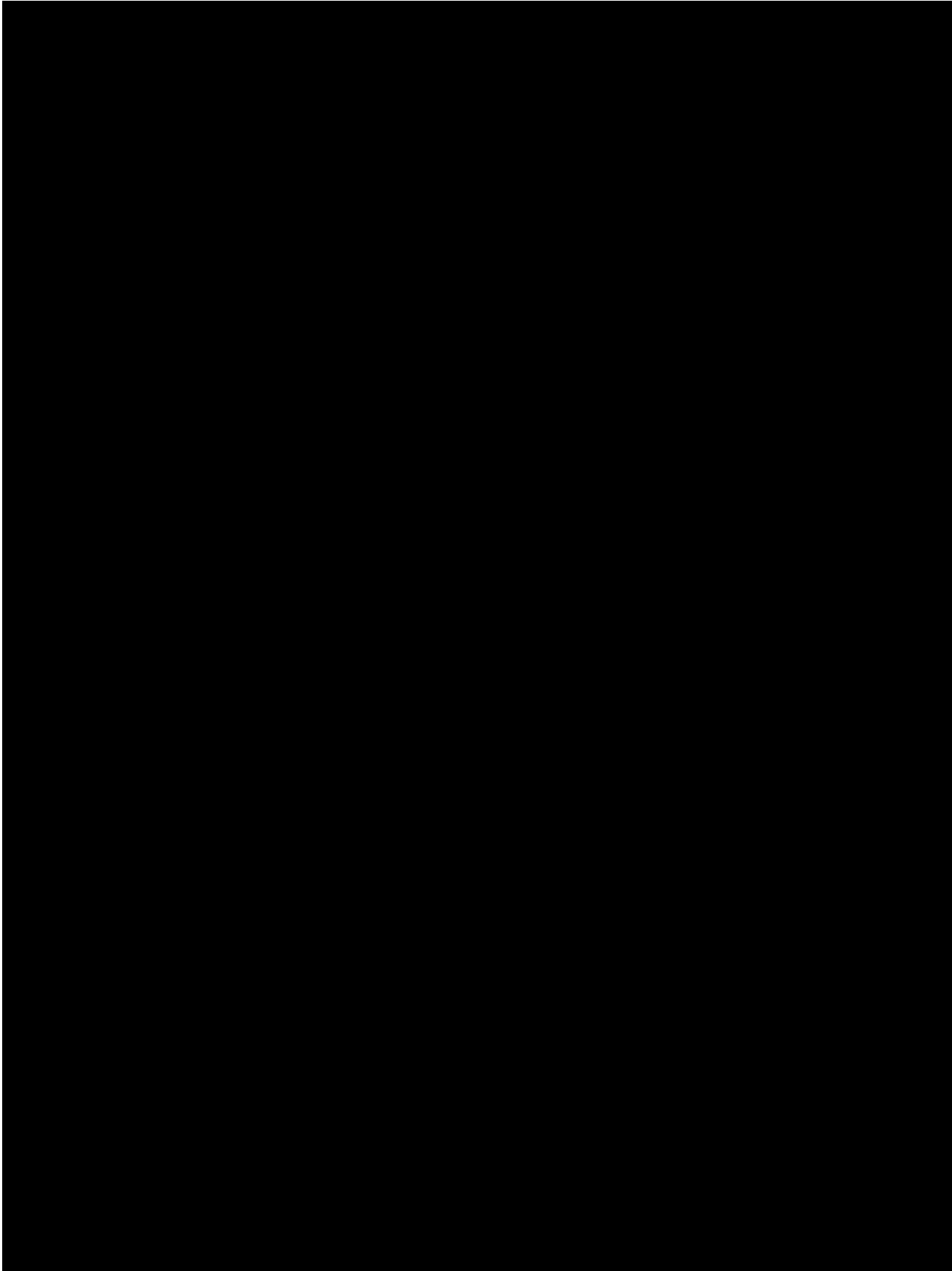
- (b) If the Tunnelling Contractor submits a claim under clause 8A.4(a):

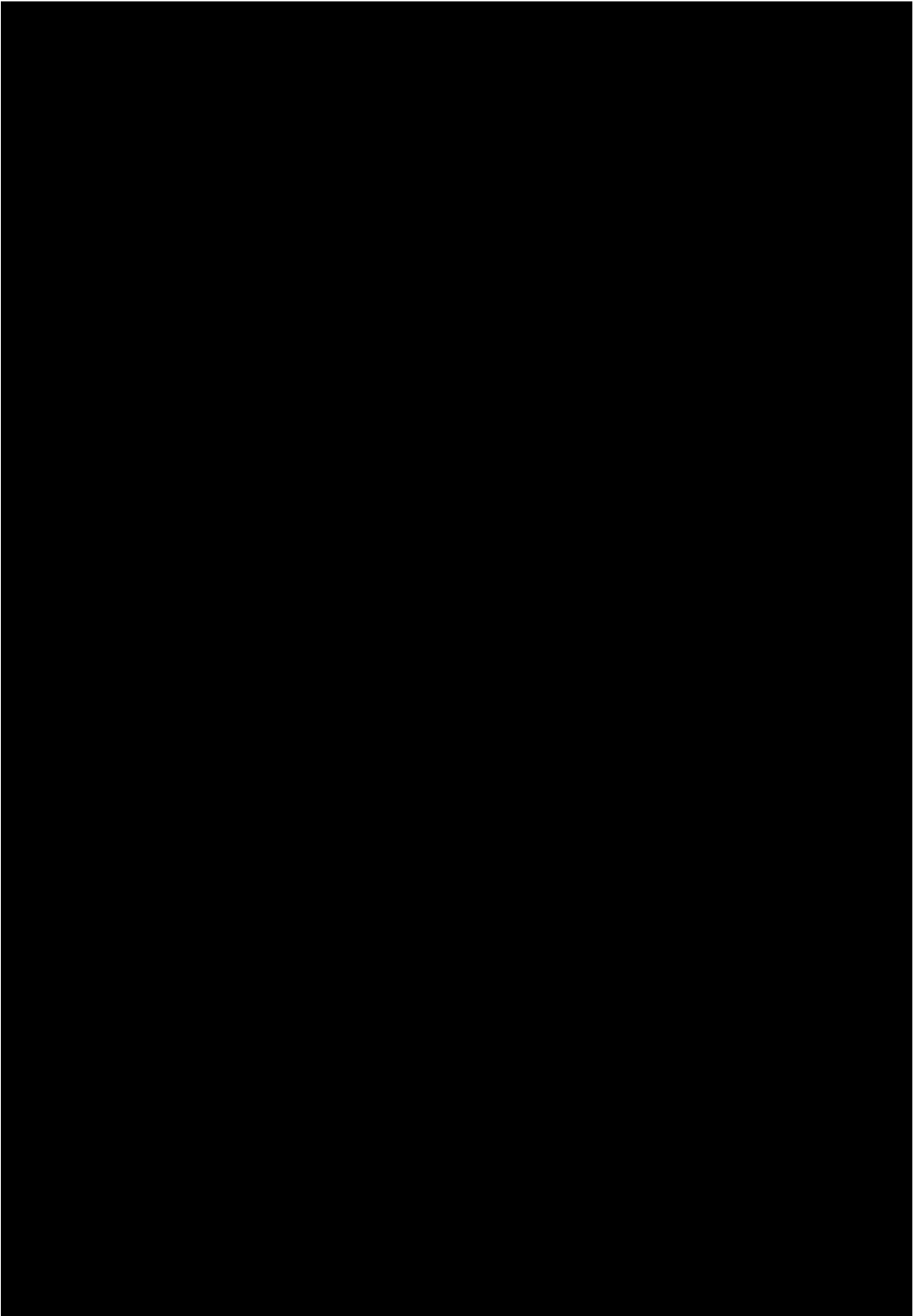
- (i) 
- (ii) 

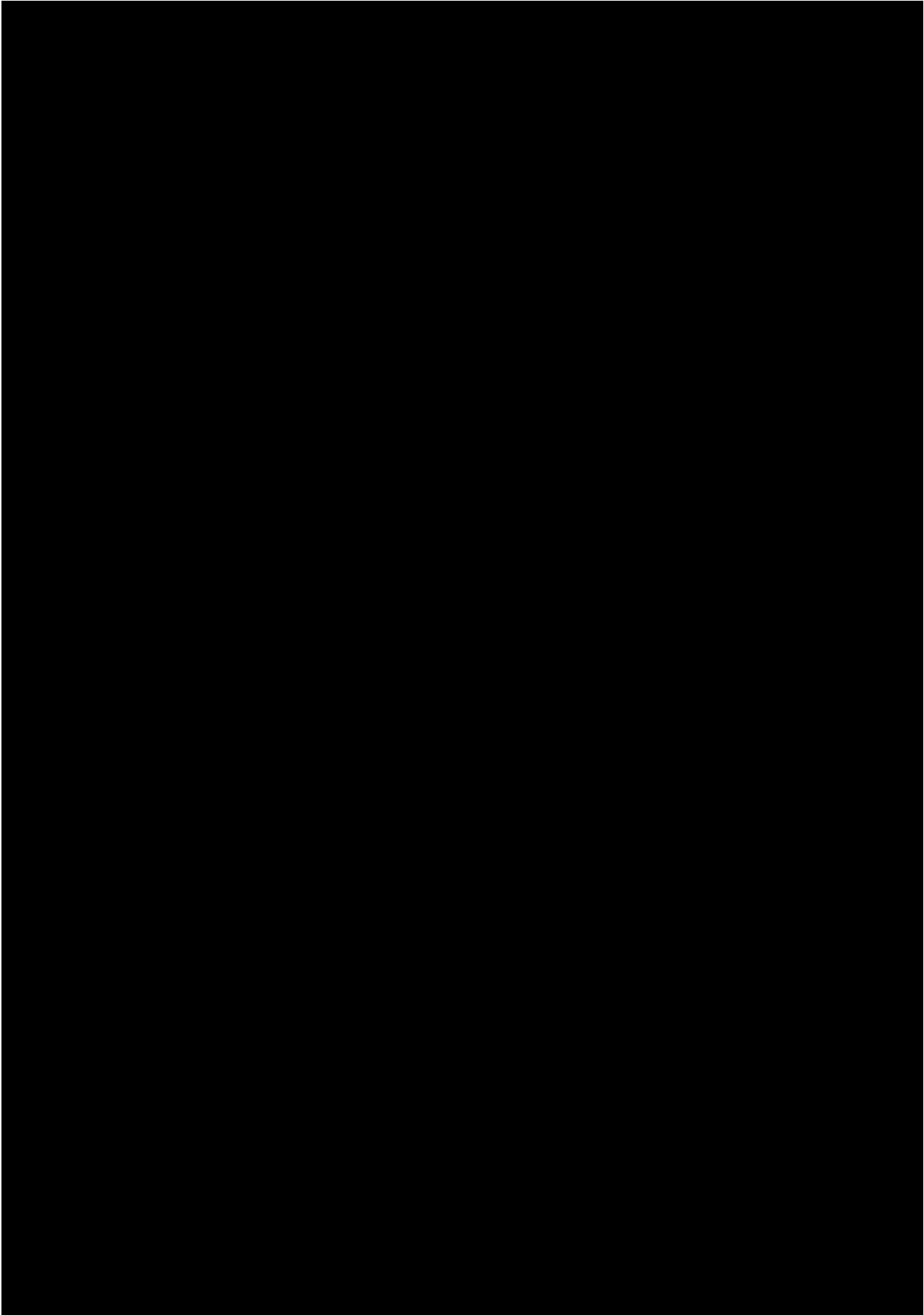
- (c) Except to the extent expressly stated in this clause 8A.4 or clauses 5 and 21, the Tunnelling Contractor will not be entitled to make, and the Principal will not be liable upon, any Claim arising out of or in any way in connection with any:

- (i) delay to the determination of the Administrative Modification; or
- (ii) determination by the Minister for Planning to refuse the Administrative Modification (or to grant the Administrative Modification on terms that permit tunnelling (excluding cut and cover tunnelling and surface works) less than 24 hours per day 7 days per week).









## 10. WORK HEALTH AND SAFETY

### 10.1 Care of people, property and Environment

- (a) The Tunnelling Contractor must carry out the Tunnelling Contractor's Activities in a manner that:
  - (i) does not put the health or safety of persons at risk and prevents injury or death;
  - (ii) protects and prevents damage to property and the Environment; and
  - (iii) protects and prevents damage to:
    - (A) the Project Works, the Temporary Works and the Tunnelling Contractor's Activities; and
    - (B) any Interface Work on or in the vicinity of the Construction Site.
- (b) If the Principal's Representative considers there is a risk to the health or safety of people or damage to property arising from the Tunnelling Contractor's Activities:
  - (i) the Principal's Representative may direct the Tunnelling Contractor to change its manner of working or to cease working; and
  - (ii) the Tunnelling Contractor must comply with any direction by the Principal's Representative under clause 10.1(b)(i).



## 10.2 Work health and safety

- (a) The Tunnelling Contractor must:
- (i) ensure that in carrying out the Tunnelling Contractor's Activities under this deed:
    - (A) it complies with all Laws (including the WHS Legislation, Rail Safety National Law, Rail Safety Regulations and the Heavy Vehicle National Law), WHS Codes of Practice, Australian standards and other requirements of this deed for work health, safety and rehabilitation management;
    - (B) all Subcontractors, contractors or consultants engaged by the Tunnelling Contractor, comply with their respective obligations under all Laws (including the WHS Legislation, Rail Safety National Law, Rail Safety Regulations and the Heavy Vehicle National Law), WHS Codes of Practice and Australian standards for work health, safety and rehabilitation management; and
    - (C) it complies with its obligation under the WHS Legislation to consult, cooperate and coordinate activities with all other persons who have a work health and safety duty in relation to the same matter;
  - (ii) have a Corporate WHS Management System which complies with the Law and is otherwise in accordance with the Office of the Federal Safety Commissioner's Audit Criteria Guidelines and the WHS Management Systems and Auditing Guidelines;
  - (iii) notify the Principal's Representative in accordance with the Sydney Metro Principal Contractor Health and Safety Standard of all work health and safety Incidents and notify the Principal's Representative within 12 hours of any other work health and safety matter arising out of, or in any way in connection with, the Tunnelling Contractor's Activities;
  - (iv) following commencement of construction on the Construction Site and at the end of March, June, September and December of each year, provide the Principal's Representative with written assurances from:
    - (A) the Tunnelling Contractor about the Tunnelling Contractor's ongoing compliance; and
    - (B) all Subcontractors [REDACTED] about each [REDACTED] Subcontractor's ongoing compliance,

with all applicable Laws, Codes and Standards and Australian Standards and other requirements of this deed for work health and safety and rehabilitation management;
  - (v) provide the Principal's Representative with written reports on any work health and safety and rehabilitation matters connected with the Tunnelling Contractor's Activities as the Principal's Representative may require from time to time;
  - (vi) consult, cooperate and coordinate its activities with all Interface Contractors and the Principal to ensure that all parties are able to comply with their respective obligations under the WHS Legislation;

- (vii) carry out the Tunnelling Contractor's duties under the WHS Legislation to enable the Principal to discharge its duties under the WHS Legislation and other applicable Laws; and
  - (viii) at the Principal's request, attend, and ensure all relevant Subcontractors, contractors or consultants (as requested by the Principal) attend, each work health and safety related meeting convened by the Principal.
- (b) The Tunnelling Contractor must provide strong safety leadership and continuously promote safety as a core value.
  - (c) The Tunnelling Contractor must comply with the Sydney Metro Principal Contractor Health and Safety Standard.
  - (d) The Tunnelling Contractor:
    - (i) warrants that it is accredited under the WHS Accreditation Scheme; and
    - (ii) must comply with all requirements of, and maintain accreditation under, the WHS Accreditation Scheme while building work (as defined in section 6 of the BCIIIP Act) is carried out.

### 10.3 Principal contractor

- (a) In this clause 10.3, the terms "principal contractor", "workplace", "construction project" and "construction work" have the meaning given to those terms in the WHS Legislation. For the purposes of the WHS Legislation and this deed, subject to clause 10.3(c)(ii):
  - (i) the construction work involved in the Tunnelling Contractor's Activities; and
  - (ii) any construction work carried out on the Construction Site by an Interface Contractor, the Principal or any other person which is performed during any period in which the Appointed Principal Contractor has been engaged as principal contractor (**Construction Site Interface Work**),

are taken to be part of the same "construction project".
- (b) Subject to clause 14.1(g), the parties acknowledge and agree that from the date on which the Tunnelling Contractor is given access to a part of the Construction Site in accordance with this deed:
  - (i) to the extent that the Tunnelling Contractor's Activities or any Construction Site Interface Work includes construction work, the Principal:
    - (A) engages the Appointed Principal Contractor as the principal contractor in respect of the Tunnelling Contractor's Activities and the Construction Site Interface Work;
    - (B) authorises the Appointed Principal Contractor to have management and control of each workplace at which the Tunnelling Contractor's Activities and the Construction Site Interface Work are to be carried out and to discharge the duties of a principal contractor under the WHS Legislation;
    - (C) must give the Appointed Principal Contractor prior written notice of any Interface Contractor undertaking Construction Site Interface Work before such Construction Site Interface Work commences; and

- (D) must provide the Appointed Principal Contractor and the Tunnelling Contractor with executed deed polls in favour of the Appointed Principal Contractor in the form set out in Schedule 4 to the Master Interface Deed from each Interface Contractor engaged by the Principal undertaking Construction Site Interface Work; and
- (ii) the Appointed Principal Contractor accepts the engagement as principal contractor and agrees to discharge the duties imposed on a principal contractor by the WHS Legislation and this deed.
- (c) The Appointed Principal Contractor's engagement and authorisation as a principal contractor will continue:
  - (i) subject to clause 10.3(c)(ii), until the earlier of:
    - (A) the termination of this deed; and
    - (B) in respect of each Portion, the Portion Handover Date;
    - (C) in respect of each milestone, the Milestone Area Handover Date; and
    - (D) in respect of a Temporary Area for which a Site Access Expiry Date is specified in Table 4a of the Site Access Schedule, the date on which the Tunnelling Contractor vacates that Temporary Area; and
    - (E) in respect of each discrete part of the Third Party Works, the point in time when the relevant discrete part of the Third Party Works has been completed; and
  - (ii) during the period that any rectification work which is construction work carried out under clause 19.1 unless an Interface Contractor has possession of the relevant part of the Construction Site at the time the rectification work is carried out, in which case:
    - (A) the Appointed Principal Contractor's engagement and authorisation as principal contractor under clause 10.3(b) will end immediately before any such construction work commences;
    - (B) the relevant Interface Contractor or its nominated entity will be the principal contractor for any construction project that the construction work comprises; and
    - (C) clause 19.3(a)(vii) and the relevant provisions of the Master Interface Deed will apply to such a construction project.
- (d) If requested by the Principal or required by the WHS Legislation, the Tunnelling Contractor must produce evidence of any approvals, certificates, authorisations, licences, prescribed qualifications or experience or any other information relevant to work health and safety (as the case may be) to the reasonable satisfaction of the Principal before the Tunnelling Contractor or a Subcontractor (as the case may be) commences such work.
- (e) If the engagement of the Appointed Principal Contractor as principal contractor under this clause 10.3 is not effective for any reason, the Tunnelling Contractor agrees that it will exercise and fulfil the functions and obligations of the principal contractor under the WHS Legislation as if it had been validly engaged and authorised as principal contractor under clause 10.3(b).

- (f) To the extent not prohibited by Law, the Tunnelling Contractor must indemnify the Principal from and against any claims against the Principal, or Loss suffered or incurred by the Principal, arising out of or in any way in connection with any failure of:
- (i) the Appointed Principal Contractor to exercise or fulfil the functions and responsibilities of the principal contractor under the WHS Legislation; or
  - (ii) the Tunnelling Contractor to otherwise comply with all Laws (including the WHS Legislation, Rail Safety National Law, Rail Safety Regulations and Heavy Vehicle National Law), WHS Codes of Practice, Australian standards and other requirements of this deed for work health, safety and rehabilitation management or clauses 10.1, 10.2 and 10.3.

#### 10.4 **Project Health and Safety Risk Governance Plan**

- (a) The Tunnelling Contractor acknowledges that preparation and non-rejection of the Project Health and Safety Risk Governance Plan in accordance with clause 13 is a condition precedent to the Principal's obligations under clause 14.1 and 14.2.
- (b) Without limiting any requirement of the WHS Legislation or this deed, the Project Health and Safety Risk Governance Plan must:
  - (i) set out in adequate detail the policies and procedures the Tunnelling Contractor will implement to manage the Tunnelling Contractor's Activities from a work health and safety perspective;
  - (ii) describe how the Tunnelling Contractor proposes to ensure that the Tunnelling Contractor's Activities are performed consistently with:
    - (A) all Laws concerning work health and safety (including the WHS Legislation, Rail Safety National Law, Rail Safety Regulations and Heavy Vehicle National Law); and
    - (B) the WHS Codes of Practice and Australian standards for work health, safety and rehabilitation management;
  - (iii) address the matters specified in:
    - (A) the WHS Legislation, Rail Safety National Law, Rail Safety Regulations, Heavy Vehicle National Law and any other Law concerning work health and safety; and
    - (B) the WHS Codes of Practice and Australian standards for work health, safety and rehabilitation management;
  - (iv) comply with the requirements applicable to a "Safety Management Plan" set out in the Sydney Metro Principal Contractor Health and Safety Standard; and
  - (v) comply with the requirements applicable to a "Project Safety Plan" or "Project WHS Management Plan" set out in the Office of the Federal Safety Commissioner's Audit Criteria Guidelines and the WHS Management Systems and Auditing Guidelines.
- (c) Without limiting clause 13, the Tunnelling Contractor must:
  - (i) continue to correct any defects in or omissions from the Project Health and Safety Risk Governance Plan (whether identified by the Principal's Representative or the Tunnelling Contractor); and

- (ii) regularly review and, as necessary, revise the Project Health and Safety Risk Governance Plan in accordance with:
  - (A) the WHS Legislation, Rail Safety National Law, Rail Safety Regulations, Heavy Vehicle National Law and any other Law concerning work health and safety;
  - (B) the WHS Codes of Practice and Australian standards for work health, safety and rehabilitation management; and
  - (C) the Sydney Metro Principal Contractor Health and Safety Standard,
 and submit an amended draft of its Project Health and Safety Risk Governance Plan to the Principal's Representative and the Independent Certifier, after which clause 13 will reapply (to the extent applicable).
- (d) The Tunnelling Contractor must document and maintain detailed records of inspections or audits undertaken as part of the Project Health and Safety Risk Governance Plan.
- (e) The Tunnelling Contractor must carry out the Tunnelling Contractor's Activities in accordance with, and otherwise implement, the latest Project Health and Safety Risk Governance Plan.

#### 10.5 **Sydney Metro Principal Contractor Health and Safety Standard**

- (a) The Tunnelling Contractor must comply with the Sydney Metro Principal Contractor Health and Safety Standard, as amended from time to time, as if it was a principal contractor for the purposes of that standard.
- (b) The Principal may update and amend the Sydney Metro Principal Contractor Health and Safety Standard from time to time, including to address work health and safety issues relating to the Tunnelling Contractor's Activities and Sydney Metro West.
- (c) Subject to clause 10.5(d), the Tunnelling Contractor will not be entitled to make, and the Principal will not be liable upon, any Claim, arising out of or in any way in connection with any act or omission of the Principal in relation to the Sydney Metro Principal Contractor Health and Safety Standard (including any failure of the Principal to do anything specified in the Sydney Metro Principal Contractor Health and Safety Standard as the obligation of the Principal or an Associate of the Principal).
- (d) If the Principal updates the Sydney Metro Principal Contractor Health and Safety Standard this will be treated as a Change in Codes and Standards to which clause 8.4 applies, except to the extent that such update is made by the Principal because of a:
  - (i) without limiting clause 8.3, change to the WHS Legislation or any other Law or any code of practice or Australian Standard relating to work health or safety that the Tunnelling Contractor is required to comply with pursuant to any Law (in which case, the update will be addressed as part of the relevant Change in Law); or
  - (ii) breach of this deed by the Tunnelling Contractor or a wrongful act or omission of the Tunnelling Contractor or its Associates.

#### 10.6 **Rail safety**

- (a) Without limiting clause 8.1, the Tunnelling Contractor must comply with the Rail Safety National Law and Rail Safety Regulations.

- (b) The Tunnelling Contractor must ensure that it does not do anything or fail to do anything that would cause the Principal to be in breach of the Rail Safety National Law or the Rail Safety Regulations.
- (c) The Tunnelling Contractor acknowledges that:
  - (i) the Tunnelling Contractor's Activities and the Project Works are being undertaken for the purpose of constructing a railway; and
  - (ii) to the extent that the Tunnelling Contractor's Activities comprise Railway Operations, for the purposes of the Rail Safety National Law it carries out those Tunnelling Contractor's Activities for and on behalf of the Principal under the Principal's Accreditation.
- (d) The Tunnelling Contractor must:
  - (i) carry out the Tunnelling Contractor's Activities so as not to put the Principal in breach of its obligations as a Rail Infrastructure Manager under the Rail Safety National Law and Rail Safety Regulations;
  - (ii) comply with all reasonable requirements of the Principal in relation to compliance with the Principal's Accreditation; and
  - (iii) not do anything (or fail to do anything) which jeopardises the Principal's Accreditation.
- (e) The Tunnelling Contractor must ensure that its Associates engaged in or in connection with the Tunnelling Contractor's Activities comply with the same obligations as are imposed upon the Tunnelling Contractor under clauses 10.6(a) to 10.6(d) as if they had been imposed upon the Associate.
- (f) The Tunnelling Contractor acknowledges that:
  - (i) the Principal holds Accreditation under the Rail Safety National Law as a Rail Infrastructure Manager; and
  - (ii) to the extent that, in carrying out the Tunnelling Contractor's Activities, the Tunnelling Contractor carries out any Railway Operations for which accreditation is required under the Rail Safety National Law and which are not permitted by the Principal's Accreditation, the Tunnelling Contractor must obtain any necessary accreditation or other Approval required to enable it to comply with all applicable Law.
- (g) The Tunnelling Contractor acknowledges that OpCo or an Alternate Operator will be reliant upon information and documentation received from the Tunnelling Contractor to obtain or extend its accreditation under the Rail Safety National Law.
- (h) The Tunnelling Contractor must liaise and cooperate with the Principal, OpCo, any Alternate Operator and any other Rail Transport Operator and provide any reasonable assistance and documentation the Principal, OpCo, any Alternate Operator or any other Rail Transport Operator may require in relation to safety matters, including in relation to OpCo or any Alternate Operator obtaining or extending its accreditation under the Rail Safety National Law.
- (i) Without limiting clause 10.6(h), the Tunnelling Contractor must provide the Principal with copies of all notices, reports and other correspondence given or received by the Tunnelling Contractor or its Associates under or in connection with the Rail Safety National Law and the Rail Safety Regulations:

- (i) relating to the Tunnelling Contractor's Activities or the Project Works; or
- (ii) which may adversely affect the ability of the Tunnelling Contractor or its Associates to perform the Tunnelling Contractor's Activities,

promptly after such notices are given or received (but in any event no later than 5 Business Days after they are given or received by the Tunnelling Contractor or its Associates).

- (j) Without limiting clause 8.1, the Tunnelling Contractor must ensure that all persons engaged by the Tunnelling Contractor (or by any Associate of the Tunnelling Contractor) in or in connection with the Tunnelling Contractor's Activities:
  - (i) are competent to carry out the work for which they are engaged for the purposes of section 52 of the Rail Safety National Law; and
  - (ii) comply with their obligations under the Rail Safety National Law (including under section 56 of the Rail Safety National Law).
- (k) The Tunnelling Contractor must and must ensure that its Associates:
  - (i) promptly give all Investigative Authorities such access to premises and information as any Investigative Authority lawfully requests, within the time requested;
  - (ii) cooperate with and respond to any lawful requests made by any Investigative Authority, within the time requested; and
  - (iii) not hinder or delay any Investigative Authority in carrying out its duties.
- (l) Compliance by the Tunnelling Contractor with its obligations under this clause 10.6:
  - (i) does not discharge or excuse the Tunnelling Contractor from complying with its other obligations under this deed; and
  - (ii) is not evidence of compliance by the Tunnelling Contractor with its other obligations under this deed.

#### 10.7 Independent advisers

- (a) Independent Safety Assessor
  - (i) Until and including the date of expiry of the final Defects Correction Period, the Principal will engage an Independent Safety Assessor to perform Independent Safety Assessments.
  - (ii) The Tunnelling Contractor acknowledges that:
    - (A) the Independent Safety Assessor may take into account any reasonable comments made by the Principal in relation to the Independent Safety Assessment or any material prepared or produced in connection with an Independent Safety Assessment; and
    - (B) any material prepared or produced in connection with an Independent Safety Assessment will be provided to the Principal promptly after the relevant material is prepared or produced.
- (b) Independent Estimator

- (i) The Independent Estimator is to be engaged on the terms of the Independent Estimator Deed, until the expiry of the Term (as that term is defined under the Independent Estimator Deed) to:
  - (A) perform the functions, obligations, duties and services contemplated by clause 17.5(k) in relation to Major Changes; and
  - (B) determine IE Level Disputes in accordance with clause 27.3.
- (ii) The Tunnelling Contractor must at all times comply with the terms of the Independent Estimator Deed.

(ba) Cost Advisor

Until and including the date of expiry of the final Defects Correction Period, the Principal may engage a Cost Advisor to provide advice (including estimates and reports) in respect of any adjustments to the Target Cost in order to determine if such adjustments offer Value For Money.

(c) Financial Auditor

- (i) The Principal will until the date of expiry of the final Defects Correction Period, engage a Financial Auditor to:
  - (A) provide a quarterly report to the Principal's Representative in which the Financial Auditor provides the following:
    - (aa) certify that payments have been made to Subcontractors in accordance with requirements of this deed;
    - (bb) reconcile the Project Bank Account;
    - (cc) undertake sample audits, using a risk-based approach, of the costs claimed as reimbursable by the Tunnelling Contractor to confirm if they were correctly incurred and are actual costs exclusive of margins, Key Plant and Equipment and Preliminaries related costs; and
  - (B) review the Tunnelling Contractor's payment claims as and when requested by the Principal's Representative to determine whether the Tunnelling Contractor is improperly claiming any Excluded Costs.
- (ii) The report provided under clause 10.7(c)(i) will be provided to the Principal's Representative.

(d) The Tunnelling Contractor acknowledges that:

- (i) the Independent Estimator, Cost Advisor and the Financial Auditor will require full access to all accounts, subcontracts and financial information for this deed;
- (ii) it will cooperate in facilitating any functions of the Independent Estimator, Cost Advisor and the Financial Auditor including by making available all necessary accounts, subcontracts and financial information to the Principal's Representative, the Independent Estimator, the Cost Advisor and the Financial Auditor to enable an audit to be conducted; and
- (iii) the Principal is under no obligation to proceed on the basis of the advice and reports provided by the Independent Safety Assessor, the Cost Advisor, the



Financial Auditor, or, subject to clause 27.3(k), the Independent Estimator under this clause 10.7.

## 11. **ENGINEERING AUTHORISATION AND AMB COMPLIANCE**

- (a) The Tunnelling Contractor represents and warrants that the Tunnelling Contractor (or an entity that comprises the Tunnelling Contractor) is a TAO and has obtained AMB Authorisation to carry out the Asset Lifecycle Services.
- (b) Without limiting or otherwise restricting clauses 11(c) and 11(d), the Tunnelling Contractor must:
  - (i) ensure that AMB Authorisation to carry out the Asset Lifecycle Services is held and maintained for so long as the Tunnelling Contractor's Activities are carried out; and
  - (ii) comply (and must ensure that its Subcontractors and all personnel for which the Tunnelling Contractor is responsible comply) with the conditions of the AMB Authorisation held by the Tunnelling Contractor (or the relevant entity that comprises the Tunnelling Contractor).
- (c) The Tunnelling Contractor must (and must ensure that its Subcontractors and all personnel for which the Tunnelling Contractor is responsible):
  - (i) implement and comply with any AMB Requirements applicable to the Asset Lifecycle Services;
  - (ii) immediately notify the Principal's Representative in writing of any non-compliance with this clause 11;
  - (iii) cooperate fully with the AMB in the performance of the AMB's functions;
  - (iv) provide access to premises and resources as required by the AMB, including so that the AMB can effectively carry out its review, surveillance and audit functions;
  - (v) comply with the directions, instructions and requirements issued by the AMB;
  - (vi) notify the AMB of any matter that could reasonably be expected to affect the exercise of the AMB's functions;
  - (vii) provide the AMB with any information relating to its activities or any documents or other things required by the AMB in the exercise of its functions; and
  - (viii) provide the Principal with such reasonable assistance as may be reasonably required by the Principal to enable the Principal to cooperate fully with the AMB and to implement and comply with AMB Requirements.
- (d) The Tunnelling Contractor acknowledges and agrees that it is not entitled to make (and neither the Principal nor the AMB will be liable upon) any Claim arising out of or in connection with the performance of any of its obligations under this clause 11.

## 12. PORTIONS

### 12.1 Principal's Representative may direct Portions

- (a) In addition to the Portions identified in Schedule A2, the Principal's Representative may at any time by written notice to the Tunnelling Contractor direct additional Portions by way of a Change Order under clause 17.7(a).
- (b) Any Change Order given by the Principal's Representative pursuant to clause 12.1(a) must, for each Portion, include details of:
- (i) the Project Works and Temporary Works;
  - (ii) the Date for Substantial Completion; and
  - (iii) respective amounts of liquidated damages,
- all as determined by the Principal's Representative (acting reasonably), provided that the sum of the daily rates of liquidated damages applicable to each Portion created pursuant to clause 12.1(a) is equal to the daily rate of liquidated damages that:
- (iv) previously applied to the Portion; or
  - (v) in aggregate previously applied to the Portions,
- affected by the Principal's Representative direction under clause 12.1(a).

### 12.2 Interpretation of Portions

The interpretations of:

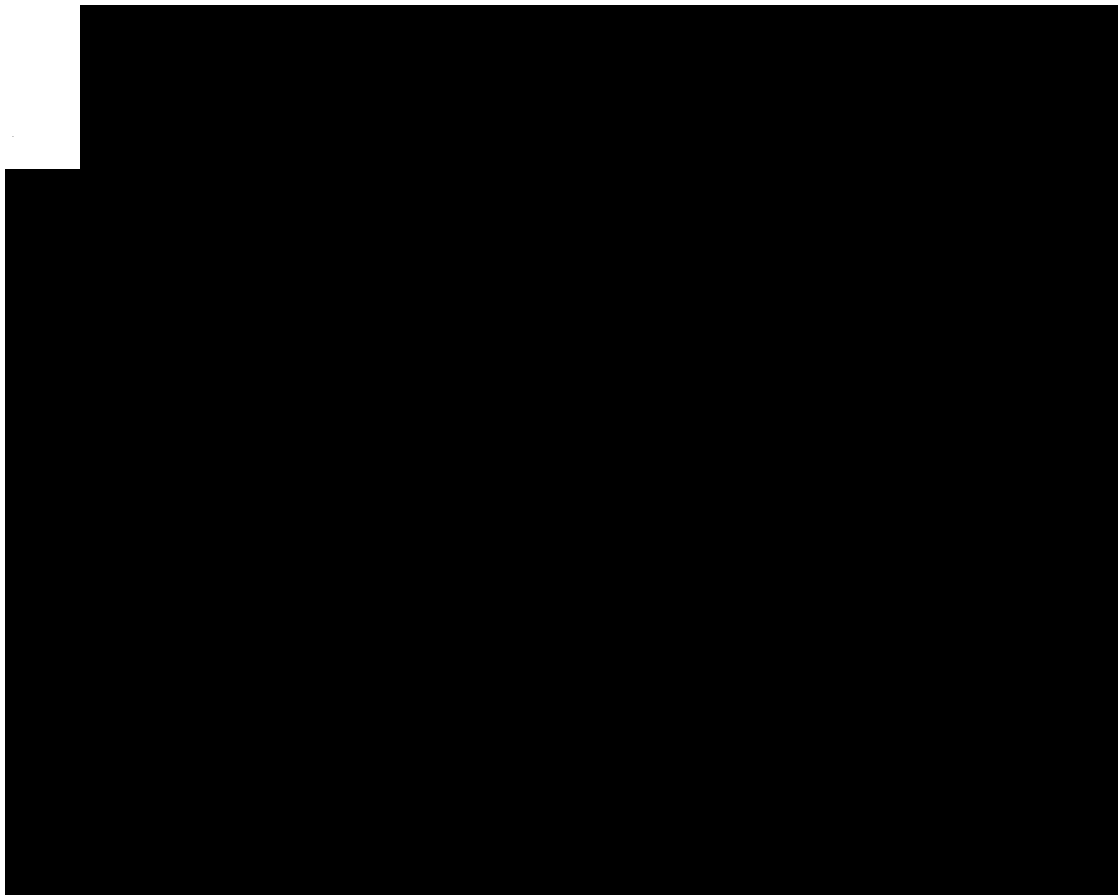
- (a) Tunnelling Contractor's Activities;
- (b) Project Works;
- (c) Temporary Works;
- (d) Works;
- (e) Handover Works;
- (f) Third Party Works;
- (g) Local Area Works;
- (h) Property Works;
- (i) Utility Service Works;
- (j) Project Site;
- (k) Construction Site;
- (l) Temporary Areas;
- (m) Substantial Completion;
- (n) Date for Substantial Completion;

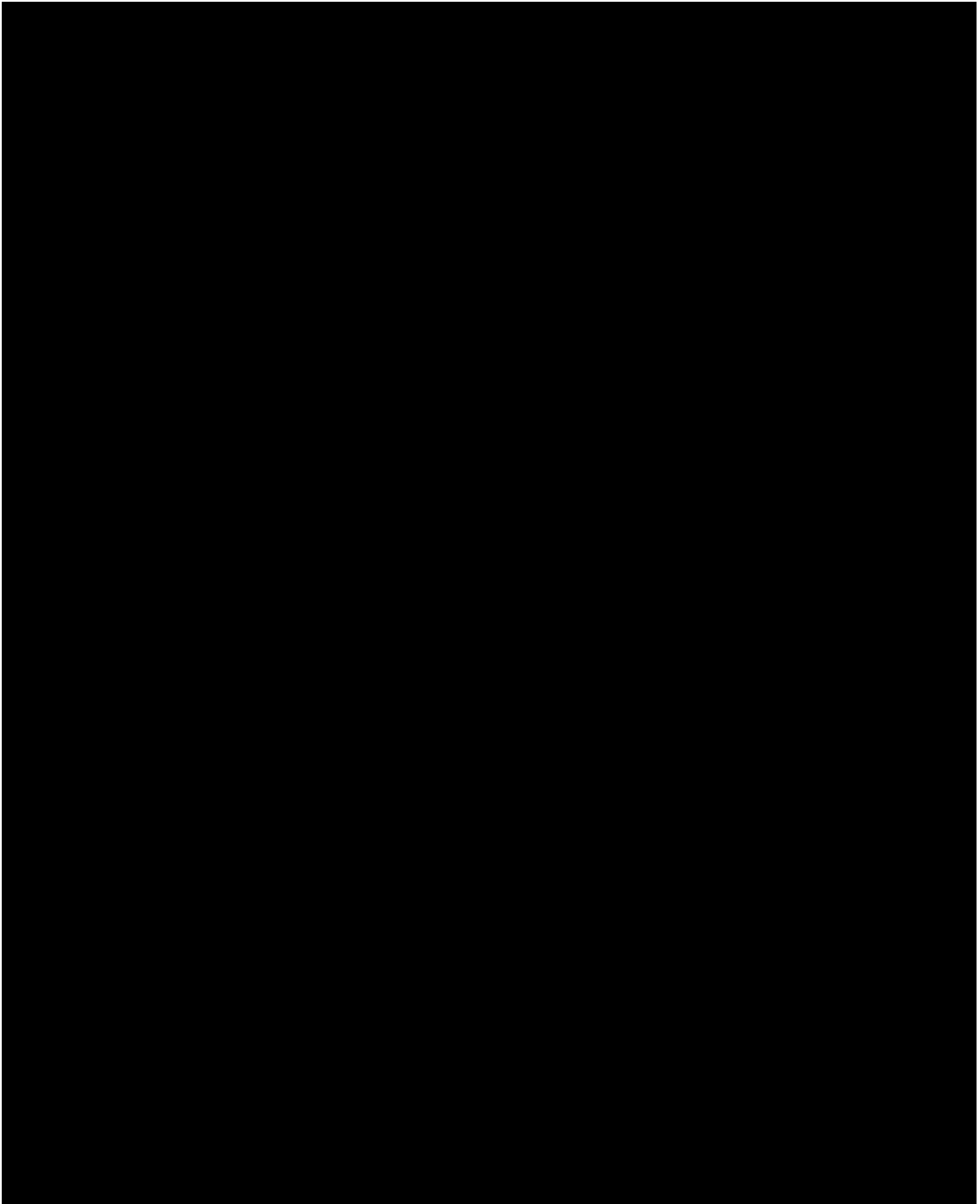
- (o) Date of Substantial Completion;
- (p) Completion;
- (q) Date for Completion;
- (r) Date of Completion; and
- (s) Defects Correction Period,

and clauses 6.10, 8.2(a)(iii), 14.1 to 14.7, 14.30(g), 16.3(a)(x), 17.5(b)(ii), 17.5(b)(iii), 18.8, 18.10, 18.11, 18.13, 18.14, 18.15, 19, 21, 22.2(a), 25.1, 25.5, 25.6, and 25.20, and the Tunnelling Specification (including its appendices) will apply separately to each Portion (including any Portion determined under clause 12.1 or clause 12.3) and references therein to any of the terms in paragraphs (a) - (s) above will mean so much of the Tunnelling Contractor's Activities, Project Works, Temporary Works, Works, Handover Works, Third Party Works, Local Area Works, Property Works, Utility Service Works, Project Site, Construction Site, Temporary Areas, Substantial Completion, Date for Substantial Completion, Date of Substantial Completion, Completion, Date for Completion, Date of Completion and Defects Correction Period as is comprised in, or associated with, the relevant Portion.

### 12.3 Occupation and use of partially completed Project Works

- (a) Without limiting the generality of clause 12.1, if a part of a Portion has reached a stage equivalent to Substantial Completion (**Completed Portion**) but another part of a Portion has not yet reached such stage (**Incomplete Portion**), the Principal's Representative may by giving written notice to the Tunnelling Contractor direct by way of a Change Order under clause 17.7(a):





12.4 **Reduction in entitlement**

The Tunnelling Contractor's entitlement to an extension of time or to [REDACTED] as a result of a Change Order issued by the Principal's Representative pursuant to clauses 12.1 or 12.3 will be reduced to the extent that:

- (a) the need for the Change Order arises out of or in connection with any breach of this deed by the Tunnelling Contractor (for example, a failure to reach Substantial Completion of a Portion by the Date for Substantial Completion of that Portion); or
- (b) the Change Order is:

- (i) in effect:
  - (A) a direction to the Tunnelling Contractor to perform the Tunnelling Contractor's Activities in accordance with this deed (other than clause 12) or consistently with this deed; or
  - (B) a direction to the Tunnelling Contractor to take corrective action to rectify any non-compliance with the requirements of this deed; or
- (ii) related to the rectification of a Defect [REDACTED].

### 13. PROJECT PLANS

#### 13.1 General

- (a) The Tunnelling Contractor must prepare the Project Plans including as specified in section 5 of the General Specification.
- (b) Each Project Plan must:
  - (i) where an initial plan exists for the relevant Project Plan and is contained in Attachment B of the General Specification, be based upon that initial plan;
  - (ii) whether or not an initial plan exists for the relevant Project Plan, be prepared and further developed in accordance with this clause 13 and section 5 of the General Specification; and
  - (iii) contain any relevant contents required under this deed, including as specified in section 5 of the General Specification.

#### 13.2 Warranties in relation to Project Plans

The Tunnelling Contractor:

- (a) acknowledges and agrees that an intended purpose of each Project Plan is for the Tunnelling Contractor to provide a detailed description of how the Tunnelling Contractor intends to carry out the Tunnelling Contractor's Activities in accordance with the requirements of this deed with respect to the subject matter of each Project Plan; and
- (b) warrants that each Project Plan will be fit for its intended purpose and that compliance by it with the Project Plans will enable it to fulfil its various obligations under this deed.

#### 13.3 Review of Project Plans

- (a) Each Project Plan must be initially submitted to the Principal's Representative and the Independent Certifier within any relevant time period specified in this deed (including as specified in section 5 of the General Specification).
- (b) The Principal's Representative (and the Independent Certifier with respect to any IC Project Plan) may:
  - (i) review any Project Plan submitted under this clause 13.3; and
  - (ii) if the Project Plan submitted does not comply with this deed, give written notice to the Tunnelling Contractor within 15 Business Days of the initial submission of the Project Plan providing reasons for the non-compliance.

- (c) If the Tunnelling Contractor receives a notice under clause 13.3(b)(ii), the Tunnelling Contractor must promptly submit an amended Project Plan, or relevant part or component of it, to the Principal's Representative and the Independent Certifier and the process in this clause 13.3 will reapply.
- (d) The Principal's Representative or the Independent Certifier owes no duty to the Tunnelling Contractor to review any Project Plan submitted by the Tunnelling Contractor for errors, omissions or compliance with this deed.
- (e) No review of, comments upon, notice in respect of any Project Plan or any other act or omission of the Principal's Representative or the Independent Certifier (including a direction under clause 13.4(b)) about any Project Plan will lessen or otherwise affect:
  - (i) the Tunnelling Contractor's liabilities or responsibilities under this deed or otherwise according to Law; or
  - (ii) the Principal's rights against the Tunnelling Contractor, whether under this deed or otherwise according to Law.

#### 13.4 **Update of Project Plans**

- (a) The Tunnelling Contractor:
  - (i) acknowledges and agrees that the Project Plans will require ongoing development, amendment and updating throughout the duration of the Tunnelling Contractor's Activities to take into account:
    - (A) Changes;
    - (B) Changes in Law;
    - (C) the commencement of new phases or stages of design and construction as shown in the Overall ETP Program;
    - (D) those events or circumstances expressly identified for each Project Plan including as specified in section 5 of the General Specification;
    - (E) any direction given by the Principal's Representative under clause 12.1(a); and
    - (F) any other events or circumstances which occur or come into existence and which have, or may have, any effect on the manner in which the Tunnelling Contractor carries out the Tunnelling Contractor's Activities; and
  - (ii) must continue to develop and promptly amend or update the Project Plans:
    - (A) to take into account:
      - (aa) the circumstances and events referred to in clause 13.4(a)(i) as those circumstances and events occur or come into existence; and
      - (bb) any breach or potential breach of the warranties referred to in clause 13.2(b); and
    - (B) as otherwise specified in the Tunnelling Specification, including section 5 of the General Specification,

and promptly submit each further Project Plan to the Principal's Representative and to the Independent Certifier as it is further developed, amended or updated.

- (b) Without limiting clause 19, if:
- (i) any Project Plan does not comply with the requirements of this deed; or
  - (ii) the Tunnelling Contractor has not further developed, updated or amended any Project Plan in accordance with the requirements of clause 13.4(a)(ii),
- the Principal's Representative or the Independent Certifier with respect to any IC Project Plan may by written notice direct the Tunnelling Contractor to further develop, update or amend the Project Plan so that the Project Plan will comply with the requirements of this deed, specifying:
- (iii) the reasons why such development, updating or amending is required; and
  - (iv) the time within which such development, updating or amending must occur,
- and the Tunnelling Contractor must:
- (v) further develop, update or amend the Project Plan as directed by the Principal's Representative and/or the Independent Certifier so that it complies with the requirements of this deed; and
  - (vi) submit the further developed, updated or amended Project Plan to the Principal's Representative and to the Independent Certifier within the time specified under clause 13.4(b)(iv).

### 13.5 Implementation and compliance

- (a) The Tunnelling Contractor:
- (i) must comply with each Project Plan which has been submitted to the Principal's Representative and to the Independent Certifier under clause 13.3 and in respect of which the Principal's Representative and, if applicable, the Independent Certifier, has not given a notice under clause 13.3(b)(ii); and
  - (ii) agrees that compliance by it with any Project Plan will not in any way lessen or affect:
    - (A) its liabilities or responsibilities under this deed or otherwise according to Law; or
    - (B) the Principal's rights against it, whether under this deed or otherwise according to Law.
- (b) The Tunnelling Contractor must comply with the restrictions upon the carrying out of the Tunnelling Contractor's Activities specified in section 5 of the General Specification.
- (c) The Tunnelling Contractor must not decrease or otherwise reduce the scope of any Project Plan, or the scope of work or level of effort or expertise required by a Project Plan, or the number of personnel or extent of surveillance required, including any initial Project Plan and any revision of a Project Plan, without the prior written approval of the Principal's Representative (which must not be unreasonably withheld).

- (d) To the extent they are relevant to the operation or maintenance of the Project Works or the Handover Works, all relevant components of the Project Plans must be incorporated into the Asset Management Information.

#### 14. LOCATION OF THE PROJECT WORKS

##### 14.1 Early access to the Construction Site

- (a) The Principal may, but is not obliged to, grant the Tunnelling Contractor access prior to the relevant Site Access Dates set out in the Site Access Schedule to the whole or part of the Construction Site in accordance with this clause 14.1.
- (b) The purpose of granting early access to the Construction Site under this clause 14.1 is to allow the Tunnelling Contractor to commence the Tunnelling Contractor's Activities early so as to mitigate any delays the Tunnelling Contractor may incur in achieving a Milestone or reaching Substantial Completion or Completion of a Portion and, subject to clauses 14.1(f) and 14.1(g), the Tunnelling Contractor must use that access to the Construction Site to commence the Tunnelling Contractor's Activities and optimise the additional time.
- (c) Grant of early access given under clause 14.1(a) or deemed to be given under clause 14.1(f):
  - (i) subject to clause 21.6(h)(iii), will be taken into account when making an assessment under clause 21.6 of claims by the Tunnelling Contractor for extensions of time; and
  - (ii) except to the extent set out in clause 14.1(c)(i), will not affect any Dates for Milestone Achievement, Dates for Substantial Completion or Dates for Completion.
- (d) To the extent the Principal intends to grant early access under this clause 14.1, the Principal must give the Tunnelling Contractor one or more written notices specifying a date or dates ("**Construction Site Access Date**") on which a part of the Construction Site will be Accessible that is prior to the relevant Site Access Date set out in the Site Access Schedule. Any such notice must:
  - (i) specify the date or dates on which early access will be granted; and
  - (ii) be given at least 10 Business Days prior to the date on which the relevant part of the Construction Site will be Accessible.
- (e) If the Principal gives the Tunnelling Contractor a notice under clause 14.1(d) with respect to a part of the Construction Site, and the Tunnelling Contractor is not given access to that part of the Construction Site, or access is granted but that part of the Construction Site is not Accessible, on the date specified in the notice, the Tunnelling Contractor will be entitled to the additional costs properly and reasonably incurred directly as a result of not being given access to that part of the Construction Site or that part of the Construction Site not being Accessible, as stated by the Principal's Representative and provided that the Tunnelling Contractor will have no entitlement for any such costs incurred prior to the date on which the Tunnelling Contractor is deemed to have accepted early access in accordance with clause 14.1(f).
- (f) If a Construction Site Access Date is:
  - (i) after the Early Site Access Date, the Tunnelling Contractor will be deemed to have accepted early access to that part of the Construction Site on the Construction Site Access Date; or



- (ii) prior to the Early Site Access Date, the Tunnelling Contractor will be deemed to have accepted early access to that part of the Construction Site on the earlier to occur of:
  - (A) the date on which the Tunnelling Contractor (by notice in writing to the Principal) accepts early access to the Construction Site; and
  - (B) the Early Site Access Date,

in which case the Tunnelling Contractor acknowledges and agrees that its access to the relevant part of the Construction Site will be subject to the requirements set out under clause 14.2, as applicable in the circumstances.

- (g) Where the Principal gives the Tunnelling Contractor a notice under clause 14.1(d) stating that a part of the Construction Site will be Accessible from a specified date, the Tunnelling Contractor will not be required to accept, or be deemed to have accepted, early access in respect of that part of the Construction Site where the date specified in the notice is prior to the Early Site Access Date.
- (h) The Tunnelling Contractor will be entitled to claim the applicable Early Site Access Payment in respect of each day during the period:
  - (i) commencing on the date on which the Tunnelling Contractor is granted early access for each relevant Portion (or each relevant part thereof as identified in Part E of Schedule E2) in accordance with clause 14.1(f); and
  - (ii) ending on the Site Access Date for the relevant part of the Construction Site for each relevant Portion,

such Early Site Access Payment being in full satisfaction for the Reimbursable Costs, Management Fee and Preliminaries Fee in respect of the Tunnelling Contractor having to comply with its obligations under clauses 14.2 and 14.5 in respect of the relevant part of the Construction Site.

- (i) Where the Tunnelling Contractor is entitled to an Early Site Access Payment pursuant to clause 14.1(h), the Tunnelling Contractor will be entitled to an increase to the Target Cost for an amount equivalent to the Early Site Access Payment.

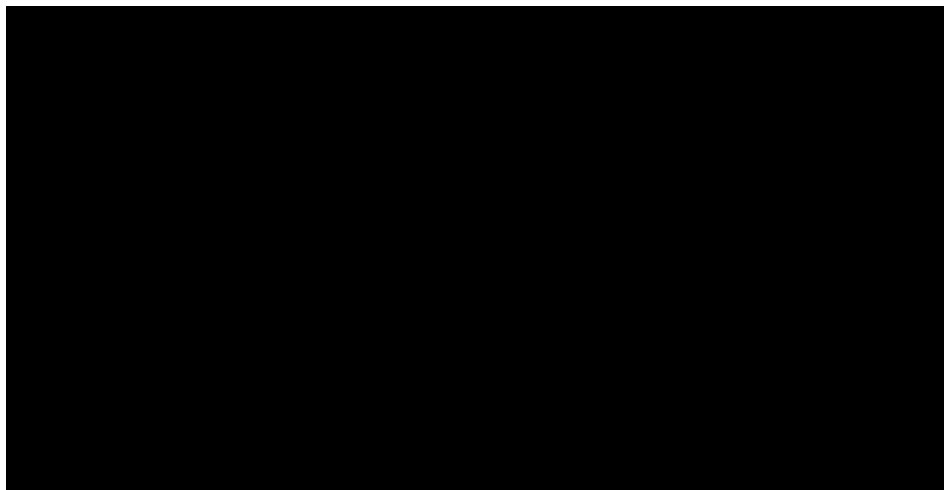
## 14.2 Access

- (a) Subject to clauses 14.2(b) to 14.2(h) and any other provision of this deed affecting access, the Principal must:
  - (i) give, or ensure the Tunnelling Contractor has, access to each area of the Construction Site specified in the Site Access Schedule by the relevant dates set out in the Site Access Schedule (and if a period is specified in relation to access to a part of the Construction Site, then by the last day of that period); and
  - (ii) thereafter continue to allow, or ensure that the Tunnelling Contractor is continued to be allowed, access to each such area of the Construction Site.
- (b) The Tunnelling Contractor acknowledges that its access to the Construction Site is subject to:
  - (i) any conditions to access set out in the Site Access Schedule;
  - (ii) this clause 14.2; and

- (iii) any other provision of this deed relating to access.
- (c) Without prejudice to the generality of clause 14.2(b), the Tunnelling Contractor acknowledges and agrees that the Tunnelling Contractor's access to the Construction Site is also subject to:
- (i) the terms of the Master Interface Deed;
  - (ii) the requirements of the Third Party Agreements for which the Tunnelling Contractor is responsible under Schedule D5; and
  - (iii) the appointment and obligations of the Appointed Principal Contractor under clause 10.3.
- (d) The Tunnelling Contractor acknowledges and agrees that access to the Construction Site or any part thereof will confer on the Tunnelling Contractor a right to such management and control as is necessary to enable:
- (i) the Tunnelling Contractor to execute the Tunnelling Contractor's Activities in accordance with this deed and to discharge its responsibilities under the WHS Legislation; and
  - (ii) the Appointed Principal Contractor to discharge its responsibilities as principal contractor.
- (e) The Principal is not obliged to give the Tunnelling Contractor access to any area of the Construction Site in accordance with clause 14.2(a), until the Tunnelling Contractor has:
- (i) complied with clauses 7.1(a) and 7.10;
  - (ii) submitted the Project Health and Safety Risk Governance Plan to the Principal's Representative and the Independent Certifier and the Principal's Representative has had 15 Business Days to review the Project Health and Safety Risk Governance Plan and has not rejected the Project Health and Safety Risk Governance Plan;
  - (iii) effected the insurance policies required by clause 25.5 (other than the insurances referred to in clause 25.7 (unless required under clauses 25.7), 25.12 and 25.13 of this deed); and
  - (iv) complied with clause 25.15(a) with respect to each insurance.
- (f) The Tunnelling Contractor acknowledges and agrees that:
- (i) it will be given non-exclusive access to the Construction Site;
  - (ii) the Principal may, without limiting its obligations under clause 4.10, engage Interface Contractors to perform Construction Site Interface Work on the Construction Site;
  - (iii) it will (and must ensure that its Associates will) cooperate with the Interface Contractors and coordinate the Tunnelling Contractor's Activities with the Construction Site Interface Work in accordance with clause 4.10; and
  - (iv) access to the Construction Site or any part thereof will be subject to the Tunnelling Contractor's compliance with clause 14.2(j).
- (g) The Principal's obligation to give access under this clause 14.2 in respect of:

- (i) the parts of the Construction Site identified in Schedule A2 in the column headed "Part of Construction Site" will cease upon the relevant Portion Handover Date; or
  - (ii) any Temporary Area for which a Site Access Expiry Date is specified in Table 4a of the Site Access Schedule, will cease upon the relevant Site Access Expiry Date.
- (h) Failure by the Principal to give access as required by clause 14.2(a) will not be a breach of this deed but will entitle the Tunnelling Contractor to:
- (i) an extension of time to any relevant Date for Milestone Achievement, Date for Substantial Completion or Date for Completion under clause 21 if the requirements of that clause are satisfied; and

(ii)



- (i) The Tunnelling Contractor's entitlement under clause 14.2(h)(ii) will be its only right to payment of money arising out of or in any way in connection with the Principal's failure to give access as required by clause 14.2(a).
- (j) The Tunnelling Contractor must:
  - (i) not use the Construction Site for any purpose other than the Permitted Use without the prior written consent of the Principal's Representative; and
  - (ii) comply with:
    - (A) any access conditions that apply to an area of the Construction Site as specified in the Site Access Schedule;
    - (B) the terms of any easement, restrictions on use, covenants, agreements or other similar arrangements burdening or benefitting the land contained in the Construction Site as recorded in the register maintained by Land and Property Information New South Wales under the *Real Property Act 1900* (NSW).
- (k) [REDACTED] the Tunnelling Contractor must ensure that at all times on a 24 hour per day, 7 day a week basis the Principal's Representative, any person authorised by the Principal (including OpCo, any Alternate Operator, any Interface Contractor, visitors invited by the Principal and other contractors and consultants who are to perform work on the Construction Site) and the Independent Certifier have safe, convenient and unimpeded access to:

- (i) the Construction Site and any other areas affected by the Tunnelling Contractor's Activities (with such access rights to commence immediately after the Tunnelling Contractor is given access under clauses 14.1 or 14.2(a));
  - (ii) the Project Works and the Temporary Works;
  - (iii) any other place where any part of the Tunnelling Contractor's Activities is being carried out (including Extra Land);
  - (iv) the Tunnelling Contractor's Activities;
  - (v) the Design Documentation; and
  - (vi) any other documentation created for the purposes of the Tunnelling Contractor's Activities.
- (l) The Tunnelling Contractor must provide the Principal, the Principal's Representative and the Independent Certifier with every reasonable facility necessary for the inspection of the Tunnelling Contractor's Activities.
- (m) When accessing any area or thing referred to in clause 14.2(k), the Principal must:
- (i) comply with; and
  - (ii) use best endeavours to ensure that any persons authorised by it to access that area or thing comply with,
- the Tunnelling Contractor's reasonable occupational, health, environmental and safety requirements.
- (n) The Tunnelling Contractor acknowledges that:
- (i) the Site Access Expiry Dates in respect of the Temporary Areas identified in Table 4a of the Site Access Schedule are fixed and will not be extended for any reason; and
  - (ii) the Tunnelling Contractor must vacate those Temporary Areas on the relevant Site Access Expiry Dates.
- (o) The Tunnelling Contractor must arrange the sequence of work so that the Tunnelling Contractor's Activities which must be performed on the Temporary Areas referred to in clause 14.2(n)(i) are completed prior to the relevant Site Access Expiry Dates.

### 14.3 Access to the harbour

- (a) The Tunnelling Contractor must:
- (i) obtain all rights of access to Sydney Harbour necessary to carry out the Tunnelling Contractor's Activities from the Harbour Master, TfNSW and any other relevant Authority;
  - (ii) comply with any direction which may be given by the Harbour Master, TfNSW and any other relevant Authority; and
  - (iii) navigate in accordance with:
    - (A) the *Marine Safety Act 1998* (NSW); and
    - (B) the *Marine Safety Regulation 2016* (NSW).

- (b) The Tunnelling Contractor acknowledges and agrees that:
- (i) it will not be entitled to make any Claim against the Principal arising out of, or in any way in connection with any act or omission of the Harbour Master, TfNSW or any other relevant Authority; and
  - (ii) no act or omission of the Harbour Master, TfNSW or any other relevant Authority will in any way lessen or otherwise affect:
    - (A) the Tunnelling Contractor's obligations under this deed or otherwise according to law; or
    - (B) the Principal's rights against the Tunnelling Contractor whether under this deed or otherwise according to law.

#### 14.4 Property Works

- (a) The Tunnelling Contractor must:
- (i) carry out the Property Works:
    - (A) in accordance with the Tunnelling Specification (including section 2.1.3.4 of the Particular Specification); and
    - (B) so that upon completion of the relevant Property Works they are fit for their intended purpose;
  - (ii) after completion of the Property Works with respect to an Unowned Parcel, including the work described in clause 14.4(f), provide to the Principal's Representative:
    - (A) a certificate in the form of Schedule B16, duly executed by the owner or owners of any part of the Unowned Parcel; or
    - (B) a statement signed by the Tunnelling Contractor to the effect that such owner or owners have failed or refused to sign a certificate in the form of Schedule B16 within 15 Business Days of it being provided by the Tunnelling Contractor to the owner or owners following completion of the Property Works including the work described in clause 14.4(f); and
  - (iii) indemnify the Principal from and against any claims against the Principal, or Loss suffered or incurred by the Principal, arising out of or in any way in connection with a claim by the owner or owners of any part of an Unowned Parcel where:
    - (A) such owner or owners have not duly signed a certificate in the form of Schedule B16; and
    - (B) the claim or Loss arises out of or in any way in connection with a wrongful act or omission of the Tunnelling Contractor or its Associates in connection with the Property Works or a failure by the Tunnelling Contractor to comply with its obligations under this deed relating to the Property Works.
- (b) The acceptance of a certificate or statement provided by the Tunnelling Contractor under clause 14.4(a)(ii) by the Principal's Representative is not approval by the Principal or the Principal's Representative of the Tunnelling Contractor's performance of its obligations under this clause 14.4.

- (c) Where any Property Works are required to be carried out on an Unowned Parcel, the Tunnelling Contractor must give a written notice to the owner or owners of the property (with a copy to the Principal's Representative) which:
- (i) describes the Property Works to be carried out;
  - (ii) requests access for the purpose of carrying out the Property Works; and
  - (iii) specifies the intended date for commencement of the Property Works,
- not less than 10 Business Days prior to the day which the Tunnelling Contractor intends to commence the Property Works.
- (d) If the owner or owners of a property do not provide the Tunnelling Contractor with sufficient access to carry out the Property Works from either:
- (i) the date notified in the notice under clause 14.4(c); or
  - (ii) such other date as may be agreed between the Tunnelling Contractor and the owner or owners,
- the Tunnelling Contractor must:
- (iii) give the Principal's Representative a written notice stating this; and
  - (iv) not carry out the Property Works until the Principal's Representative gives the Tunnelling Contractor a written notice specifying that the owner or owners of the property have agreed to give access, in which event clause 14.4(c) will reapply.
- (e) Upon being given access to any property for the purpose of carrying out any Property Works, the Tunnelling Contractor must promptly carry out those Property Works in a manner which minimises inconvenience and disruption to the owners, occupiers and users of the Unowned Parcel.
- (f) The Tunnelling Contractor must:
- (i) rehabilitate any part of an Unowned Parcel to the state agreed with the owner of such Unowned Parcel prior to commencing the work or, if no such agreement is reached, the state it was in immediately prior to the Tunnelling Contractor obtaining access; and
  - (ii) otherwise repair any damage or degradation to such a part arising out of or in any way in connection with the performance of its obligations under this clause 14.4.
- (g) Subject to clause 14.4(h) and clause 14.4(i), the following are conditions precedent to Substantial Completion of a Portion:
- (i) completion of all Property Works under this clause 14.4 that form part of the Portion, including all relevant work under clause 14.4(f); and
  - (ii) provision of all certificates or statements (as the case may be) to the Principal's Representative as required under clause 14.4(a)(ii) in respect of Property Works that form part of the Portion.
- (h) If:

- (i) the Tunnelling Contractor is not required to carry out any specific Property Works that form part of a Portion in accordance with clause 14.4(d);
- (ii) the Tunnelling Contractor has otherwise complied with its obligations under this clause 14.4; and
- (iii) the Tunnelling Contractor has otherwise achieved the requirements for Substantial Completion of the relevant Portion,

the Tunnelling Contractor will not be required to complete those specific Property Works as a condition precedent to Substantial Completion of the relevant Portion, and the Principal may specify a reasonable period after Substantial Completion within which those specific Property Works must be completed.

- (i) If clause 14.4(h) applies but the owner or owners of the relevant Unowned Parcel do not provide the Tunnelling Contractor with sufficient access to carry out the relevant Property Works prior to the date which is 12 months after the Date of Substantial Completion of the relevant Portion, the Principal must direct a Change under clause 17.7(a) to omit those Property Works and the Principal will determine the corresponding [REDACTED] pursuant to clause 5 to reflect the omission of those Property Works.

#### 14.5 Control of Construction Site

- (a) At all times after being given access to the Construction Site or a part of the Construction Site under clause 14.1 or clause 14.2 until the relevant Portion Handover Date, the Tunnelling Contractor must:
  - (i) without limiting any right of the Principal or the Principal's Representative under this deed, be responsible for the management and control of the Construction Site;
  - (ii) control access to, and the security and maintenance of, and must ensure public safety on, and, to the extent required by Law for the performance of the Tunnelling Contractor's Activities, adjacent to, the Construction Site or that part;
  - (iii) provide for the continuous safe passage of the public and road users on existing public spaces, parks, footpaths, pedestrian ways, pedal cycle paths, roads and other access ways affected by the Tunnelling Contractor's Activities in accordance with this deed;
  - (iv) minimise delay or disruption to the movement of the public and all road users; and
  - (v) subject to clause 14.5(c), comply with (and must ensure that its Associates comply with) all directions of any relevant Authority and Directions of the Principal's Representative with respect to the management of such access, safe passage and movement at all times.
- (b) The Tunnelling Contractor and the Principal acknowledge that nothing in this deed including the right to inspect pursuant to clause 18.5 or any audit by the Principal or the Principal's Representative at any time will be construed to mean or imply that:
  - (i) the Principal has any management or control over the Tunnelling Contractor's Activities or the Construction Site or Extra Land; or

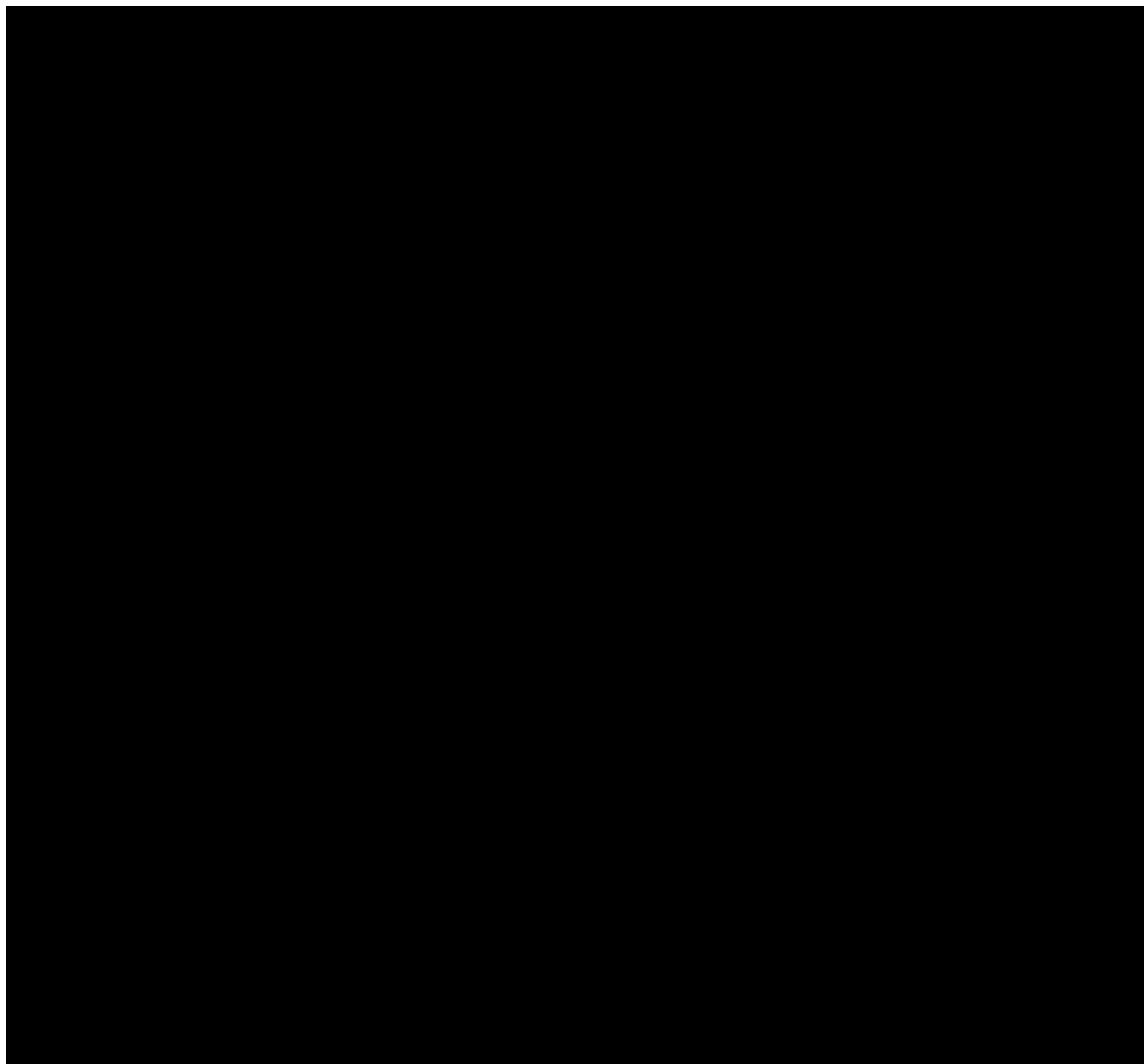
- (ii) the Principal has any responsibility for any act or omission by the Tunnelling Contractor or its Subcontractors or agents including compliance or non-compliance with any relevant Laws, Approvals, Third Party Agreements, Adjoining Property Easements or this deed.
- (c) Subject to clauses 4.9, 18.8 or 18.9, where a direction of an Authority or the Principal's Representative referred to in clause 14.5(a)(v) necessitates a reduction in the permissible working hours or working days for the Tunnelling Contractor's Activities or a change to the Working Parameters permitted for the Tunnelling Contractor's Activities:
- (i) the Tunnelling Contractor will be entitled to an extension of time to any relevant Date for Milestone Achievement or Date for Substantial Completion under clause 21 if the requirements of that clause are satisfied; and
  - (ii) the Principal will determine the [REDACTED] as a direct result of the direction of an Authority or the Principal's Representative pursuant to clause 5.

#### 14.6 Extra Land

- (a) The Tunnelling Contractor must:
- (i) procure for itself the occupation or use of or relevant rights over any land or buildings in addition to the Construction Site, which is necessary or which it requires for the execution of the Tunnelling Contractor's Activities (which may include additional land or buildings required for the Third Party Works, the underpinning of any structure or for the insertion of rock bolts);
  - (ii) as a condition precedent to Substantial Completion of any Portion in which the Tunnelling Contractor has had to procure occupation or use of or relevant rights over any Extra Land:
    - (A) rehabilitate any Extra Land in accordance with the requirements of all relevant Authorities and other relevant persons; and
    - (B) provide to the Principal's Representative:
      - (aa) a properly executed release on terms satisfactory to the Principal's Representative from all claims from the owner or occupier of, and from any other person having an interest in the Extra Land; or
      - (bb) if the Tunnelling Contractor is unable to obtain such a release despite using its best endeavours to do so, a statement from the Tunnelling Contractor to the effect that such owner or occupier, or other person having an interest in the Extra Land, has failed or refused to execute such a release within 15 Business Days of it being provided by the Tunnelling Contractor to the owner, occupier or other person following completion of the work on the Extra Land; and
  - (iii) indemnify the Principal from and against any claims against the Principal, or Loss suffered or incurred by the Principal, arising out of or in any way in connection with a claim by the owner or occupier of any part of the Extra Land where:
    - (A) such owner or occupier has not executed such a release; and



- (B) the claim or Loss arises out of or in connection with the Tunnelling Contractor's Activities.
- (b) The Tunnelling Contractor acknowledges that:
- (i) integration of the requirements for access to Extra Land is at the sole risk of the Tunnelling Contractor; and
  - (ii) the Principal will not be liable upon any Claim (insofar as is permitted by Law) by the Tunnelling Contractor arising out of or in any way in connection with:
    - (A) identifying and obtaining access to Extra Land; or
    - (B) any delay, additional costs or other effects on the Tunnelling Contractor's Activities related to the ability of the Tunnelling Contractor or its Subcontractors to obtain access to Extra Land or approval to use Extra Land.
- (c) If, at any time after the date of this deed, the Tunnelling Contractor notifies the Principal in writing that:
- (i) it requires the occupation or use of or relevant rights over any land or buildings in addition to the Construction Site, which is necessary or which it requires for the execution of the Tunnelling Contractor's Activities (including additional land or buildings required for the Third Party Works, the underpinning of any structure or for the insertion of rock bolts); and
  - (ii) the owner of, occupier of, and/or relevant interest holder in respect of such land or buildings is not willing to enter into an agreement directly with the Tunnelling Contractor in respect of the relevant land or buildings,
- the parties agree that the Principal may, in its absolute discretion and subject to any conditions the Principal requires, elect to enter into a Third Party Agreement with the relevant owner, occupier, and/or interest holder, and if the Principal elects to do so, then without limiting any other provision in this clause 14.6:
- (iii) the land or buildings the subject of the relevant Third Party Agreement will be treated as Extra Land under this deed (**TPA Extra Land**);
  - (iv) the Tunnelling Contractor must comply with the terms of any Revised Allocation arising out of the execution of any Third Party Agreement relating to the TPA Extra Land at its own risk, and the Tunnelling Contractor will not be entitled to make a Claim pursuant to clause 14.29(e) in respect of any such Third Party Agreement and any costs incurred by the Tunnelling Contractor pursuant to this clause 14.6(c)(iv) will be Excluded Costs;
  - (v) the Tunnelling Contractor will not be required to comply with clause 14.6(a)(ii)(B) in relation to such TPA Extra Land; and
  - (vi) the Tunnelling Contractor must indemnify the Principal from and against any claims against the Principal, or Loss suffered or incurred by the Principal, arising out of or in any way in connection with a claim by the owner, occupier and/or relevant interest holder of any part of the TPA Extra Land where the claim or Loss arises out of or in connection with the Tunnelling Contractor's Activities,



#### 14.7 Temporary Areas

The Tunnelling Contractor must, as a condition precedent to Substantial Completion of any Portion where the Tunnelling Contractor has occupied or made use of a Temporary Area in connection with that Portion, reinstate the Temporary Area to a condition at least equivalent to the condition existing before that occupation or use except for such parts of the Temporary Area:

- (a) that are required by this deed (including section 2.2.2 of the Particular Specification) to contain any Handover Works; or
- (b) which this deed (including section 2.2.2 of the Particular Specification) specifies need not be reinstated (including where the Tunnelling Contractor is required to demolish buildings on the Temporary Area).

#### 14.8 Physical conditions

- (a) Without limiting clauses 14.10(c) or 34.10, the Tunnelling Contractor warrants and for all purposes it will be deemed to be the case that, prior to the date of this deed, the Tunnelling Contractor:
  - (i) examined this deed, the Construction Site and its surroundings, the Information Documents and any other information that was made available in

writing by the Principal, or any other person on the Principal's behalf, to the Tunnelling Contractor during the tender period;

- (ii) examined, and relied solely upon its own assessment, skill, expertise and inquiries in respect of, all information relevant to the risks, contingencies and other circumstances having an effect on its Tender and its obligations under this deed;
  - (iii) satisfied itself as to the correctness and sufficiency of its Tender and that it has made adequate allowance for the costs of complying with all of its obligations under this deed and of all matters and things necessary for the due and proper performance and completion of the Tunnelling Contractor's Activities;
  - (iv) informed itself of:
    - (A) all matters relevant to the employment of labour at the Construction Site; and
    - (B) all industrial matters relevant to the Construction Site;
  - (v) was given the opportunity during the tender period to itself undertake, and to request others to undertake, tests, enquiries and investigations:
    - (A) relating to the subject matter of Information Documents; and
    - (B) for design purposes and otherwise;
  - (vi) had a sufficient opportunity to obtain and obtained all necessary legal, geotechnical and other technical advice in relation to the terms of this deed, the Deed of Disclaimer, the Information Documents, the Site Conditions, as well as the risks, contingencies and other circumstances having an effect on its Tender, the performance of its obligations and its potential liabilities under this deed; and
  - (vii) undertook sufficient tests, enquiries and investigations, had sufficient information and obtained a sufficient understanding of the risks involved to enable it to make an informed decision about whether or not to enter into this deed and assume the obligations and potential risks and liabilities which it imposes on the Tunnelling Contractor.
- (b) Without limiting or otherwise affecting clauses 14.8(c), 14.8(d) and [REDACTED], the Principal makes no representation and gives no warranty to the Tunnelling Contractor in respect of:
- (i) the Site Conditions likely to be encountered during the execution of the Tunnelling Contractor's Activities or otherwise in respect of the condition of:
    - (A) the Construction Site, Extra Land or their surroundings;
    - (B) any structure or other thing on, under, above or adjacent to the Construction Site or Extra Land;
  - (ii) the existence, location, condition or availability of any Utility Service on, under, above, adjacent to or related to the Construction Site or Extra Land; or
  - (iii) the condition or characteristics of any Adjoining Property.

(c) Subject to clauses 4.4, [REDACTED] 14.13(c), 14.15(d), 14.15(g), 14.17(m), 14.19(c), 14.19(d) and 21.6, the Tunnelling Contractor accepts:

- (i) the Construction Site, the Adjoining Properties and any Extra Land; and
- (ii) any structures or other thing on, above or adjacent to, or under the surface of, the Adjoining Properties, the Construction Site and any Extra Land,

in their present condition subject to all defects and Site Conditions and agrees that it is responsible for, and assumes the risk of:

- (iii) all Loss, delay or disruption it suffers or incurs; and
- (iv) any adverse effect on the Project Works or the Temporary Works,

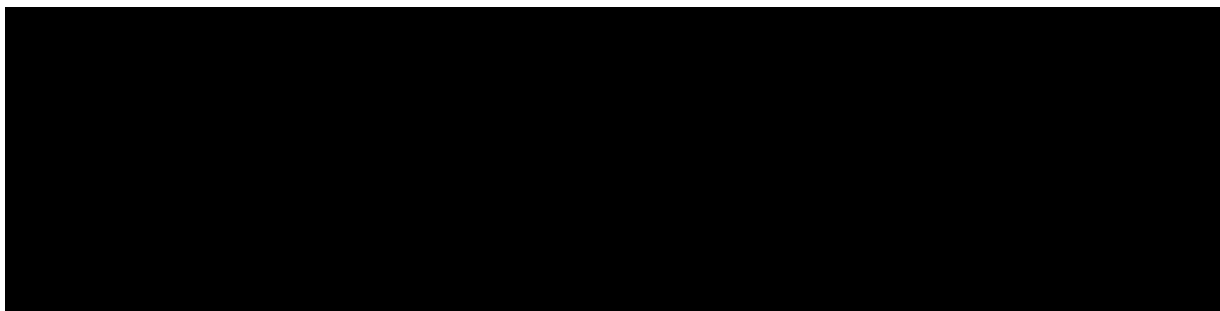
arising out of, or in any way in connection with the Site Conditions encountered in performing the Tunnelling Contractor's Activities and does so notwithstanding that the Principal may have in whole or in part specified the alignment, location, position, level or dimensions for the Project Works in the Tunnelling Specification.

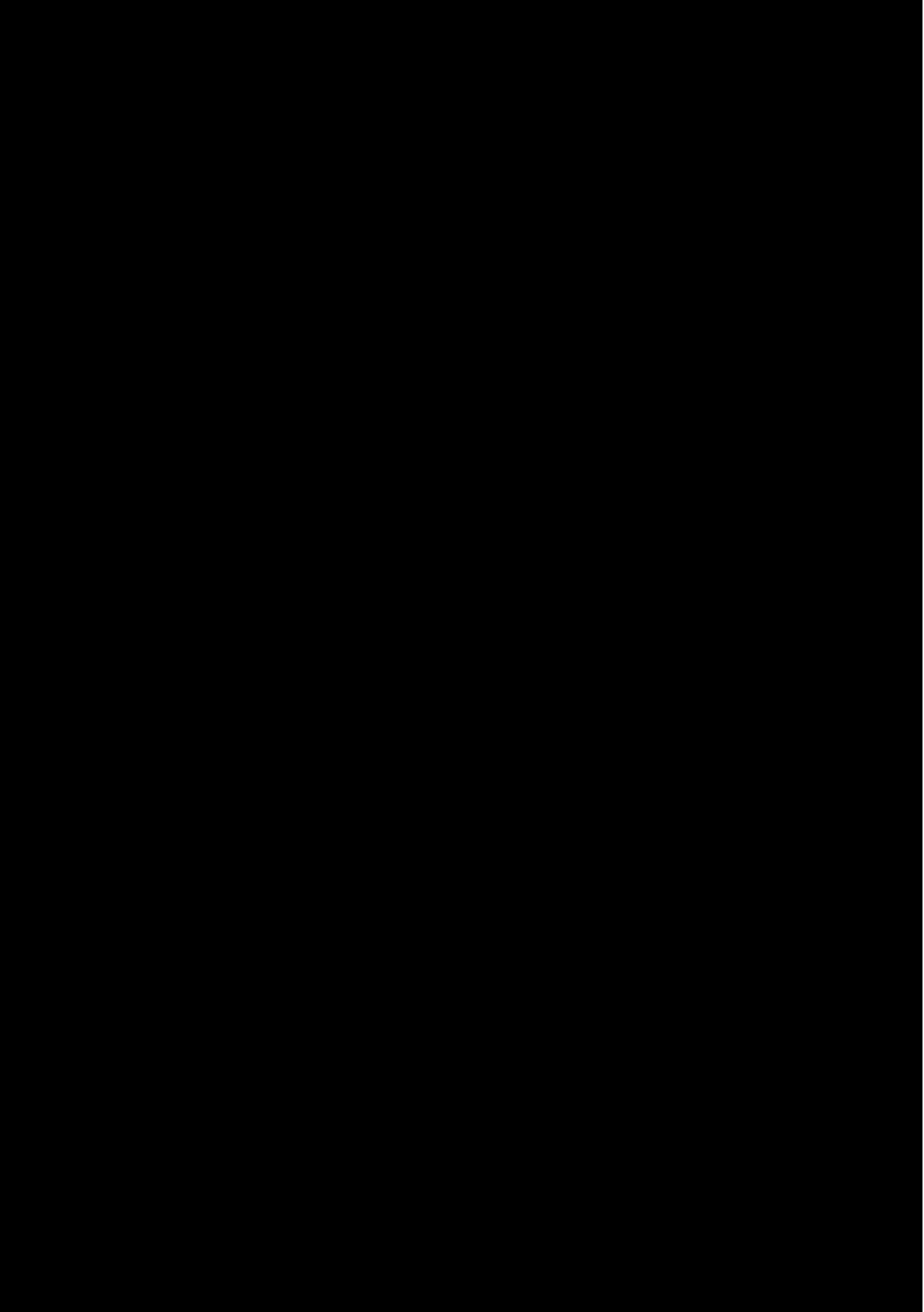
(d) The Tunnelling Contractor must investigate, design and construct the Project Works and Temporary Works in accordance with this deed and will not be relieved of its obligations under this deed, irrespective of:

- (i) the Site Conditions encountered in performing the Tunnelling Contractor's Activities;
- (ii) whatever may be the condition or characteristics (including all sub-surface conditions) of:
  - (A) the Construction Site, the Adjoining Properties or any Extra Land, the Environment or their surroundings; or
  - (B) any structure or other thing on, above or adjacent to, or under the surface of, the Adjoining Properties, the Construction Site or any Extra Land, the Environment or their surroundings; and
- (iii) any assumptions, projections, estimates, contingencies or otherwise that the Tunnelling Contractor may have made in relation to the Site Conditions or the conditions or the characteristics of any of the matters referred to in subparagraph (ii),

and notwithstanding that the Principal may have in whole or in part specified the alignment, location, position, level or dimensions for the Project Works in the Tunnelling Specification.

(e) Nothing in clauses 14.8 or [REDACTED] limits the operation of clauses 4.4, [REDACTED] 14.13(c), 14.15(d), 14.15(g), 14.17(m), 14.19(c) and 21.6.





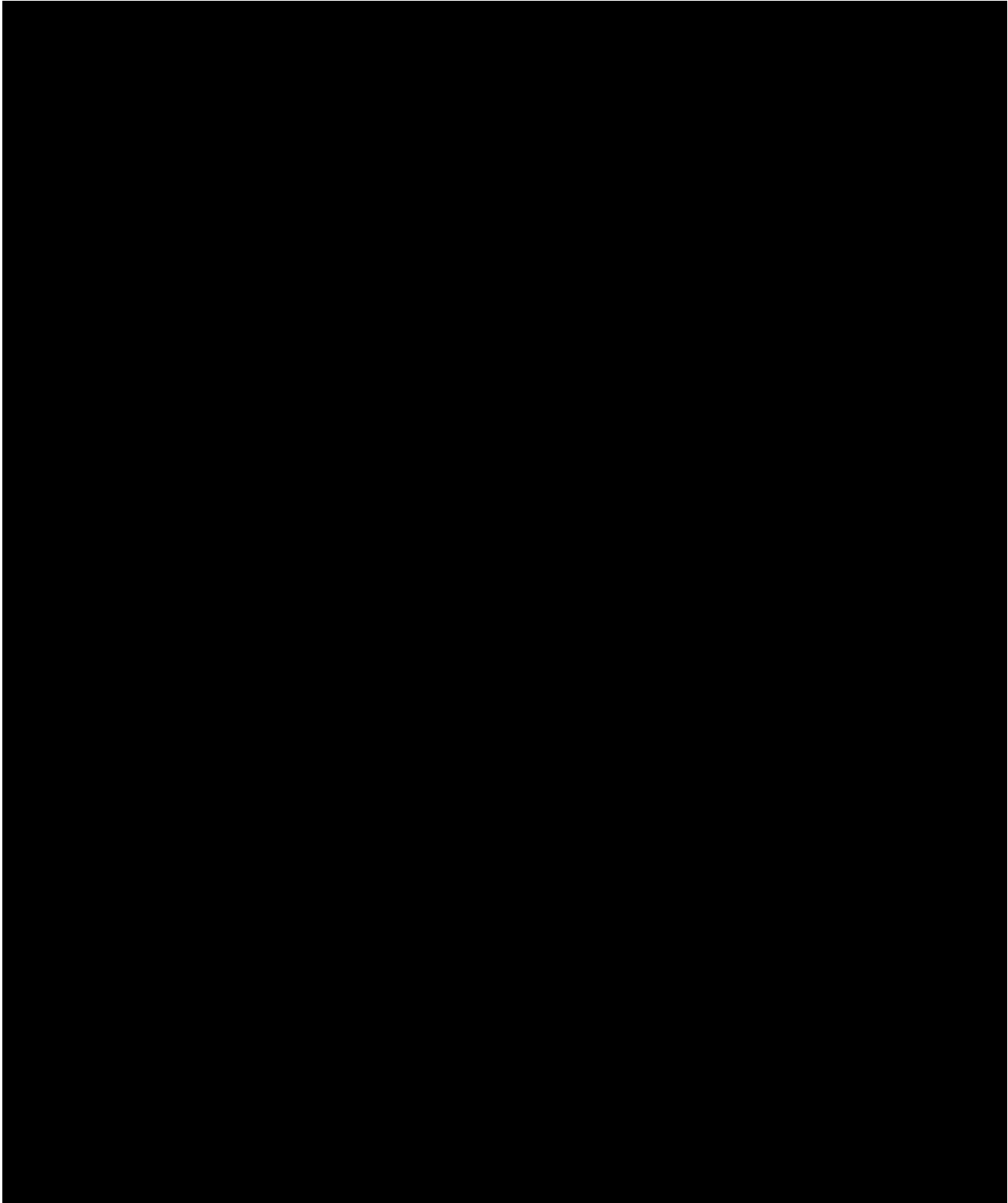
#### 14.10 Information Documents

- (a) Prior to the date of this deed the Tunnelling Contractor signed the Deed of Disclaimer and provided it to the Principal in respect of Information Documents provided by the Principal to the Tunnelling Contractor.
- (b) Without limiting or otherwise affecting clause 14.10(c) or the warranties or acknowledgements in the Deed of Disclaimer:
  - (i) the Principal does not warrant, guarantee, assume any duty of care or other responsibility for or make any representation about the accuracy, adequacy, suitability or completeness of the Information Documents;
  - (ii) whether or not an Information Document or any part thereof forms a schedule or an exhibit to this deed, the Tunnelling Contractor acknowledges that:
    - (A) the Information Document or part thereof does not form part of this deed and that clause 14.10(c) applies to the Information Document or part thereof; and
    - (B) where an Information Document or any part thereof forms a schedule or an exhibit to this deed, it does so only for the purposes of identification of that document or part thereof;
  - (iii) insofar as is permitted by Law, the Principal will not be liable upon any Claim by the Tunnelling Contractor arising out of or in any way in connection with:

- (A) the provision of, or the purported reliance upon, or use of the Information Documents to or by the Tunnelling Contractor or any other person to whom the Information Documents are disclosed; or
  - (B) a failure by the Principal to provide any information to the Tunnelling Contractor.
- (c) The Tunnelling Contractor:
- (i) [REDACTED] warrants that it did not in any way rely upon:
    - (A) any information, data, representation, statement or document made by, or provided to the Tunnelling Contractor, by the Principal or anyone on behalf of the Principal or any other information, data, representation, statement or document for which the Principal is responsible or may be responsible whether or not obtained from the Principal or anyone on behalf of the Principal; or
    - (B) the accuracy, adequacy, suitability or completeness of such information, data, representation, statement or document,

for the purposes of entering into this deed or carrying out the Tunnelling Contractor's Activities but nothing in this subparagraph will limit or otherwise affect the Tunnelling Contractor's obligations under this deed;
  - (ii) warrants that it enters into this deed based on its own investigations, interpretations, deductions, information and determinations; and
  - (iii) acknowledges that it is aware that the Principal has entered into this deed relying upon:
    - (A) the warranties, acknowledgements and agreements in clauses 14.10(c)(i) and 14.10(c)(ii); and
    - (B) the warranties and acknowledgements in the Deeds of Disclaimer and the Tender Form submitted by the Tunnelling Contractor as part of its Tender.
- (d) Subject to clause 14.13(c), the Tunnelling Contractor releases and indemnifies the Principal from and against:
- (i) any Claim against the Principal by, or Liability of the Principal to, any person; or
  - (ii) (without being limited by clause 14.10(d)(i)) any Loss suffered or incurred by the Principal,
- arising out of or in any way in connection with:
- (iii) the provision of, or the purported reliance upon, or use of, the Information Documents to or by the Tunnelling Contractor or any other person to whom the Information Documents are disclosed by the Tunnelling Contractor;
  - (iv) any breach by the Tunnelling Contractor of this clause 14.10; or
  - (v) the Information Documents being relied upon or otherwise used by the Tunnelling Contractor or its Associates in the preparation of any information or document.

- (e) Subject to clause 14.13(c), the Tunnelling Contractor releases the Principal from any Claim against the Principal by, or Liability of the Principal to, the Tunnelling Contractor, arising out of or in any way in connection with a failure by the Principal to provide any information to the Tunnelling Contractor (except to the extent the Principal is expressly required to provide such information under this deed).





#### 14.12 Geotechnical Reports

The parties acknowledge and agree that:

- (a) prior to the date of this deed the Principal procured:
  - (i) the Geotechnical Reports for the benefit of the Principal and the Tunnelling Contractor; and
  - (ii) the Reliance Letters from the authors of the Geotechnical Reports; and
- (b) while the Tunnelling Contractor may rely on each Geotechnical Report to the extent provided by the Reliance Letters, subject to clause 14.13 the Tunnelling Contractor is not entitled to make any Claim against the Principal (and the Principal will not be liable upon any Claim by the Tunnelling Contractor) arising out of or in connection with any Geotechnical Report or the accuracy of any information contained within any Geotechnical Report.

#### 14.13 Notice of Site Investigation Location Difference

- (a) If, during the execution of the Tunnelling Contractor's Activities, the Tunnelling Contractor becomes aware of a Site Investigation Location Difference, it must immediately give the Principal's Representative notice in writing.
- (b) Within 10 Business Days of giving a notice under clause 14.13(a), the Tunnelling Contractor must give the Principal's Representative a written statement of:
  - (i) the Site Investigation Location Difference and in what respects it will have a material impact upon the Tunnelling Contractor's Activities;
  - (ii) the additional work and resources which the Tunnelling Contractor estimates to be necessary to deal with the Site Investigation Location Difference;
  - (iii) the time the Tunnelling Contractor anticipates will be required to deal with the Site Investigation Location Difference and the expected delay in achieving any Milestone or Substantial Completion of any Portion;
  - (iv) the Tunnelling Contractor's estimate of the [REDACTED] required to address the measures necessary to deal with the Site Investigation Location Difference; and
  - (v) other details reasonably required by the Principal's Representative.
- (c) If the Site Investigation Location Difference causes the Tunnelling Contractor to:
  - (i) carry out additional work;
  - (ii) use additional Construction Plant; or
  - (iii) incur additional Reimbursable Costs,

which a competent and experienced contractor having done those things it is deemed to have done by clause 14.8(a) could not have avoided or mitigated, and could not reasonably have anticipated at the date of this deed, the Tunnelling Contractor will be entitled to [REDACTED]

[REDACTED] to account for the additional work carried out, additional Construction Plant used, or additional Reimbursable Costs incurred, after the date on which the Tunnelling Contractor gives the written notice

required by clause 14.13(a) as a direct result of the Site Investigation Location Difference.

- (d) In making any adjustments under Schedule E9, the Tunnelling Contractor's entitlement under clause 14.13(c) will be reduced to the extent that the Tunnelling Contractor fails to demonstrate that it or its Associates have taken all reasonably practicable steps to avoid or minimise increased costs or delay arising from the Site Investigation Location Difference.

#### 14.14 Archaeological Clearance Works

- (a) The Tunnelling Contractor must:
- (i) perform Archaeological Clearance Works at each Artefact Risk Area in accordance with the requirements of:
    - (A) sections 2.7.2 and 2.7.4 of the General Specification;
    - (B) the Construction Heritage Management Plan; and
    - (C) all applicable Laws, Codes and Standards and guidelines relating to heritage and conservation; and
  - (ii) not commence performance of Archaeological Clearance Works at any Artefact Risk Area until:
    - (A) the Construction Heritage Management Plan has been submitted to the Principal's Representative and the Independent Certifier under clause 13.3(a) and not been the subject of a notice under clause 13.3(b)(ii) within the time period specified in clause 13.3(b)(ii); and
    - (B) any required approvals have been obtained from the Secretary of the NSW Department of Planning and Environment in accordance with the requirements of the Project Planning Approval.
- (b) The Archaeological Clearance Works at an Artefact Risk Area will be taken to be complete when:
- (i) the Tunnelling Contractor has satisfied the requirements of sections 2.7.2 and 2.7.4 of the General Specification; and
  - (ii) the Tunnelling Contractor has provided the Principal's Representative with a certificate in the form of Schedule B18,
- in relation to the relevant Artefact Risk Area.
- (c) The Tunnelling Contractor may not commence bulk excavation work at an Artefact Risk Area (or any part of an Artefact Risk Area) unless and until:
- (i) the requirements of clause 14.14(b) have been satisfied for the applicable Artefact Risk Area; or
  - (ii) the Principal's Representative has otherwise given the Tunnelling Contractor written permission to do so subject to such conditions as the Principal's Representative may specify.

#### 14.15 **Artefacts**

- (a) As between the Principal and the Tunnelling Contractor, any Artefacts are the property of the Principal.
- (b) The Tunnelling Contractor must:
  - (i) immediately notify the Principal's Representative if any Artefact is found;
  - (ii) ensure that the Artefact is managed in accordance with:
    - (A) the Construction Heritage Management Plan; and
    - (B) the Sydney Metro Unexpected Heritage Finds Procedure (if applicable);
  - (iii) comply with all Laws, Planning Approvals, Codes and Standards and guidelines relating to heritage and conservation in relation to the Artefact;
  - (iv) comply with the requirements of any Authorities and directions of the Principal's Representative in relation to the Artefact; and
  - (v) continue to perform the Tunnelling Contractor's Activities except to the extent otherwise:
    - (A) directed by the Principal's Representative;
    - (B) ordered by a court or tribunal; or
    - (C) required by Law.
- (c) If the Tunnelling Contractor discovers an Artefact which the Tunnelling Contractor considers to be a State Significant Artefact or Aboriginal Object, the Tunnelling Contractor must:
  - (i) immediately notify the Principal's Representative in writing;
  - (ii) within 5 Business Days of the discovery provide the Principal's Representative with an initial statement of archaeological significance prepared by a suitably qualified heritage specialist outlining the reasons why the Artefact is considered to be a State Significant Artefact or Aboriginal Object (as applicable);
  - (iii) as soon as reasonably practicable provide the Principal's Representative with:
    - (A) a complete and detailed assessment of the archaeological significance of the Artefact prepared by a suitably qualified heritage specialist; and
    - (B) such other information as is reasonably requested by the Principal's Representative; and
  - (iv) cooperate and consult with the Principal and all relevant Authorities in relation to the Artefact.
- (d) Subject to clause 14.15(e), if an Artefact is a State Significant Artefact or Aboriginal Object, then any:
  - (i) additional work; or
  - (ii) change to the Tunnelling Contractor's work methodology,



#### 14.16 Site induction

- (a) Without limiting the Tunnelling Contractor's obligations under clause 8.2 to comply with the conditions and requirements of all Approvals, the Tunnelling Contractor must:
  - (i) provide safety and environmental site induction for persons nominated by the Principal's Representative on the Construction Site and for all personnel directly or indirectly engaged by the Tunnelling Contractor and requiring access to the Construction Site, any Extra Land and other areas where the Tunnelling Contractor's Activities are being performed; and
  - (ii) ensure such persons satisfactorily complete such site induction before such persons are given such access or commence such work.
- (b) The induction must:
  - (i) comply with all applicable Law, Project Plans and the Principal's procedures, policies and rules; and
  - (ii) otherwise be in accordance with the requirements of this deed.
- (c) The Tunnelling Contractor must keep and maintain comprehensive and detailed induction records and provide the Principal's Representative or its nominee, upon request, with access to such records.

#### 14.17 Hazardous Materials

- (a) The parties acknowledge and agree that:
  - (i) the Tunnelling Contractor has been provided with the Principal Hazardous Material Reports;
  - (ii) the Principal Hazardous Material Reports positively identify or assume the presence of Hazardous Materials which may be encountered by the Tunnelling Contractor in the performance of Demolition Works;
  - (iii) there may be Hazardous Materials (other than those positively identified or assumed to be present in the Principal Hazardous Material Reports) that are encountered by the Tunnelling Contractor in the performance of Demolition Works; and
  - (iv) the Tunnelling Contractor's Activities include taking the appropriate steps referred to in this clause 14.17 and clause 14.18 in respect of Hazardous Materials, regardless of whether or not the Hazardous Materials were positively identified or assumed to be present in the Principal Hazardous Material Reports.
- (b) Without limiting clause 14.17(a), the Principal does not make any representation or warranty (express or implied) as to the nature or extent of any Hazardous Material that may be encountered during the performance of Demolition Works.
- (c) The Tunnelling Contractor must:
  - (i) perform the Demolition Temporary Works and the Demolition Works in accordance with section 2.1.2 and section 3.3 of the Particular Specification and items (viii) and (ix) in Table 1 of Attachment I of the Particular Specification including, without limitation:

- (A) obtain the certification of a Demolition Structural Engineer in the form of Schedule B22 in accordance with section 3.3.1(n)(ii) of the Particular Specification;
  - (B) obtain the certification of a Demolition Structural Engineer in the form of Schedule B23 in accordance with section 3.3.1(o)(iii) of the Particular Specification;
  - (C) obtain the certification of a Demolition Structural Engineer in the form of Schedule B24 in accordance with section 3.3.3(a) of the Particular Specification;
  - (D) obtain the certification of a Demolition Temporary Works Independent Checker in the form of Schedule B25 in accordance with section 3.3.3(b) of the Particular Specification; and
  - (E) obtain the certification of a Demolition Structural Engineer in the form of Schedule B26 in accordance with section 3.3.3(d) of the Particular Specification,
- (ii) provide for the management of any Hazardous Material in accordance with the requirements of this deed, including the Tunnelling Specification and all applicable Law and Codes and Standards, the Sydney Metro Principal Contractor Health and Safety Standard, the Demolition Work Plan, the Asbestos Removal Control Plan and the Waste Management Plan; and
  - (iii) take all measures required to protect workers and others from Hazardous Materials in accordance with Law and Codes and Standards, the Sydney Metro Principal Contractor Health and Safety Standard, the WHS Management Systems and Auditing Guidelines and the Tunnelling Specification.
- (d) Without limiting clause 14.17(a) and its obligation to comply with applicable Law, the Tunnelling Contractor must, using due care, skill and diligence:
- (i) where a Principal Hazardous Material Report was provided by the Principal with respect to Demolition Works to be performed on a part of the Construction Site, undertake all additional site investigations necessary to identify the full extent of Hazardous Material on each part of the Construction Site where the relevant Demolition Works will be performed, in accordance with the WHS Regulation and the Waste Classification Guidelines; and
  - (ii) where no Principal Hazardous Material Report was provided by the Principal with respect to Demolition Works to be performed on a part of the Construction Site, prepare a suitable survey report to identify the full extent of Hazardous Material on each part of the Construction Site where the relevant Demolition Works will be performed, in accordance with the WHS Regulation and the Waste Classification Guidelines,
- and must submit to the Principal's Representative:
- (iii) the results of any additional investigations described under clause 14.17(d)(i) and/or the survey report(s) described under clause 14.17(d)(ii), as the case may be; and
  - (iv) the estimated quantities of any Hazardous Material, including a breakdown of any Compensable Hazardous Material, contained in the buildings, structures or facilities that are the subject of the Demolition Works or debris created by the Demolition Works on the relevant part of the Construction Site,

(the **Contractor Hazardous Material Investigation Documents**) at its earliest practical opportunity, once the Tunnelling Contractor has been provided with access to that part of the Construction Site where the relevant Demolition Works will be performed.

- (e) In addition to the requirements set out in clause 14.17(d), the Tunnelling Contractor must, at the same time that the Tunnelling Contractor provides the Contractor Hazardous Material Investigation Documents in accordance with clause 14.17(d), provide the Principal's Representative with its estimate of [REDACTED] in relation to the Compensable Hazardous Material (other than Compensable Transformer Oils) identified under clause 14.17(d), which proposed [REDACTED] shall include all relevant information as required under Schedule D19 and must demonstrate that the Tunnelling Contractor will take all reasonable steps to minimise the costs in respect of these Compensable Hazardous Materials, including any costs associated with their removal, handling, classification, transport and disposal.
- (f) The Contractor Hazardous Material Investigation Documents must be submitted in a manner and at a rate which, having regard to the quantum of Contractor Hazardous Material Investigation Documents submitted, will give the Principal's Representative a reasonable opportunity to review the submitted Contractor Hazardous Material Investigation Documents prior to the Tunnelling Contractor commencing the performance of the Demolition Works to which the Contractor Hazardous Material Investigation Documents relate and allowing sufficient time for the process set out in clauses 14.17(g) and 14.17(h) to be followed.
- (g) The Principal's Representative may:
- (i) review any Contractor Hazardous Material Investigation Document submitted under clauses 14.17(d) and 14.17(e) and may inspect that part of the Construction Site where Demolition Works will be performed; and
  - (ii) if the Contractor Hazardous Material Investigation Documents submitted do not comply with this deed, notify the Tunnelling Contractor in writing within 5 Business Days of the date of the initial submission of the Contractor Hazardous Material Investigation Documents providing reasons for the non-compliance.
- (h) If the Tunnelling Contractor receives a notice under clause 14.17(g)(ii), the Tunnelling Contractor must promptly submit amended Contractor Hazardous Material Investigation Documents, or relevant part or component of it, as specified by the Principal's Representative, to the Principal's Representative and the process in clauses 14.17(d) to 14.17(h) will reapply, as appropriate.
- (i) The Principal's Representative owes no duty to the Tunnelling Contractor to review any Contractor Hazardous Material Investigation Document submitted by the Tunnelling Contractor for errors, omissions or compliance with this deed.
- (j) No review of, comments upon, or notice in respect of any Contractor Hazardous Material Investigation Document or any other act or omission of the Principal's Representative (including a notice under clause 14.17(g)(ii)) in relation to any Contractor Hazardous Material Investigation Document will lessen or otherwise affect:
- (i) the Tunnelling Contractor's liabilities or responsibilities under this deed or otherwise according to Law; or

- (ii) the Principal's rights against the Tunnelling Contractor, whether under this deed or otherwise according to Law.
- (k) Notwithstanding any other clause of this deed, the Tunnelling Contractor cannot commence any Demolition Works until, for each building, structure or facility subject to the Demolition Works to be performed by the Tunnelling Contractor, the Contractor Hazardous Material Investigation Documents have been submitted to the Principal's Representative and:
- (i) subject to clause 14.17(f), the Principal's Representative has not notified the Tunnelling Contractor within the 5 Business Day period specified in clause 14.17(g)(ii); or
  - (ii) the Principal's Representative has submitted a notice under clause 14.17(g)(ii) and each of the non-compliances identified in the notice has been resolved in accordance with clause 14.17(h).
- (l) The parties acknowledge and agree that:
- (i) the Tunnelling Contractor and the Principal's Representative must use their best endeavours to agree the [REDACTED] for all Compensable Hazardous Material other than Compensable Transformer Oils (with respect to which clause 14.17(m)(ii) will apply) within 5 Business Days of the date on which the relevant Contractor Hazardous Material Investigation Documents have:
    - (A) been approved in writing by the Principal's Representative; or
    - (B) not been subject to a non-compliance notice from the Principal's Representative within the period contemplated under clause 14.17(g)(ii),
 under the process set out in clauses 14.17(g) and 14.17(h); or
  - (ii) if the parties are unable to agree the [REDACTED] under clause 14.17(l)(i), the [REDACTED] for all Compensable Hazardous Material other than Compensable Transformer Oils will be determined by the Principal's Representative pursuant to clause 5 and having regard to the Contractor Hazardous Material Investigation Documents and the principles set out in Schedule D19, which determination shall be made by the Principal's Representative within 15 Business Days of the date on which the relevant Contractor Hazardous Material Investigation Documents have:
    - (A) been approved in writing by the Principal's Representative under the process set out in clauses 14.17(g) and 14.17(h); or
    - (B) not been subject to a non-compliance notice from the Principal's Representative within the period contemplated under clause 14.17(g)(ii).
- (m) The parties acknowledge and agree that, to the extent the Tunnelling Contractor has complied with its obligations under this clause 14.17 and clause 14.18, as applicable:
- (i) the Tunnelling Contractor will be entitled to a [REDACTED] pursuant to clause 14.17(l); and
  - (ii) the Tunnelling Contractor will be entitled to a [REDACTED] for the disposal of Compensable Transformer Oils, to be calculated



in accordance with the rates set out in Schedule D19 and Schedule E2 based on disposal docketts.

- (n) The Tunnelling Contractor acknowledges and agrees that:
- (i) other than for the amounts referred to in clause 14.17(m), the Tunnelling Contractor will not be entitled to any increase to the Project Contract Sum or any adjustment to the Target Cost:
    - (A) in respect of any Compensable Hazardous Material that may be encountered by the Tunnelling Contractor in a building, structure or facility that is the subject of the Demolition Works or in any debris created by the Demolition Works, after that date on which the Tunnelling Contractor submits its Contractor Hazardous Material Investigation Documents for that building, structure or facility;
    - (B) for complying with the requirements of this clause 14.17 and clause 14.18;
    - (C) in respect of preparing any Contractor Hazardous Material Investigation Document required under this clause 14.17;
    - (D) for any costs incurred arising out of or in connection with any delay or disruption to the Tunnelling Contractor's Activities resulting from the presence of any Hazardous Material regardless of whether the Hazardous Material was referred to in the Principal Hazardous Material Report or otherwise; or
    - (E) in respect of any investigation of buildings, structures, facilities or debris on the Extra Land and any removal and disposal of Hazardous Material from such buildings, structures, facilities or debris; and
  - (ii) the Tunnelling Contractor will not be entitled to an extension of time in respect of any delay arising out of or in connection with the discovery of Hazardous Material or the discharge of the obligations under this clause 14.17 and clause 14.18, regardless of whether or not the Hazardous Material is Compensable Hazardous Material.

#### 14.18 Disposal of Hazardous Materials

- (a) In performing its obligations under clause 14.17, the Tunnelling Contractor must:
  - (i) remove from the Construction Site and any Extra Land; and
  - (ii) dispose of,

any Hazardous Material pursuant to its obligations under this deed to a licensed waste facility in accordance with all relevant Law and Approvals.
- (b) Without limiting any of its other obligations under this clause 14.18, the Tunnelling Contractor must classify, handle, transport and dispose any waste containing lead (including paint containing lead) in accordance with Step 5 of the Waste Classification Guidelines and the flowcharts in Attachment D of the Particular Specification.
- (c) The Tunnelling Contractor must:
  - (i) ensure that the entity that carries out the storage, treatment, transport and disposal of the Hazardous Material from the Construction Site or Extra Land holds all relevant Approvals that are necessary or desirable; and

- (ii) procure and provide evidence of such Approvals to the Principal's Representative upon request.
- (d) The Tunnelling Contractor must:
- (i) keep complete, accurate and up to date records of all materials that are disposed of or otherwise removed from the Construction Site or any Extra Land in performing its obligations under clause 14.17 and this clause 14.18 (including all Hazardous Material) including classification certificates and tip dockets for all loads; and
  - (ii) if requested, provide a copy of any such records to the Principal's Representative.
- (e) The Tunnelling Contractor must indemnify the Principal from and against any claims against the Principal, or Loss suffered or incurred by the Principal, arising out of or in any way in connection with any failure by the Tunnelling Contractor to comply with any obligation under clause 14.17 and this clause 14.18.

#### 14.19 Contamination

- (a) In addition to the requirements of the Planning Approvals and without limiting clause 14.8 (but subject to clauses 14.19(c), 14.19(d) and [REDACTED]), the Tunnelling Contractor bears the risk of all Contamination:
- (i) on, in, over or under the Construction Site (but in each case within) or any Extra Land which is disturbed by or interfered with in the carrying out of the Tunnelling Contractor's Activities or caused or contributed to by the Tunnelling Contractor or its Associates, provided that, without limiting the Tunnelling Contractor's obligations under clauses 14.22 to 14.27, the Tunnelling Contractor's risk and obligation to Remediate such Contamination occurring on, in, over or under the Construction Site (but in each case within) is limited to that part of such Contamination which is actually disturbed by or interfered with in the carrying out of the Tunnelling Contractor's Activities or caused or contributed to by the Tunnelling Contractor or its Associates (and not to Remediate the entire mass of such Contamination or trace to the source of the Contamination occurring on, in, over or under the Construction Site (but in each case within), to the extent that wider mass or source has not actually been disturbed by or interfered with in the carrying out of the Tunnelling Contractor's Activities and except to the extent the Contamination is caused or contributed to by the Tunnelling Contractor or due to a breach of this deed by the Tunnelling Contractor or a wrongful act or omission of the Tunnelling Contractor or its Associates);
  - (ii) which migrates:
    - (A) on to, in to, over or under the Construction Site as a result of the Tunnelling Contractor's Activities and which could have been reasonably anticipated by a competent and experienced contractor that had examined:
      - (aa) the Construction Site and its surroundings; and
      - (bb) all Information Documents and any other information that was made available in writing by the Principal, or any other person on the Principal's behalf, to the Tunnelling Contractor during the tender period,

provided that the Tunnelling Contractor is not required to trace to the source of such Contamination where such source is outside the Construction Site (where that wider mass or source has not been disturbed by or interfered with in the carrying out of the Tunnelling Contractor's Activities); or

- (B) on to, in to, over or under any Extra Land or from any Extra Land as a result of the Tunnelling Contractor's Activities; or
  - (C) from the Construction Site as a result of the Tunnelling Contractor's Activities due to a breach of this deed by the Tunnelling Contractor or a wrongful act or omission of the Tunnelling Contractor or its Associates; or
- (iii) which otherwise arises out of or in connection with the Tunnelling Contractor's Activities provided that this clause 14.19(a)(iii) shall not operate to expand the Tunnelling Contractor's risk in respect of Contamination of the types dealt with in clauses 14.19(a)(i) or 14.19(a)(ii).
- (b) To the extent clause 14.19(a)(i), 14.19(a)(ii) or 14.19(a)(iii) applies, the Tunnelling Contractor must undertake Remediation of any such Contamination in accordance with Law, Good Industry Practice, the Planning Approvals, applicable Codes and Standards, all guidelines made or approved by the EPA, the National Remediation Framework and any applicable Remediation Action Plan so that:
- (i) the Construction Site is suitable for the performance of the Tunnelling Contractor's Activities and the further construction, operation and maintenance of Sydney Metro West;
  - (ii) any Extra Land is suitable for the performance of the Tunnelling Contractor's Activities;
  - (iii) Contamination within the Construction Site will not, during the construction, operation and maintenance of Sydney Metro West:
    - (A) pose unacceptable exposure risks to workers, customers or the public;
    - (B) cause damage or degradation to infrastructure installed in connection with Sydney Metro West; or
    - (C) pose unacceptable risks to environmental receptors; and
  - (iv) whole of life costs associated with the further construction, operation and maintenance of Sydney Metro West at the relevant parts of the Construction Site where the Remediation is undertaken are minimised,

- (c) If:
- (i) Contamination on, in, over or under (but in each case within) the Construction Site or any Extra Land is caused by the Principal (or its Associates) after the date of this deed and such Contamination is disturbed by or interfered with in

the carrying out of the Tunnelling Contractor's Activities, clause 14.19(b) will apply;

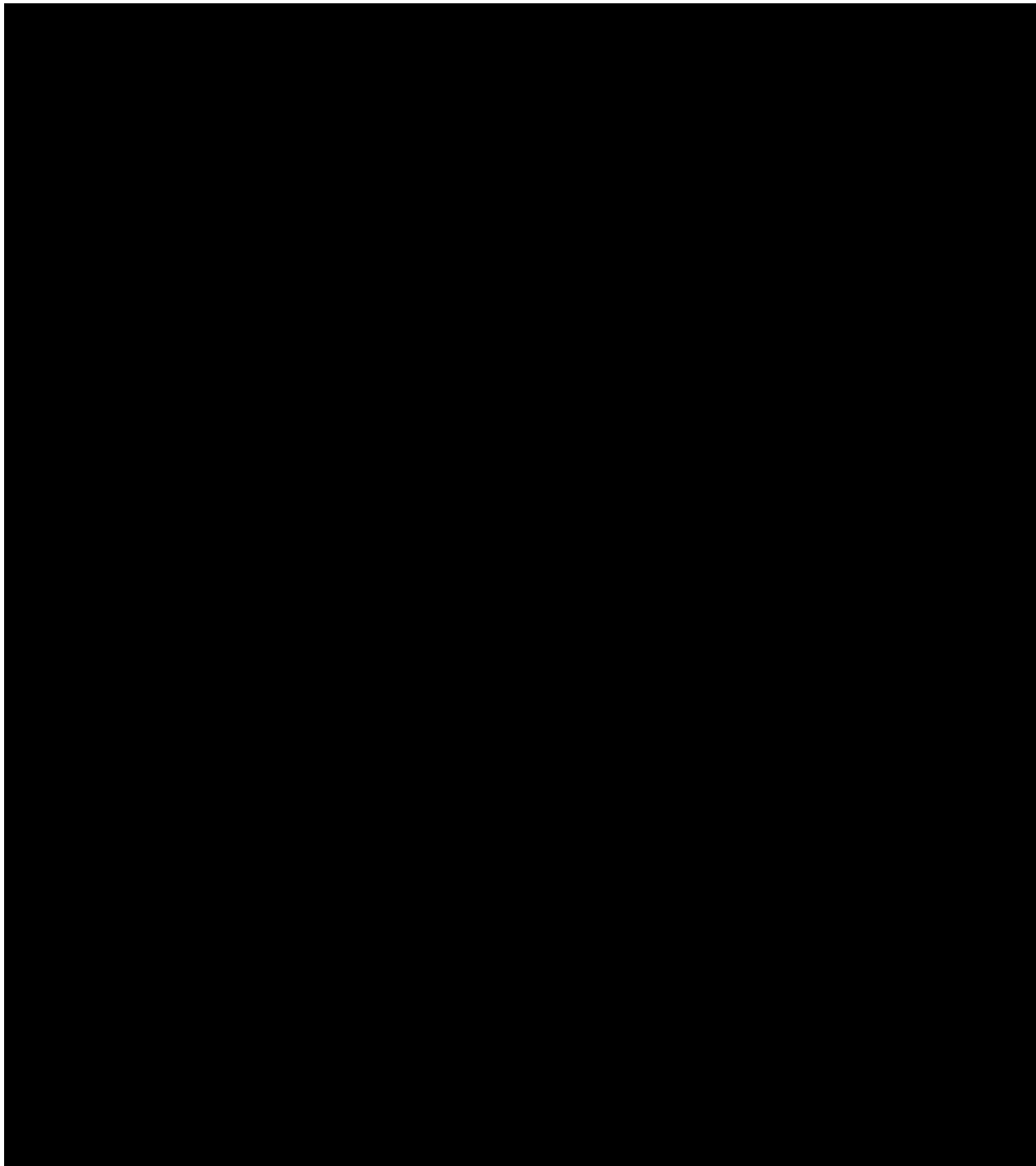
- (ii) the Tunnelling Contractor is otherwise required by Law or directed by an Authority to undertake Remediation of Contamination for which the Tunnelling Contractor does not bear the risk under clause 14.19(a), the Tunnelling Contractor must comply with its obligations at Law, Good Industry Practice, under the Planning Approvals and all guidelines made or approved by the EPA in respect of any such requirement and the National Remediation Framework; or
- (iii) the Contamination which is the subject of clauses 14.19(a)(i), 14.19(a)(ii) or 14.19(a)(iii) arises from an Excepted Risk occurring after the date of this deed, clause 14.19(b) will apply,

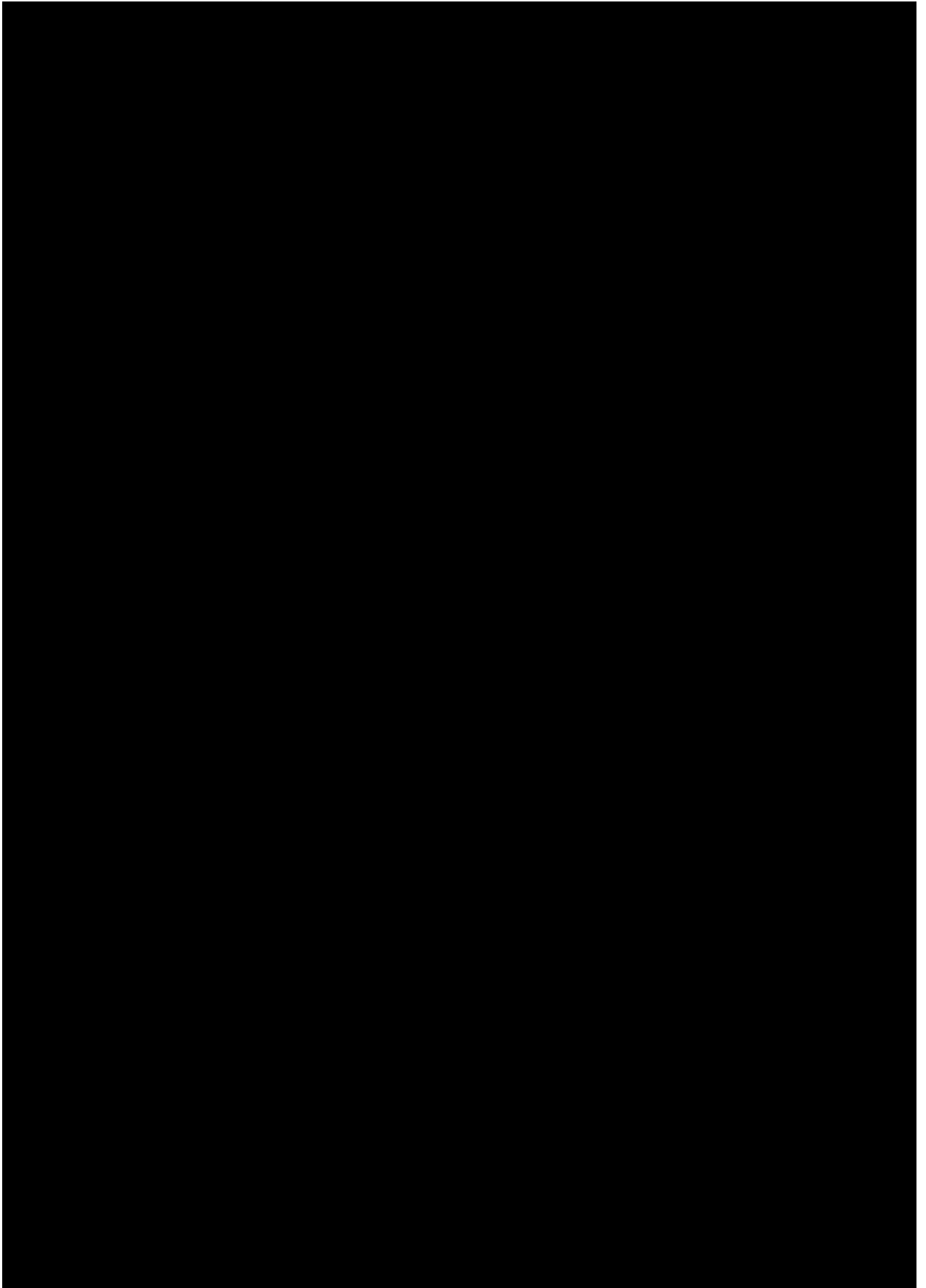
however:

- (iv) where such compliance causes the Tunnelling Contractor to incur greater cost than otherwise would have been incurred had the Contamination not been caused by the Principal (or its Associates), the Authority had not issued such direction, the Tunnelling Contractor had not been required by Law to rectify such Contamination or the Excepted Risk had not occurred (except where the Excepted Risk causes Contamination to arise on Extra Land), as applicable, the difference will be valued as a Change; and
  - (v) the Tunnelling Contractor will be entitled to an extension of time to any relevant Date for Milestone Achievement or Date for Substantial Completion under clause 21 if the requirements of that clause are satisfied (except where the Excepted Risk causes Contamination to arise on Extra Land).
- (d) Without limiting any express entitlement of the Tunnelling Contractor under this deed, the Tunnelling Contractor must promptly notify the Principal if it becomes aware of any Contamination risk to any permanent infrastructure in the performance of the Tunnelling Contractor's Activities, including via any Detailed Site Investigations undertaken under this deed, physical observations noted during the Tunnelling Contractor's Activities or any additional soil, groundwater, vapour or ground gas investigation or monitoring as may be undertaken by the Tunnelling Contractor. The parties acknowledge that this clause 14.19(d) will not apply where the Contamination risk to any permanent infrastructure is otherwise reported by the Tunnelling Contractor via other means under this deed, including under any Detailed Site Investigation report or any other formal written submissions.
- (e) The Tunnelling Contractor must provide the Principal with reasonable access to perform monitoring (including sampling) of existing groundwater monitoring wells and soil vapour monitoring locations on the Construction Site and any Extra Land.
- (f) Where existing groundwater monitoring wells or soil vapour monitoring locations exist outside the Major Excavation Areas, the Tunnelling Contractor must, to the extent practicable, retain and protect those existing groundwater monitoring wells and soil vapour monitoring locations to ensure serviceability for monitoring, including sampling. If the Tunnelling Contractor considers that existing groundwater monitoring wells and soil vapour monitoring locations cannot be retained or protected in the performance of the Tunnelling Contractor's Activities, the Tunnelling Contractor must notify the Principal in writing within 10 Business Days. If the Principal's Representative determines, acting reasonably, that existing groundwater monitoring wells and soil vapour monitoring locations cannot be retained, the Principal may direct the Tunnelling Contractor to decommission the existing groundwater monitoring wells and soil vapour monitoring locations, which must be

undertaken in accordance with Law, Good Industry Practice, the Planning Approvals, all guidelines made or approved by the EPA and any other requirements of this deed and to the extent that the Tunnelling Contractor is directed in writing to undertake any such decommissioning works in relation to an existing groundwater monitoring well or soil vapour monitoring location that is outside any Major Excavation Area this will be treated as a Change.

- (g) The Tunnelling Contractor must consider the prospect of Contamination migrating from the Construction Site in carrying out the Tunnelling Contractor's Activities and, without limiting its other obligations under this deed, must take all reasonable measures necessary to investigate and mitigate this risk.





**14.21 Contamination planning documentation**

- (a) Without limiting any other requirements of the Tunnelling Contractor under this deed, the Tunnelling Contractor must, as promptly as possible following the execution of this deed and in any case prior to the submission of any Contamination Classification and Excavation Map, Contamination Excavation Quantity Register or draft Detailed Site Investigation, submit a plan that demonstrates to the satisfaction of the Principal's Representative:
  - (i) the steps it intends to take in order to comply with its obligations under clauses 14.22 to 14.26 of this deed; and
  - (ii) that it has a sufficient understanding of the nature and extent of investigations and work required in respect of the Tunnelling Contractor's obligations specified in clause 14.21(a)(i).
- (b) Following receipt of the plan required under clause 14.21(a):
  - (i) the Principal's Representative may, by written notice to the Tunnelling Contractor, request additional information and the Tunnelling Contractor must, within 5 Business Days of receiving such request, provide the Principal's Representative with the information requested; and/or
  - (ii) if requested by the Principal's Representative, the Tunnelling Contractor must meet with the Principal's Representative within 5 Business Days of the date of such request to discuss the plan provided under clause 14.21(a).
- (c) The Tunnelling Contractor will be required to make any such amendments to the plan submitted under clause 14.21(a) as the Principal's Representative may reasonably require prior to confirming that it is satisfied with the plan (if any).

**14.22 Preliminary Works**

- (a) The Tunnelling Contractor must undertake the Remediation of any Contamination encountered in the performance of Preliminary Works in accordance with:
  - (i) an environmental management plan to be prepared by the Tunnelling Contractor in accordance with the requirements of the Project Planning Approval, a copy of which environmental management plan must be provided to the Principal's Representative prior to the performance of the relevant Preliminary Works; and
  - (ii) clause 14.22(b),

but the Tunnelling Contractor is not required to comply with the requirements set out in clauses 14.23 to 14.26 while undertaking any such Remediation activities.
- (b) In addition to the requirements set out in clause 14.22(a), the scope and methodology for the Remediation of any Contamination encountered during the performance of Preliminary Works shall be documented sufficiently and captured in any Detailed Site Investigation, Contamination Classification and Excavation Map, Contamination Excavation Quantity Register, Remediation Action Plan or Validation Report (as applicable) that is required to be prepared by the Tunnelling Contractor under this deed.
- (c) Without limiting the requirements set out in clause 14.22(b), prior to the performance of any Preliminary Works, the Tunnelling Contractor must provide a report to the Principal demonstrating that the performance of Preliminary Works will comply with the requirements of this clause 14.22.

#### 14.23 Contamination Classification and Excavation Map and Quantity Register

- (a) For each Major Excavation Area, the Tunnelling Contractor must prior to commencing any excavation activities (except for Preliminary Works) prepare and submit:
- (i) a "Contamination Classification and Excavation Map", being a detailed map or maps, drawn to a practical scale of the relevant Major Excavation Area that accurately identifies:
- (A) the location of any samples that have been taken by and/or made available to the Tunnelling Contractor, including:
- (aa) any relevant information provided to the Tunnelling Contractor in the Information Documents; and
- (bb) where the relevant Major Excavation Area is also an area in respect of which the Tunnelling Contractor is required to perform a Detailed Site Investigation under clause 14.24(a), the Detailed Site Investigation samples; and
- (B) a detailed mapping of the Solid Waste present in the relevant Major Excavation Area and its respective waste classification in accordance with the Waste Classification Guidelines, based on the site investigations undertaken by the Tunnelling Contractor and all relevant information to inform the mapping and clearly detailing the extent of lateral and vertical classification of Waste within the relevant Major Excavation Area; and
- (ii) a "Contamination Excavation Quantity Register" being a detailed excavation plan that is consistent with the Contamination Classification and Excavation Map prepared under clause 14.25(c)(i) describing the quantities in tonnes and cubic meters of each material (and the density factors adopted to convert the quantities in cubic meters into tonnes), including a register in estimated tonnes and cubic meters of each waste classification of Solid Waste, proposed to be excavated and to be reused and/or disposed offsite.

To the extent that a Major Excavation Area is also an area in respect of which the Tunnelling Contractor must prepare a Remediation Action Plan pursuant to clause 14.25(a) the Contamination Classification and Excavation Map and Contamination Excavation Quantity Register will be submitted and reviewed as part of the Remediation Action Plan and the process for submission and review set out in clauses 14.23(b) to 14.23(i) will not apply.

- (b) The Tunnelling Contractor must undertake all necessary investigation and testing on the Construction Site that is required to inform the Contamination Classification and Excavation Map and the Contamination Excavation Quantity Register and ensure that the Contamination Classification and Excavation Map and the Contamination Excavation Quantity Register comply with the requirements of this deed. Such investigation and testing must be carried out as early as possible.
- (c) The Contamination Classification and Excavation Maps and Contamination Excavation Quantity Registers must be submitted in the manner and at the rate set out in the Initial ETP Overall Program which manner and rate, having regard to the quantum of Contamination Classification and Excavation Maps and Contamination Excavation Quantity Registers submitted under this deed, will give the Principal's Representative a reasonable opportunity to review the submitted Contamination Classification and Excavation Maps and Contamination Excavation Quantity Registers



and sufficient time for the process set out in clauses 14.23(d) and 14.23(e) to be followed.

- (d) The Principal's Representative may:
- (i) review any Contamination Classification and Excavation Map or Contamination Excavation Quantity Register submitted under clause 14.23(a); and
  - (ii) within 15 Business Days of the initial submission of the Contamination Classification and Excavation Map or Contamination Excavation Quantity Register (as applicable) either:
    - (A) notify the Tunnelling Contractor in writing that the Contamination Classification and Excavation Maps or Contamination Excavation Quantity Register (as applicable) is approved; or
    - (B) if the Contamination Classification and Excavation Map or Contamination Excavation Quantity Register (as applicable) does not comply with this deed, notify the Tunnelling Contractor in writing that the Contamination Classification and Excavation Map or Contamination Excavation Quantity Register (as applicable) is not approved providing reasons.
- (e) If the Tunnelling Contractor receives a notice under clause 14.23(d)(ii)(B), the Tunnelling Contractor must promptly submit an amended Contamination Classification and Excavation Map or Contamination Excavation Quantity Register (as applicable), or relevant part or component of it, to the Independent Certifier and the Principal's Representative which addresses the non-compliance and the process in this clause 14.23 will reapply, except the review period for the resubmitted Contamination Classification and Excavation Map or Contamination Excavation Quantity Register (as applicable), or relevant part or component, will be 5 Business Days.
- (f) If the Principal's Representative does not provide notice under clauses 14.23(d)(ii)(A) or 14.23(d)(ii)(B) within the relevant review period, the relevant Contamination Classification and Excavation Map or Contamination Excavation Quantity Register (as applicable) or amended Contamination Classification and Excavation Map or Contamination Excavation Quantity Register (as applicable) will be deemed to be approved by the Principal's Representative.
- (g) The Tunnelling Contractor may not commence excavation activities to which clause 14.23(a) applies unless and until the Contamination Classification and Excavation Map and Contamination Excavation Quantity Register for the relevant Major Excavation Area has been approved in writing by the Principal's Representative or deemed to be approved pursuant to clause 14.23(f).
- (h) The Principal's Representative owes no duty to the Tunnelling Contractor to review any Contamination Classification or Excavation Map or Contamination Excavation Quantity Register submitted by the Tunnelling Contractor for errors, omissions or compliance with this deed.
- (i) No review of, comments upon, notice in respect of or approval or deemed approval of any Contamination Classification or Excavation Map or Contamination Excavation Quantity Register or any other act or omission of the Principal's Representative will lessen or otherwise affect:
- (i) the Tunnelling Contractor's liabilities or responsibilities under this deed or otherwise according to Law; or

- (ii) the Principal's rights against the Tunnelling Contractor, whether under this deed or otherwise according to Law.
- (j) If, when undertaking excavation or Remediation activities in a Major Excavation Area, the Tunnelling Contractor becomes aware of any Contamination and/or Solid Waste that is materially different (in terms of classification or quantity) from the Contamination and/or Solid Waste that was identified in the relevant Contamination Classification and Excavation Map or Contamination Excavation Quantity Register for that Major Excavation Area, the Tunnelling Contractor must within 5 Business Days of becoming aware of (or when it ought reasonably to have first become aware of) provide written notice to the Principal's Representative which details:
- (i) the location and known extent of the Contamination and/or Solid Waste;
  - (ii) any physical observations in relation to the Contamination and/or Solid Waste;
  - (iii) any available data in relation to the Contamination and/or Solid Waste which has been obtained by testing and sampling;
  - (iv) the Tunnelling Contractor's proposed approach for managing and Remediating such Contamination and/or Solid Waste;
  - (v) the impact (if any) on the Overall ETP Program (including any impact on any relevant Date for Milestone Achievement, Date for Substantial Completion or Date for Completion); and
  - (vi) such other matters as are required by the Principal's Representative.

#### 14.24 Detailed Site Investigations

- (a) The Tunnelling Contractor must perform and submit to the Principal's Representative and the Independent Certifier a Detailed Site Investigation prior to commencing any excavation activities (except for Preliminary Works) to the extent required under the Planning Approvals or by Law.
- (b) Notwithstanding clause 14.24(a), the Tunnelling Contractor may also perform additional Detailed Site Investigations carried out in other areas of the Construction Site or outside the Construction Site if the Tunnelling Contractor deems it necessary to manage the risks associated with contaminated land and to appropriately plan for Remediation. Any additional Detailed Site Investigation performed in accordance with this clause 14.24(b) must also be submitted to the Principal's Representative and the Independent Certifier.
- (c) Each Detailed Site Investigation must:
  - (i) investigate areas of proposed excavation or disturbance;
  - (ii) investigate land within the Construction Site or Extra Land surrounding the areas of proposed excavation or disturbance with respect to the potential migration of Contamination via groundwater, ground gas, vapour and odour into the areas of excavation or disturbance;
  - (iii) characterise risks to the construction, operation and maintenance of Sydney Metro West and its infrastructure from Contamination;
  - (iv) be prepared in accordance with Law, Good Industry Practice, the Planning Approvals, all guidelines made or approved by the EPA and any other requirements of this deed;

- (v) be reviewed and approved by a Certified Contaminated Land Consultant;
  - (vi) characterise the risk of Contamination migrating from the Construction Site as a result of the Tunnelling Contractor's Activities;
  - (vii) be reviewed and endorsed by an Accredited Site Auditor; and
  - (viii) be accompanied by an Interim Site Audit Advice prepared by the Accredited Site Auditor when submitted to the Principal's Representative and the Independent Certifier in accordance with clause 14.24(a).
- (d) In addition to the requirements set out in clause 14.24(c), each Detailed Site Investigation must be performed in accordance with the relevant guidelines made or approved by the EPA and must:
- (i) determine and delineate the lateral and vertical extent of Contamination within each area the subject of a Detailed Site Investigation;
  - (ii) include in-situ classification of Solid Waste at sampling densities not less than that specified within the NEPM (2013) and the Industrial Waste Resources Guidelines (7), Sampling and Analysis: Soil Sampling (EPA Victoria 2009), except for Virgin Excavated Natural Material (VENM) and Excavated Natural Material (ENM) which are to be classified in accordance with the requirements of the POEO Act;
  - (iii) classify the Solid Waste in accordance with the Waste Classification Guidelines and the relevant provisions of the POEO Act including resource recovery exemptions and orders, using a statistical approach where relevant;
  - (iv) be suitably detailed so as to inform the development of the Remediation Action Plan and to characterise contamination risk to the construction, operation and maintenance of Sydney Metro West (including but not limited to soil, groundwater, ground gas, vapour and odour risks arising from Contamination within the area of proposed excavation or disturbance or migrating into the area of proposed excavation or disturbance);
- [REDACTED]
- (e) The Detailed Site Investigation reports must be submitted in the manner and at the rate set out in the Initial ETP Overall Program which manner and rate, having regard to the quantum of Detailed Site Investigation reports submitted under this deed, will give the Principal's Representative a reasonable opportunity to review the submitted Detailed Site Investigation reports and sufficient time for the process set out in clauses 14.24(f) and 14.24(g) to be followed.
- (f) The Principal's Representative may:
- (i) review any Detailed Site Investigation report submitted under clauses 14.24(a) or 14.24(b); and
  - (ii) within 15 Business Days of the initial submission of the Detailed Site Investigation report either:
    - (A) notify the Tunnelling Contractor in writing that the Detailed Site Investigation report is approved; or

- (B) if the Detailed Site Investigation report does not comply with this deed, notify the Tunnelling Contractor in writing that the Detailed Site Investigation report is not approved providing reasons.
- (g) If the Tunnelling Contractor receives a notice under clause 14.24(f)(ii)(B), the Tunnelling Contractor must promptly submit an amended Detailed Site Investigation report, or relevant part or component of it, to the Independent Certifier and the Principal's Representative which addresses the non-compliance and the process in this clause 14.24 will reapply, except the review period for the resubmitted Detailed Site Investigation, or relevant part or component, will be 5 Business Days.
- (h) If the Principal's Representative does not provide notice under clauses 14.24(f)(ii)(A) or 14.24(f)(ii)(B) within the relevant review period, the relevant Detailed Site Investigation report or amended Detailed Site Investigation report (as applicable) will be deemed approved by the Principal's Representative.
- (i) The Tunnelling Contractor may not, subject to clause 14.25, commence Remediation of Contamination in respect of excavation activities to which clause 14.24(a) applies unless and until the Detailed Site Investigation report for the relevant area has been approved in writing by the Principal's Representative or deemed to be approved pursuant to clause 14.24(h).
- (j) The Principal's Representative owes no duty to the Tunnelling Contractor to review any Detailed Site Investigation report submitted by the Tunnelling Contractor for errors, omissions or compliance with this deed.
- (k) No review of, comments upon, notice in respect of or approval or deemed approval of any Detailed Site Investigation report or any other act or omission of the Principal's Representative will lessen or otherwise affect:
- (i) the Tunnelling Contractor's liabilities or responsibilities under this deed or otherwise according to Law; or
  - (ii) the Principal's rights against the Tunnelling Contractor, whether under this deed or otherwise according to Law.

#### 14.25 Remediation Action Plans

- (a) Except in respect of any Preliminary Works, the Tunnelling Contractor must prepare and submit to the Principal's Representative and the Independent Certifier a Remediation Action Plan for the relevant area prior to commencing Remediation of any area of the Construction Site where the outcomes of a Detailed Site Investigation indicate that Contamination poses unacceptable risks to the construction, operation or maintenance of Sydney Metro West.
- (b) Each Remediation Action Plan must:
- (i) describe the nature and extent of Contamination based on the Detailed Site Investigation, the Information Documents and any other relevant information (as far as is practicable based on available structural design) which is necessary to characterise the risk to the construction, operation and maintenance of Sydney Metro West;
  - (ii) describe the manner in which the Tunnelling Contractor will Remediate Contamination within the proposed areas of excavation and/or disturbance;
  - (iii) include a detailed risk assessment to determine and describe the requirements for Remediation of Contamination of land (including soil, groundwater, ground gas and soil vapour) within the Construction Site or

Extra Land surrounding the areas of proposed excavation or disturbance with respect to potential exposure scenarios, including but not limited to migration of Contamination via groundwater, ground gas, soil vapour and odour into the areas of excavation or disturbance;

- (iv) present:
    - (A) a preferred Remediation option; and
    - (B) other viable Remediation options where it is possible for the Tunnelling Contractor to do so having regard to the nature and extent of Contamination based on the Detailed Site Investigation,

each of which options must explicitly take into account and detail how and why each of the following paragraphs (C) to (H) have been considered and (as appropriate) incorporated in the proposed Remediation option:

    - (C) options to alter or optimise infrastructure or Project Works, including via design amendments;
    - (D) options to manage residual Contamination under a long term environmental management plan;
    - (E) whole-of-life costs and the long term burden of managing residual Contamination on the operation and maintenance of Sydney Metro West;
    - (F) to the extent practicable, maintaining the Overall ETP Program;
    - (G) benefits (as far as is practicable based on available infrastructure design information); and
    - (H) compliance with this deed;
  - (v) define what will constitute Practical Completion of the Remediation;
  - (vi) be prepared in accordance with Law, Good Industry Practice, the Planning Approvals, all guidelines made or approved by the EPA, the National Remediation Framework and any other requirements of this deed;
  - (vii) be reviewed and approved by a Certified Contaminated Land Consultant;
  - (viii) consider and plan to mitigate the migration of Contamination from the Construction Site;
  - (ix) include details of any Remediation completed during the performance of any Preliminary Works;
  - (x) be reviewed and endorsed by an Accredited Site Auditor; and
  - (xi) be accompanied by a 'Section B Site Audit Statement' prepared by the Accredited Site Auditor when submitted to the Principal's Representative and the Independent Certifier in accordance with clause 14.25(a).
- (c) In addition to the requirements set out in clause 14.25(b), each Remediation Action Plan must include:
- (i) a Contamination Classification and Excavation Map per clause 14.23;



- (j) No review of, comments upon, notice in respect of or any approval or deemed approval of any Remediation Action Plan or any other act or omission of the Principal's Representative will lessen or otherwise affect:
  - (i) the Tunnelling Contractor's liabilities or responsibilities under this deed or otherwise according to Law; or
  - (ii) the Principal's rights against the Tunnelling Contractor, whether under this deed or otherwise according to Law.
- (k) If the Principal requires the Tunnelling Contractor to implement a Remediation option that is presented in a Remediation Action Plan which is not the Tunnelling Contractor's preferred Remediation option, the Principal's Representative must do so by issuing a Change Order and the Tunnelling Contractor's entitlements in respect of such Change will reflect the time and cost differential between the Tunnelling Contractor's preferred Remediation Option and the other Remediation option that is directed in writing by the Principal.

#### 14.26 Site Audit and validation requirements

- (a) The Tunnelling Contractor must engage an Accredited Site Auditor to perform those parts of the Tunnelling Contractor's Activities that are required to be performed by an Accredited Site Auditor under Law, the Planning Approvals, any guidelines made or approved by the EPA and the terms of this deed.
- (b) Without limiting clause 14.26(a), the Tunnelling Contractor must:
  - (i) ensure that an Accredited Site Auditor:
    - (A) reviews, comments on and endorses:
      - (aa) the Detailed Site Investigation prepared by the Tunnelling Contractor under clauses 14.24(a) or 14.24(b);
      - (bb) the Remediation Action Plan prepared by the Tunnelling Contractor under clause 14.25(a);
      - (cc) the Validation Report prepared by the Tunnelling Contractor under clause 14.26(d)(i);
    - (B) for each area for which a Remediation Action Plan has been developed in accordance with clause 14.25(a):
      - (aa) prepares Interim Site Audit Advice at key milestones throughout the Remediation process in accordance with clauses 14.24(c)(viii) and 14.26(e)(ii)(D);
      - (bb) prepares 'Section B Site Audit Statements' in accordance with clause 14.25(b)(xi); and
      - (cc) reviews all information and data generated through the Remediation process for each relevant area;
  - (ii) whenever requested by the Principal's Representative (but not more frequently than once per month), provide a written report authored or approved by the Accredited Site Auditor, from the commencement of the first Detailed Site Investigations until the completion of the last Site Audit Statement and Site Audit Report, detailing for each relevant area:

- (A) the reports and all other information that have been provided for review to the Accredited Site Auditor;
  - (B) all written comments provided by the Accredited Site Auditor to the Tunnelling Contractor in response to the information described under clause 14.26(b)(ii)(A); and
  - (C) the outstanding actions required to address the comments of the Accredited Site Auditor described under clause 14.26(b)(ii)(B) and the status of those actions.
- (c) The Tunnelling Contractor must attend (and must procure the attendance of the Accredited Site Auditor) at coordination meetings chaired by the Principal's Representative as may be reasonably required by the Principal's Representative, to review and discuss the status and suitability of any Remediation, Detailed Site Investigation, Remediation Action Plan, Interim Site Audit Advice and/or Validation Report.
- (d) The Tunnelling Contractor, with respect to each area for which a Remediation Action Plan has been developed in accordance with clause 14.25(a), must submit to the Principal's Representative and the Independent Certifier:
- (i) a Validation Report that meets the requirements of clause 14.26(e)(ii) within 60 days of the Remediation Practical Completion of that area; and
  - (ii) a Site Audit Statement and Site Audit Report that meet the requirements of clause 14.26(e)(i) within 90 days of the Remediation Practical Completion of that area,

and the submission of these documents to the Principal's Representative and the Independent Certifier is a condition precedent to Completion of any Portion that contains such an area.

- (e) Each Validation Report, Site Audit Statement and Site Audit Report must relate to the area subject to Remediation, be prepared using Good Industry Practice and in accordance with the requirements of Law, the Planning Approvals, all guidelines made or approved by the EPA and any other requirements of this deed. Additionally each:
- (i) Site Audit Statement and Site Audit Report must:
    - (A) contain a level of detail commensurate with the nature and extent of Contamination at each area with respect to which a Remediation Action Plan has been developed; and
    - (B) be prepared by an Accredited Site Auditor; and
  - (ii) Validation Report must:
    - (A) describe the Remediation activities completed;
    - (B) present all relevant information and data to demonstrate that risks associated with Contamination have been mitigated to an acceptable level;
    - (C) be reviewed and approved by a Certified Contaminated Land Consultant and endorsed by an Accredited Site Auditor; and



- (D) be accompanied by an Interim Site Audit Advice produced by the Accredited Site Auditor.
- (f) The Tunnelling Contractor must submit to the Principal's Representative and the Independent Certifier all versions (including drafts) of:
  - (i) each Validation Report that is submitted to the Accredited Site Auditor at the same time as it is submitted to the Accredited Site Auditor; and
  - (ii) each Site Audit Statement, Site Audit Report and Interim Site Audit Advice that is submitted to the Tunnelling Contractor by the Accredited Site Auditor immediately following receipt from the Accredited Site Auditor.

Such submissions will not be taken to be formal submissions under clause 14.26(d) and the period under clause 14.26(g)(ii) will not commence until the Tunnelling Contractor formally submits to the Principal's Representative and the Independent Certifier:

- (iii) a Validation Report which satisfies the requirements of clause 14.26(e)(ii); or
- (iv) a Site Audit Statement or Site Audit Report (as applicable) which satisfies the requirements of clause 14.26(e)(i).
- (g) The Principal's Representative may:
  - (i) review any Validation Report, Site Audit Statement and Site Audit Report submitted under clause 14.26(d); and
  - (ii) within 15 Business Days of the initial submission of the Validation Report, Site Audit Statement and Site Audit Report (as applicable) either:
    - (A) notify the Tunnelling Contractor in writing that the Validation Report, Site Audit Statement and Site Audit Report (as applicable) is approved; or
    - (B) if the Validation Report, Site Audit Statement or Site Audit Report submitted does not comply with this deed, notify the Tunnelling Contractor in writing that the Validation Report, Site Audit Statement or Site Audit Report (as applicable) is not approved providing reasons.
- (h) If the Tunnelling Contractor receives a notice under clause 14.26(g)(ii)(B), the Tunnelling Contractor must promptly submit an amended Validation Report, Site Audit Statement or Site Audit Report (as applicable), or relevant part or component of it, to the Principal's Representative and the Independent Certifier which addresses the non-compliance and the process in this clause 14.26 will reapply, except the review period for the resubmitted Validation Report, Site Audit Statement or Site Audit Report (as applicable), or relevant part or component, will be 5 Business Days.
- (i) If the Principal's Representative does not provide notice under clauses 14.26(g)(ii)(A) or 14.26(g)(ii)(B) within the relevant review period, the relevant Validation Report, Site Audit Statement and/or Site Audit Report will be deemed approved by the Principal's Representative.
- (j) The Principal's Representative may:
  - (i) provide copies of any Detailed Site Investigation, Remediation Action Plan, Interim Site Audit Advice, Validation Report, Site Audit Statement and Site Audit Report (or any draft thereof) to; and

- (ii) seek comments in respect of any Detailed Site Investigation, Remediation Action Plan, Interim Site Audit Advice, Validation Report, Site Audit Statement and Site Audit Report (or any draft thereof) from,
  - any Interface Contractor or any Authority.
- (k) No review of, comments upon, notice with respect to, approval or deemed approval of, or any other act or omission of the Principal's Representative in relation to any Validation Report, Site Audit Statement and Site Audit Report will lessen or otherwise affect:
  - (i) the Tunnelling Contractor's liabilities or responsibilities under this deed or otherwise according to Law; or
  - (ii) the Principal's rights against the Tunnelling Contractor, whether under this deed or otherwise according to Law.
- (l) The Tunnelling Contractor acknowledges and agrees that any Site Audit Statement required to be provided under this deed must meet the requirements of a Site Audit Statement – Section A1 unless a Site Audit Statement – Section A2 or a Site Audit Statement – Section B5 is otherwise agreed to be suitable by the Principal.
- (m) Nothing in this clause 14.26 in any way limits the Tunnelling Contractor's obligations under clauses 8.1 and 8.2 or any other clause of this deed.
- (n) The parties acknowledge and agree that the documents to be provided to the Independent Certifier under clauses 14.23 to 14.26 are provided to the Independent Certifier for information purposes only and that only the Principal's Representative has the authority to review any such document as per the processes set out in clauses 14.23 to 14.26.

#### 14.27 **Disposal of Contamination and Waste**

- (a) The Tunnelling Contractor
  - (i) must:
    - (A) remove from the Construction Site and any Extra Land; and
    - (B) dispose of,
      - any Contamination or Waste pursuant to its obligations under this deed to a licensed waste facility in accordance with all relevant Law and Approvals; and
  - (ii) without limiting the Tunnelling Contractor's other obligations under this clause 14.27:
    - (A) where permitted under the terms of this deed and all relevant Law and Approvals, reuse/retain as much material onsite as possible (except where doing so would be less cost efficient than offsite reuse or disposal) pursuant to its obligation under this deed in accordance with all relevant Law and Approvals and any applicable Remediation Action Plan;
    - (B) limit the amount of any excavated material disposed of at a higher Waste Classification than is necessary; and
    - (C) prioritise and maximise the reuse/retention on the Construction Site of excavated material that would be of higher Waste Classification over

that of a lower Waste Classification (if such material had been classified for offsite disposal).

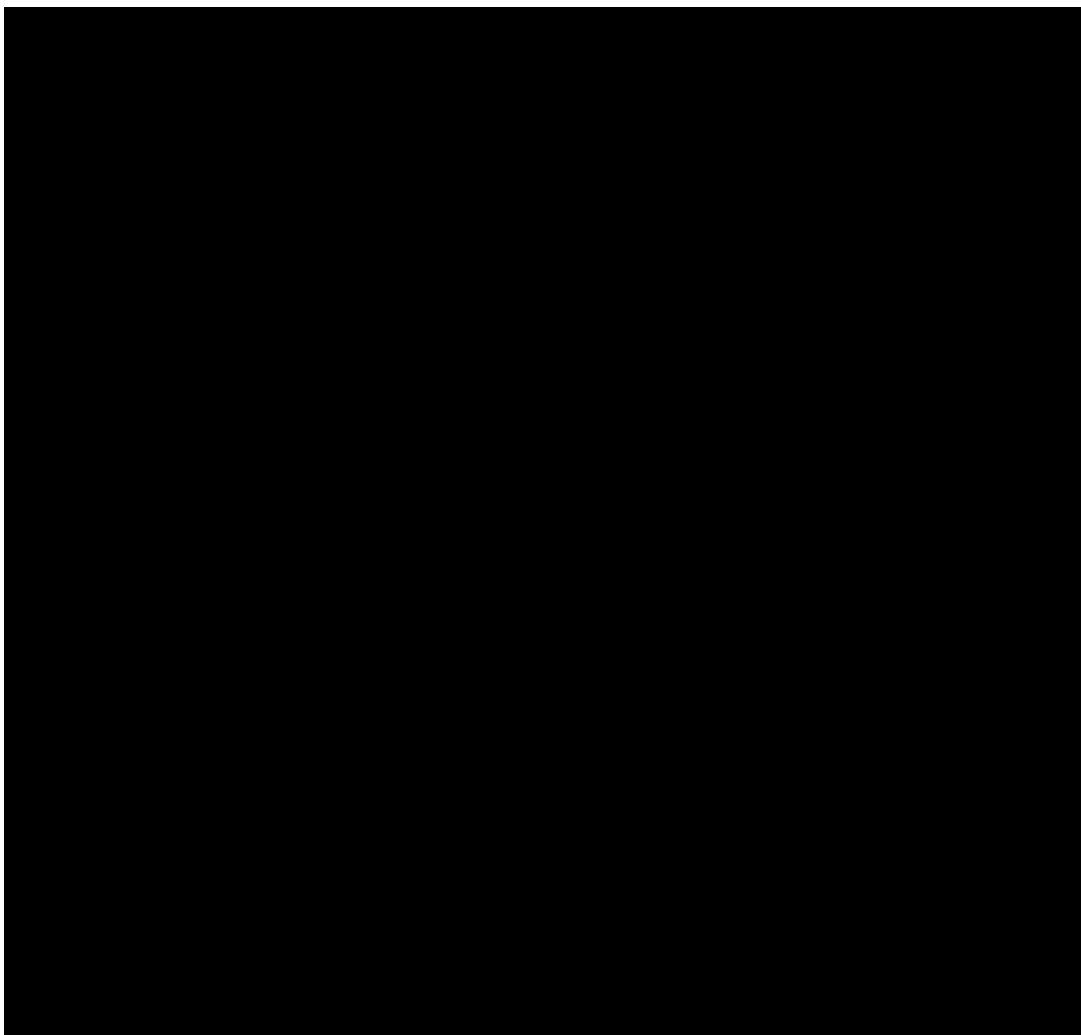
- (b) The Tunnelling Contractor must:
- (i) ensure that the entity that carries out the storage, treatment, transport and disposal of the Contamination or Waste from the Construction Site or Extra Land holds all relevant Approvals that are necessary or desirable; and
  - (ii) procure and provide evidence of such Approvals to the Principal's Representative upon request.
- (c) The Tunnelling Contractor must:
- (i) sort all Contamination and Waste (including separating Contamination from clean material and Waste);
  - (ii) not contaminate clean material by intermixing any Contamination or Waste;
  - (iii) not intermix Contamination or Waste of any particular type or classification with Contamination or Waste of another type or classification; and
  - (iv) digitally track Waste and spoil movements from cradle to grave, including but not limited to movement of Waste or spoil within the boundaries of the Construction Site or any Extra Land and movement of Waste or spoil from the Construction Site to offsite reuse locations.
- (d) The Tunnelling Contractor must ensure, and must ensure that its Associates ensure, that their respective employees, agents and contractors, as applicable, are suitably trained in correct and safe methods of loading, unloading and handling any Contamination or other spoil or wastes and that they comply with all applicable Laws, Approvals and specific requirements of this deed that are relevant to their role.
- (e) The Tunnelling Contractor must:
- (i) keep complete, accurate and up to date digital records of all materials that are treated and reused, disposed of or otherwise removed from the Construction Site or any Extra Land (including all Contamination, each waste classification of Solid Waste and other wastes), including classification certificates and tip dockets for all loads and Waste tracking from cradle to grave and detailed and careful records of spoil movement within the boundaries of the Construction Site or any Extra Land including tracking of onsite material movements; and
  - (ii) if requested, provide a copy of any such records to the Principal's Representative.
- (f) The Tunnelling Contractor must indemnify the Principal from and against any claims against the Principal, or Loss suffered or incurred by the Principal, arising out of or in any way in connection with any failure by the Tunnelling Contractor to comply with any obligation under this clause 14.27.

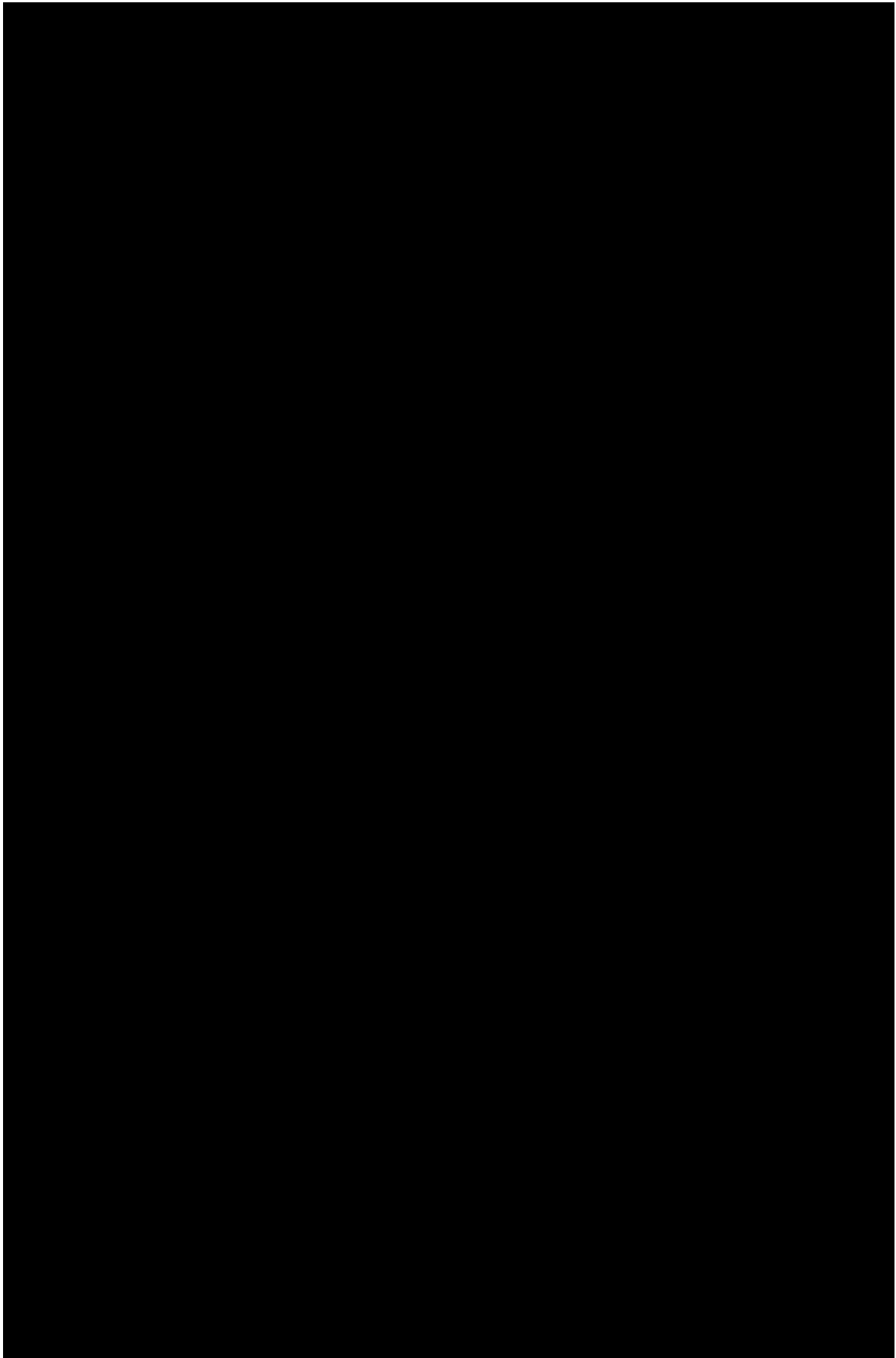
#### 14.28 Remediation of Contaminated Future Development Sites

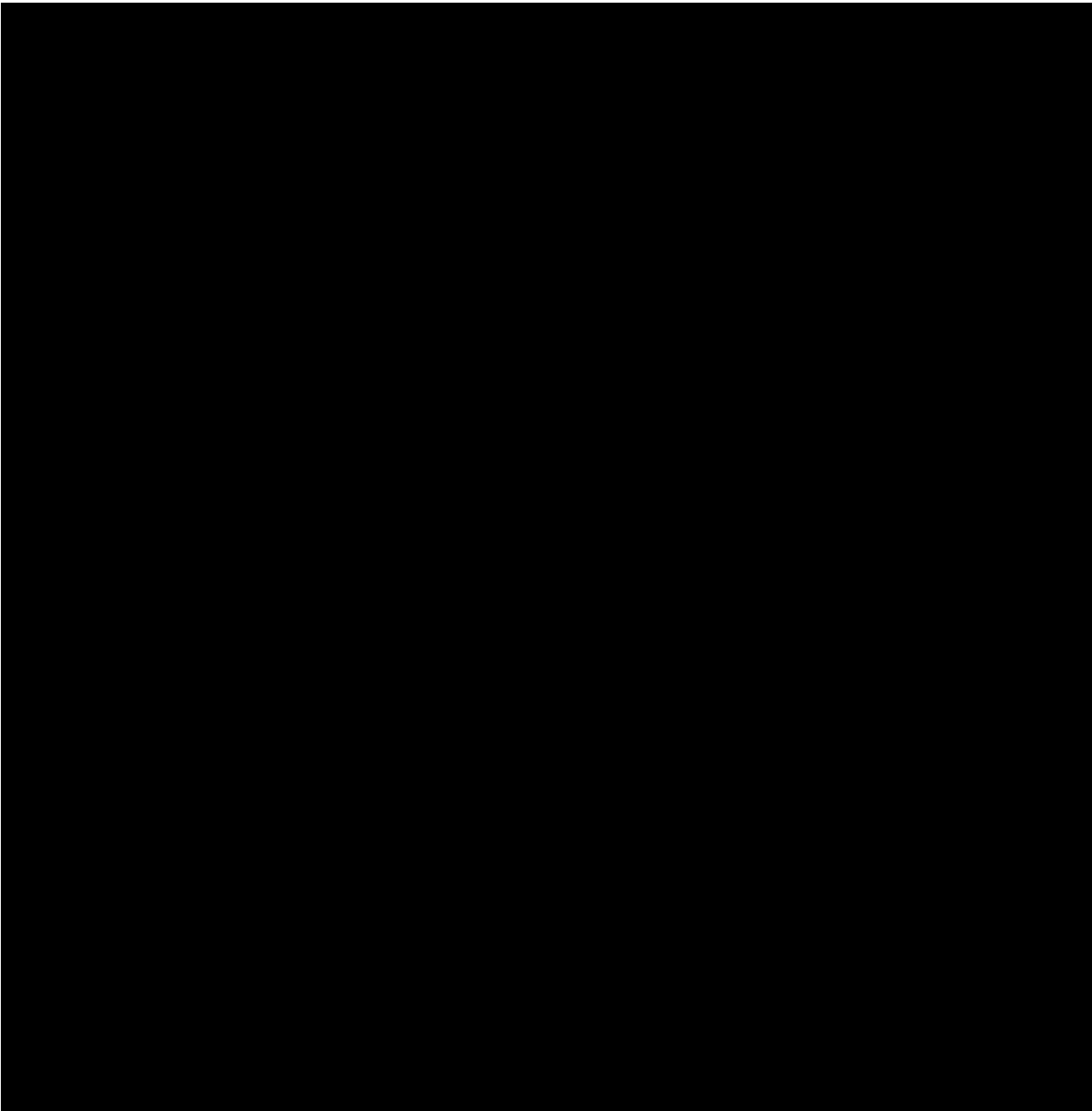
- (a) The Tunnelling Contractor acknowledges that following completion of the Project Works the Principal intends to sell, lease, develop or otherwise use the Contaminated Future Development Sites.

- (b) Where Contamination is identified in a Contaminated Future Development Site, and that Contamination is not Contamination which is the responsibility of the Tunnelling Contractor under clauses 14.19(a)(i), 14.19(a)(ii) or 14.19(a)(iii), the Principal's Representative may direct the Tunnelling Contractor to undertake Remediation of the Contaminated Future Development Site as a Change at any time prior to the date that is 6 months prior to the then current Date for Substantial Completion of the Portion within which the relevant Contaminated Future Development Site is situated.
- (c) If the Principal's Representative directs a Change under clause 14.28(b), the Tunnelling Contractor must undertake Remediation of the relevant Contaminated Future Development Site:
  - (i) in accordance with Law, Good Industry Practice, the Planning Approvals and all guidelines made or approved by the EPA and the National Remediation Framework;
  - (ii) so that the Contaminated Future Development Site is suitable for the purpose of residential, commercial or mixed use development (as directed by the Principal); and
  - (iii) otherwise in accordance with the Change directed by the Principal's Representative.

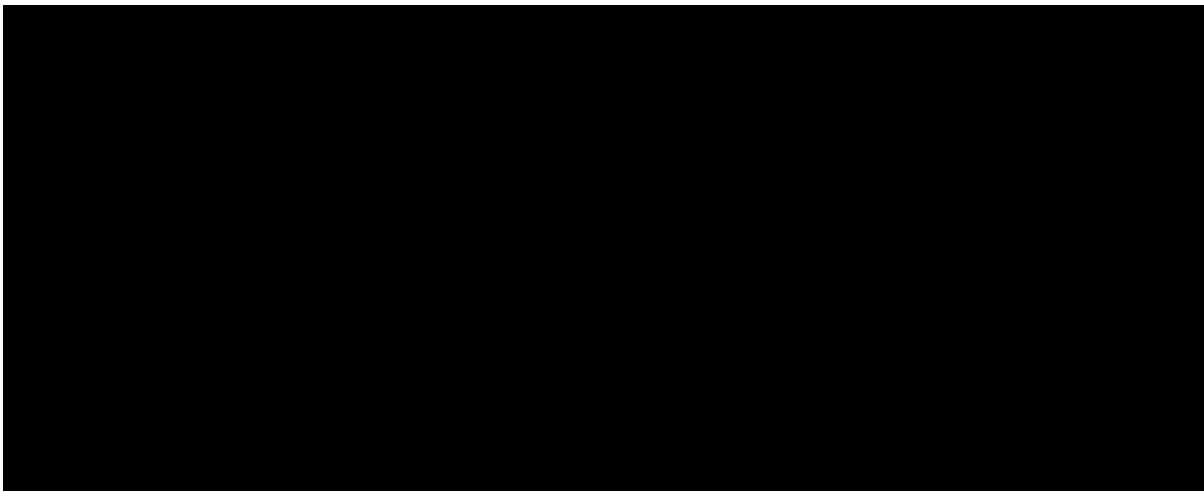
14.29 **Interface with Third Parties**

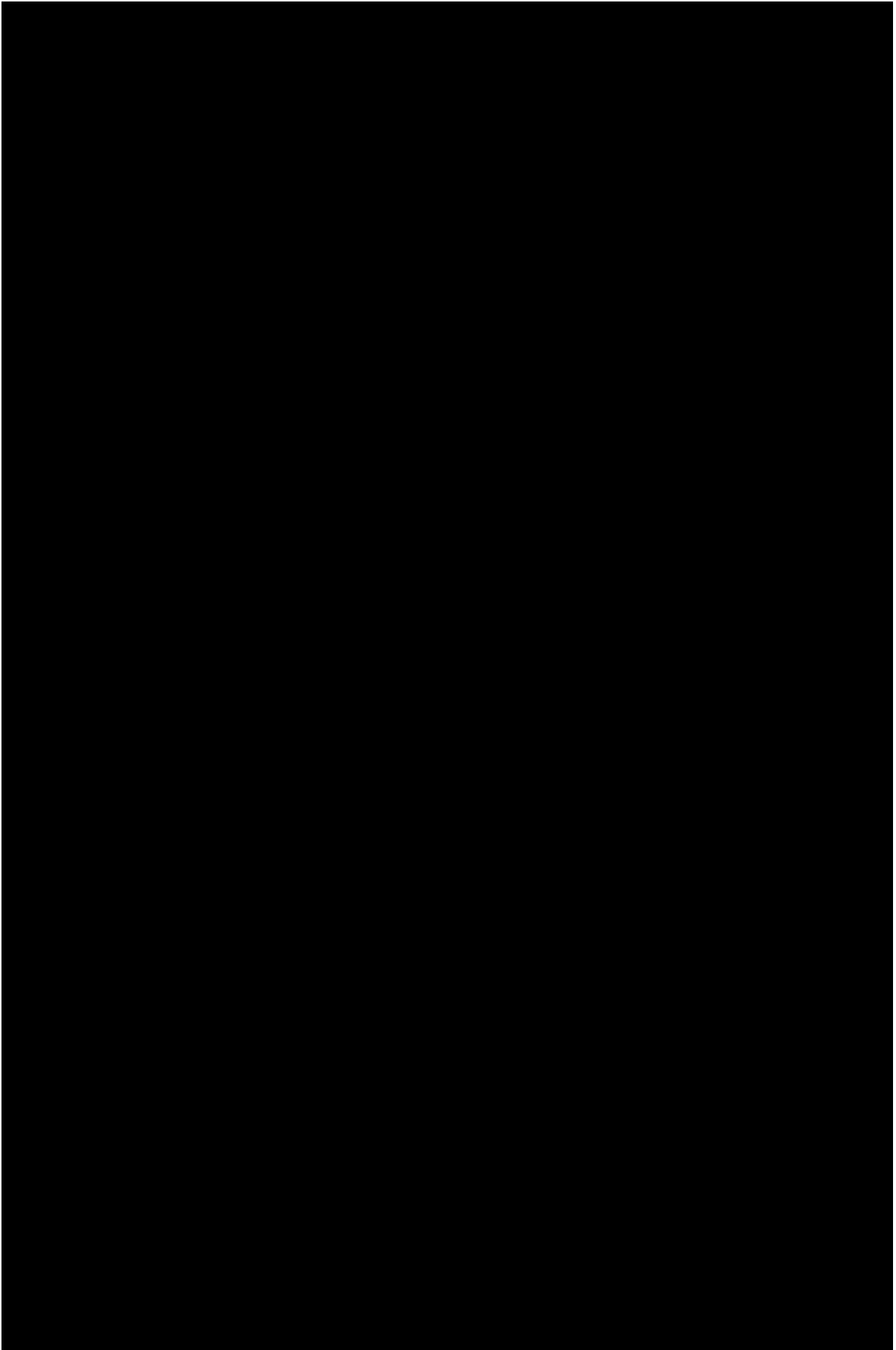


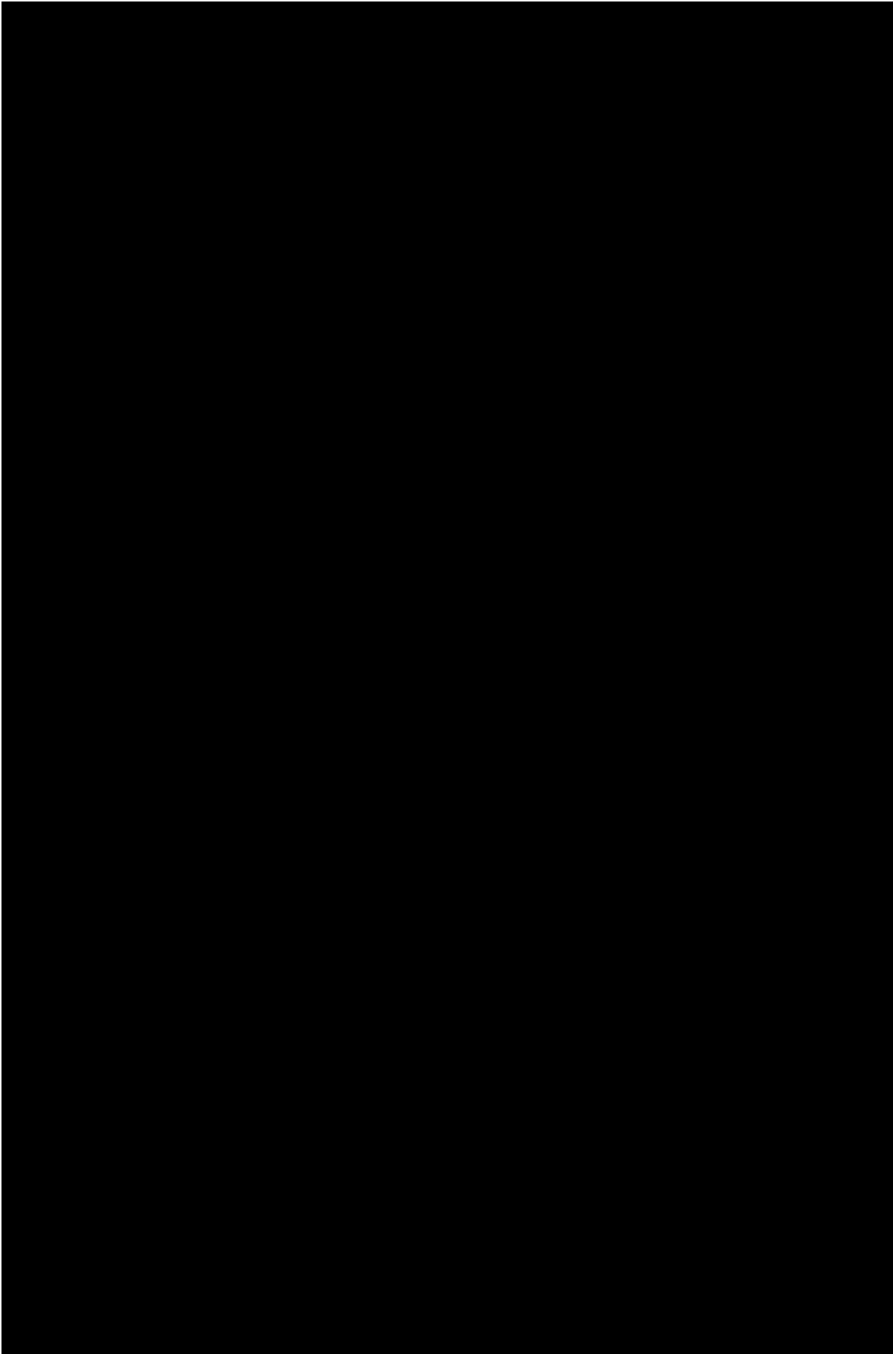




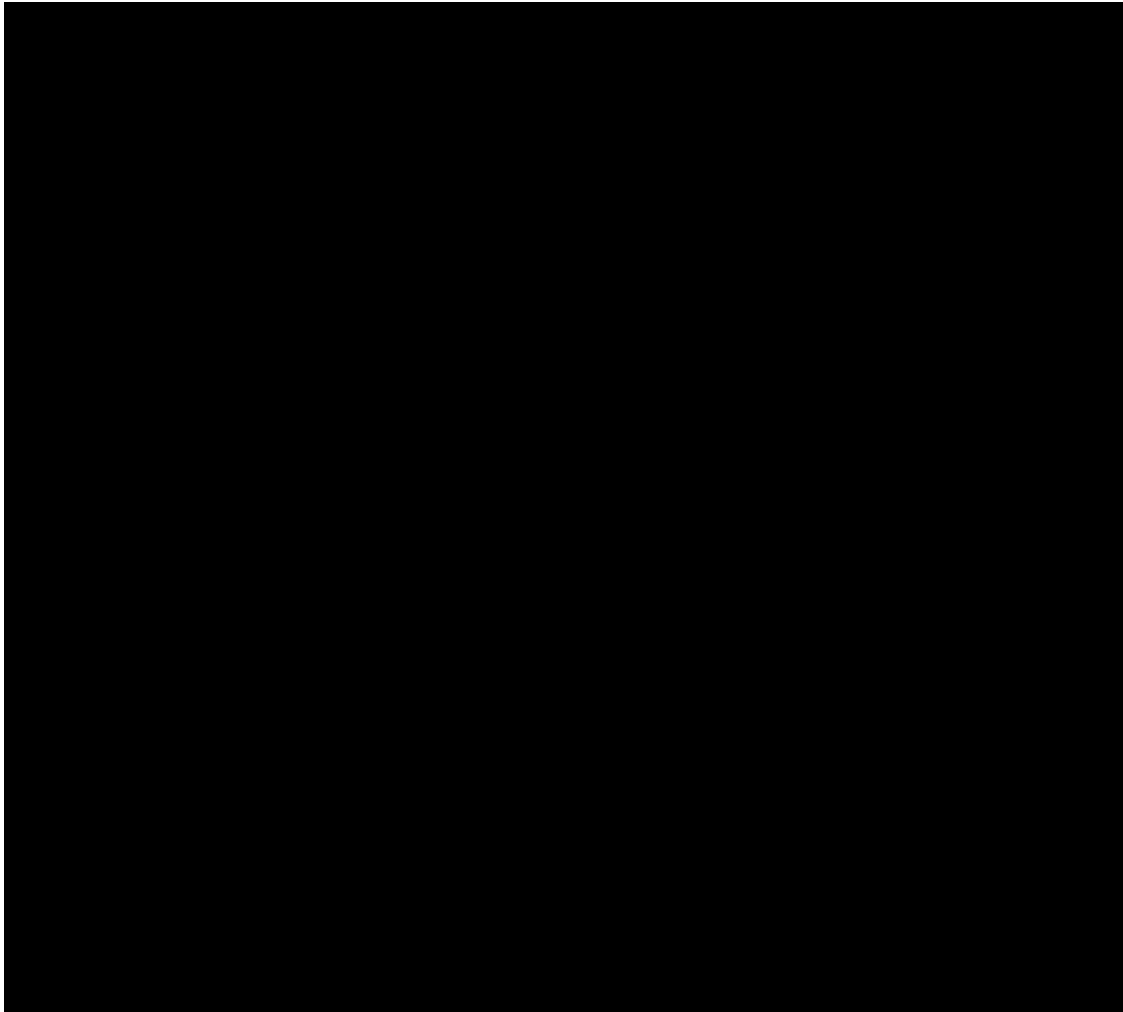
14.30 **Adjoining Properties**











**14.31 Existing Operations**

- (a) The Tunnelling Contractor acknowledges that:
  - (i) Existing Operators and any other persons must continue their Existing Operations during the course of the carrying out of the Tunnelling Contractor's Activities;
  - (ii) the access ways to the Construction Site are used by Existing Operators and other persons and will not be available exclusively to the Tunnelling Contractor; and
  - (iii) in using these access ways the Tunnelling Contractor must ensure the minimum disturbance and inconvenience to the Existing Operations.
- (b) The Tunnelling Contractor bears the risk of:
  - (i) coordinating its access to the Construction Site with any other relevant party (including Existing Operators) that use the access ways to the Construction Site; and
  - (ii) any delay and disruption to the Tunnelling Contractor's Activities that arise from any Existing Operations on or in the vicinity of the Construction Site.
- (c) Without limiting any other obligations of the Tunnelling Contractor, the Tunnelling Contractor must:

- (i) minimise interference with the free movement of traffic (vehicular, waterborne, pedal cycle and pedestrian) into and out of, adjacent to, around, on or about the Construction Site or the Existing Operations and not block or impair access to any premises, carparks, roadways, waterways, pedestrian ways, public spaces, parks, pedal cycle paths, or other facilities associated with the Existing Operations and comply with the Principal's reasonable directions in relation to them;
  - (ii) comply with the:
    - (A) Principal's reasonable directions in connection with the Existing Operations (including access to and use of the Construction Site); and
    - (B) Principal's directions in connection with workplace health and safety issues to enable the Principal to comply with, and not place the Principal in breach of, its obligations under any Law relating to workplace health and safety;
  - (iii) comply with all policies, procedures and rules of the Principal applying from time to time (as notified in writing by the Principal) in respect of the Existing Operations (including in relation to workplace health and safety and/or the Environment);
  - (iv) keep itself informed as to the requirements to comply with and not do anything which may place the Principal in breach of Law applying to the Existing Operations on the Construction Site;
  - (v) ensure that in carrying out and completing the Tunnelling Contractor's Activities, the Project Works and Handover Works properly interface and integrate with, and connect to, the physical infrastructure of the Existing Operations so as to enable the Project Works and Handover Works, when completed, to fully comply with the requirements of this deed; and
  - (vi) immediately:
    - (A) repair and make good any damage to the physical infrastructure of the Existing Operations to the extent arising out of or in any way in connection with the Tunnelling Contractor's Activities; and
    - (B) when directed by the Principal's Representative, take such action as is required to ensure that its obligations in this clause 14.31(c) are complied with.
- (d) The Tunnelling Contractor must:
- (i) minimise disruption, interruption, interference, nuisance and inconvenience to the Existing Operations; and
  - (ii) program and coordinate the Tunnelling Contractor's Activities under this deed using design and construct best practices and so as to minimise the effect that the carrying out of the Tunnelling Contractor's Activities under this deed has on the Existing Operations.
- (e) The Tunnelling Contractor must ensure that its Associates at all times comply with this clause 14.31.
- (f) Subject to clauses 4.9, 18.8 or 18.9, where a direction of the Principal referred to in clause 14.31(c)(ii)(A) necessitates a reduction in the permissible working hours or working days or in the permissible noise and vibration limits for the Tunnelling

Contractor's Activities or a change in the Working Parameters permitted for the Tunnelling Contractor's Activities:

- (i) the Tunnelling Contractor will be entitled to an extension of time to any relevant Date for Milestone Achievement of a Milestone or Date for Substantial Completion of a Portion under clause 21 if the requirements of that clause are satisfied; and
- (ii) the Principal will determine the [REDACTED] pursuant to clause 5 as a direct result of the direction of the Principal.

**14.32 Adjustments to Construction Site boundaries following completion of substratum surveys**

- (a) The parties acknowledge and agree that:
  - (i) at the date of this deed surveys of the whole of the substratum that is proposed to be acquired by the Principal for the purpose of the Project Works and the Temporary Works have not yet been completed;
  - (ii) [REDACTED]
  - (iii) [REDACTED]
- (b) [REDACTED]
- (c) If the Principal's Representative gives the Tunnelling Contractor notice under clause 14.32(b):
  - (i) prior to completion of Design Stage 1 in respect of those parts of the Project Works and the Temporary Works that are to be located in or in the vicinity of the relevant parts of the Construction Site; and
  - (ii) [REDACTED]

then:

- (iii) the Construction Site will be amended to exclude the areas identified in the Principal's Representative's notice;
- (iv) the Tunnelling Contractor must allow for such changes to the Construction Site in its design for the Project Works and the Temporary Works at its own cost and risk; and
- (v) [REDACTED], the Tunnelling Contractor will not be entitled to make, and the Principal will not be liable upon, any Claim arising out of or in connection with the decision by the Principal not to acquire the relevant substratum areas or the amendment to the boundaries of the Construction Site.

- (d) If the Principal's Representative gives the Tunnelling Contractor notice under clause 14.32(b) but the requirements of clause 14.32(c) are not satisfied, then:
- (i) the Tunnelling Contractor must within 10 Business Days of receiving the Principal's Representative's notice (or such longer period as the Principal's Representative may direct) provide the Principal with written notice containing the details required by clauses 17.5(b); and
  - (ii) unless the Principal's Representative gives a subsequent notice under clause 14.32(e):
    - (A) the Construction Site will be amended to exclude the areas identified in the Principal's Representative's notice; and
    - (B) any additional work that is required to be performed by the Tunnelling Contractor as a direct consequence of the change to the boundaries of the Construction Site will be treated as a Change.
- (e) The Principal's Representative may, at any time, by notice in writing to the Tunnelling Contractor withdraw a notice given under clause 14.32(b) provided that:
- (i) clause 17.6 shall apply to costs incurred in responding to the notice; and
  - (ii) if the Tunnelling Contractor has undertaken any additional work as contemplated in clause 14.32(d)(ii), the withdrawal will be treated as a Change.
- (f) Nothing in this clause 14.32 prevents the Principal's Representative from:
- (i) issuing a Change Proposal Request as referred to in clause 17.5(a); or
  - (ii) directing a Change by issue of a Change Order,
- in relation to a change to the boundaries of the Construction Site.

## 15. **QUALITY**

### 15.1 **Quality Management System**

The Tunnelling Contractor must implement a Quality Management System for the management of all aspects of the Tunnelling Contractor's obligations under this deed including in accordance with the applicable requirements of the Tunnelling Specification, including sections 2.4, 5.1 and 5.2 of the General Specification and the Assurance and Governance Plan.

### 15.2 **Independent Certifier**

- (a) The Independent Certifier is to be engaged on the terms of the Independent Certifier Deed.
- (b) The Independent Certifier's role is to, amongst other things:
  - (i) without limiting the rights or obligations of the parties under this deed, independently certify in accordance with the Independent Certifier Deed that the Project Works comply with the requirements of this deed; and
  - (ii) make determinations on matters that this deed expressly requires be determined by the Independent Certifier.

- (c) Without limiting the effect which the determinations of the Independent Certifier will have upon the rights and obligations of the parties under this deed, the Independent Certifier does not have any power to give any Directions to the Tunnelling Contractor.
- (d) The Independent Certifier is obliged to act independently of the Tunnelling Contractor, the Principal and any of their Associates, and is not an employee, agent, contractor or consultant of the Principal or the Tunnelling Contractor.
- (e) The parties acknowledge that:
  - (i) the Independent Certifier has been engaged by the parties prior to the Principal electing whether to engage OpCo in relation to the OpCo Project Works or any other Interface Contractor in relation to Interface Works;
  - (ii) the Principal intends that if it engages OpCo, OpCo will accede to the Independent Certifier Deed;
  - (iii) the Independent Certifier is obliged to act independently of OpCo;
  - (iv) the Independent Certifier may (after receiving the prior written consent of the Principal which may be withheld or granted in its absolute discretion) be engaged by OpCo as independent certifier (upstream or downstream), but not in any other role, in relation to the OpCo Project Works but must demonstrate to the satisfaction of the parties that it has sufficient separation procedures in place to permit it to perform its services under the Independent Certifier Deed independently of OpCo, notwithstanding its engagement by OpCo as independent certifier (upstream or downstream) in relation to the OpCo Project Works;
  - (v) the Tunnelling Contractor may not make any Claim against the Principal arising out of or in any way in connection with the Independent Certifier being engaged by OpCo or any other Interface Contractor in relation to the OpCo Project Works or any other Interface Works; and
  - (vi) neither party may challenge a decision of the Independent Certifier on the basis that the Independent Certifier lacks independence because it is engaged by OpCo or any other Interface Contractor in relation to the OpCo Project Works or any other Interface Works.
- (f) The Tunnelling Contractor must provide the Independent Certifier with all information and documents and allow the Independent Certifier:
  - (i) to attend design meetings;
  - (ii) access to all premises where the Tunnelling Contractor's Activities are being carried out; and
  - (iii) to recommend to the Principal's Representative the insertion of Hold Points or Witness Points in the Project Plans and the nominated authority to release the Hold Points,
 all as may be:
  - (iv) necessary or reasonably required by the Independent Certifier or the Principal's Representative, to allow the Independent Certifier to perform its obligations under the Independent Certifier Deed; or
  - (v) requested by the Independent Certifier or (subject to clause 15.2(h)) reasonably directed by the Principal's Representative.

- (g) The Principal's Representative may provide comments to the Independent Certifier in respect of the Tunnelling Contractor's Activities (with a copy to the Tunnelling Contractor).
- (h) The Principal's Representative may direct the insertion of additional Hold Points or Witness Points in the Project Plans and designate the nominated authority to release the Hold Points.
- (i) If the Principal becomes liable to the Independent Certifier for any additional costs pursuant to section 4(a) of Schedule 2 of the Independent Certifier Deed and the fact, matter or thing which gives rise to the liability pursuant to section 4(a) of Schedule 2 of the Independent Certifier Deed arose out of or in connection with an act of omission of the Tunnelling Contractor, such costs will be a debt due and payable by the Tunnelling Contractor to the Principal.

### 15.3 Proof Engineer

- (a) The Tunnelling Contractor must:
  - (i) engage the Proof Engineer; and
  - (ii) must not replace the Proof Engineer without the prior written consent of the Principal (which must not be unreasonably withheld or delayed).
- (b) The Tunnelling Contractor warrants that the Proof Engineer and any replacement Proof Engineer has:
  - (i) at least the qualifications, experience and expertise described in Schedule A24; and
  - (ii) the requisite experience and skill to undertake the role of Proof Engineer in accordance with this clause 15.3 and the other requirements of this deed.
- (c) The Proof Engineer's role is to, amongst other things:
  - (i) in respect of the Proof Engineered Temporary Works:
    - (A) undertake a full and independent assessment, without exchange of calculations or similar information, of the Proof Engineered Temporary Works, including undertaking design calculations and modelling, reviewing the safety, durability and functional requirements of the identified elements, the Design Documentation and construction methodology and performing an independent dimensional check;
    - (B) provide to the Tunnelling Contractor, with a copy to the Principal's Representative, a comprehensive report on the assessment required under clause 15.3(c)(i)(A);
    - (C) undertake a detailed inspection of the installation of the Proof Engineered Temporary Works including all associated quality records;
    - (D) independently certify that the design for the Proof Engineered Temporary Works:
      - (aa) is adequate and suitable for its intended purpose; and
      - (bb) complies with the requirements of this deed (including section 3.2 of the Particular Specification),

and issue the certification required by section 3.2.3.1(a) of the Particular Specification in the form contained in Part A of Schedule B17; and

- (E) independently certify that the Proof Engineered Temporary Works have been installed in compliance with the Design Stage 3 Design Documentation and issue the certificate required by section 3.2.3.1(b) of the Particular Specification in the form contained in Part B of Schedule B17.
- (d) The parties acknowledge and agree that:
- (i) the Proof Engineer is obliged to act independently of the Tunnelling Contractor, the Principal and any of their Associates;
  - (ii) the Proof Engineer must not be an employee of the Tunnelling Contractor, the Principal, the Independent Certifier or any of their Associates; and
  - (iii) all advice and comments (including drafts and calculations) provided by the Proof Engineer to the Tunnelling Contractor must be in writing and must be made available to the Principal's Representative, upon request.
- (e) The Tunnelling Contractor must provide the Proof Engineer with all information and documents and allow the Proof Engineer:
- (i) to attend design meetings; and
  - (ii) access to the Construction Site and all places at which the Tunnelling Contractor's Activities are being undertaken, provided that the Proof Engineer must comply with the reasonable directions of the Tunnelling Contractor given in relation to work health and safety,
- all as may be:
- (iii) necessary or reasonably required by the Proof Engineer or the Principal's Representative, to allow the Proof Engineer to perform its role under this deed; and
  - (iv) requested by the Proof Engineer or directed by the Principal's Representative.
- (f) Nothing that the Proof Engineer does or fails to do pursuant to the purported exercise of its functions will entitle the Tunnelling Contractor to make any Claim against the Principal.

#### 15.4 **Quality management, verification and certification**

- (a) The Principal and the Tunnelling Contractor acknowledge that the project delivery method chosen for the Project Works and the Temporary Works:
- (i) requires the Tunnelling Contractor to assume responsibility for all aspects of quality for the Tunnelling Contractor's Activities and for the durability of the Project Works and the Temporary Works; and
  - (ii) allows the Principal's Representative to monitor compliance of the Tunnelling Contractor's Activities with the requirements of this deed.
- (b) The Tunnelling Contractor must ensure a Quality and Systems Manager is engaged who must:

- (i) independently certify the effectiveness and integrity of the Tunnelling Contractor's quality system in achieving conformance with the requirements of this deed;
  - (ii) report to the Principal's Representative on quality issues in accordance with the requirements of this deed; and
  - (iii) have the requisite experience and ability described for the Quality and Systems Manager in Schedule A9.
- (c) The Tunnelling Contractor must provide to the Principal's Representative a certificate executed by the Quality and Systems Manager in the form of:
- (i) Schedule B9 within 3 months of the date of this deed;
  - (ii) Schedule B10 every 3 months from the date of this deed until the Date of Substantial Completion of the last Portion to achieve Substantial Completion;
  - (iii) Schedule B11 as a condition precedent to Substantial Completion of any relevant Portion; and
  - (iv) Schedule B12 upon the issue of a notice of Final Completion under clause 21.17(a).
- (d) The Tunnelling Contractor must provide to the Principal's Representative a certificate executed by the Environmental Manager in the form of Schedule B5 every 3 months from the date of this deed until the Date of Substantial Completion of the last Portion to achieve Substantial Completion.

#### 15.5 Hold Points and Witness Points

- (a) The Tunnelling Contractor must comply with the Hold Point and Witness Point procedures required by this deed, including as set out in section 2.4 of the General Specification and the Assurance and Governance Plan or inserted in Project Plans or other applicable documents by the Environmental Representative pursuant to clause 8.10(b)(iii) or by the Principal's Representative pursuant to clause 15.2(h).
- (b) The insertion of any additional Hold Point:
- (i) in a Project Plan or another applicable document by the Environmental Representative pursuant to clause 8.10(b)(iii); or
  - (ii) by the Principal's Representative pursuant to clause 15.2(h),
- will be treated as a Change, except where the need for such Hold Point:
- (iii) is already contemplated by this deed or the Planning Approvals;
  - (iv) arises out of or in connection with any breach of this deed by the Tunnelling Contractor or a wrongful act or omission of the Tunnelling Contractor or its Associates; or
  - (v) is related to rectification of a Defect.

#### 15.6 Project quality non-conformance

- (a) The Tunnelling Contractor must comply with the procedure for non-conformances set out in section 3.2.5 of the General Specification and the Assurance and Governance Plan. Further to the provisions of clause 8.3(b) of AS/NZS ISO 9001-2008 and



without limiting clause 19.4, the use, release or acceptance of nonconforming work can only be given by the Principal's Representative, in its absolute discretion and without being under any obligation to do so.

- (b) In addition to the procedure for non-conformances referred to in clause 15.6(a), and without limiting clause 19.3, if the Tunnelling Contractor has not complied with this deed including section 3.2.5 of the General Specification, the Principal's Representative may give written notice to the Tunnelling Contractor of the Tunnelling Contractor's failure to comply and requiring compliance within a reasonable time specified in the notice.
- (c) If the Tunnelling Contractor does not comply with the notice referred to in clause 15.6(b), the Principal may, subject to clause 34.9(b), employ others to carry out the direction.
- (d) The amount of any Loss the Principal suffers or incurs in taking action contemplated in clause 15.6(c) or as a result of the Tunnelling Contractor's failure to comply with clause 15.6(b) will be a debt due from the Tunnelling Contractor to the Principal.
- (e) Corrective actions implemented under the Tunnelling Contractor's quality system must comply with the requirements of this deed including section 3.2.5 of the General Specification.
- (f) The Tunnelling Contractor must promptly issue all documents relating to quality non-conformances to the Principal's Representative.
- (g) The costs incurred by the Tunnelling Contractor in connection with this clause 15.6 are Excluded Costs.

#### 15.7 **Monitoring and audits by the Principal's Representative**

- (a) The Tunnelling Contractor acknowledges that the Principal's Representative may, at any time up to the Date of Substantial Completion of the last Portion to achieve Substantial Completion, arrange monitoring and audits (including testing) to see if the Tunnelling Contractor is complying with this deed (including the Assurance and Governance Plan, Construction Environmental Management Plan, Project Health and Safety Risk Governance Plan and the other Project Plans).
- (b) The Tunnelling Contractor must:
  - (i) make arrangements to ensure that the Principal's Representative (and its nominee) has access to all facilities, documentation, records and personnel (including those of Subcontractors) that are needed by the Principal's Representative for the carrying out of the monitoring and audits referred to in clause 15.7(a); and
  - (ii) ensure that the Quality and Systems Manager, the Environmental Manager, the Tunnelling Contractor's work health and safety representatives and the Tunnelling Contractor's personnel responsible for the Chain of Responsibility Provisions are available, as necessary, to discuss details of quality matters with the Principal's Representative during the above monitoring and audits.

#### 15.8 **Testing**

- (a) The Tunnelling Contractor must carry out all tests required:
  - (i) by this deed; or
  - (ii) otherwise directed in writing by the Principal's Representative.

- (b) Where the Principal's Representative directs a test:
- (i) the costs of such testing will be Reimbursable Costs; and
  - (ii) this will constitute a [REDACTED] except where:
    - (A) the test detects a Defect or is upon a Defect; or
    - (B) the test is otherwise due to or demonstrates a failure of the Tunnelling Contractor to comply with this deed,

in which case all such costs will be Excluded Costs and the Tunnelling Contractor will have no entitlement to a [REDACTED]

### 15.9 No relief from obligations

The Tunnelling Contractor will not be relieved from any of its liabilities or responsibilities under this deed (including under clause 19) or otherwise according to Law nor will the rights of the Principal whether under this deed or otherwise according to Law be limited or otherwise affected by:

- (a) the implementation of, and compliance with, any quality system or the Assurance and Governance Plan by the Tunnelling Contractor;
- (b) subject to clause 15.5(b), compliance with any Hold Point and Witness Point procedures;
- (c) any release, authorisation, approval or agreement by the Principal's Representative, or any other person acting on behalf of the Principal or the Principal's Representative, particularly those concerning or relating to the Tunnelling Contractor proceeding past any Hold Point or Witness Point or as otherwise directed in writing by the Principal's Representative;
- (d) any failure by the Principal, the Principal's Representative or any other person acting on behalf of the Principal or engaged by the Principal to detect any Defect whilst participating in any Hold Point or Witness Point procedure including where such failure is the result of a negligent act or omission; or
- (e) any monitoring or audit arranged by the Principal's Representative under clause 15.7 or any discussions between the Quality and Systems Manager and the Principal's Representative as contemplated under clause 15.7(b)(ii).

### 15.10 C&SW Monitoring Regime

The Tunnelling Contractor must comply with the monitoring obligations specified in Schedule A34.

## 16. DESIGN AND DESIGN DOCUMENTATION

### 16.1 Design obligations

The Tunnelling Contractor must design the Project Works and the Temporary Works in accordance with:

- (a) the Tunnelling Specification;
- (b) any Change:

- (i) directed by the Principal by a Change Order; or
  - (ii) otherwise approved in writing by the Principal under the terms of this deed; and
- (c) the other requirements of this deed.

## 16.2 Tunnelling Contractor's Tender Design

- (a) The Tunnelling Contractor acknowledges that prior to the date of this deed it prepared the Tunnelling Contractor's Tender Design. The Tunnelling Contractor agrees that it bears absolutely all risks howsoever they may arise as a result of the use by the Tunnelling Contractor of, or the reliance by the Tunnelling Contractor upon, the Tunnelling Contractor's Tender Design in performing the Tunnelling Contractor's Activities and that such use and reliance will not limit any of its obligations under this deed.
- (b) Subject to any express entitlements under this deed, the Tunnelling Contractor is responsible for, and assumes the risk of, any Loss it suffers or incurs arising out of or in connection with:
- (i) the design and construction of the Project Works and the Temporary Works using the Tunnelling Contractor's Tender Design costing more than the Target Cost or taking longer than anticipated; and
  - (ii) any differences between the Project Works and the Temporary Works which the Tunnelling Contractor is required to design and construct (ignoring for this purpose any differences which are the subject of a Change Order) and the Tunnelling Contractor's Tender Design including:
    - (A) differences necessitated by any Site Conditions encountered; and
    - (B) differences required to ensure that:
      - (aa) the Project Works and the Temporary Works satisfy the requirements of this deed;
      - (bb) upon Substantial Completion the Project Works are, and will be capable of remaining at all relevant times, fit for their intended purposes; and
      - (cc) the Temporary Works will be and remain fit for their intended purposes,
- and irrespective of any assumptions, projections, estimates, contingencies or otherwise that the Tunnelling Contractor may have made in relation to any of the matters set out in clauses 16.2(b)(i) and 16.2(b)(ii) above.
- (c) The Tunnelling Contractor may make changes to the Tunnelling Contractor's Tender Design but only to the extent that:
- (i) the changes will not adversely impact the:
    - (A) durability;
    - (B) whole of life performance;
    - (C) environment and sustainability performance;

- (D) functional performance;
- (E) safety; or
- (F) whole of life costs associated with any part of the Project Works including the costs of operation and maintenance,

depicted or achieved by the Tunnelling Contractor's Tender Design;

- (ii) the Tunnelling Contractor has notified the Principal's Representative in writing of the proposed change setting out in the notice the reason for the change and a detailed analysis of the impact on the matters referred to in this clause 16.2(c); and
- (iii) the Tunnelling Contractor has obtained the consent in writing of the Principal's Representative to the change (with such consent not to be unreasonably withheld and the parties agree that it will be reasonable for the Principal to withhold its consent where the change will increase the Principal's liability to an Interface Contractor or adversely affect or delay the Interface Works).

### 16.3 Design warranties

- (a) The Tunnelling Contractor warrants to the Principal that:
  - (i) the Tunnelling Contractor's Tender Design has been prepared by the Tunnelling Contractor;
  - (ii) it remains responsible for ensuring that the Project Works and the Temporary Works will satisfy the requirements of this deed despite the Tunnelling Contractor's Tender Design;
  - (iii) if the Project Works and the Temporary Works are designed and constructed using the Tunnelling Contractor's Tender Design, the Project Works and the Temporary Works will satisfy the requirements of this deed but nothing in this clause 16.3(a)(iii) affects or limits clauses 16.2(a) or 16.2(b), which will prevail to the extent of any inconsistency;
  - (iv) it will carry out and complete the Tunnelling Contractor's Activities using the Tunnelling Contractor's Tender Design but nothing in this clause 16.3(a)(iv) affects or limits clauses 16.2(a), 16.2(b) or 16.3(c), which will prevail to the extent of any inconsistency;
  - (v) it will not make any adjustment or change to the Tunnelling Contractor's Tender Design which is not in accordance with clause 16.2(c);
  - (vi) without limiting any express entitlement of the Tunnelling Contractor under this deed, it has checked, examined, analysed and carefully considered the Tunnelling Specification and the Planning Approvals and that:
    - (A) it has satisfied itself as to the completeness, correctness, accuracy, appropriateness, suitability and adequacy of the Tunnelling Specification;
    - (B) it has satisfied itself that there are no omissions, ambiguities, discrepancies or inconsistencies in or between the Tunnelling Specification and the Planning Approvals;
    - (C) the Tunnelling Specification is proper, adequate and fit for the purpose of enabling the Tunnelling Contractor to carry out the Tunnelling

Contractor's Activities in accordance with, and to ensure that the Project Works and the Temporary Works comply with, this deed including the other warranties in this clause 16.3;

- (D) it will be fully and exclusively responsible and liable for the design of the Project Works and the Temporary Works (including the Design Documentation), including any submitted or re-submitted to the Independent Certifier or to the Principal's Representative in accordance with this deed;
  - (E) it will be fully and exclusively responsible and liable for all risks howsoever they may arise as a result of the use by the Tunnelling Contractor of, or reliance upon, the Tunnelling Specification; and
  - (F) the use of, or reliance upon, the Tunnelling Specification does not affect any of its obligations under this deed or entitle the Tunnelling Contractor to make any Claim against the Principal arising out of or in any way in connection with the Tunnelling Specification;
- (vii) the Design Documentation will:
- (A) be prepared using Good Industry Practice;
  - (B) satisfy the requirements of the Tunnelling Specification and the other requirements of this deed;
  - (C) be and will remain at all relevant times fit for its intended purpose; and
  - (D) be prepared, certified, verified, completed and used in accordance with the requirements of this deed;
- (viii) construction will be carried out in accordance with the Design Documentation which the Tunnelling Contractor is entitled to use for construction purposes in accordance with clause 6 of Schedule A26;
- (ix) construction carried out in accordance with the Design Documentation which the Tunnelling Contractor is entitled to use in accordance with clause 6 of Schedule A26 will satisfy the requirements of this deed; and
- (x) each Portion (both individually and in combination with any earlier completed Portions), the Project Works as a whole and the Handover Works, will:
- (A) be completed in accordance with, and satisfy the requirements of, this deed;
  - (B) upon Substantial Completion, be fit for their intended purposes; and
  - (C) thereafter be capable of remaining at all relevant times fit for their intended purposes.
- (b) The Tunnelling Contractor agrees that its obligations under, and the warranties given in, clause 16.2 and this clause 16.3 will remain unaffected and that it will bear and continue to bear full liability and responsibility for the design (including the Design Documentation), construction, commissioning, testing and completion of the Project Works and the Temporary Works notwithstanding:
- (i) any design work carried out by others prior to the date of this deed and incorporated in this deed;

- (ii) subject to clauses 17.7(j)(ii) to 17.7(j)(iv), any Change the subject of a Direction by the Principal's Representative; or
  - (iii) the termination (for any reason) of this deed.
- (c) Despite any provision to the contrary in this deed, the Tunnelling Contractor will not be regarded as being in breach of any obligation under this deed in respect of or relating to any requirement that:
- (i) the alignment, locations, dimensions and clear openings for the Project Works (including the Third Party Works) and any related Design Documentation be fit for their purpose, intended purpose or intended use (or any similar reference) or not contain or constitute a Defect, by reason alone that the alignment, locations, dimensions and clear openings of the Project Works (including the Third Party Works) and any related Design Documentation complies with the alignment, locations, dimensions and clear openings documented in the Tunnelling Specification; or
  - (ii) the Works and any related Design Documentation be fit for their purpose, intended purpose or intended use (or any similar reference) or not contain or constitute a Defect, by reason alone that the Tunnelling Contractor has relied on the load cases specified in the Tunnelling Specification for the purpose of designing the Works.

#### 16.4 Preparation, submission and review of Design Documentation

- (a) The Tunnelling Contractor must prepare and submit Design Documentation in accordance with the requirements of:
  - (i) Schedule A26; and
  - (ii) the Tunnelling Specification.
- (b) All Design Documentation submitted by the Tunnelling Contractor under clause 16.4(a) (other than Design Documentation relating to Temporary Works which is requested by the Principal's Representative under clause 1.1(a)(viii) of Schedule A26 and Third Party Agreement Design Documentation) will be reviewed in accordance with the process set out in Schedule A26.
- (c) The Tunnelling Contractor must:
  - (i) cooperate with the Principal's Representative and, if applicable, the Independent Certifier, to procure the review of the Design Documentation; and
  - (ii) make qualified personnel available to explain Design Documentation as reasonably required by the Principal's Representative and provide any further information required by the Principal's Representative and the Independent Certifier in relation to the Design Documentation.

#### 16.5 Network Assurance Committee

The Tunnelling Contractor must:

- (a) comply with the requirements of section 3.2 of the General Specification in relation to the NAC assurance process; and
- (b) without limiting clause 16.5(a):



which licence for Background IP Rights is effective immediately from the date of this deed and will survive termination of this deed on any basis.

- (c) The Tunnelling Contractor:
- (i) warrants that the use of the Contract Documentation and Materials, or any other work provided by the Tunnelling Contractor under this deed by the Principal, its licensees, successors in title and their licensees, and anyone authorised by any of them, will not infringe any author's Moral Rights; and
  - (ii) must indemnify the Principal against any claims against, or costs, expenses, losses or damages suffered or incurred by the Principal arising out of, or in any way in connection with, any actual or alleged infringement of any author's Moral Rights in connection with the Project Works, the Temporary Works, the Tunnelling Contractor's Activities or the Contract Documentation and Materials.
- (d) For the purposes of clause 16.6(c), "use" of the Contract Documentation and Materials by the Principal, its licensees or successors in title or their licensees, or anyone authorised by any of them, includes the right to reproduce, publish, copy, adapt, communicate to the public, materially distort, destroy, mutilate or in any way change the Contract Documentation or Material or part of the Project Works or Temporary Works to which the Contract Documentation or Material or any other work provided by the Tunnelling Contractor under this deed relates:
- (i) with or without attribution of authorship;
  - (ii) in any medium; and
  - (iii) in any context and in any way it sees fit.
- (e) The Tunnelling Contractor agrees to, and agrees to procure the cooperation of any third parties to, execute such further documents, including an irrevocable and unconditional consent, and do such further things (including assisting in relation to any litigation commenced by or brought against the Principal or its licensees, assignees or successors and their licensees, or any other person authorised by any of them) as reasonably requested by the Principal to give full effect to the provisions of this deed and to allow or assist the Principal (and its licensees, assignees and successors and their licensees, and any other person authorised by any of them) to obtain, perfect, assert, enforce or defend its (or their) interest in, rights and consents to the assigned or licensed Intellectual Property Rights (as the case may be) or any adaptation of it (or any part of the assigned Developed IP Rights or licensed Background IP Rights (as the case may be) or of any such adaptation) or to prevent or obtain other remedies from others infringing any of those rights, interests and consents anywhere in the world.
- (f) The Tunnelling Contractor irrevocably appoints the Principal as its attorney to execute any document and do any act or thing which may be necessary to comply with the provisions of this clause 16.6 if the Tunnelling Contractor fails to execute the document or do the relevant act or thing within 10 Business Days of a written request by the Principal's Representative.
- (g) The Principal grants to the Tunnelling Contractor a royalty free licence for the duration of this deed to use, the Developed IP Rights assigned to the Principal under clause 16.6(b)(i) for the sole purpose of executing the Tunnelling Contractor's Activities.



- (h) The Tunnelling Contractor warrants that:
- (i) the:
    - (A) assignment to the Principal and any use, reproduction, modification, adaptation, variation or alteration of the Developed IP Rights assigned under this clause 16.6; and
    - (B) use, reproduction, modification, adaptation, variation or alteration of the Background IP Rights licensed under this clause 16.6 pursuant to the terms of this deed,

does not and will not infringe the rights, including Intellectual Property Rights and Moral Rights, of or duties owed to any person;
  - (ii) were it not for the assignments effected by this deed, the Tunnelling Contractor would be the absolute and unencumbered legal and beneficial owner of the Developed IP Rights referred to in clause 16.6(b)(i); and
  - (iii) the Tunnelling Contractor is either:
    - (A) the absolute and unencumbered legal and beneficial owner of the Background IP Rights referred to in clause 16.6(b)(ii); or
    - (B) able to grant the licence granted in clause 16.6(b)(ii).
- (i) Without limiting clause 16.6(h), where any action or claim for infringement or alleged infringement of any Intellectual Property Rights results in the use, reproduction, modification, adaptation, variation, alteration or enjoyment by the Principal or its licensees, assignees or successors or their licensees, or other person authorised by any of them, of any Contract Documentation and Materials, the Contract Processes, the Tunnelling Contractor's Activities and/or the Project Works or any part of them, being disrupted, impaired or adversely affected, the Tunnelling Contractor must at its own expense and at the Principal's option:
- (i) procure for the benefit of the Principal and its licensees, assignees and successors and their licensees and any other person authorised by any of them the right to continue to use and exploit the Intellectual Property Rights assigned or licensed pursuant to this clause 16.6, in accordance with this deed; or
  - (ii) modify or replace the Contract Documentation and Materials, the Contract Processes, the Tunnelling Contractor's Activities or the Project Works or relevant part of them, in respect of which Intellectual Property Rights are assigned or licensed pursuant to this clause 16.6, so that no further infringement will occur and so that the modified or replaced Contract Documentation and Materials, the Contract Processes, the Tunnelling Contractor's Activities or the Project Works or relevant part of them in respect of which Intellectual Property Rights are assigned or licensed pursuant to this clause 16.6 will:
    - (A) comply with the requirements of this deed; and
    - (B) not limit or otherwise affect the Principal's rights, or the Tunnelling Contractor's ability to comply with its obligations, under this deed or otherwise according to Law.

- (j) The Tunnelling Contractor indemnifies, and agrees to keep indemnified, the Principal from and against any claims against the Principal, or Loss suffered or incurred by the Principal, arising out of or in any way in connection with:
  - (i) a breach by the Tunnelling Contractor of any warranty set out in this clause 16.6; or
  - (ii) any actual or alleged infringement of an Intellectual Property Right in connection with the Contract Documentation and Materials, the Contract Processes, the Tunnelling Contractor's Activities or the Project Works or any part of them.
- (k) The Tunnelling Contractor:
  - (i) acknowledges that the Principal may provide Interface Contractors or any Alternate Operator with copies of any documents (including Design Documentation) provided to the Principal, the Independent Certifier or the Principal's Representative by or on behalf of the Tunnelling Contractor in any way in connection with this deed, the Project Works, the Temporary Works or the Tunnelling Contractor's Activities, provided that the Principal redacts any pricing information contained in such documents before providing them to an Interface Contractor or any Alternate Operator; and
  - (ii) must, upon request by the Principal's Representative, provide to the Principal's Representative copies of any Contract Documentation or Materials that OpCo, any Interface Contractor or any Alternate Operator may reasonably require.

#### 16.7 Delivery up of Design Documentation

If this deed is terminated whether pursuant to clause 26 or otherwise at Law:

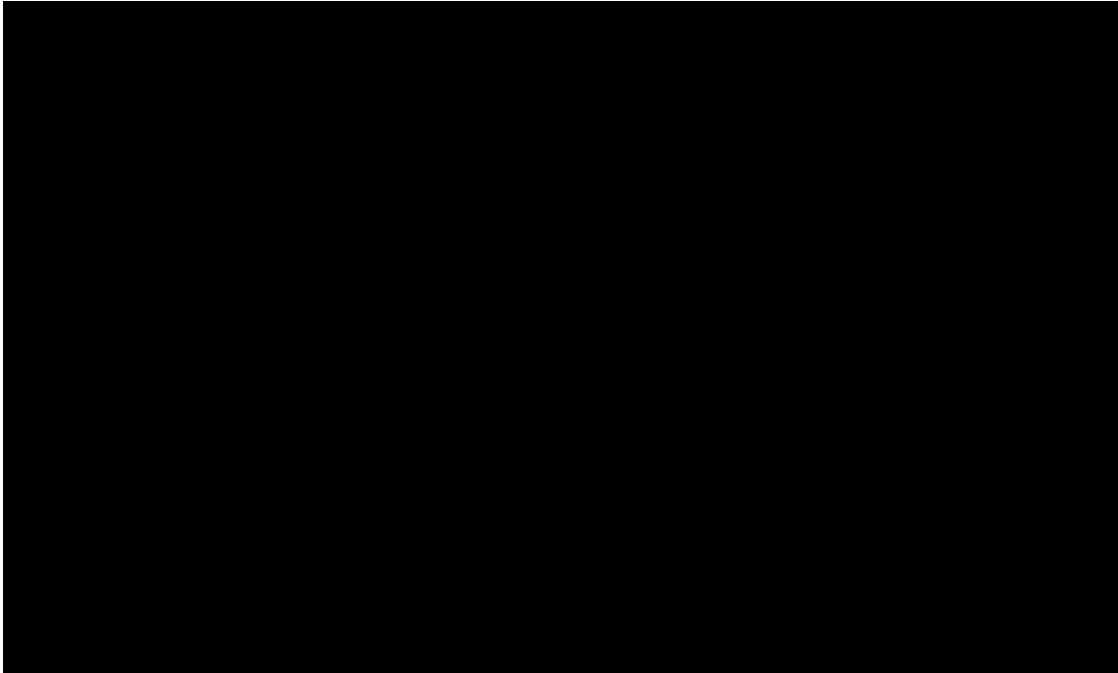
- (a) the Tunnelling Contractor must:
  - (i) subject to clause 16.7(b), immediately deliver the original and all sets and copies of all Design Documentation (whether complete or not and including any Design Documentation stored electronically) then in existence to the Principal; and
  - (ii) provide such details, memoranda, explanations, documentation and other assistance as the Principal reasonably requires in relation to the Design Documentation; and
- (b) the Tunnelling Contractor and each Subcontractor may retain a copy of all such Design Documentation.

#### 16.8 Initial Tender Design

- (a) The Tunnelling Contractor acknowledges that prior to the date of this deed the Principal prepared the Initial Tender Design, a copy of which was provided to the Tunnelling Contractor as an Information Document.
- (b) The Initial Tender Design will not form part of this deed and is subject to the provisions of this deed and the Deeds of Disclaimer concerning Information Documents.
- (c) The Tunnelling Contractor agrees that it bears absolutely all risks howsoever they may arise as a result of the use by the Tunnelling Contractor of, or the reliance by the Tunnelling Contractor on, the Initial Tender Design and the existence of the Initial

Tender Design will not limit any of the Tunnelling Contractor's obligations under this deed, including that the Tunnelling Contractor remains responsible for ensuring that the Project Works and the Temporary Works satisfy the requirements of this deed.

## 16.9 Design Life



## 17. CHANGES

### 17.1 Purpose

- (a) The parties acknowledge and agree that the purpose of this clause 17 is to facilitate and efficiently give effect to Changes which may be required under this deed.
- (b) This clause 17 seeks to achieve the purpose set out in clause 17.1(a) by:
  - (i) incorporating multiple processes for the efficient implementation of change;
  - (ii) minimising the level of contract administration that is required for Minor Changes;
  - (iii) structuring each process to minimise transaction time and cost; and
  - (iv) allowing sufficient flexibility to ensure that the process adopted for a change is appropriate for the scale, cost and complexity of that change.
- (c) Each party must seek to give effect to the purpose stated in clause 17.1(a) in complying with its obligations under this clause 17.

### 17.2 Changes Managers

- (a) The Principal has appointed the Principal's Changes Manager to exercise the powers, duties, discretions and authorities vested in the Principal under this clause 17, except that only the Principal's Representative is empowered to issue a Change Order or a notice under clause 17.11(a) instructing a Pre-Agreed Change.
- (b) The Tunnelling Contractor has appointed the Tunnelling Contractor's Changes Manager to exercise the powers, duties, discretions and authorities vested in the

Tunnelling Contractor under this clause 17. An instruction or direction given to the Tunnelling Contractor's Changes Manager under this clause 17 is deemed to be given to the Tunnelling Contractor.

- (c) The Tunnelling Contractor's Changes Manager and Principal's Changes Manager must cooperate and collaborate to facilitate the meeting of all time periods and obligations under this clause 17 and, to the extent possible, to ensure that each party has early notification of the prospect of a Change.
- (d) The Tunnelling Contractor must:
  - (i) ensure that the Tunnelling Contractor's Changes Manager is available for consultation with the Principal's Changes Manager, as the Principal's Changes Manager reasonably requires;
  - (ii) prepare, maintain and regularly update (at intervals no less than fortnightly) a running schedule of all Changes that have been proposed or implemented in a form acceptable to the Principal; and
  - (iii) make available to the Principal, promptly on request, any records relating to any Change that has been proposed or implemented (including the running schedule referred to in clause 17.2(d)(ii)).

### 17.3 Changes Working Group

- (a) The Changes Working Group comprises the Principal's Changes Manager, the Tunnelling Contractor's Changes Manager and any other persons agreed by the parties from time to time.
- (b) The purpose of the Changes Working Group is to provide a collaborative forum to:
  - (i) discuss the status of all Changes that have been agreed, proposed or that either party is planning to propose and any issues in connection with any Change or proposed Change;
  - (ii) discuss any Directions of the Principal's Representative that the Tunnelling Contractor considers to constitute a Change and in respect of which the Tunnelling Contractor has given or is planning to give notice under clause 17.8; and
  - (iii) regularly review the Tunnelling Contractor's schedule of Changes, the Minor Change Register and the register that is kept pursuant to clause 17.8(f).
- (c) The Changes Working Group must meet weekly during design development and fortnightly during construction, unless the parties otherwise agree.
- (d) The Tunnelling Contractor's Changes Manager must prepare and provide to each member of the Changes Working Group:
  - (i) an agenda for each meeting of the Changes Working Group and an up to date copy of the Minor Change Register, prepared in consultation with the Principal's Changes Manager, no less than 2 Business Days prior to each meeting; and
  - (ii) minutes of each meeting within 2 Business Days after the meeting.
- (e) The Tunnelling Contractor:

- (i) must procure the attendance at Changes Working Group meetings of representatives of the Tunnelling Contractor or any Subcontractor that the Principal's Changes Manager reasonably requires; and
  - (ii) may, with the Principal's consent, have one or more representatives of the Tunnelling Contractor or any Subcontractor attend a Changes Working Group meeting if the Tunnelling Contractor considers it appropriate given the nature of the Changes(s) to be discussed at the relevant meeting.
- (f) The Principal may, in its absolute discretion, invite any persons to attend a Changes Working Group meeting that the Principal considers appropriate given the nature of the Change(s) to be discussed at the relevant meeting.

#### 17.4 **Minor Changes**

- (a) The Principal and the Tunnelling Contractor acknowledge and agree that:
- (i) the purpose and intent of the Minor Change regime included in this deed is to:
    - (A) minimise the level of administration required in relation to Minor Changes;
    - (B) minimise the number of Target Cost adjustment events that need to be claimed by the Tunnelling Contractor and assessed by the Principal; and
    - (C) streamline the process for dealing with Directions and Claims that relate to Minor Changes;
  - (ii) the Target Cost includes an allowance of [REDACTED] to account for the anticipated impact of Minor Changes on the Target Cost (**Minor Change Allowance**); and
  - (iii) in consideration for the inclusion of the Minor Change Allowance in the Target Cost, the Tunnelling Contractor has agreed that it will not be entitled to any [REDACTED] in connection with any Minor Change and has agreed to release the Principal from all Claims whatsoever for any adjustment to the Target Cost arising out of or in connection with Minor Changes.
- (b) The Tunnelling Contractor must maintain an accurate and up to date register which identifies and tracks all Minor Changes (**Minor Change Register**). The Minor Change Register must be in a form acceptable to the Principal and must include for each Minor Change a reference number, a description of the Minor Change, a summary of entitlement (including reference to the relevant Direction, Tunnelling Specification provisions and/or deed provisions), the Tunnelling Contractor's nominated value for the Minor Change and such other details as are required by the Principal's Representative.
- (c) The Tunnelling Contractor must provide a copy of the Minor Change Register to:
- (i) each member of the Changes Working Group in accordance with clause 17.3(d)(i); and
  - (ii) the Principal's Representative and the Financial Auditor (and their respective nominees) whenever requested by either of them in writing.

- (d) If the Principal (in its absolute discretion) considers that the Minor Change regime included in this deed is not meeting the purpose and intent set out in clause 17.4(a), the Principal's Representative may by written notice to the Tunnelling Contractor cancel the operation of the Minor Change regime. If the Principal's Representative gives such a notice to the Tunnelling Contractor:
- (i) the provisions in this deed relating to Minor Changes will cease to apply;
  - (ii) the Target Cost will be reduced by the unused balance of the Minor Change Allowance (being an amount equal to the Minor Change Allowance less the total aggregate value of all Minor Changes that have been approved or directed by the Principal and recorded on the Minor Change Register prior to the date of the Principal's Representative's notice under this clause 17.4(d)); and
  - (iii) thereafter all Changes will be managed in accordance with the provisions of this deed that apply to Changes that are not Minor Changes.
- (e) For the avoidance of doubt, if the actual Reimbursable Costs incurred by the Tunnelling Contractor in implementing any Minor Change exceed [REDACTED] this does not mean that the Minor Change ceases to be a Minor Change and in such circumstances the Tunnelling Contractor will not be entitled to claim any adjustment to the Target Cost.

#### 17.5 Proposed Changes

- (a) The Principal's Representative may at any time issue to the Tunnelling Contractor a written document titled "Change Proposal Request" notifying the Tunnelling Contractor of a proposed Change that the Principal is considering (**Change Proposal Request**) and, if the Principal's Representative considers that the proposed Change is a Minor Change or a Major Change, the Principal's Representative must state this in its Change Proposal Request.
- (b) For all proposed Changes that are not stated to be a Minor Change or a Major Change, the Tunnelling Contractor must within 15 Business Days (but using its best endeavours to do so within 10 Business Days) of receipt of a Change Proposal Request (or such longer period as is agreed by the Principal's Changes Manager) provide the Principal's Representative with a written notice containing the following details:
- (i) any proposed [REDACTED] to carry out the Change and any potential impacts on the costs of maintaining and using the Project Works, Temporary Works and the Handover Works, including sufficient evidence to support the proposal on an Open Book Basis;
  - (ii) the effect which the Tunnelling Contractor anticipates the Change will have on:
    - (A) the Overall ETP Program and the Tunnelling Contractor achieving Milestone Achievement of each Milestone and Substantial Completion and Completion (as applicable) of each Portion and if the proposed Change would entitle the Tunnelling Contractor to an extension of time, the amount of its entitlement under clause 21.6 arising from that extension of time;
    - (B) the land or property rights that are required to construct the Project Works and the Temporary Works and whether the Tunnelling

Contractor considers any additional land or property rights are required to carry out the proposed Change;

- (C) the performance of the Tunnelling Contractor's Activities, the Project Works and the Temporary Works (including specific details of the work that will be affected and how and to what extent it will be affected); and
- (D) the functionality or integrity of the elements of the Tunnelling Contractor's Activities, the Project Works and the Temporary Works and the quality or performance standards required by this deed, including specific details of:
  - (aa) the elements of the Tunnelling Contractor's Activities, the Project Works and the Temporary Works that will be affected;
  - (bb) how and to what extent the functionality or integrity of those elements will be affected;
  - (cc) the quality or performance standards affected and how and to what extent they will be affected;
  - (dd) any adverse effect which the Change will have on the Tunnelling Contractor's ability to satisfy its obligations under this deed (including any warranties the Tunnelling Contractor is required to give under this deed); and



- (iii) any other information concerning the proposed Change which the Principal's Representative reasonably requires including:
  - (A) sufficient details to allow the Principal to reconsider the need for the Change;
  - (B) the direct net costs that the Tunnelling Contractor anticipates would be incurred by it if a direction was given under clause 21.9(a)(ii) to accelerate the performance of the Tunnelling Contractor's Activities to overcome part or all of any delay in achieving:
    - (aa) Milestone Achievement of a relevant Milestone by the relevant Date for Milestone Achievement; and
    - (bb) Substantial Completion of a relevant Portion by the relevant Date for Substantial Completion,
 caused by the Change specified in the Change Proposal Request;
  - (C) whether any land in addition to the Construction Site is required to implement the Change; and
  - (D) reasonably available source documents required for the Principal's Representative to verify the information provided by the Tunnelling Contractor on an Open Book Basis.

- (c) Where a Change Proposal Request states that the proposed Change is a Minor Change, the Tunnelling Contractor must within 10 Business Days (but using its best

endeavours to do so within 5 Business Days) of receipt of a Change Proposal Request (or such longer period as is agreed by the Principal's Changes Manager) provide the Principal's Representative with a written notice which:

- (i) confirms whether or not the Tunnelling Contractor agrees that the Change is a Minor Change;
  - (ii) details the Tunnelling Contractor's estimate of the Reimbursable Costs that it will incur in implementing the Change calculated on an Open Book Basis; and
  - (iii) if the Tunnelling Contractor does not agree that the Change is a Minor Change:
    - (A) provides the reasons why the Tunnelling Contractor considers this to be the case; and
    - (B) provides the information required under clause 17.5(b).
- (d) If the Tunnelling Contractor agrees that a proposed Change is a Minor Change, the Tunnelling Contractor must:
- (i) implement the proposed Minor Change without any requirement for the Principal to issue a separate Change Order in respect of the Minor Change; and
  - (ii) record the Minor Change in the Minor Change Register within 5 Business Days of giving notice under clause 17.5(c) including the details required by clause 17.4(b).
- (e) Where a Change Proposal Request states that the proposed Change is a Major Change, the Tunnelling Contractor must within 10 Business Days of receipt of a Change Proposal Request (or such longer period as is agreed by the Principal's Changes Manager) prepare and submit to the Principal's Representative a proposal which includes:
- (i) a plan setting out how the Tunnelling Contractor will prepare and submit:
    - (A) the design for the proposed Major Change; and
    - (B) a detailed and fully costed proposal for implementation of the proposed Major Change;
  - (ii) details of the key the inputs that the Tunnelling Contractor will require from the Principal in order to prepare and submit its proposal for implementation of the proposed Major Change;
  - (iii) a program for the preparation and submission of:
    - (A) the design for the proposed Major Change; and
    - (B) a detailed and fully costed proposal for implementation of the proposed Major Change;
  - (iv) a capped price fee proposal (inclusive of all internal and external costs) for the preparation and submission of:
    - (A) the design for the proposed Major Change; and
    - (B) a detailed and fully costed proposal for implementation of the proposed Major Change; and



(v) such other information required by the Principal's Representative,

**(Stage 1 Major Change Proposal).**

- (f) The Principal's Representative may accept or reject a Stage 1 Major Change Proposal in its absolute discretion by giving written notice to the Tunnelling Contractor.
- (g) Prior to giving notice under clause 17.5(f), the Principal's Representative may seek to negotiate with the Tunnelling Contractor in relation to any aspect of its Stage 1 Major Change Proposal. If the parties agree in writing upon any amendments to the Tunnelling Contractor's Stage 1 Major Change Proposal, the Tunnelling Contractor must promptly resubmit an amended Stage 1 Major Change Proposal which reflects the agreement reached between the parties and the Principal's Representative will notify the Tunnelling Contractor in writing whether the amended Stage 1 Major Change Proposal is acceptable.
- (h) If the Principal's Representative, accepts a Stage 1 Major Change Proposal or the parties agree to an amended Stage 1 Major Change Proposal:
- (i) the Target Cost will be increased by the agreed capped price fee set out in the accepted or agreed Stage 1 Major Change Proposal;
  - (ii) the Tunnelling Contractor must:
    - (A) carry out and complete the design for the proposed Major Change in accordance with the program contained in the accepted or agreed Stage 1 Major Change Proposal (or the timeframe that is otherwise agreed by the Changes Working Group) and the other requirements of this deed;
    - (B) prepare and submit a detailed and fully costed proposal for the implementation of the Major Change inclusive of:
      - (aa) the details required by clause 17.5(b); and
      - (bb) such other details as are contemplated by the accepted or agreed Stage 1 Major Change Proposal,

in accordance with the program contained in the accepted or agreed Stage 1 Major Change Proposal (or the timeframe that is otherwise agreed by the Changes Working Group) and such other additional protocols (if any) as may be agreed by the Changes Working Group

**(Stage 2 Major Change Proposal).**
- (i) If the Principal's Representative rejects a Stage 1 Major Change Proposal, the Principal's Representative may by notice in writing require the Tunnelling Contractor to provide the information required by clause 17.5(b) in respect of the proposed Major Change and if the Principal's Representative does so clause 17.5(b) will apply to the proposed Major Change except that the 15 Business Day time period specified in that clause (or such longer period as is agreed by the Principal's Changes Manager) will run from the date on which the Principal's Representative gives notice pursuant to this clause 17.5(i).
- (j) The Tunnelling Contractor will not be entitled to any payment:
- (i) for the preparation of any part of a Stage 1 Major Change Proposal irrespective of whether or not the Stage 1 Major Change Proposal is accepted by the Principal; or

- (ii) in respect of any design work for a proposed Major Change or for the preparation of any part of a Stage 2 Major Change Proposal unless and until the Principal's Representative has given notice under clause 17.5(f) accepting the Tunnelling Contractor's Stage 1 Major Change Proposal,

and any such costs and expenses that are incurred by the Tunnelling Contractor will be an Excluded Cost.

- (k) In respect of any Major Change that is the subject of a Change Proposal Request issued by the Principal's Representative, the Tunnelling Contractor must:
  - (i) permit the Independent Estimator to be co-located within the Tunnelling Contractor's project office and provide the Independent Estimator with access to reasonable facilities (including desk space and internet access);
  - (ii) provide the Independent Estimator and the Principal with full access to all pricing and costing information, programming and sequencing details and all other information relevant to the pricing of the proposed Major Change on an Open Book Basis; and
  - (iii) cooperate with and provide reasonable assistance to the Independent Estimator and the Principal,

to enable the Independent Estimator to prepare a report addressed to the Principal which sets out the Independent Estimator's own estimate of the extension of time,

that the Independent Estimator considers to be reasonable and appropriate for the implementation of the proposed Major Change in accordance with the terms of this deed.

- (l) The Principal will not be obliged to proceed with any proposed Change the subject of a Change Proposal Request.
- (m) Except as directed in a Change Order or where clauses 17.5(c), 17.8(c)(ii)(B) or 17.8(e)(ii) apply, the Tunnelling Contractor will not be entitled to vary or change the Project Works or the Temporary Works.
- (n) Without limiting clause 17.5(a), the Principal's Representative may issue more than one Change Proposal Request in relation to a proposed change to the Project Works, the Temporary Works or the Tunnelling Contractor's Activities, including separate Change Proposal Requests in relation to design work only or construction work only.

#### 17.6 Cost of preparing Change Proposals

- (a) Subject to the terms of this clause 17.6, the reasonable costs incurred by the Tunnelling Contractor in engaging third party design consultants to respond to a Change Proposal Request will be Reimbursable Costs.
- (b) The Tunnelling Contractor must use reasonable endeavours to minimise the third party design consultant costs incurred by the Tunnelling Contractor in preparing Change Proposals.
- (c) The Tunnelling Contractor's entitlement to reimbursement of the third party design consultant costs that it incurs in preparing a Change Proposal will be capped at (or such higher amount as is approved in writing beforehand by the Principal taking into account the scale and complexity of the Change Proposal Request), subject to the Tunnelling Contractor providing evidence of the amounts claimed on an Open Book Basis.

- (d) If the Principal's Representative issues a Change Order in respect of a Change Proposal, the third party design consultant costs contemplated under clause 17.6(a) for the relevant Change Proposal will be included in the cost of the relevant [REDACTED]
- (e) If the Principal's Representative does not issue a Change Order in respect of a Change Proposal, the Principal's Representative may determine (in its absolute discretion) whether any [REDACTED] will apply for the third party design consultant costs referred to in clause 17.6(a).
- (f) The Tunnelling Contractor will not be entitled to any [REDACTED] in respect of third party design consultant costs that it incurs in preparing any:
- (i) Stage 1 Major Change Proposal; or
  - (ii) notice under clause 17.5(c) for a Minor Change irrespective of whether or not the proposed Minor Change is or is not implemented,
- and all such costs shall be Excluded Costs.

## 17.7 Change Orders

- (a) Whether or not:
- (i) the Principal's Representative has issued a Change Proposal Request under clause 17.5(a); or
  - (ii) the Tunnelling Contractor has issued a Change Proposal under clause 17.5(b) or clause 17.5(c),
- the Principal's Representative may at any time, by a written document titled "Change Order", direct the Tunnelling Contractor to carry out a Change as specified in the Change Order.
- (b) The Principal's Representative will determine and state in its Change Order:
- (i) [REDACTED]
  - (ii) the relevant extension to any applicable Date for Milestone Achievement, Date for Substantial Completion or Date for Completion (if any);
  - (iii) the extent to which the Tunnelling Contractor will be relieved of any of its obligations under this deed (if at all); and
  - (iv) any other applicable matters set out in clause 17.5(b) or which the Principal's Representative otherwise considers to be required for the relevant Change to be implemented.
- (c) In making its determination under clause 17.7(b), the Principal's Representative will:
- (i) to the extent that the Tunnelling Contractor has provided a notice under clause 17.5(b) with respect to the Change and only to the extent that the Principal's Representative agrees with such notice, determine the relevant adjustments as set out in the Tunnelling Contractor's notice under clause 17.5(b); or
  - (ii) otherwise determine:

- (A) the [REDACTED] in accordance with Schedule E9;
- (B) the relevant extension to any applicable Date for Milestone Achievement, Date for Substantial Completion or Date for Completion (if any) in accordance with clause 21.6; and
- (C) all other relevant matters required to enable the relevant Change to be implemented and state such determination in its Change Order,

provided always that where the Change is a Minor Change there will be no [REDACTED] and no extension to any Date for Milestone Achievement, Date for Substantial Completion or Date for Completion.

- (d) Where the Tunnelling Contractor receives a Change Order, it must perform its obligations under this deed in accordance with the Change specified in the Change Order.
- (e) There is no limitation on the power of the Principal's Representative to direct a Change, and no Change or direction to carry out a Change will invalidate this deed.
- (f) Without limiting clause 17.7(e) the Principal's Representative may issue more than one Change Order in order to implement a Change to the Project Works, the Temporary Works or the Tunnelling Contractor's Activities in stages, including separate Change Orders in relation to design work only or construction work only.
- (g) Where the Tunnelling Contractor receives a Change Order for a Minor Change but the Principal's Representative has not issued a Change Proposal Request under clause 17.5(a) in respect of the Minor Change, the Tunnelling Contractor must:
  - (i) record the Minor Change in the Minor Change Register within 5 Business Days of the date of the Change Order including the details required by clause 17.4(b); and
  - (ii) implement the Minor Change notwithstanding that the Tunnelling Contractor may disagree that the Change is a Minor Change but without prejudice to the Tunnelling Contractor's right to refer the disagreement for resolution under clause 27.
- (h) Where the Tunnelling Contractor receives a Change Order for a Change that is not a Minor Change but the Principal's Representative has not issued a Change Proposal Request under clause 17.5(a), the Tunnelling Contractor may, within 10 Business Days of receipt of the Change Order (or such longer period as the Principal's Representative may direct), provide the Principal's Representative with a written notice setting out the details specified in clause 17.5(b)(ii)(B), 17.5(b)(ii)(C) and 17.5(b)(ii)(D). Without limiting the parties' rights under clause 27, the Principal is not required to take any action with respect to the Tunnelling Contractor's notice provided under this clause 17.7(h).
- (i) Without limiting clause 19, the Principal's Representative may issue a Change Order at any time up to Substantial Completion of the last Portion to reach Substantial Completion.
- (j) Subject to clause 17.7(k), if the Principal directs the Tunnelling Contractor to implement a Change by issuing a Change Order:

- (i) the Tunnelling Contractor must promptly implement the Change on the basis of the Change Order irrespective of:
    - (A) the nature, extent or value of the work the subject of the Change;
    - (B) the location or timing (including the impact on any Date for Milestone Achievement, Date for Substantial Completion or Date for Completion) of the work involved in the Change; or
    - (C) any Dispute related to the Change;
  - (ii) any applicable Date for Milestone Achievement, Date for Substantial Completion or Date for Completion will be extended as specified in the Change Order (or as subsequently agreed or determined under clause 27);
  - (iii) any [REDACTED] will be as specified in the Change Order (or as subsequently agreed or determined under clause 27); and
  - (iv) the Tunnelling Contractor will be relieved of its obligations under this deed to the extent specified in the Change Order (or as subsequently agreed or determined under clause 27).
- (k) The Tunnelling Contractor is not entitled to any extension of time, whether under this deed or otherwise according to any Law, arising out of or in connection with a Minor Change.

#### 17.8 Notice of Change

- (a) If the Tunnelling Contractor believes any Direction of the Principal's Representative, other than the issuing of a Change Order, constitutes or involves a Change that is not a Minor Change and it wishes to make a Claim against the Principal arising out of or, or in any way in connection with, the Direction, the Tunnelling Contractor must:
  - (i) within 5 Business Days of receiving the Direction and before commencing work on the subject matter of the Direction or otherwise complying with the Direction, give notice in writing to the Principal's Representative that sets out:
    - (A) that it considers the Direction constitutes or involves a Change;
    - (B) details of the relevant Direction;
    - (C) details of why it considers the Direction constitutes or involves a Change; and
    - (D) that the Tunnelling Contractor proposes to make a Claim in connection with the Direction; and
  - (ii) if the Tunnelling Contractor proposes to make a Claim in connection with the Direction, subject to clause 33.3, within 20 Business Days of giving the notice under clause 17.8(a)(i) (or such longer period as the Principal's Representative may direct in writing), submit a written Claim to the Principal's Representative which includes detailed particulars of:
    - (A) why the Tunnelling Contractor believes the Direction constitutes or involves a Change;
    - (B) the details specified in clause 17.5(b);

- (C) the Direction, including the date or dates of the Direction and any related event, circumstance, act, omission, fact, matter or thing upon which the Claim is based;
- (D) the provisions of this deed or other legal basis upon which the Claim is based; and
- (E) the applicable [REDACTED]  
[REDACTED] calculated on an Open Book Basis,

failing which the Tunnelling Contractor will not be entitled to make any Claim against the Principal arising out of or in connection with the Principal's Representative's Direction.

- (b) Despite the fact that the Tunnelling Contractor considers that a Direction by the Principal's Representative constitutes or involves a Change, the Tunnelling Contractor must continue to carry out the Tunnelling Contractor's Activities in accordance with this deed including any work connected with the Direction of the Principal's Representative in respect of which notice has been given under clause 17.8(a).
- (c) If the Tunnelling Contractor issues a notice under clause 17.8(a)(i) or a Claim under clause 17.8(a)(ii), the Principal may:
  - (i) confirm that the Direction constitutes or involves a Change, or entitles the Tunnelling Contractor to make a Claim by the giving of a notice under this clause 17.8(c)(i);
  - (ii) deny that the Direction constitutes or involves a Change, or entitles the Tunnelling Contractor to make a Claim, by the giving of a notice under this clause 17.8(c)(ii), in which case the Tunnelling Contractor:
    - (A) may within 10 Business Days issue a Notice of Dispute or Notice of IE Level Dispute (as applicable); and
    - (B) unless otherwise directed in writing by the Principal's Representative, must comply with the Direction irrespective of any Claim or Dispute in relation to the Direction or any part of it; or
  - (iii) withdraw the Direction by giving a notice under this clause 17.8(c)(iii).
- (d) If within 20 Business Days after first receipt of the notice under clause 17.8(a)(i), the Principal's Representative has not taken any action under clause 17.8(c), the Principal's Representative will be deemed to have given a notice under clause 17.8(c)(ii).
- (e) If the Tunnelling Contractor believes any Direction of the Principal's Representative, other than the issuing of a Change Order, constitutes a Minor Change, the Tunnelling Contractor must:
  - (i) within 5 Business Days of receiving the Direction and before commencing work on the subject matter of the Direction or otherwise complying with the Direction, give notice in writing to the Principal's Representative that it proposes to treat the Direction as a Minor Change; and
  - (ii) thereafter comply with the relevant Direction unless it is withdrawn by the Principal's Representative,

and any additional work that the Tunnelling Contractor is required to perform pursuant to such Direction will be treated as a Minor Change. All Minor Changes that arise pursuant to this clause 17.8(e) must be recorded and tracked in the Minor Change Register.

- (f) The Tunnelling Contractor must maintain and keep an updated register of potential Claims that have been the subject of a notice issued by the Tunnelling Contractor under clauses 17.8(a)(i) and 17.8(a)(ii) and provide a copy of this register to:
- (i) the Principal's Changes Manager at least 3 Business Days in advance of each meeting of the Changes Works Group; and
  - (ii) the Principal's Representative at least 3 Business Days in advance of each meeting of the Management Review Group,

and the register required by this clause 17.8(f) must be in a form acceptable to the Principal and must include, for each potential Claim, a reference number, a brief description, the date of the relevant Direction and notice given under clauses 17.8(a)(i) and 17.8(a)(ii), any agreed next steps and the status of such next steps and such other details as are required by the Principal's Representative.

#### 17.9 Omissions


If the Principal's Representative directs a Change omitting or deleting any work from the Tunnelling Contractor's Activities:

- (a) the Principal may thereafter either perform this work itself or employ or engage another person or persons to carry out and execute the omitted or deleted work;
- (b) the Principal will not (other than pursuant to this clause 17) be liable upon any Claim (insofar as is permitted by Law) by the Tunnelling Contractor as a result of any work being omitted or deleted from the Tunnelling Contractor's Activities whether or not the Principal thereafter performs this work itself or employs or engages another person or persons to carry out and execute the omitted or deleted work; and
- (c) except for work omitted or deleted by a direction by the Principal's Representative made under clause 17.11(a) by the relevant date set out in Schedule A3, the [REDACTED] for the work which has been omitted or deleted shall be valued in accordance with clause 5.

#### 17.10 Tunnelling Contractor may propose Change

- (a) The Principal and the Tunnelling Contractor acknowledge that:
  - (i) the project delivery method chosen is intended, among other things, to allow the Tunnelling Contractor to identify:
    - (A) Changes which may enhance the quality of the Tunnelling Contractor's Activities; and
    - (B) Changes which may permit project cost savings while maintaining or enhancing the quality of the Tunnelling Contractor's Activities; and
  - (ii) it is their intention that any cost savings should benefit the Principal and the Tunnelling Contractor equally.
- (b) The Tunnelling Contractor may propose a Change by giving written notice to the Principal's Changes Manager with details of the proposed Change. If the Tunnelling

Contractor is proposing a Minor Change, the Tunnelling Contractor must state this in its notice and include:

- (i) the reasons why the Tunnelling Contractor considers the Change to be a Minor Change; and
  - (ii) the Tunnelling Contractor's estimate of the Reimbursable Costs that it will incur to implement the Minor Change calculated on an Open Book Basis.
- (c) On receiving a notice under clause 17.10(b), the Principal's Changes Manager may give written notice to the Tunnelling Contractor requiring it to give the Principal's Representative:
- (i) details of:
    - (A) the proposed Change in addition to those provided in accordance with clause 17.10(b);
    - (B) the reason for the proposed Change;
    - (C) the effect of the proposed Change on the Tunnelling Contractor's Activities;
    - (D) the effect of the proposed Change on the Overall ETP Program and the Dates for Milestone Achievement of the Milestones or Dates for Substantial Completion or Dates for Completion of the Portions;
    - (E) the effect of the proposed Change (if any) on the land or property rights that are required to construct the Project Works and the Temporary Works and whether the Tunnelling Contractor considers any additional land or property rights are required to carry out the proposed Change;
    - (F) the cost effect of assessing and carrying out the proposed Change, including:
      - (aa) 
      - (bb) an estimate of the effect the proposed Change will have on operating and maintenance costs, using its best endeavours and having regard to Good Industry Practice;
    - (G) the effect (if any) that the proposed Change will have on any Interface Works, including whether a change to the work under any Interface Contract is likely to be required to enable the proposed Change to be implemented, or as a consequence of the proposed Change; and
    - (H) the Value for Money for the Principal arising from the Change, including any cost savings that the Tunnelling Contractor expects to arise from the Change;
  - (ii) a written statement stating that the proposed Change:
    - (A) will not adversely affect the functional integrity of any of the elements of the Tunnelling Contractor's Activities and the performance standards required by this deed;



- (B) will not adversely affect the quality standards required under this deed; and
  - (C) is consistent with and complies with the conditions and requirements of the Planning Approvals; and
  - (iii) any other information and supporting documentation the Principal's Representative reasonably requires.
- (d) Subject to clause 17.10(f), the Principal's Representative:
- (i) may in its absolute discretion, by notice in writing titled "Change Order", approve or reject any Change that the Tunnelling Contractor proposes with or without conditions; and
  - (ii) will be under no obligation to approve any such Change for the convenience of, or to assist, the Tunnelling Contractor.
- (e) Prior to giving any direction under clause 17.10(d), the Principal's Representative may seek to negotiate with the Tunnelling Contractor over the applicable [REDACTED] arising from the proposed Change or any other conditions that may be attached to the Principal's Representative's Change Order. If the parties agree in writing upon a different [REDACTED] or any other conditions, the Tunnelling Contractor's notice will be deemed to be amended by the inclusion of this different level of adjustment and conditions in place of the original adjustment and conditions (if any) notified by the Tunnelling Contractor.
- (f) If a Change proposed by the Tunnelling Contractor relates solely to Non-Proof Engineered Temporary Works (not including the Handover Works), the Principal's Representative will not unreasonably withhold its approval to any such proposed Change.
- (g) If the Principal's Representative gives a direction under clause 17.10(d) approving a Change proposed by the Tunnelling Contractor, the Tunnelling Contractor must perform its obligations under this deed in accordance with the approved Change.
- (h) With respect to any Change approved by the Principal's Representative pursuant to a direction under clause 17.10(d), the Principal will notify the Tunnelling Contractor in writing that the [REDACTED] will be:
- (i) as set out in the Tunnelling Contractor's notice under clause 17.10(c)(i)(F); or
  - (ii) as determined pursuant to clause 5 (which must be determined prior to the Principal's Representative's direction under clause 17.10(d)),
- provided always that the Tunnelling Contractor must bear all costs and expenses (including compensation payable to third parties) that are incurred by the Principal in acquiring any additional land or property rights that are required to carry out the Change and such costs and expenses will be an Excluded Cost.
- (i) If the Principal's Representative approves a Change proposed by the Tunnelling Contractor pursuant to a direction under clause 17.10(d), the reasonable third party design consultant costs incurred by the Tunnelling Contractor in proposing a Change under clause 17.10(b) and providing details under clause 17.10(c) will be a

- [REDACTED]
- (j) To the extent that a Change approved by the Principal's Representative pursuant to a direction under clause 17.10(d):
- (i) is or includes:
- (A) an omission or deletion; or
- (B) a reduction in the quality or standard of any part of the Tunnelling Contractor's Activities or the Project Works,
- there will be a negative [REDACTED] for the relevant Change; or
- (ii) otherwise results in savings without including any omission or deletion or any reduction in the quality or standard of any part of the Tunnelling Contractor's Activities or the Project Works, there will be no [REDACTED] for the relevant Change.
- (k) The Tunnelling Contractor will:
- (i) subject to clause 17.10(i), bear all costs:
- (A) associated with proposing a Change under clause 17.10(b);
- (B) associated with providing details under clause 17.10(c);
- (C) reasonably incurred by the Principal (or the Principal's Representative), any Interface Contractor or OpCo or any Alternate Operator in assessing the proposed Change with all such costs (including the costs of any Interface Contractor or OpCo or any Alternate Operator) to be a debt due from the Tunnelling Contractor to the Principal; and
- (ii) where a proposed Change is approved by the Principal's Representative:
- (A) bear all costs and expenses (including any compensation payable to third parties) incurred by the Principal in acquiring any additional land or property rights that are required to carry out the proposed Change with all such costs and expenses to be a debt due from the Tunnelling Contractor to the Principal;
- (B) bear the risk of any delay or disruption that may arise out of or in connection with the need to acquire any additional land or property rights that are required to carry out the proposed Change;
- and any [REDACTED] pursuant to clause 17.10(h) will not include the costs to be borne by the Tunnelling Contractor under this clause 17.10(k).

#### 17.11 Pre-Agreed Changes

- (a) The Principal's Representative may, in its absolute discretion and without being under any obligation to do so, direct by way of Change any Pre-Agreed Change by giving written notice to the Tunnelling Contractor.

- (b) The Principal and the Tunnelling Contractor agree that if a notice pursuant to clause 17.11(a) is given in respect of a Pre-Agreed Change by the relevant date specified in Schedule A3, this deed, including any relevant components of the Target Cost, will be deemed to be amended in accordance with the relevant amendments set out in Schedule A3 from the date the Tunnelling Contractor receives such notice.
- (c) Where the Principal's Representative directs a Pre-Agreed Change by giving written notice to the Tunnelling Contractor by the relevant date referred to in clause 17.11(b), the Tunnelling Contractor, in respect of that Pre-Agreed Change:
- (i) must carry out its obligations under this deed as amended by clause 17.11(b); and
  - (ii) acknowledges that:
    - (A) the Tunnelling Contractor is not entitled to make, and the Principal will not be liable upon, any Claim in relation to or arising out of a Pre-Agreed Change instructed by the Principal's Representative other than the [REDACTED] provided for in Schedule A3 (as applicable) for the relevant Pre-Agreed Change; and
    - (B) without limiting clause 17.11(c)(ii)(A), the Tunnelling Contractor is not entitled to make any Claim for:
      - (aa) any acceleration, compression, re-ordering or re-sequencing to the Tunnelling Contractor's Activities which the Tunnelling Contractor must perform at any time in order to achieve a Milestone by any relevant Date for Milestone Achievement or Substantial Completion of any relevant Portion by its applicable Date for Substantial Completion or Completion of any relevant Portion by its applicable Date for Completion; or
      - (bb) any extension of time for any delay to the carrying out of the Tunnelling Contractor's Activities,
- in connection with the issue of such a notice or the amendment of this deed pursuant to clause 17.11(b).
- (d) Nothing in this clause prevents the Principal's Representative from:
- (i) issuing a Change Proposal Request as referred to in clause 17.5(a); or
  - (ii) directing a Change by issue of a Change Order,
- that involves the same (or similar) changes to the Project Works as a Pre-Agreed Change after the relevant date for giving notice of the Pre-Agreed Change specified in Schedule A3.
- (e) If the Principal's Representative:
- (i) issues a Change Proposal Request as referred to in clause 17.5(a); or
  - (ii) directs a Change by issue of a Change Order,
- which involves the same or similar changes to the Project Works as are required by a Pre-Agreed Change and which is issued or directed (as relevant) after the relevant date in Schedule A3 for that Pre-Agreed Change, the Principal and the Tunnelling

Contractor agree that the Change will be valued in accordance with the process in clause 17.7 and Schedule E9.

#### 17.12 **Tunnelling Contractor's entitlements**

This clause 17 is an exhaustive code of the Tunnelling Contractor's rights in any way in connection with any Change. The Tunnelling Contractor waives all rights at Law to make any Claim against the Principal in any way in connection with any of the matters set out in this clause 17 otherwise than in accordance with the terms of this deed.

#### 17.13 **Approvals for Changes**

- (a) Subject to clause 17.13(b), the Tunnelling Contractor must apply for and obtain all:
- (i) necessary amendments or modifications to any existing Approval; and
  - (ii) new Approvals that may be,
- required for the execution of a Change.
- (b) Where the amendment or modification to any Approval required for the execution of the Change relates to any Approval specified in Schedule D2, the Tunnelling Contractor must:
- (i) carry out and provide to the Principal all surveys, investigations, reports, studies:
    - (A) requested by the Principal's Representative;
    - (B) to the standard directed by the Principal's Representative; and
    - (C) within the time directed by the Principal's Representative; and
  - (ii) provide whatever other assistance and information the Principal's Representative reasonably requests to allow it to obtain the necessary amendments or modifications to the Approval.
- (c) The Tunnelling Contractor must implement the Change once the Approvals referred to in this clause 17.13 have been amended, modified, or granted to permit the Change to be implemented.

### 18. **CONSTRUCTION**

#### 18.1 **Construction**

- (a) The Tunnelling Contractor must construct the Project Works and the Temporary Works:
- (i) in accordance with the requirements of this deed including:
    - (A) the Tunnelling Specification;
    - (B) subject to clause 18.1(c), any Design Stage 3 Design Documentation which it is entitled to use for construction under clause 6 of Schedule A26; and
    - (C) any Direction of the Principal's Representative given or purported to be given under a provision of this deed, including any Change directed by the Principal's Representative by a Change Order,

- (ii) using good workmanship and Materials which are:
    - (A) free of Defects and other imperfections; and
    - (B) of the quality specified in the Tunnelling Specification;
  - (iii) so that, upon Substantial Completion, they are and will be capable of remaining at all relevant times fit for their intended purposes; and
  - (iv) so that:
    - (A) the Project Works are within the boundaries of the Project Site; and
    - (B) all Temporary Works are within:
      - (aa) the boundaries of the Construction Site; or
      - (bb) areas permitted by the terms of any relevant Adjoining Property Owner Agreement or Adjoining Property Easement.
- (b) The Tunnelling Contractor warrants that each Portion will upon Substantial Completion:
- (i) be fit for its intended purpose; and
  - (ii) be capable of remaining, at all relevant times, fit for its intended purpose.
- (c) If there is any ambiguity, discrepancy or inconsistency between this deed on the one hand or any Design Stage 3 Design Documentation or AFC Design Documentation, the requirements of this deed will prevail.

18.2 **Not used**

18.3 **Performance of Tunnelling Contractor's Activities**

- (a) Without limiting clause 18.1, in performing the Tunnelling Contractor's Activities, the Tunnelling Contractor must:
- (i) keep the Construction Site clean and tidy and regularly remove from any place where the Tunnelling Contractor's Activities are being performed any waste or surplus material (including Materials) arising from such performance;
  - (ii) in respect of Construction Plant used in performing the Tunnelling Contractor's Activities:
    - (A) use any Construction Plant which this deed prescribes or otherwise requires the Tunnelling Contractor to use including any Construction Plant referred to in a Project Plan;
    - (B) ensure such Construction Plant complies with, and is maintained by the Tunnelling Contractor in accordance with, all relevant Laws;
    - (C) not remove Key Plant and Equipment from the Construction Site without the Principal's Representative's prior written consent; and
    - (D) provide the Principal's Representative, upon request, written details of the name and address of the owner of such Construction Plant (where such owner is not the Tunnelling Contractor) held or used by the

Tunnelling Contractor under an agreement with the owner of the Construction Plant;

- (iii) act in a timely and expeditious manner;
  - (iv) once it has commenced any construction activities on the Construction Site, regularly and diligently proceed with the construction of the Project Works and take all steps reasonably available to it (including re-sequencing and re-scheduling the commencement of other Tunnelling Contractor's Activities) to minimise any disruption to, impact of the performance of the Tunnelling Contractor's Activities on, or compromising the safety of other users of:
    - (A) the Existing Operations;
    - (B) Local Areas; or
    - (C) Utility Services;
  - (v) give priority to the safety of persons, vehicles or waterborne craft using the Existing Operations or otherwise affected by the performance of the Tunnelling Contractor's Activities;
  - (vi) without limiting clause 4.5, coordinate its activities so as to ensure that no unnecessary interference is caused to members of the public (including the passage of people, vehicles, waterborne craft and traffic) or operations of Authorities;
  - (vii) do all things and take all measures necessary to protect people and property; and
  - (viii) minimise nuisance, noise, vibration and disturbance and comply with the requirements of Authorities.
- (b) Without limiting clause 18.1, the Tunnelling Contractor warrants that it will perform the Tunnelling Contractor's Activities using the workmanship and Materials required by this deed and which are fit for their intended purposes.
  - (c) The Tunnelling Contractor must take all reasonable precautions to avoid obstruction and damage to any property (including the property of the Principal) and Utility Services arising out of the performance of the Tunnelling Contractor's Activities.
  - (d) The Tunnelling Contractor must not commence construction of the Works under the relevant Third Party Agreement until all relevant preconditions to commencement of those Works in the Third Party Agreement have been satisfied.

#### 18.4 **Setting out**

- (a) The Tunnelling Contractor must:
  - (i) set out the Project Works in accordance with the requirements of this deed, based on information and survey marks (including any survey peg, bench mark, reference mark, signal, alignment, level mark or any other mark for the purpose of setting out, checking or measuring work) identified by the Tunnelling Contractor that are suitable for their purposes;
  - (ii) carry out any survey (including providing all instruments and things) that may be necessary for this purpose; and
  - (iii) for this purpose keep all survey marks in their true positions.

- (b) If the Tunnelling Contractor discovers an error in the position, level, dimensions or alignment of any part of the Project Works, the Tunnelling Contractor must immediately notify the Principal's Representative and, unless the Principal's Representative otherwise directs, the costs of rectifying the error are Excluded Costs.

#### 18.5 **Principal's right to inspect and seek comments**

- (a) The Principal, the Principal's Representative (and any other persons nominated by the Principal), any Interface Contractor and the Independent Certifier may at any time:

- (i) inspect the Tunnelling Contractor's Activities on the Construction Site; and
- (ii) seek comments from others in respect of the Tunnelling Contractor's Activities,

and the Principal, the Principal's Representatives and any Interface Contractor may at any time provide comments to the Independent Certifier in respect of the Tunnelling Contractor's Activities (with a copy to the Tunnelling Contractor).

- (b) An Interface Contractor may only inspect the Tunnelling Contractor's Activities on the Construction Site when accompanied by a representative of the Principal or the Independent Certifier.

- (c) Neither the Principal, the Principal's Representative, any Interface Contractor nor any of the persons nominated by the Principal pursuant to clause 18.5(a), owes any duty to the Tunnelling Contractor to:

- (i) inspect the Tunnelling Contractor's Activities; or
- (ii) review any construction or repair for errors, omissions or compliance with the requirements of this deed if it does so inspect.

- (d) No inspection or review of the Tunnelling Contractor's Activities or of any construction or repair by the Principal, the Principal's Representative, any Interface Contractor, any Alternate Operator, OpCo or any person nominated by the Principal pursuant to clause 18.5(a) will in any way lessen or otherwise affect:

- (i) the Tunnelling Contractor's obligations under this deed (including its obligations under clause 18.1(a)) or otherwise according to Law; or
- (ii) the Principal's rights against the Tunnelling Contractor whether under this deed or otherwise according to Law.

#### 18.6 **All work included**

- (a) Subject to any express term of this deed to the contrary, the Tunnelling Contractor must, without adjustment to any component of the Target Cost, provide all services, labour, Materials, Utility Services, Temporary Works, Construction Plant and other work necessary for the Tunnelling Contractor's Activities whether or not they are:

- (i) expressly mentioned in this deed or the Design Documentation prepared by the Tunnelling Contractor which the Tunnelling Contractor is entitled to use for construction purposes under clause 6 of Schedule A26; or
- (ii) anticipated by the Tunnelling Contractor.

- (b) Such services, labour, Materials, Utility Services, Temporary Works, Construction Plant and other work form part of the Tunnelling Contractor's Activities and must be

undertaken and provided by the Tunnelling Contractor and will not constitute a Change or otherwise entitle the Tunnelling Contractor to make a Claim against the Principal.

#### 18.7 The Principal's action

- (a) Without limiting clause 34.9, the Principal's Representative may take any action necessary to protect, or to prevent or minimise risks to, the Tunnelling Contractor's Activities, the Environment, other property or the health and safety of people which the Tunnelling Contractor must take under this deed but does not take.
- (b) The amount of any Loss the Principal suffers or incurs arising out of or in connection with:
  - (i) taking the action contemplated in clause 18.7(a); or
  - (ii) the Tunnelling Contractor's failure to take that action,
 will, except to the extent prohibited by Law, be a debt due from the Tunnelling Contractor to the Principal.

#### 18.8 Incident management

- (a) The Tunnelling Contractor must identify clear guidelines for responding to any Incident arising from the performance of the Tunnelling Contractor's Activities and establish procedures to ensure that the Principal's Representative is promptly notified of any Incident.
- (b) Should an Incident occur which is reportable under any relevant Law, the Tunnelling Contractor must immediately report the Incident to the relevant Authority and the Principal's Representative.
- (c) In relation to any environmental or safety Incident involving Contamination, Hazardous Material or Waste that arises during the performance of the Tunnelling Contractor's Activities, the Tunnelling Contractor must:
  - (i) promptly take all appropriate action to manage and dispose of all Contamination, Hazardous Material or Waste arising from the Incident in accordance with the requirements of this deed;
  - (ii) comply with all relevant Laws including any requirements to give notice to a relevant Authority; and
  - (iii) manage the Incident in a manner which minimises damage to the reputation of the Principal including complying with any reasonable request of the Principal's Representative,
 and the Tunnelling Contractor's costs of complying with this clause 18.8(c) are Excluded Costs.
- (d) Without prejudice to the Principal's other rights under this deed, if the Principal's Representative forms the view, upon the occurrence (or imminent risk of the occurrence) of an Incident, that the Tunnelling Contractor is not taking adequate measures to manage the Incident or control or eliminate the adverse impact or the risk of such an Incident arising in the future, the Principal may (but has no obligation to) take such actions as it deems necessary to overcome and alleviate the cause and consequences of any Incident. If the Principal takes any such action it will be entitled to recover its reasonable costs and expenses from the Tunnelling Contractor as a debt due from the Tunnelling Contractor to the Principal.



- (e) Without prejudice to the Principal's other rights under this deed, the Principal's Representative may issue an immediate stop work order in the event of any Incident, or the imminent risk of any Incident, involving:
  - (i) a significant spill of Contamination or Hazardous Materials;
  - (ii) any actual damage to the Environment or a significant risk of harm to the Environment; or
  - (iii) a fatality or injury to any person including any Incident which must be reported to SafeWork NSW, ONRSR or other work health and safety regulator.
- (f) The Principal will not be liable upon any Claim by the Tunnelling Contractor for any Loss arising out of or in connection with any work stoppage due to a stop work order referred to in clause 18.8(e) or for the failure by the Principal's Representative to issue such a stop work order.
- (g) The Principal will be entitled to recover its reasonable costs and expenses for any action the Principal's Representative deems necessary to avoid the issue of any stop work order referred to in clause 18.8(e) in relation to the Tunnelling Contractor's, its agents' or its Subcontractors' acts or omissions in performing the Tunnelling Contractor's Activities as a debt due from the Tunnelling Contractor to the Principal.

#### 18.9 Instructions from Authorities

Notwithstanding any other provision of this deed, the Tunnelling Contractor:

- (a) must not restrict, close, interfere with or obstruct the free flow of the public in public spaces, parks, pedestrian ways or pedal cycle paths, or traffic on any lane or shoulder of the existing road network, or waterborne craft on any waterway, including Local Areas, contrary to the instructions of the New South Wales Police Service or any other Authority; and
- (b) in restricting, closing, interfering with or obstructing the free flow of the public in public spaces or parks, pedestrian ways or pedal cycle paths, or traffic on any lane or shoulder of the existing road network, or waterborne craft on any waterway, including Local Areas, must act in accordance with any instructions of the New South Wales Police Service or any other Authority including to cease any of the Tunnelling Contractor's Activities and to re-open the road, public space, park, pedestrian way, pedal cycle path, lane or shoulder.

#### 18.10 Survey

The Tunnelling Contractor must, as a condition precedent to Substantial Completion of each Portion, and as otherwise required by the Principal's Representative, submit to the Principal's Representative a survey certificate (within the meaning of that term in the *Surveying and Spatial Information Regulation 2017 (NSW)*) signed by a land surveyor registered under the *Surveying and Spatial Information Act 2002 (NSW)* who is approved in writing by the Principal's Representative stating that:

- (a) the whole of the Portion is within the relevant boundaries of the Project Site stipulated in this deed, except only for parts of the Portion specifically required by this deed to be outside those boundaries (including any Handover Works which this deed specifically states may be left in a Temporary Area at Substantial Completion);
- (b) the elements of the Portion are in the positions and within the tolerances required by this deed;

- (c) the survey information included in the Asset Management Information provided by the Tunnelling Contractor pursuant to clause 18.13 complies with the requirements of this deed; and
- (d) any other matter identified by the Principal's Representative complies with the requirements of this deed.

#### 18.11 **Cleaning up**

Without limiting clause 18.3, in carrying out the Tunnelling Contractor's Activities, the Tunnelling Contractor must:

- (a) keep the Construction Site, Extra Land and the Project Works clean and tidy and free of refuse;
- (b) regularly remove rubbish, litter, graffiti and surplus material (including Materials) from the Construction Site and Extra Land;
- (c) prior to vacating:
  - (i) any Temporary Areas for which a Site Access Expiry Date is specified in Table 4a of the Site Access Schedule; or
  - (ii) any Milestone Area,
 remove all rubbish, surplus materials (including Materials), Construction Plant and Temporary Works from the relevant Temporary Areas or Milestone Area; and
- (d) as a condition precedent to Substantial Completion of a Portion, remove all rubbish, surplus materials (including Materials), Construction Plant and Temporary Works (other than the Handover Works) from the relevant parts of the Construction Site and Extra Land relevant to that Portion except where the retention of any of these are required for the correction of Defects during the Defects Correction Period and this is approved in writing by the Principal's Representative.

#### 18.12 **Work methods**

Whether or not this deed prescribes a particular work method or a work method is otherwise a part of this deed or reviewed or approved (expressly or impliedly) by the Principal or the Principal's Representative, the fact that any work method that the Tunnelling Contractor adopts or proposes to adopt is impractical or impossible or that the Tunnelling Contractor, with or without the approval of the Principal's Representative, uses another work method will:

- (a) not entitle the Tunnelling Contractor to make any Claim against the Principal arising out of or in any way in connection with the work method proving to be impractical or impossible or any change in the work method; and
- (b) not cause this deed to be frustrated.

#### 18.13 **Asset Management Information**

- (a) As a condition precedent to Substantial Completion of each Portion, the Tunnelling Contractor must prepare and submit the Asset Management Information for that Portion in respect of the Handover Works.
- (b) As a condition precedent to Completion of each Portion, the Tunnelling Contractor must prepare and submit the Asset Management Information for that Portion in respect of the Project Works.

- (c) Each set of Asset Management Information must contain the contents required by section 7 of the General Specification.
- (d) The Tunnelling Contractor must, for each Portion, submit to the Principal's Representative and the Independent Certifier an initial draft of the Asset Management Information for the Portion which is not intended to differ in substance from the final draft (including the initial submission of the Asset Management Information but excluding the incorporation of the other Project Plans required by clause 13.5(d)) but for minor details:
  - (i) no less than 180 days prior to the Date for Substantial Completion of the Portion for Asset Management Information in respect of the Handover Works;
  - (ii) no less than 180 days prior to the Date for Completion of the Portion for Asset Management Information in respect of the Project Works;
  - (iii) if either:
    - (A) with respect to the Asset Management Information in respect of the Handover Works:
      - (aa) the Principal's Representative reasonably anticipates that the Date of Substantial Completion of the Portion will be prior to the applicable Date for Substantial Completion, no less than 180 days prior to the Principal's Representative's reasonably anticipated Date of Substantial Completion for the Portion, provided that the Principal's Representative gives the Tunnelling Contractor 35 days' notice of the required date for submission; or
      - (bb) it is otherwise reasonably apparent that the anticipated Date of Substantial Completion of the Portion will be earlier than the applicable Date for Substantial Completion, no less than 180 days prior to the reasonably anticipated Date of Substantial Completion of the Portion; or
    - (B) with respect to the Asset Management Information in respect of the Project Works:
      - (aa) the Principal's Representative reasonably anticipates that the Date of Completion of the Portion will be prior to the applicable Date for Completion, no less than 180 days prior to the Principal's Representative's reasonably anticipated Date of Completion for the Portion, provided that the Principal's Representative gives the Tunnelling Contractor 35 days' notice of the required date for submission; or
      - (bb) it is otherwise reasonably apparent that the anticipated Date of Completion of the Portion will be earlier than the applicable Date for Completion, no less than 180 days prior to the reasonably anticipated Date of Completion of the Portion; or
  - (iv) if the Principal's Representative has given a direction under clause 12.1(a) and it is not possible for the Tunnelling Contractor to submit an initial draft of the Asset Management Information for the Portion within either of the time periods required by clauses 18.13(d)(i), 18.13(d)(ii) or 18.13(d)(iii) (as applicable), within such other reasonable period of time directed in writing by the Principal's Representative.

- (e) The Tunnelling Contractor must, for each Portion, submit to the Principal's Representative and the Independent Certifier a final draft of the Asset Management Information for the Portion (including incorporation of the Project Plans required by clause 13.5(d)):
- (i) no less than 90 days prior to the Date for Substantial Completion of the Portion for Asset Management Information in respect of the Handover Works;
  - (ii) no less than 90 days prior to the Date for Completion of the Portion for Asset Management Information in respect of the Project Works; or
  - (iii) if either:
    - (A) with respect to the Asset Management Information in respect of the Handover Works:
      - (aa) the Principal's Representative reasonably anticipates that the Date of Substantial Completion of the Portion will be prior to the applicable Date for Substantial Completion, no less than 90 days prior to the Principal's Representative's reasonably anticipated Date of Substantial Completion for the Portion, provided that the Principal's Representative gives the Tunnelling Contractor 35 days' notice of the required date for submission; or
      - (bb) it is otherwise reasonably apparent that the anticipated Date of Substantial Completion of the Portion will be earlier than the applicable Date for Substantial Completion, no less than 90 days prior to the reasonably anticipated Date of Substantial Completion of the Portion; or
    - (B) with respect to the Asset Management Information in respect of the Project Works:
      - (aa) the Principal's Representative reasonably anticipates that the Date of Completion of the Portion will be prior to the applicable Date for Completion, no less than 90 days prior to the Principal's Representative's reasonably anticipated Date of Completion for the Portion, provided that the Principal's Representative gives the Tunnelling Contractor 35 days' notice of the required date for submission; or
      - (bb) it is otherwise reasonably apparent that the anticipated Date of Completion of the Portion will be earlier than the applicable Date for Completion, no less than 90 days prior to the reasonably anticipated Date of Completion of the Portion; or
  - (iv) if the Principal's Representative has given a direction under clause 12.1(a) and it is not possible for the Tunnelling Contractor to submit a final draft of the Asset Management Information for the Portion within either of the time periods required by clauses 18.13(e)(i), 18.13(e)(ii) or 18.13(e)(iii) (as applicable), within such other reasonable period of time directed in writing by the Principal's Representative.
- (f) The Tunnelling Contractor must, for each Portion, submit to the Principal's Representative and the Independent Certifier the final Asset Management Information for the Portion (including incorporation of the Project Plans required by clause 13.5(d)):

- (i) no less than 30 days prior to the Date for Substantial Completion of the Portion for Asset Management Information in respect of the Handover Works;
  - (ii) no less than 30 days prior to the Date for Completion of the Portion for Asset Management Information in respect of the Project Works;
  - (iii) if the Principal's Representative reasonably anticipates that:
    - (A) with respect to the Asset Management Information in respect of the Handover Works, the Date of Substantial Completion of the Portion will be prior to the applicable Date for Substantial Completion, no less than 30 days prior to the Principal's Representative's reasonably anticipated Date of Substantial Completion for the Portion, provided that the Principal's Representative gives the Tunnelling Contractor 35 days' notice of the required date for submission; or
    - (B) with respect to the Asset Management Information in respect of the Project Works, the Date of Completion of the Portion will be prior to the applicable Date for Completion, no less than 30 days prior to the Principal's Representative's reasonably anticipated Date of Completion for the Portion, provided that the Principal's Representative gives the Tunnelling Contractor 35 days' notice of the required date for submission; or
  - (iv) if the Principal's Representative has given a direction under clause 12.1(a) and it is not possible for the Tunnelling Contractor to submit the final Asset Management Information for the Portion within either of the time periods required by clauses 18.13(f)(i), 18.13(f)(ii) or 18.13(f)(iii) (as applicable), within such other reasonable period of time directed in writing by the Principal's Representative.
- (g) The Tunnelling Contractor acknowledges and agrees that the Principal's Representative and Independent Certifier may review any Asset Management Information, or any draft of any Asset Management Information, submitted under clauses 18.13(d), 18.13(e), 18.13(f), 18.13(k) or 18.13(q).
- (h) The Principal's Representative may:
- (i) provide copies of any Asset Management Information, or any draft of any Asset Management Information, submitted under clauses 18.13(d), 18.13(e), 18.13(f), 18.13(k) or 18.13(q) to; and
  - (ii) seek comments in respect of any Asset Management Information, or any draft of any Asset Management Information, from,
 

any Interface Contractor.
- (i) The Tunnelling Contractor acknowledges and agrees that:
- (i) the Principal's Representative and the Independent Certifier may (but are not obliged to) make comments to the Tunnelling Contractor; and
  - (ii) the Principal's Representative may (but is not obliged to) make comments (with a copy to the Tunnelling Contractor) to the Independent Certifier,
- in respect of any Asset Management Information, or any draft of any Asset Management Information, submitted under clauses 18.13(d), 18.13(e), 18.13(f), 18.13(k) or 18.13(q).

- (j) The Principal and the Tunnelling Contractor acknowledge and agree that:
- (i) the Independent Certifier may, within 15 Business Days of the submission of a set of Asset Management Information or a draft thereof, reject the Asset Management Information or the draft for a failure to comply with the requirements of this deed;
  - (ii) the Independent Certifier must, within 15 Business Days of the submission of the final set of Asset Management Information under clause 18.13(f) (**Final Submission**), either:
    - (A) reject the Final Submission for a failure to comply with the requirements of this deed, which rejection must specify what development, updating and amendment of the Final Submission is required (together with reasons) and a time within which this must occur; or
    - (B) certify the Final Submission by:
      - (aa) including a notation on the Final Submission; and
      - (bb) providing to the Principal's Representative, the Tunnelling Contractor and, if required by the Principal's Representative, OpCo a document signed by the Independent Certifier in the form in Schedule B6; and
  - (iii) the Principal's Representative may at any time (including after the Independent Certifier has certified a Final Submission pursuant to clause 18.13(j)(ii)(B)) direct the Tunnelling Contractor to make amendments to the Asset Management Information so that the Asset Management Information complies with the requirements of this deed.
- (k) If a set of Asset Management Information or any draft is rejected or if the Principal's Representative directs the Tunnelling Contractor to amend a set of Asset Management Information under clause 18.13(j), clause 18.13(q) shall apply.
- (l) Where any Asset Management Information that is relevant to more than one Portion has previously been:
- (i) submitted by the Tunnelling Contractor for another Portion under clauses 18.13(d), 18.13(e) or 18.13(f); or
  - (ii) certified by the Independent Certifier pursuant to clause 18.13(j)(ii)(B),
- the Tunnelling Contractor will not be required to re-submit such Asset Management Information for subsequent Portions provided that:
- (iii) for each subsequent Portion the Tunnelling Contractor clearly identifies that the relevant Asset Management Information has already been submitted for a previous Portion; and
  - (iv) the relevant Asset Management Information:
    - (A) is still current and has not been amended by the Tunnelling Contractor;
    - (B) does not require amendment to:
      - (aa) reflect the impact of any Change directed by the Principal's Representative; or

- (bb) otherwise comply with the requirements of this deed; and
- (C) has not been the subject of a direction given by the Principal's Representative under clause 18.13(j)(iii).
- (m) The Principal's Representative owes no duty to the Tunnelling Contractor to review any Asset Management Information or any draft submitted by the Tunnelling Contractor for errors, omissions or compliance with this deed.
- (n) No review of, comments upon or rejection of any Asset Management Information or any draft by the Principal's Representative or the Independent Certifier, nor any other Direction by the Principal's Representative (including a direction under clause 18.13(q)) in respect of any Asset Management Information or any draft, will lessen or otherwise affect:
  - (i) the Tunnelling Contractor's liabilities or responsibilities under this deed or otherwise according to Law; or
  - (ii) the Principal's rights against the Tunnelling Contractor, whether under this deed or otherwise according to Law.
- (o) The Tunnelling Contractor acknowledges and agrees that in addition to the purposes of the Project Plans to be incorporated into the Asset Management Information under clause 13.5(d), a purpose of each set of Asset Management Information is for the Tunnelling Contractor to provide a detailed description of how the Principal (or any nominee of the Principal) should maintain the relevant Portion.
- (p) The Tunnelling Contractor warrants that each set of Asset Management Information will be fit for its intended purpose, including for the purpose of enabling the Principal (or any nominee of the Principal) to maintain the relevant Portion.
- (q) Without limiting clause 19, where a draft of a set of Asset Management Information is rejected by the Independent Certifier or the Principal's Representative directs the Tunnelling Contractor to amend a set of Asset Management Information under clause 18.13(j), the Tunnelling Contractor must:
  - (i) further develop, update or amend the Asset Management Information to address the matters raised by the rejection by the Independent Certifier under clause 18.13(j) or the Principal's Representative direction under clause 18.13(j)(iii) (as applicable); and
  - (ii) submit the further developed, updated or amended Asset Management Information to the Principal's Representative and the Independent Certifier within the time specified under clause 18.13(j)(ii)(A),

and the process in clauses 18.13(g) to 18.13(q) will be reapplied to the further developed, updated or amended Asset Management Information.

#### 18.14 **Work as Executed Design Documentation**

- (a) As a condition precedent to Completion of each Portion, the Tunnelling Contractor must prepare and submit Work as Executed Design Documentation for the relevant Portion.
- (b) All Work as Executed Design Documentation must:
  - (i) comply with the requirements of this deed including section 7.8.4 of the General Specification; and

- (ii) be accompanied by a certificate in the form of Schedule B19 from:
  - (A) the Tunnelling Contractor; and
  - (B) if prepared by a Subcontractor, the Subcontractor,
 certifying that the Work as Executed Design Documentation complies with all requirements of this deed, including section 7.8.4 of the General Specification.
- (c) The Principal and the Tunnelling Contractor acknowledge and agree that the Independent Certifier must, within 15 Business Days of the submission of the Work as Executed Design Documentation for a Portion, either:
  - (i) reject the Work as Executed Design Documentation for a failure to comply with the requirements of this deed, which rejection must specify what development, updating and amendment of the Work as Executed Design Documentation (together with reasons) and a time within which this must occur; or
  - (ii) certify the Work as Executed Design Documentation by:
    - (A) including a notation on the Work as Executed Design Documentation; and
    - (B) providing to the Principal's Representative, the Tunnelling Contractor and, if required by the Principal's Representative, the Operator or any Alternate Operator, a document signed by the Independent Certifier in the form in Schedule B7.
- (d) If the Work as Executed Design Documentation for a Portion are rejected by the Independent Certifier, the Tunnelling Contractor must update and resubmit the Work as Executed Design Documentation and clause 18.14(c) shall re-apply except that the reference to "15 Business Days" will be deemed to be a reference to "5 Business Days".
- (e) The Tunnelling Contractor acknowledges and agrees that:
  - (i) the Principal's Representative and the Independent Certifier may (but are not obliged to) make comments to the Tunnelling Contractor;
  - (ii) the Principal's Representative may (but is not obliged to) make comments (with a copy to the Tunnelling Contractor) to the Independent Certifier,
 in respect of any Work as Executed Design Documentation submitted under clause 18.14(a) or clause 18.14(d).
- (f) The Principal's Representative may:
  - (i) provide copies of any Work as Executed Design Documentation to; and
  - (ii) seek comments in respect of any Work as Executed Design Documentation, from,
 any Interface Contractor.
- (g) The Principal's Representative owes no duty to the Tunnelling Contractor to review any Work as Executed Design Documentation submitted by the Tunnelling Contractor for errors, omissions or compliance with this deed.



- (h) No review of, or comments upon or rejection of any Work as Executed Design Documentation by the Principal's Representative, nor any other Direction by the Principal's Representative in respect of any Work as Executed Design Documentation, will lessen or otherwise affect:
  - (i) the Tunnelling Contractor's liabilities or responsibilities under this deed or otherwise according to Law; or
  - (ii) the Principal's rights against the Tunnelling Contractor, whether under this deed or otherwise according to Law.

#### 18.15 Training

- (a) For each Portion, during the final 3 months prior to the Date for Substantial Completion of the Portion or such earlier date reasonably specified by the Principal's Representative, the Tunnelling Contractor must train personnel as nominated by the Principal's Representative (which may include personnel of any Interface Contractor) in all aspects of the maintenance of the Works, the Temporary Works and the Handover Works comprised in that Portion to a level of competency that will allow those personnel to operate, manage and maintain those Works, Temporary Works and Handover Works after the Date of Substantial Completion of the Portion.
- (b) The Tunnelling Contractor must ensure that it has competent and experienced personnel available to consult with the Principal (and any nominee of the Principal) on any aspect of the operation, maintenance and repair of the Works, the Temporary Works and the Handover Works at any time until the date 12 months after the Date of Substantial Completion of the last Portion to achieve Substantial Completion.

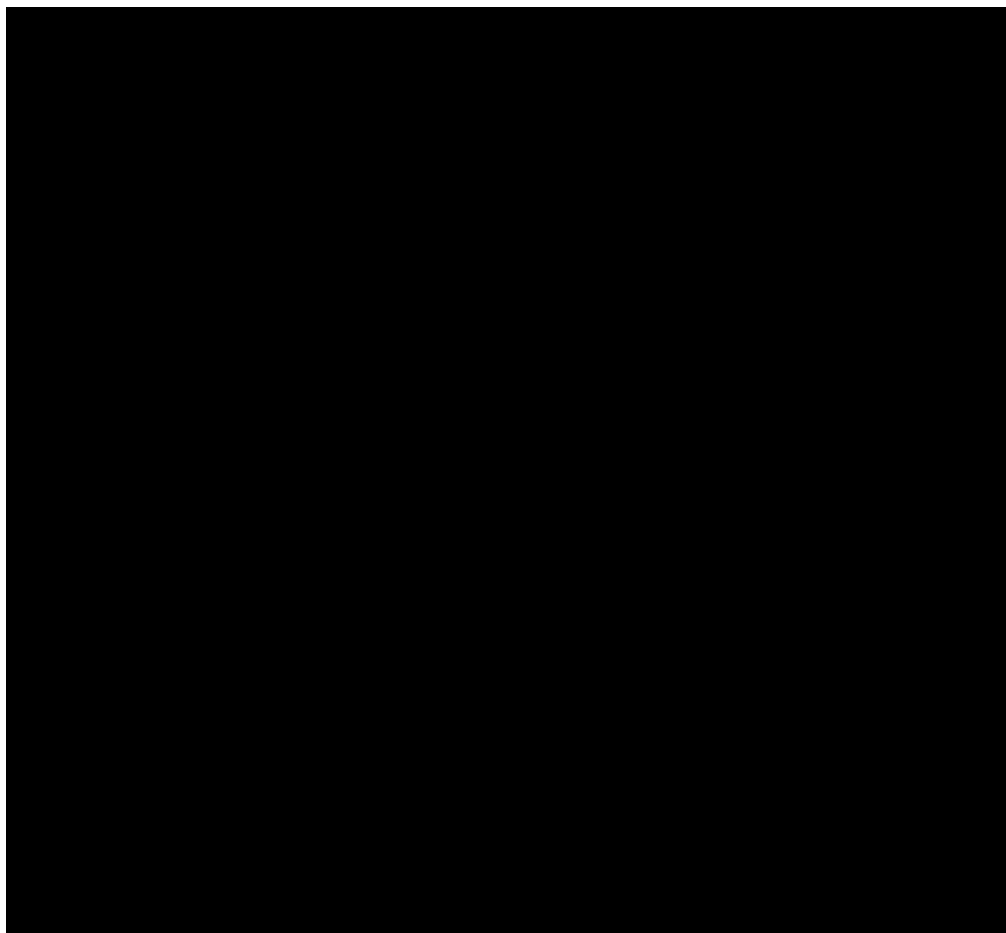
#### 18.16 Prior Contractor Handover Works

- (a) At least 90 days before the date on which the Principal is required to give the Tunnelling Contractor access to any relevant part of the Construction Site under clause 14.2(a) that contains Prior Contractor Handover Works, the Principal must provide the Tunnelling Contractor with a reasonable opportunity to inspect the Prior Contractor Handover Works.
- (b) If any item of Prior Contractor Handover Works identified in section 2.3 of the Particular Specification is not on a relevant part of the Construction Site by the date on which the Tunnelling Contractor must be given access to that part of the Construction Site under clause 14.2(a), the Tunnelling Contractor must give written notice to the Principal within 10 Business Days of being given access to that part of the Construction Site giving full details of:
  - (i) the item(s) of Prior Contractor Handover Works that are not on the relevant part of the Construction Site;
  - (ii) the effect that this will have on the Tunnelling Contractor's Activities, the Project Works or the Temporary Works;
  - (iii) the estimated additional cost (if any) that will be incurred by the Tunnelling Contractor as a result;
  - (iv) the delay (if any) to the progress of the Tunnelling Contractor's Activities; and
  - (v) any other relevant matters required by the Principal's Representative.
- (c) If the Tunnelling Contractor gives the Principal a notice under clause 18.16(b) within the time required by clause 18.16(b), the Principal's Representative will by notice in writing either:

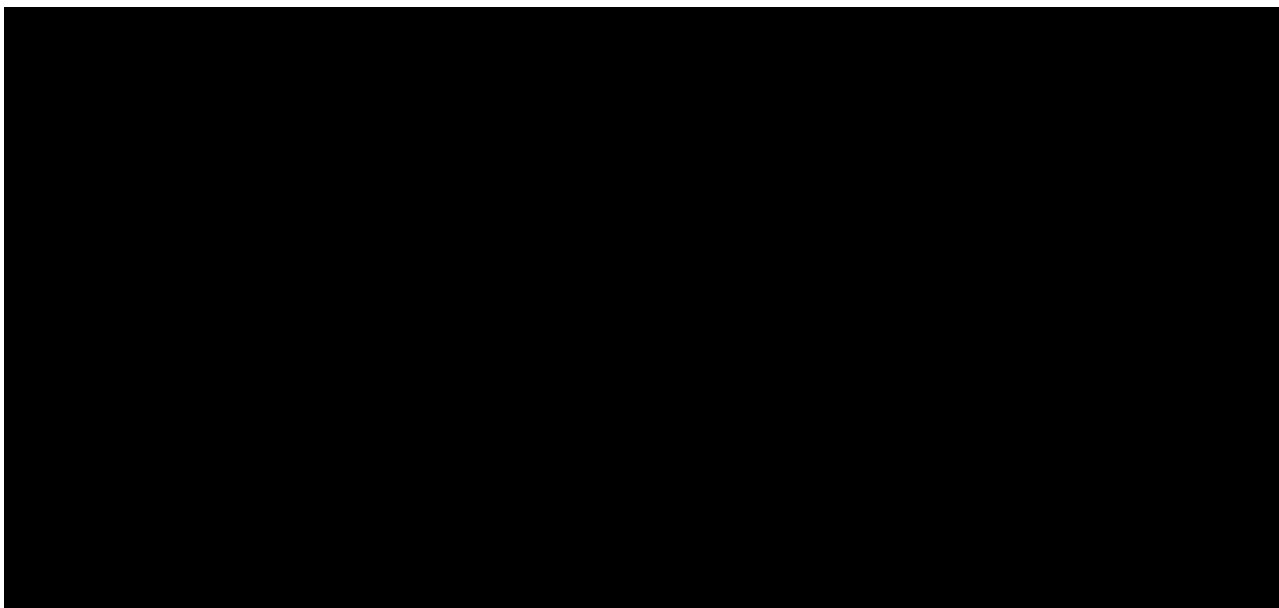
- (i) confirm that it will procure that the relevant Prior Contractor provides and, where applicable, installs the relevant item of Prior Contractor Handover Works on the relevant part of the Construction Site; or
  - (ii) confirm that it will direct a Change under clause 17.7 to:
    - (A) facilitate the provision and, where applicable, installation of the relevant item of Prior Contractor Handover Works on the Construction Site; or
    - (B) modify the Project Works, the Temporary Works or the Tunnelling Contractor's Activities to accommodate or overcome the impact (if any) of absence of the relevant item of Prior Contractor Handover Works; or
  - (iii) state that the Principal does not agree that the relevant item of Prior Contractor Handover Works is not on the relevant part of the Construction Site.
- (d) If the Principal's Representative gives notice under:
- (i) clause 18.16(c)(i):
    - (A) the Principal must procure that the relevant Prior Contractor or other Interface Contractor provides and, where applicable, installs the relevant item of Prior Contractor Handover Works;
    - (B) the Tunnelling Contractor must:
      - (aa) provide the Prior Contractor or other Interface Contractor (as applicable) with access to the Construction Site; and
      - (bb) cooperate with the Prior Contractor or other Interface Contractor (as applicable),
 so that that contractor may provide and, where applicable, install the relevant item of Prior Contractor Handover Works; and
    - (C) the Tunnelling Contractor will be entitled to claim an extension of time, [REDACTED];
  - (ii) clause 18.16(c)(ii), the Principal's Representative must direct a Change under clause 17.7 and the Tunnelling Contractor will have the entitlements that arise in respect of a Change; and
  - (iii) clause 18.16(c)(iii) and the Tunnelling Contractor does not agree with such notice, the Tunnelling Contractor may refer the matter for resolution in accordance with clause 27.
- (e) The Tunnelling Contractor must operate and maintain the Prior Contractor Handover Works.
- (f) [REDACTED] the Tunnelling Contractor:
- (i) bears all risks associated with the condition of any Prior Contractor Handover Works; and

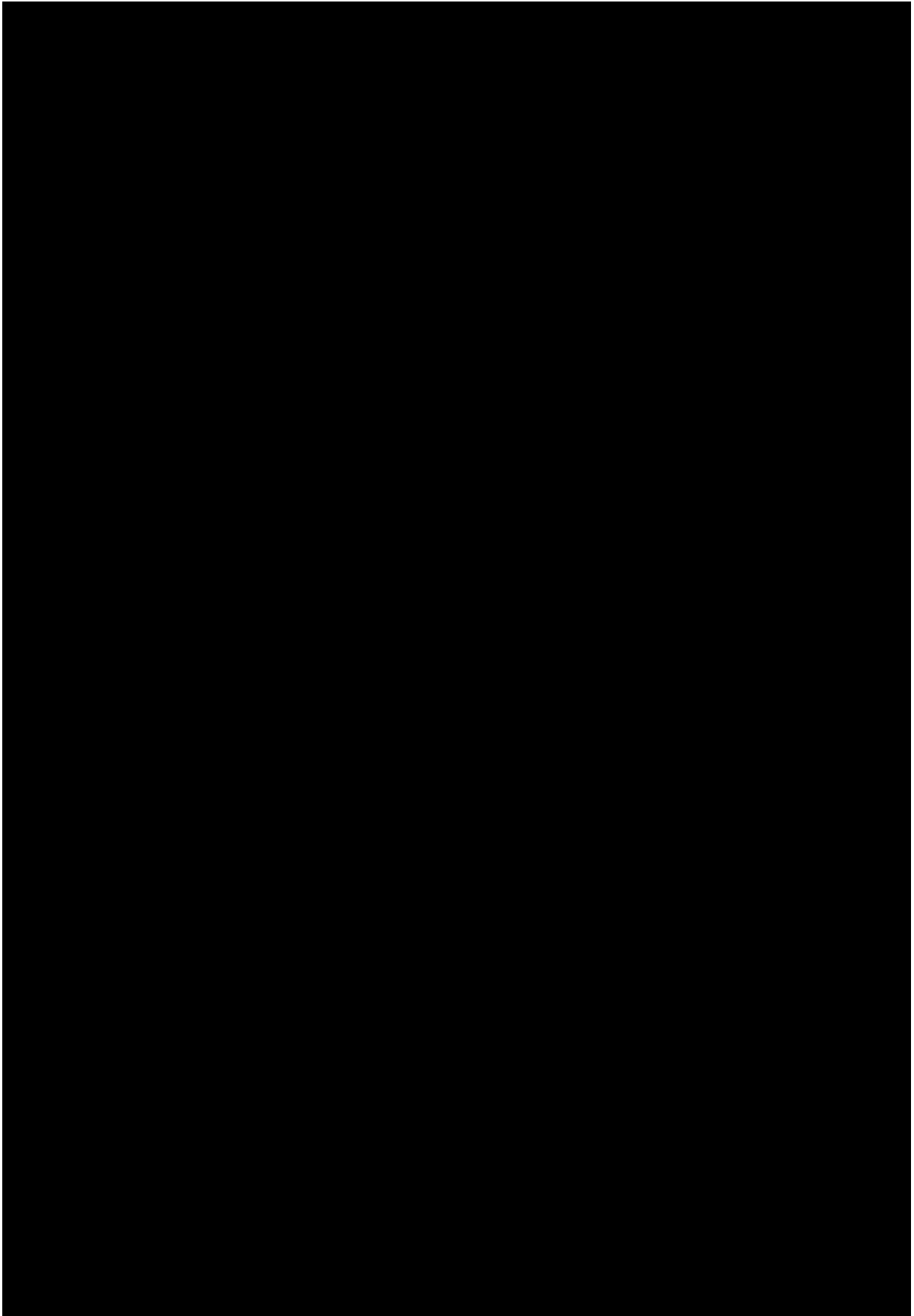
- (ii) will not be entitled to make, and the Principal will not be liable upon, any Claim arising out of or in any way in connection with such risks.

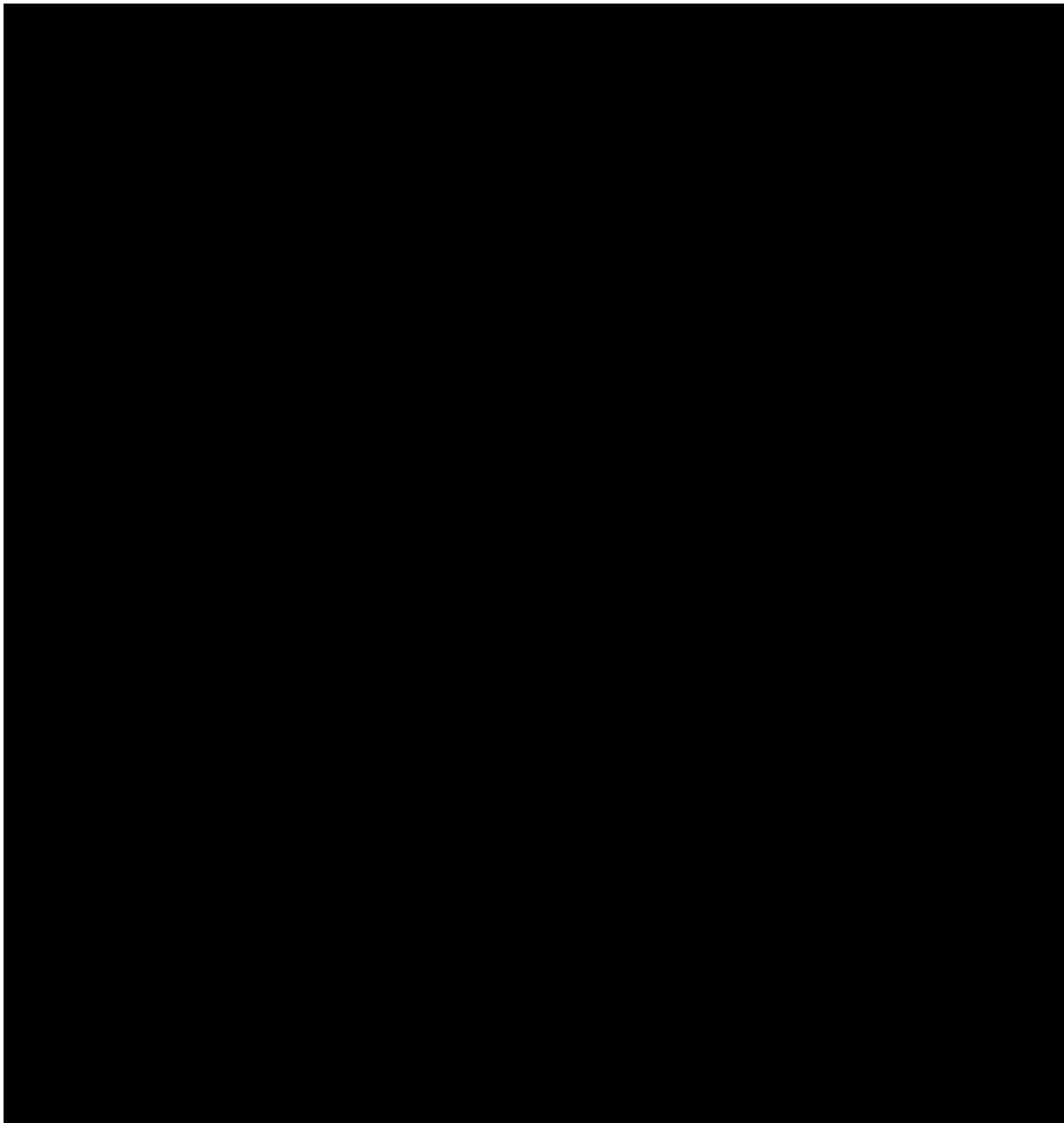
(g)



- (h) The parties acknowledge and agree that each part of the Prior Contractor Handover Works will be deemed to be Temporary Works from the dates on which access to the part of the Construction Site on which the relevant Prior Contractor Handover Works are located is granted to the Tunnelling Contractor under clause 14.2(a).







**18.18 Segment Manufacturing Facility**

- (a) The Tunnelling Contractor must:
  - (i) design, construct, test and commission the Segment Manufacturing Facility;
  - (ii) maintain and operate the Segment Manufacturing Facility until the Portion Handover Date of the Portion which contains the Segment Manufacturing Facility;
  - (iii) keep complete, accurate and up to date records of all:
    - (A) quality management and testing and commissioning activities relating to the Segment Manufacturing Facility;

- (B) inspection, service, maintenance, modification, recertification and repair activities carried out in relation to the Segment Manufacturing Facility;
- (C) incidents and accidents in relation to the Segment Manufacturing Facility,

and provide copies of such records whenever requested by the Principal's Representative;

- (iv) provide any other documentation relating to the Segment Manufacturing Facility that is reasonably requested by the Principal's Representative from time to time, including the Tunnelling Contractor's current:
  - (A) operation and maintenance manuals for the Segment Manufacturing Facility (including contractor operating procedures and protocols); and
  - (B) safe work method statements; and
- (v) provide:
  - (A) operation and maintenance manuals in respect of the Segment Manufacturing Facility, including:
    - (aa) operating procedures and protocols;
    - (bb) training materials and modules; and
    - (cc) decommissioning instructions; and
  - (B) as-built drawings for the Segment Manufacturing Facility,

as part of the Asset Management Information submitted under clause 18.13; and
- (vi) permit the Principal and its nominees to inspect the Segment Manufacturing Facility at any time reasonably required by the Principal's Representative.

- (b) The Principal may provide any information provided by the Tunnelling Contractor under clause 18.18(a) to third parties.

## 19. DEFECTS, INSPECTION AND REPAIR

### 19.1 Defects

- (a) The Tunnelling Contractor must promptly give the Principal's Representative and, if required by the Principal's Representative, OpCo, or any Alternate Operator, a detailed written report of:
  - (i) any Defect it detects; and
  - (ii) all action proposed to correct that Defect, including the estimated time required.
- (b) The Tunnelling Contractor must correct all Defects arising prior to the expiry of the Defects Correction Period whether or not the Principal's Representative or the Independent Certifier notifies the Tunnelling Contractor of them, including correcting any Defects identified in:

- (i) a Notice of Milestone Achievement; or
  - (ii) a Notice of Substantial Completion,
- including any Minor Defects and Agreed Defects.
- (c) Without limiting clause 19.1(b) or any other obligation of the Tunnelling Contractor to correct Defects, the Tunnelling Contractor must:
- (i) correct all Mandatory Defects as a pre-condition to Milestone Achievement of each Milestone and Substantial Completion of each Portion;
  - (ii) use its best endeavours to correct all Minor Defects and Agreed Defects identified in:
    - (A) a Notice of Milestone Achievement within thirty (30) days of the Date of Milestone Achievement of each relevant Milestone; and
    - (B) a Notice of Substantial Completion within thirty (30) days of the Date of Substantial Completion of each relevant Portion;
  - (iii) correct all Minor Defects and Agreed Defects identified in:
    - (A) a Notice of Milestone Achievement as a pre-condition to the achievement of Substantial Completion of the relevant Portion; and
    - (B) a Notice of Substantial Completion as a pre-condition to the achievement of Completion of the relevant Portion.

## 19.2 Principal's Representative's Direction

- (a) Subject to the terms of this clause 19.2, if prior to or during the applicable Defects Correction Period the Principal's Representative discovers or believes there is a Defect or is given notice of a Defect under clause 19.1(a), the Principal's Representative may, without prejudice to any other rights which the Principal may have under this deed or otherwise at Law, give the Tunnelling Contractor a direction specifying the Defect and doing one or more of the following:
- (i) requiring the Tunnelling Contractor to correct the Defect or a part of it and specifying the reasonable time within which this must occur unless the Principal's Representative considers that a Defect is an Urgent Defect or the Tunnelling Contractor is in breach of clauses 10 or 11 in which case the Principal may specify the time within which this must occur;
  - (ii) requiring the Tunnelling Contractor to carry out a Change to overcome the Defect or a part of it and specifying the reasonable time within which this must be carried out unless the Principal's Representative considers that a Defect is an Urgent Defect or the Tunnelling Contractor is in breach of clauses 10 or 11 in which case the Principal may specify the time within which this must be carried out;
  - (iii) advising the Tunnelling Contractor that the Principal will accept the work or a part of it despite the Defect;
  - (iv) advising the Tunnelling Contractor that the Principal will direct an Interface Contractor to carry out a change or variation under its Interface Contract or other contract with the Principal (as applicable) to overcome (but not correct) the Defect or a part of the Defect; or

- (v) advising the Tunnelling Contractor that an Interface Contractor or other contractor will correct (or has corrected) the Defect, or any part of it, but only to the extent that the relevant Defect is discovered during an applicable Defects Correction Period.
- (b) In determining the times at which the Tunnelling Contractor is required to correct a Defect or carry out a Change for the purposes of this clause 19.2, the Principal's Representative is entitled to have regard to the need to minimise the interference, delay and disruption to the activities which:
- (i) any Interface Contractor may be carrying out in discharge of its obligations under its relevant Interface Contract; or
  - (ii) OpCo may be carrying out in discharge of its obligations under the OpCo Project Deed; or
  - (iii) any Alternate Operator may be carrying out in discharge of its obligations under its contract with the Principal.
- (c) Subject to clause 19.2(d), the Principal's Representative may only give a direction under any of clauses 19.2(a)(ii), 19.2(a)(iii), 19.2(a)(iv) or 19.2(a)(v), if the Principal's Representative has first given the Tunnelling Contractor a direction under clause 19.2(a)(i) and the Tunnelling Contractor has:
- (i) failed to comply with such direction; or
  - (ii) otherwise failed to comply with its obligations under clause 19.3(a).
- (d) Where the Principal's Representative considers that a Defect is an Urgent Defect or the Tunnelling Contractor is in breach of clauses 10 or 11, the Principal's Representative may give the Tunnelling Contractor a direction under any one or more of clauses 19.2(a)(ii), 19.2(a)(iii), 19.2(a)(iv) or 19.2(a)(v) whether or not a direction has first been given under clause 19.2(a)(i).

### 19.3 Correction of Defect or Change

- (a) If a direction is given under clauses 19.2(a)(i) or 19.2(a)(ii) at any time prior to the expiration of the Defects Correction Period applicable to the relevant part of the Project Works (whether before or after Substantial Completion), the Tunnelling Contractor must correct the Defect (or the part of it) or carry out the Change (as the case may be):
- (i) within the time specified in the Principal's Representative's direction;
  - (ii) at times notified by the Principal's Representative;
  - (iii) in accordance with the requirements of any relevant Authority;
  - (iv) so as to minimise the impact on the use of the relevant part of the Project Works;
  - (v) in a manner which causes as little inconvenience as possible to the activities which:
    - (A) any Interface Contractor may be carrying out in discharge of its obligations under its relevant Interface Contract; and
    - (B) to users of the Works, a Local Area, a Utility Service or any access and the adjacent community; and



- (vi) at the Tunnelling Contractor's risk in respect of any restrictions on access;
  - (vii) if an Interface Contractor has taken possession of the relevant part of the Construction Site for the purposes of designing and constructing any Interface Works, in accordance with the requirements of the relevant Interface Contractor in relation to access and site safety;
  - (viii) in accordance with its obligations under the Master Interface Deed; and
  - (ix) regardless of the existence of a Dispute as to whether the Principal's Representative's notice is valid or whether the subject matter of the notice is a Defect.
- (b) If the Tunnelling Contractor does not comply with clause 19.3(a), the Principal's Representative may, without prejudice to any other rights that the Principal may have against the Tunnelling Contractor with respect to the Defect under this deed or otherwise at Law, give the Tunnelling Contractor a direction under clause 19.2(a)(v) and have the correction or Change work carried out at the Tunnelling Contractor's expense, and the cost of the correction or Change work incurred by the Principal will be a debt due from the Tunnelling Contractor to the Principal.

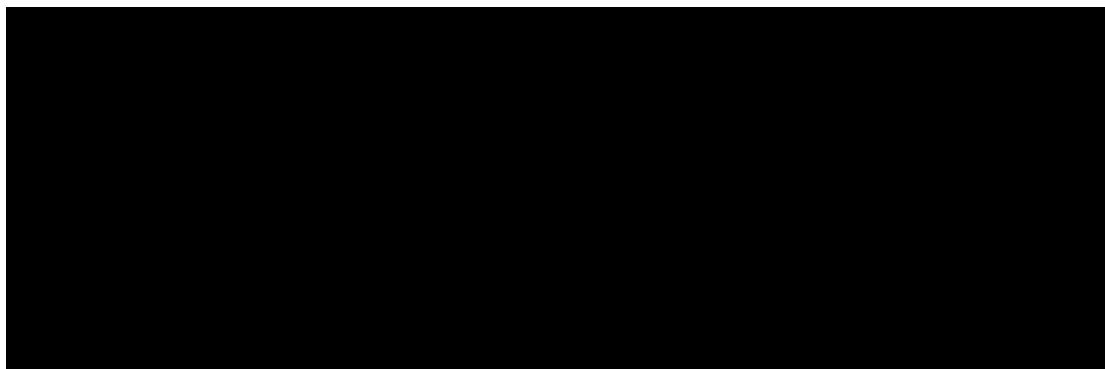
#### 19.4 Acceptance of work or rectification by others

If a direction is given under clauses 19.2(a)(iii) or 19.2(a)(v) in respect of a Defect (or a part of it) prior to the expiration of the Defects Correction Period applicable to the relevant part of the Project Works and the Tunnelling Contractor is responsible for the Defect (or the part of it) under the terms of this deed, an amount equal to the reasonable cost of correcting the Defect (or the part of it), as stated by the Principal's Representative, will be a debt due and payable from the Tunnelling Contractor to the Principal, provided that the Principal's Representative's direction under clause 19.2(a)(iii) or 19.2(a)(v) was given in accordance with clause 19.2(c) or 19.2(d).

#### 19.5 Changes under other contracts to overcome Defects

If a direction is given by the Principal's Representative under clause 19.2(a)(iv) in respect of a Defect (or a part of it) prior to the expiry of the Defects Correction Period applicable to the relevant part of the Project Works and the Tunnelling Contractor is responsible for the Defect (or the part of it) under the terms of this deed:

- (a) the Tunnelling Contractor must indemnify the Principal from and against any Liability or claim that the Principal suffers or incurs arising out of or in connection with the change or variation directed by the Principal under the relevant Interface Contract or other contract (as applicable) to the extent necessary to overcome the Defect (or the part of it); and
- (b) clause 19.4 will not apply,



## 19.6 Responsibility for Defects

- (a) Without prejudice to clauses 19.4 and 19.5, if a direction is given under any one or more of clauses 19.2(a)(iii), 19.2(a)(iv) or 19.2(a)(v) in respect of a Defect (or a part of it), thereafter as between the Tunnelling Contractor and the Principal, the Tunnelling Contractor will not be obliged to correct the Defect (or part of it) specified in the relevant direction.
- (b) If a direction is given under clauses 19.2(a)(i) or 19.2(a)(ii) in respect of a Defect (or a Part of it) and the Defect (or Part of it) is not one that the Tunnelling Contractor is liable for or obliged to correct or rectify under this deed [REDACTED], the Tunnelling Contractor will be entitled to claim:
- (i) an extension of time (if relevant); and/or
  - (ii) [REDACTED]

on account of the additional work involved in correcting or rectifying the Defect (or part of it) or carrying out the Change but only to the extent that the Tunnelling Contractor complies with clauses 21.6 and 33 (as applicable).

## 19.7 Defects correction period for the Works

- (a) Subject to clause 19.7(b), the Works within a Portion have:
- (i) a Defects Correction Period which begins on the Date of Substantial Completion of the Portion and ends on [REDACTED]; and
  - (ii) in respect of any work the subject of a direction under clause 19.2(a)(i) or 19.2(a)(ii) during the Defects Correction Period which is carried out on or after [REDACTED], a further Defects Correction Period which begins on the date of the correction of the Defect (or the part of it) or completion of the Change and continues for 12 months.
- (b) No Defects Correction Period for the Works (or any part of them) within a Portion will extend beyond [REDACTED].

## 19.8 Defects correction period and process for completion of the Local Area Works

- (a) Each discrete part of the Local Area Works has:
- (i) a Defects Correction Period of 12 months, which begins when the relevant works are complete (being the date when the relevant condition precedents are satisfied in accordance with clause 19.8(d)); and
  - (ii) a further Defects Correction Period of 12 months in respect of any work the subject of a direction under clauses 19.2(a)(i) or 19.2(a)(ii) (relating to the discrete part of the Local Area Works) during the Defects Correction Period, which begins on the date of the correction of the Defect (or the part of it) or completion of the Change,

provided that no Defects Correction Period for any discrete part of the Local Area Works will extend beyond the date that is 24 months after the date when the relevant condition precedents are satisfied in accordance with clause 19.8(d) as the date on which the relevant part of the Local Area Works were completed.

- (b) The completion of the Local Area Works will be assessed on an area by area basis either:
- (i) in accordance with this clause 19.8;
  - (ii) in the case of the Roads Interface Agreement Road Works, in accordance with the procedures in the Roads Interface Agreement in relation to Practical Completion (Roads Interface Agreement Road Works); or
  - (iii) in the case of the:
    - (A) Sydney Trains Works, in accordance with the procedure in the Sydney Trains Tunnelling Interface Agreement in relation to Practical Completion (Sydney Trains Works); and
    - (B) Sydney Trains Protection Zone Works, in accordance with the procedure in the Sydney Trains Tunnelling Interface Agreement in relation to Construction Completion (Sydney Trains Protection Zone Works).
- (c) When the Tunnelling Contractor considers that a discrete part of the Local Area Works (other than the Roads Interface Agreement Road Works, the Sydney Trains Protection Zone Works and the Sydney Trains Works) is complete, it must notify the Principal's Representative in writing and the Principal's Representative, the Project Director and the representative of any relevant Authority must jointly inspect the relevant Local Area Works at a mutually convenient time.
- (d) Each discrete part of the Local Area Works will not be regarded as complete and it is a condition precedent:
- (i) to the commencement of the Defects Correction Period for a discrete part of the Local Area Works that the Tunnelling Contractor provide the Principal's Representative with:
    - (A) a written notice from each Authority with jurisdiction over the discrete part stating that the Authority is satisfied that the discrete part is complete;
    - (B) if the Tunnelling Contractor is unable to obtain a notice required under clause 19.8(d)(i)(A) despite having used its best endeavours to do so, a statement from the Tunnelling Contractor to the effect that:
      - (aa) the discrete part of the Local Area Works is complete and the Tunnelling Contractor has notified the relevant Authority of this matter; and
      - (bb) the relevant Authority has failed or refused to provide the written notice required under clause 19.8(d)(i)(A) despite being given 15 Business Days to provide the notice requested by the Tunnelling Contractor;
    - (C) to the extent that the discrete part of the Local Area Works constitutes Roads Interface Agreement Road Works, the Independent Certifier has executed and provided to the Principal's Representative and TfNSW a certificate in the form of Schedule 5 to the Roads Interface Agreement with respect to the discrete part of the Local Area Works;
    - (D) to the extent that the discrete part of the Local Area Works constitutes:

- (aa) Sydney Trains Works, the Independent Certifier has executed and provided to the Principal's Representative and Sydney Trains a certificate in the form of Schedule 5 to the Sydney Trains Tunnelling Interface Agreement; and
- (bb) Sydney Trains Protection Zone Works, the Independent Certifier has executed and provided to the Principal's Representative and Sydney Trains a certificate in the form of Schedule 6 to the Sydney Trains Tunnelling Interface Agreement,

with respect to the discrete part of the Local Area Works; and

- (ii) to Substantial Completion of a Portion that the written notices or statements required under clauses 19.8(d)(i)(A) or 19.8(d)(i)(B) have been provided to the Principal's Representative for all discrete parts of the Local Area Works that form part of that Portion.

#### 19.9 Defects correction period and process for completion of the Utility Service Works

- (a) Each discrete part of the Utility Service Works has:
  - (i) a Defects Correction Period of 12 months, which begins when:
    - (A) the relevant Utility Service Authority which has jurisdiction in respect of the Utility Service gives written notice that the work is complete; or
    - (B) if the Tunnelling Contractor is unable to obtain a notice required under clause 19.9(a)(i)(A) despite having used its best endeavours to do so, a written statement from the Tunnelling Contractor to the effect that:
      - (aa) the discrete part of the Utility Service Works is complete and the Tunnelling Contractor has notified the relevant Utility Service Authority of this matter; and
      - (bb) the relevant Utility Service Authority has failed or refused to provide the written notice required under 19.9(a)(i)(A) despite being given 15 Business Days to provide the notice requested by the Tunnelling Contractor,

and the Principal's Representative has been provided with a copy of the notice or statement; and

- (ii) a further Defects Correction Period of 12 months in respect of any work the subject of a direction under clauses 19.2(a)(i) or 19.2(a)(ii) (relating to the discrete part of the Utility Service Works) during the Defects Correction Period, which begins:
  - (A) when the relevant Utility Service Authority gives written notice that the Defect (or the part of it) has been corrected or the Change completed and the Principal's Representative has been provided with a copy of the notice; or
  - (B) if the relevant Utility Service Authority fails or refuses to give the notice required under clause 19.9(a)(ii)(A), when the Principal's Representative determines that the Defect (or the part of it) has been corrected or the Change completed,

provided that no Defects Correction Period for any discrete part of the Utility Service Works will extend beyond the date that is 24 months after the date of the applicable notice or statement given under clause 19.9(a)(i).

- (b) It is a condition precedent to Substantial Completion of a Portion, that:
- (i) a written notice of the kind referred to in clause 19.9(a)(ii)(A) has been given for each discrete part of the Utility Service Works that form part of that Portion and the Principal's Representative has been provided with a copy of each such notice; or
  - (ii) the Tunnelling Contractor has:
    - (A) used best endeavours to obtain and provide the Principal's Representative with a written notice of the kind referred to in clause 19.9(a)(i)(A); and
    - (B) provided the Principal's Representative with a written statement of the kind referred to in clause 19.9(a)(i)(B).

#### 19.10 Defects correction period and process for completion of the Property Works

- (a) Subject to clause 19.10(b), each discrete part of the Property Works has:
- (i) a Defects Correction Period of 12 months, which begins upon:
    - (A) the completion of the Property Works; or
    - (B) submission by the Tunnelling Contractor of a certificate or signed statement (as the case may be) to the Principal's Representative under clause 14.4(a)(ii),

whichever is the later; and
  - (ii) a further Defects Correction Period of 12 months in respect of any work the subject of a direction under clauses 19.2(a)(i) or 19.2(a)(ii) (relating to the discrete part of the Property Works) during the Defects Correction Period, which begins on the date of correction of the Defect (or the part of it) or completion of the Change
- (b) No Defects Correction Period for any discrete part of the Property Works will extend beyond the date that is 24 months after the date of the applicable certificate or signed statement given under clause 14.4(a)(ii).

#### 19.11 Rights not affected

Neither the Principal's rights, nor the Tunnelling Contractor's liability, whether under this deed or otherwise according to Law in respect of Defects, whether before or after the expiration of any relevant Defects Correction Period, will be in any way affected or limited by:

- (a) the rights conferred upon the Principal or the Principal's Representative by this clause 19 or any other provision of this deed;
- (b) the exercise of, or the failure by the Principal or the Principal's Representative to exercise, any such rights; or
- (c) any direction of the Principal's Representative under clause 19.2.

### 19.12 Warranties by others

- (a) [REDACTED] the Tunnelling Contractor must, as a condition precedent to Substantial Completion of each Portion containing works or items to which the warranty relates, procure and provide each of the Principal and OpCo or any Alternate Operator (as required by the Principal's Representative), with all warranties required by Schedule A7, from the relevant Subcontractors undertaking or supplying the work or items the subject of the warranty. [REDACTED] these warranties must be in favour of the Principal and OpCo or any Alternate Operator (as required by the Principal's Representative) on the terms of the deed in Schedule A8.
- (b) The provision of those warranties will not derogate from any rights which the Principal may have against the Tunnelling Contractor in respect of the subject matter of those warranties.

### 19.13 Use of defective facilities

The Tunnelling Contractor must not allow the use of any part of the Project Works or Temporary Works which the Tunnelling Contractor knows is defective or unsafe and which threatens the health or safety of people.

### 19.14 Final inspections of Project Works

- (a) The Tunnelling Contractor, the Principal's Representative, the Independent Certifier and OpCo or any Alternate Operator will carry out a final inspection of the Project Works 6 months before the end of the Defects Correction Period (**Final Inspection**).
- (b) Within 5 Business Days of the Final Inspection, the Principal's Representative and OpCo or any Alternate Operator may give the Independent Certifier written notice of any Defects which they observed during the Final Inspection or of which they are otherwise aware.
- (c) Within 10 Business Days of the Final Inspection, the Independent Certifier must give the Principal's Representative and OpCo or any Alternate Operator a list of Defects (taking into account any notice received from the Principal's Representative or OpCo or any Alternate Operator under clause 19.14(b)).
- (d) If the Independent Certifier notifies the parties of any Defects pursuant to clause 19.14(c), the Principal may give a notice under clause 19.2 in respect of such Defect.

## 20. ADMINISTRATION OF THE PROJECT WORKS

### 20.1 Principal's Representative

- (a) The Principal:
- (i) must appoint a person to be the Principal's Representative for the purposes of this deed;
  - (ii) may at any time replace the Principal's Representative, in which event the Principal must appoint another person as the Principal's Representative; and
  - (iii) must give written notice of all appointments under clauses 20.1(a)(i) and 20.1(a)(ii) to the Tunnelling Contractor.
- (b) The Principal's Representative may:

- (i) by written notice to the Tunnelling Contractor appoint persons to exercise any of the Principal's Representative's functions under this deed;
  - (ii) not appoint more than one person to exercise the same function under this deed; and
  - (iii) revoke any appointment under clause 20.1(b)(i) by notice in writing to the Tunnelling Contractor.
- (c) The Principal's Representative may continue to exercise a function under this deed despite appointing another person to exercise the function under clause 20.1(b).
- (d) An appointee of the Principal's Representative under clause 20.1(b) may:
- (i) by written notice to the Tunnelling Contractor appoint persons to exercise any of the appointee's functions under this deed;
  - (ii) not appoint more than one person to exercise the same function under this deed; and
  - (iii) revoke any appointment under clause 20.1(d)(i) by notice in writing to the Tunnelling Contractor.
- (e) The Principal and the Tunnelling Contractor acknowledge and agree that:
- (i) [REDACTED] the Principal's Representative acts at all times as the servant or agent of the Principal, is subject to the directions of the Principal and will act solely in the interests of the Principal [REDACTED]
- [REDACTED]
- (f) The Tunnelling Contractor must comply with any Direction by the Principal's Representative given or purported to be given under a provision of this deed. Only the Principal's Representative or an appointee of the Principal's Representative under clause 20.1(b) is authorised to give any Direction to the Tunnelling Contractor pursuant to this deed. The Tunnelling Contractor must not comply with any Direction purporting to be made or given by any person on behalf of the Principal, other than the Principal's Representative or an appointee of the Principal's Representative under clause 20.1(b).

## 20.2 Tunnelling Contractor's personnel

- (a) The Tunnelling Contractor must:
- (i) provide experienced and skilled personnel to perform its obligations under this deed; and
  - (ii) ensure that its personnel (including those referred to in clause 20.2(b)) as a team carry out the Tunnelling Contractor's Activities in a manner that is courteous and co-operative and recognises the interests and needs of the local community.
- (b) The Tunnelling Contractor must:
- (i) employ those personnel specified in Schedule A9 (or where the personnel are employees of a Subcontractor or sub-subcontractor, the Tunnelling Contractor

must ensure they are so employed) in the positions and for the periods specified in Schedule A9;

- (ii) subject to clause 20.2(b)(iii), not replace the personnel referred to in clause 20.2(b)(i) (or where the personnel are employees of a Subcontractor or sub-subcontractor, the Tunnelling Contractor must ensure they are not replaced) without the Principal's Representative's prior written approval; and
- (iii) if any of the personnel referred to in clause 20.2(b)(i):
  - (A) dies;
  - (B) becomes seriously ill;
  - (C) resigns from the employment of the Tunnelling Contractor or resigns from the employment of a Subcontractor or a sub-subcontractor (as applicable); or
  - (D) becomes the subject of a direction under clause 20.2(e),

replace them (or where they are employees of a Subcontractor or sub-subcontractor, the Tunnelling Contractor must use best endeavours to ensure they are replaced) with personnel of at least equivalent experience, ability, competency and expertise (including in addition to the experience, ability and expertise required by Schedule A9, the same level of experience set out in the curriculum vitae of the relevant person being replaced, which are included in Schedule F1 as electronic files) approved by the Principal's Representative in writing (with such approval not to be unreasonably withheld).

- (c) The personnel referred to in clause 20.2(b) (including any replacements) must:
  - (i) have the qualifications, skills and experience specified in Schedule A9 (except to the extent the Principal's Representative, in its absolute discretion, elects to waive any such requirements by written notice to the Tunnelling Contractor);
  - (ii) carry out the functions and be given the authorities and responsibilities specified for them in this deed;
  - (iii) be physically based in Australia; and
  - (iv) be available for consultation with the Principal's Representative when the Principal's Representative reasonably requires.
- (d) As required by Schedule A9 Tunnelling Contractor must ensure that the person appointed to the position of "Project Director" (including any replacement):
  - (i) at all times has the authority to act on behalf of and to bind the Tunnelling Contractor in respect of the Tunnelling Contractor's Activities;
  - (ii) has full authority to promptly execute directions of the Principal or the Principal's Representative and to promptly make decisions in relation to the Tunnelling Contractor's Activities; and
  - (iii) without limiting clauses 20.2(d)(i) or 20.2(d)(ii), has delegated authority to bind the Tunnelling Contractor in relation to any matter relating to the Tunnelling Contractor's Activities which has a financial impact of [REDACTED] or less without the need to obtain any additional internal or corporate



approvals from the Tunnelling Contractor or any entity that comprises the Tunnelling Contractor.

- (e) The Principal's Representative may, in its absolute discretion and without being obliged to give any reasons, by notice in writing direct the Tunnelling Contractor to remove any person (including a person referred to in clause 20.2(b)) from the Construction Site or the Tunnelling Contractor's Activities.
- (f) The Tunnelling Contractor must ensure that any person the subject of a direction under clause 20.2(e) is not again employed in the Tunnelling Contractor's Activities, or on the Construction Site.

### 20.3 Design development meetings

- (a) The Tunnelling Contractor must hold regular meetings of its design team including its designers and, where relevant, the Proof Engineer (and in any event at Design Stage 1 and Design Stage 2 of each discrete design part or element in the Tunnelling Contractor's Activities).
- (b) The Tunnelling Contractor must give reasonable notice to the Principal's Representative of those meetings and of any other meetings at which design issues are to be discussed, including with respect to safety issues, to enable the Principal's Representative, its delegate and any representatives of an Interface Contractor to attend. The Principal may request the Tunnelling Contractor to ensure the presence at the meeting of any relevant persons from any of the Tunnelling Contractor's Subcontractors involved in the design of any part of the Project Works.
- (c) The Tunnelling Contractor must give the Principal's Representative:
  - (i) an agenda prepared in consultation with or as directed by the Principal's Representative for each design meeting no less than 48 hours prior to each meeting (which must include an accurate schedule of all design issues, including safety issues, as at the date of issue of the agenda); and
  - (ii) minutes of each design meeting within 48 hours after each meeting.

The Tunnelling Contractor agrees that no such agenda or minutes of meeting shall be relied upon by either party as a document constituting or evidencing the giving or receipt of a notice required to be given under or in accordance with this deed.

### 20.4 Project Site meetings

- (a) The Tunnelling Contractor must convene meetings on the Construction Site, or such other place or places as the Principal's Representative may direct, at weekly intervals (or such longer period as may be required by the Principal's Representative) prior to the Date of Substantial Completion of the last Portion to achieve Substantial Completion.
- (b) The meetings referred to in clause 20.4(a) will be attended by:
  - (i) the Project Director (or his or her delegate);
  - (ii) the Principal's Representative (or its delegate); and
  - (iii) any other person required by the Principal's Representative (including any Subcontractor or a representative of any Interface Contractor).

- (c) The Tunnelling Contractor must provide the Principal's Representative with an agenda prepared in consultation with the Principal's Representative for each meeting under clause 20.4(a) no less than 48 hours prior to each meeting.
- (d) The role of chairperson for meetings under clause 20.4(a) will be held by the Principal's Representative (or its delegate).
- (e) The chairperson of a meeting under clause 20.4(a) must give the Principal's Representative and all other persons who attended the meeting (and any other person nominated by the Principal's Representative) minutes of the meeting within 48 hours after the meeting. The minutes of the meeting may not be relied on by either party as a document constituting or evidencing the giving or receipt of a notice required to be given under or in accordance with this deed.
- (f) The purpose of the meetings under clause 20.4(a) includes the review of (at least) the matters set out in the reports referred to in clause 20.11 and any other matter as required by the Principal's Representative.

#### 20.5 **Management Review Group**

The Management Review Group comprises:

- (a) the following Principal's personnel:
  - (i) the Principal's Representative; and
  - (ii) the Principal's "Deputy Project Director" or any other person nominated by the Principal;
- (b) the following Tunnelling Contractor's personnel:
  - (i) the Project Director; and
  - (ii) [REDACTED];
- (c) representatives of any of the Tunnelling Contractor's Subcontractors which the Principal's Representative reasonably requires; and
- (d) any other person the Principal's Representative reasonably requires from time to time.

#### 20.6 **Management Review Group functions**

Management Review Group functions include reviewing:

- (a) the progress of the Tunnelling Contractor's Activities in relation to the Overall ETP Program and the performance of the Tunnelling Contractor;
- (b) issues arising out of community relations and community concerns, including cumulative impacts resulting from interfaces with the community;
- (c) issues arising out of the quality of the Tunnelling Contractor's Activities;
- (d) matters arising from the Design Documentation, including any proposed design changes;
- (e) value engineering opportunities and potential cost savings consistent with maintaining quality and enhancing life cycle costing;

- (f) potential impact of design and construction outcomes on operation and maintenance requirements;
- (g) environmental issues (including sustainability issues);
- (h) issues arising out of the interface with any Interface Contractor;
- (i) issues arising out of the subject of the Third Party Agreements;
- (j) issues arising out of the subject of the Adjoining Property Easements;
- (k) safety issues;
- (l) workforce development and industry participation;
- (m) issues in connection with the Chain of Responsibility Provisions;
- (n) potential Claims that the parties have agreed to temporarily waive the requirements of clause 33.2(b) or clauses 17.8(a)(ii) and 17.8(c) (as applicable) provided that the Management Review Group has no authority to waive those requirements itself;
- (o) risks included on the Risk Register and the actions that are being taken to avoid or mitigate such risks;
- (p) the Tunnelling Contractor's performance in relation to the Tunnelling Contractor's Leadership Team Behaviour KPI (as that term is defined in Schedule E10);
- (q) subcontractor procurement issues arising under clause 6; and
- (r) any other matters determined or directed by the Principal's Representative.

#### 20.7 **Management Review Group meetings**

- (a) The Management Review Group must meet:
  - (i) on a regular monthly basis prior to Substantial Completion of the last Portion to achieve Substantial Completion or such other regular period as the Principal and the Tunnelling Contractor agree in writing;
  - (ii) in accordance with this clause 20.7; and
  - (iii) at other times which the Principal's Representative or the Tunnelling Contractor requires.
- (b) The Principal's Representative must provide the Tunnelling Contractor with an agenda prepared in consultation with the Tunnelling Contractor for each meeting of the Management Review Group no less than 48 hours prior to each meeting.
- (c) The chairperson for meetings of the Management Review Group will be the Principal's Representative.
- (d) The Principal's Representative must give all members of the Management Review Group (and any other person nominated by the Principal's Representative) minutes of the meeting within 5 Business Days after the meeting.
- (e) The members of the IDAR Panel may, by invitation of either party, attend a Management Review Group meeting but will not be members of the Management Review Group.

## 20.8 Completion Steering Committee

- (a) Within 3 months of the date of this deed, the parties must establish a Completion Steering Committee.
- (b) The Completion Steering Committee will consist of:
  - (i) the Principal's Representative;
  - (ii) the Tunnelling Contractor's Project Director;
  - (iii) the Independent Certifier's Project Director; and
  - (iv) such other persons as the parties may agree from time to time.
- (c) The role of the Completion Steering Committee is to:
  - (i) provide leadership on matters relating to completion and handover of the Project Works and the Handover Works;
  - (ii) approve processes and procedures prepared by the Completion Working Group;
  - (iii) consider issues referred to it by the Completion Working Group; and
  - (iv) such other roles and functions as may be agreed by the parties.
- (d) The Completion Steering Committee must meet:
  - (i) at least once every 2 months; and
  - (ii) at such other times as the parties may agree,
 until the achievement of Substantial Completion of the last Portion.

## 20.9 Completion Working Group

- (a) Within 3 months of the date of this deed, the parties must establish a Completion Working Group.
- (b) The Completion Working Group will consist of:
  - (i) the Principal's Representative;
  - (ii) any nominees of the Principal's Representative;
  - (iii) the Project Director;
  - (iv) any nominees of the Project Director; and
  - (v) such other persons as the parties may agree from time to time.
- (c) The role of the Completion Working Group is to:
  - (i) provide a collaborative forum through which the parties can:
    - (A) plan and agree procedures for completion and handover of the Project Works and the Handover Works;

- (B) plan and agree the process for the progressive submission of records and documentation required for Substantial Completion and Completion of each Portion;
  - (C) monitor the status of activities and tasks that must be completed in order to achieve Milestone Achievement of each Milestone and Substantial Completion and Completion of each Portion; and
  - (D) identify issues which may adversely impact upon the achievement of Milestone Achievement of any Milestone or Substantial Completion or Completion of any Portion by the applicable Date for Milestone Achievement, Date for Substantial Completion or Date for Completion (as applicable);
- (ii) report to the Completion Steering Committee on matters relating to completion and handover of the Project Works and the Handover Works; and
  - (iii) such other roles and functions as may be agreed by the parties.
- (d) The Completion Working Group must meet:
- (i) at least once each month; and
  - (ii) at such other times as the parties may agree,
- until the achievement of Substantial Completion of the last Portion.

#### 20.10 **No legal effect**

The Management Review Group, the Completion Steering Committee, the Completion Working Group and the Changes Working Group are consultative and advisory only and nothing which occurs during a meeting of any such group will:

- (a) affect the rights or obligations of either party under the Tunnelling Contract Documents;
- (b) entitle a party to make any Claim against the other;
- (c) relieve a party from, or alter or affect, a party's liabilities or responsibilities whether under this deed or otherwise according to law;
- (d) prejudice a party's rights against the other whether under this deed or otherwise according to law; or
- (e) be construed as a Direction by a party to do or not do anything.

#### 20.11 **Tunnelling Contractor's reporting obligations**

- (a) The Tunnelling Contractor must provide reports to the Principal and the Principal's Representative as required by the Tunnelling Specification or as otherwise reasonably requested by the Principal's Representative.
- (b) The Principal may provide any such reports and the Overall ETP Program to any Interface Contractor.

## 20.12 Minimise disruption and complaints and notifications

- (a) The Tunnelling Contractor must and must ensure that its Associates (acting consistently with all Laws and Approvals and exercising Good Industry Practice), in carrying out the Tunnelling Contractor's Activities, minimise:
- (i) interference with the passage of people, vehicles, waterborne craft, traffic; and
  - (ii) disturbance to the occupants of the Adjoining Properties and of any other land adjoining the Construction Site or located in the vicinity of the Construction Site.
- (b) The Tunnelling Contractor must immediately notify the Principal in writing if any:
- (i) complaint is made or any proceedings are instituted or threatened;
  - (ii) letter of demand is issued; or
  - (iii) order or direction is made,
- by anyone (including any Authority or any landowner, lessee or licensee on or near the Construction Site or any Extra Land) against the Tunnelling Contractor or any of its Associates in respect of any aspect of the carrying out of the Tunnelling Contractor's Activities, including:
- (iv) Contamination, Hazardous Materials, noise or vibration arising out of, or in any way in connection with, the Tunnelling Contractor's Activities;
  - (v) the Tunnelling Contractor's non-compliance with any Planning Approval (or condition or requirement thereunder), any Project Plan, any Third Party Agreement, any Adjoining Property Easement or any Law regarding the Environment;
  - (vi) the Tunnelling Contractor's use or occupation of the Construction Site or any Extra Land;
  - (vii) the supply chain for the Tunnelling Contractor's Activities, including the bringing to and removal from the Construction Site or any Extra Land of items that require transport services; or
  - (viii) loss or damage of the kind referred to in clause 25.22.
- (c) Without limiting the Tunnelling Contractor's obligations under section 6 of the General Specification, the Tunnelling Contractor must:
- (i) deal proactively with any complaint, proceedings, letter of demand, order or direction referred to in clause 20.12(b);
  - (ii) take all reasonable measures to resolve those matters as soon as possible (including defending any proceedings or participating in any meeting of the Independent Property Impact Assessment Panel); and
  - (iii) keep a register of all complaints, proceedings, orders, letters of demand and directions referred to in clause 20.12(b), which:
    - (A) contains full details of:

- (aa) each complaint, proceedings, letter of demand, order and direction; and
  - (bb) the action taken by the Tunnelling Contractor with respect to each complaint, proceedings, letter of demand, order and direction;
  - (B) is promptly updated to take into account any developments with respect to any complaint, proceedings, letter of demand, order or direction; and
  - (C) may be inspected by the Principal's Representative whenever the Principal's Representative reasonably requires.
- (d) Where the Tunnelling Contractor receives a notification or complaint which relates to damage to any Adjoining Property or any other land or property adjoining the Construction Site or located in the vicinity of the Construction Site which arises out of or in connection with the Tunnelling Contractor's Activities, the Tunnelling Contractor must:
- (i) respond to the notification or complaint within 24 hours;
  - (ii) visit the relevant property to inspect the damage that is the subject of the notification or complaint within 5 Business Days of receipt of the notification or complaint; and
  - (iii) where the cost of repairing such damage is:
    - (A) less than or equal to [REDACTED], complete the repair such damage within 10 Business Days (or such longer period permitted by the Principal's Representative in writing) of the inspection referred to in clause 20.12(d)(ii); or
    - (B) more than [REDACTED], complete the repair of such damage within a reasonable time as notified in writing by the Principal's Representative after the inspection referred to in clause 20.12(d)(ii),
- and such costs of repairing damage will be Excluded Costs.
- (e) The Tunnelling Contractor must notify anyone who may be adversely affected by the Tunnelling Contractor's Activities before the relevant work is carried out including notification of:
- (i) the likely duration of that work; and
  - (ii) the Sydney Metro West 24 hour telephone number, postal address and email address, established by the Principal in case any person wishes to make a complaint.

**20.13 Independent Property Impact Assessment Panel**

- (a) The Tunnelling Contractor acknowledges that the Principal has established an Independent Property Impact Assessment Panel for Sydney Metro West in accordance with the requirements of the Project Planning Approval.
- (b) The Tunnelling Contractor must:
  - (i) cooperate with the Independent Property Impact Assessment Panel and provide the Independent Property Impact Assessment Panel with any

assistance, information or documentation that the Independent Property Impact Assessment Panel may reasonably require in order to carry out its functions;

- (ii) permit the Independent Property Impact Assessment Panel to access the Construction Site and inspect the Tunnelling Contractor's Activities provided that the Tunnelling Contractor is given reasonable prior written notice and the members of the Independent Property Impact Assessment Panel comply with the Tunnelling Contractor's reasonable work health and safety procedures; and
- (iii) attend any meeting of the Independent Property Impact Assessment Panel that it is requested to attend by the Principal's Representative or the chairperson of the Independent Property Impact Assessment Panel provided that the Tunnelling Contractor is given reasonable prior written notice of any such meeting.

#### 20.14 **Media requests**

The Tunnelling Contractor must not issue any information, publication, document or article for publication concerning the Tunnelling Contractor's Activities in any media without the prior written approval of the Principal's Representative. In granting approval, the Principal's Representative may make such approval subject to any conditions which it may determine.

If the Tunnelling Contractor receives a direct request from the media for comment in respect of any aspect of the Tunnelling Contractor's Activities, it must promptly provide details of such request to the Principal's Representative.

#### 20.15 **Industrial relations**

The Tunnelling Contractor must in carrying out the Tunnelling Contractor's Activities:

- (a) assume sole responsibility for and manage all aspects of industrial relations for the Tunnelling Contractor's Activities;
- (b) keep the Principal's Representative fully and promptly informed of industrial relations problems or issues which affect or are likely to affect the carrying out of the Tunnelling Contractor's Activities; and
- (c) without limiting clause 8.1, comply with the Construction and Site Management Plan, the Workplace Relations Management Plan and the NSW Guidelines.

#### 20.16 **Document management and transmission**

- (a) Without limiting clause 34.1, the Tunnelling Contractor must manage and transmit documents, including using an electronic medium (such as the PDMS) where required by the Principal's Representative, in accordance with the processes, procedures and systems in section 2.6 of the General Specification or as otherwise required by the Principal's Representative.
- (b) Documents supplied to the Tunnelling Contractor will remain the property of the Principal and must be returned by the Tunnelling Contractor to the Principal on demand in writing. The documents must not, without the prior written approval of the Principal, be used, copied or reproduced for any purpose other than the execution of the Tunnelling Contractor's Activities.
- (c) The Tunnelling Contractor must keep all the Tunnelling Contractor's records relating to the Tunnelling Contractor's Activities in a secure and fire proof storage.



- (d) The Tunnelling Contractor will not be entitled to make, and the Principal will not be liable upon, any Claim arising out of or in any way in connection with complying with its obligations under this clause 20.16.
- (e) The Tunnelling Contractor must ensure that any Contract Documentation and Materials that it provides to the Principal in computer readable form contains no virus or computer software code which is intended or designed to:
  - (i) permit access to or use of a computer system by a third person not authorised by the Principal; or
  - (ii) disable, damage or erase, or disrupt or impair the normal operation of any other software or data on a computer system.

#### 20.17 Exchange of Information between Government agencies

- (a) The Tunnelling Contractor authorises the Principal, its employees and agents to make information concerning the Tunnelling Contractor (including any MS Information obtained from the Tunnelling Contractor pursuant to clause 8.12) available to NSW government departments or agencies. Such information may include, but need not be limited to, any information provided by the Tunnelling Contractor to the Principal and any information relating to the Tunnelling Contractor's performance under this deed.
- (b) The Tunnelling Contractor acknowledges that any information about the Tunnelling Contractor from any source, including but not limited to substantiated reports of unsatisfactory performance, may be taken into account by the Principal and NSW government departments and agencies in considering whether to offer the Tunnelling Contractor future opportunities for NSW government work.
- (c) The Tunnelling Contractor also acknowledges that the Principal has in place processes for assessing the performance of its contractors, that these processes will apply to the Tunnelling Contractor's performance under this deed and that it will participate in the Principal's contractor performance reporting process.

#### 20.18 Quarterly whole of project reviews

- (a) In each quarter in a calendar year at any time prior to Final Completion, the Principal may require that the Tunnelling Contractor attend and participate in one or more meetings with the Principal and its other contractors for Sydney Metro West. The purpose of these meetings is for the Principal, the Tunnelling Contractor and the Principal's other contractors to work together in good faith on a co-operative and collaborative basis to identify and consider:
  - (i) issues and potential issues that have, or which may have, an adverse impact upon the successful delivery of Sydney Metro West or any part of Sydney Metro West;
  - (ii) solutions to such issues or potential issues which may mitigate, remedy or avoid any adverse impact upon the successful delivery of Sydney Metro West or any part of Sydney Metro West;
  - (iii) improvements that can be implemented to save time, reduce cost or improve the quality of Sydney Metro West or any part of Sydney Metro West;
  - (iv) the manner in which any such solutions and improvements can be implemented; and
  - (v) any other matters that the Principal may require.

- (b) If the Principal requires the Tunnelling Contractor to attend and participate in any meeting contemplated by clause 20.18(a), the Principal's Representative must provide the Tunnelling Contractor with at least 10 Business Days prior written notice of any such meeting.
- (c) If the Principal's Representative provides the Tunnelling Contractor with a notice under clause 20.18(b), the Tunnelling Contractor must ensure that the following personnel attend and participate in the meeting:
  - (i) the Tunnelling Contractor's Project Director;
  - (ii) representatives of any of the Tunnelling Contractor's Subcontractors which the Principal's Representative reasonably requires; and
  - (iii) any other person directed by the Principal's Representative.

#### 20.19 **Early Warning**

- (a) The Tunnelling Contractor must enter any early warning on the Risk Register as soon as it becomes aware of any fact, matter or thing which may give rise to a risk of:
  - (i) a delay to Milestone Achievement of any Milestone;
  - (ii) a delay to Substantial Completion of any Portion;
  - (iii) a delay to Completion of any Portion;
  - (iv) a delay or an adverse effect on the performance of the Tunnelling Contractor's Activities or the Project Works;
  - (v) a party being in breach of any term of this deed; or
  - (vi) a Claim by the Tunnelling Contractor.
- (b) The notices under clause 20.19(a) are separate from, and not a precondition to, the notices required under clause 21.6.
- (c) Each early warning entered on the Risk Register must include a description of the risk and the actions which are to be taken to avoid or mitigate the risk.
- (d) The Tunnelling Contractor must provide the Principal with real time access to the Risk Register or as otherwise directed by the Principal's Representative.
- (e) The Principal may in its absolute discretion and without any obligation to do so, provide an early warning in relation to any fact, matter or thing described under clause 20.19(a) or any other risk it considers appropriate by entering the risk the subject of the early warning on the Risk Register.
- (f) The Tunnelling Contractor must attend risk management meetings with the Principal's Representative on a monthly basis or as otherwise directed by the Principal's Representative. At risk management meetings, the parties agree to:
  - (i) review the current Risk Register;
  - (ii) develop proposals and seek solutions for avoiding or mitigating the risks listed on the Risk Register and the Tunnelling Contractor must inform the Principal if it considers any such proposal or solution would give rise to a Change or otherwise give rise to a Claim by the Tunnelling Contractor;

- (iii) decide upon any specific action to be taken by the parties in response to the risks listed on the Risk Register; and
  - (iv) remove from the Risk Register those risks which have been avoided or passed.
- (g) A notification, record or action under this clause 20.19 will not relieve the Tunnelling Contractor from or alter its rights, liabilities or obligations under this deed, including any and all other notification obligations under this deed.

## 21. TIME AND COMPLETION

### 21.1 Start and progress

- (a) The Tunnelling Contractor must:
- (i) start to perform its obligations under this deed from the date of this deed; and
  - (ii) regularly and diligently progress the Tunnelling Contractor's Activities in accordance with this deed to ensure that:
    - (A) Milestone Achievement of each Milestone is achieved by the Date for Milestone Achievement for the Milestone;
    - (B) Substantial Completion of each Portion is achieved by the Date for Substantial Completion for the Portion; and
    - (C) Completion of each Portion is achieved by the Date for Completion for the Portion.
- (b) Without limiting its rights under the SOP Act, the Tunnelling Contractor must not suspend the progress of the whole or any part of the Tunnelling Contractor's Activities except where directed by a court or by the Principal's Representative under clauses 8.6(e) or 21.8 or as otherwise required by Law.
- (c) Without limiting clause 21.1(d) or clause 21.2(d), the Tunnelling Contractor must give the Principal's Representative reasonable advance notice of any information, documents or directions required by the Tunnelling Contractor to carry out the Tunnelling Contractor's Activities in accordance with this deed.
- (d) The Principal and the Principal's Representative will not be obliged to furnish information, documents or directions, earlier than the Principal or the Principal's Representative, as the case may be, should reasonably have anticipated at the date of this deed.

### 21.2 Tunnelling Contractor's programming obligations

- (a) The Tunnelling Contractor:
- (i) warrants that the initial version of the Overall ETP Program contained in Schedule A23; and
  - (ii) must ensure that each update to the Overall ETP Program,
- complies with and contains the details required by section 2.2 of the General Specification.

- (b) The Tunnelling Contractor must:
- (i) update the Overall ETP Program and report against it:
    - (A) in accordance with the Tunnelling Specification and section 2.2 of the General Specification; and
    - (B) if the Principal gives early access under clause 14.1(a) or early access is deemed to be given under clause 14.1(f);
  - (ii) provide the reports and updated Overall ETP Program in accordance with section 2.2 of the General Specification; and
  - (iii) give the Independent Certifier and the Principal's Representative the reports required by the Tunnelling Specification and section 2.2 of the General Specification in an electronic form approved in writing by the Principal.
- (c) No submission of or Direction relating to, or review of or comment upon, the Overall ETP Program prepared by the Tunnelling Contractor, by the Principal or the Principal's Representative in connection with the Overall ETP Program nor the inclusion of the Overall ETP Program as a schedule to this deed, will:
- (i) relieve the Tunnelling Contractor from or alter its liabilities or obligations under this deed, especially (without limitation) the obligations under clause 21.3;
  - (ii) evidence or constitute notification of a delay or the claiming of or the granting of, an extension of time to any Date for Milestone Achievement, Date for Substantial Completion or Date for Completion, or a Direction by the Principal's Representative to accelerate, disrupt, prolong or vary any, or all, of the Tunnelling Contractor's Activities; or
  - (iii) affect the time for performance of the Principal's or the Principal's Representative's obligations under this deed, including obliging the Principal or the Principal's Representative to do anything earlier than is necessary to enable the Tunnelling Contractor to achieve Milestone Achievement of a Milestone by the Date for Milestone Achievement, Substantial Completion of a Portion by the Date for Substantial Completion of the Portion or Completion of a Portion by the Date for Completion of the Portion.
- (d) if the Tunnelling Contractor chooses to compress the Tunnelling Contractor's Activities or otherwise accelerate progress:
- (i) neither the Principal nor the Principal's Representative will be obliged to take any action to assist or enable the Tunnelling Contractor to achieve Milestone Achievement of a Milestone before the Date for Milestone Achievement of the Milestone, Substantial Completion of a Portion before the Date for Substantial Completion of the Portion or Completion of a Portion before the Date for Completion of the Portion; and
  - (ii) the time for the carrying out of the Principal's or the Principal's Representative's obligations will not be affected.
- (e) All documentation and information provided by the Tunnelling Contractor under this clause 21.2 must be provided on an Open Book Basis.

### 21.3 **Dates for Substantial Completion and Completion**

The Tunnelling Contractor must achieve:

- (a) Milestone Achievement of each Milestone by the Date for Milestone Achievement of the relevant Milestone;
- (b) Substantial Completion of each Portion by the Date for Substantial Completion of the relevant Portion; and
- (c) Completion of each Portion by the Date for Completion of the relevant Portion.

### 21.4 **Importance of Completion on time**

The Tunnelling Contractor acknowledges:

- (a) the importance of complying with its obligations under clause 21.3 to enable:
  - (i) Interface Contractors to carry out and complete the Interface Works within the time required by their respective Interface Contracts;
  - (ii) subject to clause 1.9:
    - (A) OpCo to carry out the work required under the OpCo Project Deed in order that operations of Sydney Metro West may commence; and
    - (B) any Alternate Operator to operate and maintain Sydney Metro West, including so as to allow the Principal to pursue improved public transport in Sydney.
- (b) The Date for Milestone Achievement of any Milestone and the Date for Substantial Completion or the Date for Completion of any Portion will only be extended as set out in:
  - (i) clause 21.6;
  - (ii) a Change Order issued by the Principal's Representative; or
  - (iii) a determination under the Dispute Procedure or arbitration or litigation proceedings.

### 21.5 **Risk and notice of delay**

- (a) Except as expressly provided for in clause 21.6, the Tunnelling Contractor accepts the risk of all delays in, and disruption to, the carrying out of the Tunnelling Contractor's Activities and performance of its obligations under this deed both before and after any Date for Milestone Achievement of a Milestone or Date for Substantial Completion or any Date for Completion (as applicable) of a Portion.
- (b) The Tunnelling Contractor must within 5 Business Days of becoming aware of (or when it ought reasonably to have first become aware of) the commencement of an Excusable Cause of Delay which has, or is likely to, give rise to a delay in achieving Milestone Achievement of a Milestone or Substantial Completion or Completion of a Portion, give the Principal's Representative written notice of:
  - (i) details of the Excusable Cause of Delay; and

- (ii) how Milestone Achievement of each relevant Milestone or Substantial Completion or Completion of each relevant Portion (as applicable) has or is likely to be delayed.

## 21.6 Extension of time

- (a) If the Tunnelling Contractor is, or will be, delayed by an Excusable Cause of Delay, in a manner that will delay it from achieving Milestone Achievement of a Milestone or Substantial Completion or Completion of a Portion, the Tunnelling Contractor may claim an extension of time to the relevant Date for Milestone Achievement, Date for Substantial Completion or Date for Completion (as applicable).
- (b) To claim an extension of time the Tunnelling Contractor must:
- (i) [REDACTED] within 20 Business Days of becoming aware of (or when it ought reasonably to have first become aware of) the commencement of an Excusable Cause of Delay which has or will give rise to a delay in achieving Milestone Achievement of a Milestone or Substantial Completion or Completion of a Portion, submit a written claim to the Principal's Representative for an extension of time to the Date for Milestone Achievement of the Milestone or the Date for Substantial Completion or Date for Completion of the Portion (as applicable), specifying:
- (A) the number of days claimed;
- (B) details of the Excusable Cause of Delay and why the Excusable Cause of Delay actually caused or will cause a delay in achieving Milestone Achievement of a Milestone or Substantial Completion or Completion of a Portion (as applicable), including a statement of the facts and the provisions of this deed on which the claim is based;
- (C) detailed evidence in satisfaction of the requirements of this clause 21.6;
- (D) critical path analysis in the same format as the Overall ETP Program for the period of the delay; and
- (E) if early access to the Construction Site was given, or deemed to be given under clause 14.1(f), details of the extent (if any) to which the Tunnelling Contractor's use of Accessible parts of the Construction Site has mitigated the delay (or details of why such use has not mitigated the delay) or to the extent the Tunnelling Contractor did not use the parts of the Construction Site made Accessible, why the delay would not have been mitigated had it used such early access.

- [REDACTED]
- (iii) if the effects of the delay continue for more than 20 Business Days after the date of commencement of the Excusable Cause of Delay and the Tunnelling Contractor wishes to claim an extension of time in respect of the further delay, submit a further written claim to the Principal's Representative containing the information required by clause 21.6(b)(i) [REDACTED]:
- (A) every 15 Business Days after the first written claim made under clause 21.6(b)(i) (or such other period as approved in writing by the Principal's Representative); and

- (B) until 5 Business Days after the cessation of the Excusable Cause of Delay,

provided that the Tunnelling Contractor is not required to submit a further written claim in respect of the further delay if it does not wish to amend the written claim initially submitted to the Principal's Representative and notifies the Principal's Representative in writing to this effect.

- (c) The Principal's Representative may, within 10 Business Days of receiving the Tunnelling Contractor's claim or further claim for an extension of time for Milestone Achievement of a Milestone or Substantial Completion or Completion of a Portion, by written notice to the Tunnelling Contractor, request additional information in relation to the claim or further claim. The Tunnelling Contractor must, within 10 Business Days of receiving such request, provide the Principal's Representative with the information requested.

- (d) [REDACTED] it is a condition precedent to the Tunnelling Contractor's entitlement to an extension of time that:

- (i) the Tunnelling Contractor gives the claims required by clause 21.6(b) as required by that clause;
- (ii) the Tunnelling Contractor has been, or will be, delayed in achieving Milestone Achievement of a Milestone or Substantial Completion or Completion of a Portion (as applicable) by one or more Excusable Causes of Delay; and
- (iii) subject to clause 21.6(f)(iii), the Tunnelling Contractor has not been given a direction to accelerate under clause 21.9(a)(ii) with respect to the whole of the delay the subject of the claim under clause 21.6(b).

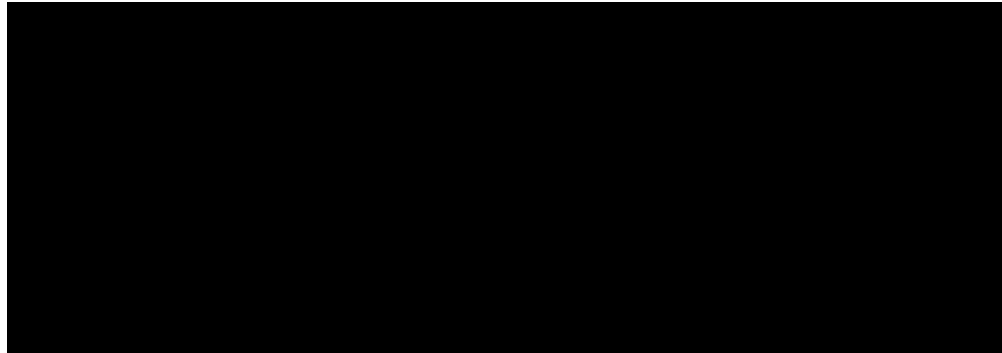
- (e) If the Tunnelling Contractor fails to comply with any of the conditions precedent in clause 21.6(d):

- (i) the Principal will not be liable upon any Claim by the Tunnelling Contractor; and
- (ii) the Tunnelling Contractor will be absolutely barred from making any Claim against the Principal,

arising out of or in any way in connection with any delay or disruption that arises from the relevant Excusable Cause(s) of Delay unless the Tunnelling Contractor is otherwise expressly permitted under the terms of this deed to a [REDACTED]

- (f) Subject to clauses 21.6(h) and 21.9(j), if the conditions precedent in clause 21.6(d) have been satisfied, the Principal's Representative must determine the reasonable extension of time to the Date for Milestone Achievement of a Milestone or Date for Substantial Completion or Date for Completion of a Portion (as applicable) to which the Tunnelling Contractor is entitled either:

[REDACTED]



- (iii) where the Principal's Representative has given the Tunnelling Contractor a direction to accelerate under clause 21.9(a)(ii) with respect to all or part of a delay the subject of the Tunnelling Contractor's claim under clause 21.6(b) and subsequently issues a notice under clause 21.9(g) withdrawing the direction to accelerate, within 15 Business Days after the date of issue of the notice withdrawing the acceleration,

by giving the Tunnelling Contractor:

- (iv) written notice of the determination which includes the extension of time granted and the adjusted Date for Milestone Achievement, Date for Substantial Completion or Date for Completion (as applicable), with reasons where the extension of time granted is for a shorter period of time than that claimed by the Tunnelling Contractor; or
  - (v) if no extension is granted, written notice of that decision, with reasons.
- (g) A failure of the Principal's Representative to grant an extension of time in accordance with clause 21.6(f) will not cause time to be set at large, but nothing in clause 21.6(f) or this clause 21.6(g) will prejudice any right of the Tunnelling Contractor to claim damages.
- (h) [REDACTED] in respect of each claim for an extension of time under clause 21.6(b), the Tunnelling Contractor's entitlement to an extension of time will be reduced to the extent that:
- (i) the Tunnelling Contractor or any of its Associates caused or contributed to the delay or the Excusable Cause of Delay was within the reasonable control of the Tunnelling Contractor [REDACTED]
  - (ii) the Tunnelling Contractor failed to take all reasonably practicable steps to preclude the cause of the delay or to avoid or minimise the consequences of the delay, including, without limitation:
    - (A) the expenditure of reasonable sums of money to accommodate the cause of delay and the Tunnelling Contractor's Activities affected by the delay; or
    - (B) taking reasonable steps to reschedule or resequence activities within the Overall ETP Program; or
  - (iii) having regard to (without limitation) clause 21.6(b)(i)(E), the cause of the delay could practicably have been precluded or its consequences could practicably have been avoided or minimised if the Tunnelling Contractor had utilised any early access to the Construction Site that was given under clause 14.1(a) (or deemed to be given under clause 14.1(f)) to the extent that the



- relevant part of the Construction Site to which early access was given was Accessible, but where such early access was given or deemed to be given it was not utilised by the Tunnelling Contractor; or
- (iv) a delay caused by an Excusable Cause of Delay and a delay caused other than by an Excusable Cause of Delay occurred at the same time and the Tunnelling Contractor would have been delayed even if the Excusable Cause of Delay had not occurred; or
  - (v) the Tunnelling Contractor has been given a direction to Accelerate under clause 21.9(a)(ii) to overcome part of any delay.
- (i) The Principal's Representative may in its absolute discretion for any reason and at any time, from time to time, by notice in writing to the Tunnelling Contractor and the Principal unilaterally extend the Date for Milestone Achievement of a Milestone or Date for Substantial Completion or Date for Completion of a Portion (as applicable) by any period specified in a notice to the Tunnelling Contractor and the Principal. The power to extend the Date for Milestone Achievement of a Milestone or Date for Substantial Completion or Date for Completion of a Portion under this clause 21.6(i):
- (i) may be exercised whether or not the Tunnelling Contractor has made, or is entitled to make, a claim for an extension of time to any Date for Substantial Completion or Date for Completion, or is entitled to be, or has been, granted an extension of time to any relevant Date for Milestone Achievement, Date for Substantial Completion or Date for Completion, under this clause 21.6;
  - (ii) subject to clause 21.6(i)(iii), may only be exercised by the Principal's Representative and the Principal's Representative is not required to exercise its discretion under this clause 21.6(i) for the benefit of the Tunnelling Contractor;
  - (iii) without limiting clause 20.1(e), may be exercised or not exercised (as the case may be) by the Principal's Representative in accordance with the directions of the Principal; and
  - (iv) is not a Direction which can be the subject of a Dispute pursuant to clause 27 or in any other way opened up or reviewed by any other person (including the IDAR Panel or any Expert, arbitrator or court).
- (j) If the Principal's Representative gives the Tunnelling Contractor a direction to accelerate under clause 21.9(a)(ii) and the direction only applies to part of the delay, the Tunnelling Contractor's entitlement to any extension of time which it otherwise would have had will be reduced to the extent that the direction to accelerate requires the Tunnelling Contractor to accelerate to overcome the delay.
- (k) The Tunnelling Contractor acknowledges and agrees that, when determining the Tunnelling Contractor's entitlement to claim an extension of time pursuant to clause 21.6(b):
- (i) where the Tunnelling Contractor is delayed by reason of the cause set out in paragraph (h) of the definition of Excusable Cause of Delay, the Tunnelling Contractor's entitlement to claim an extension of time will be reduced to the extent that the Tunnelling Contractor failed to cooperate with the Principal and provide any assistance or documentation that the Principal may reasonably require in relation to that Native Title Claim; and
  - (ii) where the Tunnelling Contractor is delayed by reason of the cause set out in paragraph (i) of the definition of Excusable Cause of Delay, the Tunnelling Contractor will only be entitled to claim an extension of time in respect of each

day of delay that occurs after the 10 day aggregate period referred to in paragraph (i) of the definition of Excusable Cause of Delay has elapsed.

#### 21.7 **Corrective action**

- (a) If at any time the progress of the Tunnelling Contractor's Activities has fallen behind that shown in the Overall ETP Program or otherwise is not in accordance with this deed, the Tunnelling Contractor must take the necessary corrective action so as to ensure that progress is maintained in accordance with this deed. Such corrective action may include the working of overtime and additional shifts, the application of more resources to carry out the work and the adjustment and rescheduling of activities and any proposals for the creation of additional Portions. The Principal's Representative from time to time may direct the Tunnelling Contractor to provide details of the corrective action it plans to take under this clause 21.7(a).
- (b) If the Tunnelling Contractor fails to take corrective action in accordance with clause 21.7(a), the Principal's Representative may direct the Tunnelling Contractor as to the corrective action it is to take (which may include the creation of additional Portions as contemplated by clause 12.1 or clause 12.3) and the Tunnelling Contractor must comply with that direction and will not be entitled to make any Claim against the Principal arising out of or in any way in connection with that direction.
- (c) No direction by the Principal's Representative will be taken to constitute a direction under clause 21.7(a) unless the direction is in writing, is signed by the Principal's Representative and expressly states that it is a direction under clause 21.7(a).

#### 21.8 **Suspension**

- (a) The Principal's Representative may direct the Tunnelling Contractor to:
  - (i) suspend the progress of the Tunnelling Contractor's Activities or any part of them for such time or times as the Principles may think fit; and
  - (ii) subsequently recommence any suspended part of the Tunnelling Contractor's Activities.
- (b) If the suspension arises as a result of:
  - (i) the Tunnelling Contractor's failure to carry out its obligations under this deed (including under clause 11 or where the Tunnelling Contractor otherwise fails to comply with its obligations in relation to engineering authorisation or AMB compliance in accordance with this deed or where any process, procedure, test method, calculation, analysis or report required by this deed has resulted in or will result in a non-conformance), the Tunnelling Contractor will not be entitled to make any Claim against the Principal arising out of, or in any way in connection with, the suspension and any costs incurred by the Tunnelling Contractor in connection with the suspension under this clause 21.8(b)(i) are Excluded Costs; or
  - (ii) a cause other than the Tunnelling Contractor's failure to perform its obligations under this deed:
    - (A) a direction to suspend under this clause 21.8 will entitle the Tunnelling Contractor to:
      - (aa) [REDACTED]

- (bb) an extension of time to the Date for Milestone Achievement of any Milestone or Date for Substantial Completion of any Portion, where it is otherwise so entitled under clause 21.6;
- (B) the Tunnelling Contractor must take all reasonable steps possible to mitigate the amounts payable by the Tunnelling Contractor to Subcontractors or the increase in the amount of Reimbursable Work or Preliminaries incurred by it and any delay in achieving Milestone Achievement of any Milestone or Substantial Completion of any Portion (as applicable) as a result of the suspension.
- (c) The Tunnelling Contractor will not be entitled to make any Claim against the Principal arising out of, or in any way in connection with, the suspension other than under clause 21.8(b)(ii).

#### 21.9 **Directions to change sequencing, accelerate, defer activities or make accessible**

- (a) The Principal's Representative may, by notice in writing expressly referring to this clause 21.9(a), direct the Tunnelling Contractor:
  - (i) in what order and at what time stages or parts of the Tunnelling Contractor's Activities must be performed (**Resequencing**);
  - (ii) to complete the Tunnelling Contractor's Activities in advance of the dates for completion of those activities shown on the Overall ETP Program, including to:
    - (A) achieve Milestone Achievement of any Milestone prior to the relevant Date for Milestone Achievement;
    - (B) achieve Substantial Completion or Completion of any Portion prior to the relevant Date for Substantial Completion or Date for Completion (as applicable); or
    - (C) overcome or minimise the extent and effects of some or all of a delay that is the subject of a claim by the Tunnelling Contractor under clause 21.6(b) irrespective of whether or not the cause of delay for which the Tunnelling Contractor has made its claim under clause 21.6(b) entitles the Tunnelling Contractor to an extension of time to the relevant Date for Milestone Achievement, Date for Substantial Completion or Date for Completion,
- (iii) to defer performance of any part of the Tunnelling Contractor's Activities until after Milestone Achievement or Substantial Completion (**Deferred Activities**), in which case the Tunnelling Contractor will not be required to complete the Deferred Activities as a condition precedent to Milestone Achievement or Substantial Completion of the relevant Milestone or Portion, and the Principal must specify a reasonable time period after Substantial Completion within which Deferred Activities must subsequently be performed; or
- (iv) to promptly make a work space ready for an Interface Contractor to perform work even if Milestone Achievement or Substantial Completion for that work space has not been reached (**Making Accessible**).

#### (Acceleration);

- (b) The Principal's Representative may request that, prior to implementing any direction given under clause 21.9(a), the Tunnelling Contractor provide details of the estimated:

- (i) [REDACTED]
- (ii) impact on the Overall ETP Program; and
- (iii) impact on any Date for Milestone Achievement, Date for Substantial Completion or Date for Completion (if any),

arising from the direction and the Tunnelling Contractor must provide such details within 15 Business Days of the Principal's Representative's request, and must use best endeavours to provide such details within 10 Business Days of the Principal's Representative's request.

- (c) A direction under clause 21.9(a) may specify a revised Date for Milestone Achievement for a Milestone or Date for Substantial Completion or Date for Completion for a Portion as a consequence of the Resequencing, Acceleration, Deferred Activities or Making Accessible. The revised Date for Milestone Achievement, Date for Substantial Completion or Date for Completion may be earlier than the then current Date for Milestone Achievement, Date for Substantial Completion or Date for Completion (as applicable).
- (d) The Tunnelling Contractor must comply with a direction given under clause 21.9(a)(iii) and clause 21.9(a)(iv).
- (e) The Tunnelling Contractor must comply with a direction given under clause 21.9(a)(i) and 21.9(a)(ii) except to the extent:
- (i) it is not reasonably possible for the Tunnelling Contractor to perform the proposed Acceleration or Resequencing; and
- (ii) the Tunnelling Contractor, in a notice required under clause 17.8(a)(i), gives a detailed explanation of the reasons why it is not reasonably possible for the Tunnelling Contractor to perform the proposed Acceleration or Resequencing.
- (f) If a direction has been given under clause 21.9(a), the Principal's Representative will, subject to clause 21.9(j), adjust the affected Date for Milestone Achievement, Date for Substantial Completion or Date for Completion (as applicable):
- (i) to the new date(s) identified in the direction given under clause 21.9(a); or
- (ii) if the Tunnelling Contractor has delivered a notice under clause 21.9(e)(ii), earlier dates determined by the Principal's Representative, having regard to what is reasonably possible.
- (g) The Principal's Representative may, at any time, by notice in writing to the Tunnelling Contractor withdraw a direction given under 21.9(a) provided that, if:
- (i) the Tunnelling Contractor has taken steps to comply with such direction, the withdrawal will be treated as a new direction given under clause 21.9(a) to which clause 21.9(h) also applies; and
- (ii) the direction was given under clause 21.9(a)(ii) to overcome or minimise the extent and effects of some or all of a delay which was the subject of a claim by the Tunnelling Contractor under clause 21.6(b), the Tunnelling Contractor will be entitled to any extension of time to which it may otherwise have been

entitled but reduced to the extent that any acceleration by the Tunnelling Contractor prior to the withdrawal of the direction has mitigated the delay, as stated by the Principal's Representative.

- (h) Where the Tunnelling Contractor considers that a direction given under clause 21.9(a) constitutes a Change, the Tunnelling Contractor must give the Principal's Representative notice under and in accordance with clause 17.8(a)(i).
- (i) If the Tunnelling Contractor does not give notice strictly in accordance with clause 17.8(a)(i) it will have no Claim against the Principal in connection with a direction given under clause 21.9(a).
- (j) The Tunnelling Contractor will have no entitlement to an extension of time or [REDACTED] for a Direction under clause 21.9(a) to the extent that:
  - (i) the need for Acceleration, Resequencing, Deferred Activities or Making Accessible arises out of or in connection with any breach of this deed by the Tunnelling Contractor (for example, a failure to reach Substantial Completion of a Portion by the Date for Substantial Completion of that Portion); and
  - (ii) the direction for Acceleration, Resequencing, Deferred Activities or Making Accessible is:
    - (A) in effect:
      - (aa) a direction to the Tunnelling Contractor to perform the Tunnelling Contractor's Activities in accordance with this deed (other than this clause), or consistently with this deed; or
      - (bb) a direction to the Tunnelling Contractor to take corrective action to rectify any non-compliance with the requirements of this deed; or
    - (B) related to rectification of a Defect [REDACTED].
- (k) Except to the extent set out in clauses 21.6(d), 21.9(i) and 21.9(j), this clause 21.9 does not impact the Tunnelling Contractor's entitlement under this deed to an extension of time.
- (l) The Principal's right to liquidated damages under clause 21.10(d) and where applicable, common law damages pursuant to clause 21.10(i)(ii), for a failure by the Tunnelling Contractor to achieve Milestone Achievement of a Milestone by the Date for Milestone Achievement of the Milestone or Substantial Completion of a Portion by the Date for Substantial Completion of the Portion will not be affected by a Direction given by the Principal's Representative under this clause 21.9.

**21.10 Liquidated damages for delay in reaching Milestone Achievement and Substantial Completion**

- (a) The Principal and the Tunnelling Contractor agree and acknowledge that the Principal is pursuing a policy of building Sydney Metro West and the Project Works for purposes that include achieving the objectives set out in clauses 3.1 and 3.2.
- (b) The Tunnelling Contractor and the Principal acknowledge and agree that the Tunnelling Contractor's Activities represents a most important element of the building of Sydney Metro West, as a major new public transport link which, together

with Sydney Metro City & Southwest and Sydney Metro Northwest (and their integration), will service the needs of Sydney, including the needs of its workforce and its economy, and will provide frequent rapid transit services to handle projected population increases, create employment both during and after the Tunnelling Contractor's Activities, improve the efficiency of the Sydney public transport network and improve the local environment.

- (c) The Tunnelling Contractor acknowledges and agrees that its failure to achieve Milestone Achievement of the Milestones by the required Dates for Milestone Achievement and Substantial Completion of the Portions by the required Dates for Substantial Completion will not only result in direct losses to the Principal, but will also lead to the failure of the Principal to achieve its policy objectives to the immediate detriment of the Principal and of those on whose behalf the policy objectives are pursued. The loss arising from this failure of the Principal to achieve its policy objectives is not capable of easy or precise calculation.
- (d) The Tunnelling Contractor agrees that if it does not:
- (i) achieve Milestone Achievement of a Milestone by the Date for Milestone Achievement of the Milestone, it must pay the Principal the applicable amount of liquidated damages for that Milestone set out in section 3 of Schedule A2 (each of which is exclusive of GST) for every day after the Date for Milestone Achievement of the Milestone up to and including:
    - (A) the Date of Milestone Achievement of the applicable Milestone; or
    - (B) the date that this deed is validly terminated,
 whichever first occurs;
  - (ii) achieve Substantial Completion of a Portion by the Date for Substantial Completion of the Portion, it must pay the Principal the applicable amount of liquidated damages for that Portion set out in section 2 of Schedule A2 (each of which is exclusive of GST) for every day after the Date for Substantial Completion of the Portion up to and including:
    - (A) the Date of Substantial Completion of the applicable Portion; or
    - (B) the date that this deed is validly terminated,
 whichever first occurs.
- (e) The parties agree that the liquidated damages provided for in clause 21.10(d):
- (i) represent proper, fair and reasonable amounts recoverable by the Principal arising from the failure of the Tunnelling Contractor to achieve Milestone Achievement of a Milestone by the Date for Milestone Achievement or Substantial Completion of the Portion by the Date for Substantial Completion of the Portion (as applicable) and do not constitute, and are not intended to be, a penalty and have been freely agreed to by the Tunnelling Contractor; and
  - (ii) will be recoverable by the Principal from the Tunnelling Contractor as a debt due and payable.
- (f) The Principal and the Tunnelling Contractor acknowledge and agree that they are both parties contracting at arms' length, have equal bargaining power, possess extensive commercial experience and expertise and are being advised by their own

legal, accounting, technical, financial, economic and other commercial professionals in relation to their rights and obligations pursuant to this deed.

- (g) The Tunnelling Contractor agrees to pay the liquidated damages under clause 21.10(d) without any duress, coercion, undue influence or any other form of unconscionable conduct or impermissible or objectionable persuasion on the part of the Principal.
- (h) The Tunnelling Contractor entered into the obligation to pay the amounts specified in clause 21.10(d) with the intention that it is a legally binding, valid and enforceable contractual provision against the Tunnelling Contractor in accordance with its terms.
- (i) The Tunnelling Contractor agrees:
  - (i) to exclude and expressly waives the right of the benefit of, to the extent permissible, the application or operation of any legal rule or norm, including under statute, equity and common law, relating to the characterisation of liquidated amounts payable under a deed upon a breach occurring as penalties or the enforceability or recoverability of such liquidated amounts; and
  - (ii) that if this clause 21.10 (or any part of this clause 21.10) is found for any reason to be void, invalid or otherwise inoperative so as to disentitle the Principal from recovering liquidated damages, the Principal will be entitled to recover general damages (including loss of revenue and loss of profits from the loss of use of the Works) as a result of the Tunnelling Contractor failing to achieve Milestone Achievement of a relevant Milestone by its Date for Milestone Achievement or Substantial Completion of a relevant Portion by its Date for Substantial Completion, but the Tunnelling Contractor's liability for such damages (whether per day or in aggregate) will not be any greater than the liability which it would have had if the clause had not been void, invalid or otherwise inoperative.
- (j) The Principal's Representative, when issuing a payment schedule pursuant to clauses 22.3(e) or 22.3(g) after the Date for Milestone Achievement of a relevant Milestone or the Date for Substantial Completion of a relevant Portion (as applicable), may include a provisional assessment of the amount then provisionally due by way of liquidated damages then accruing under clause 21.10(d) to the date of the payment schedule (despite Milestone Achievement of that Milestone or Substantial Completion of that Portion (as applicable) not having occurred).
- (k) The Principal and the Tunnelling Contractor agree that the aggregate of the amount payable under clauses 21.10(d) and 21.10(i)(ii) is:
  - (i) limited as set out in clause 24.1(b); and
  - (ii) will be the Principal's sole financial remedy against the Tunnelling Contractor for failing to achieve Milestone Achievement of any Milestone by the relevant Date for Milestone Achievement or Substantial Completion of any Portion by the relevant Date for Substantial Completion,

and the Principal will not be entitled to make, nor will the Tunnelling Contractor be liable upon, any Claim in these circumstances other than for the amount for which the Tunnelling Contractor is liable under this clause 21.10 (including where applicable common law damages under clause 21.10(i)(ii)). The sole remedy provided in this clause 21.10(k)(ii) relates to the delay itself and does not:

- (iii) limit the Principal's rights with respect to an event giving rise to a delay or the consequences of that event (other than the delay); or

- (iv) limit or reduce the Tunnelling Contractor's liability for any other acts, omissions or default (including the Principal's entitlement to damages other than liquidated damages) with respect to an event giving rise to delay or the consequences of that event,

including where this deed is terminated by the Principal under clause 26 or otherwise at Law.

- (l) The Tunnelling Contractor acknowledges and agrees that the payment of liquidated damages pursuant to clause 21.10(d) and where applicable, the payment of common law damages pursuant to clause 21.10(i)(ii), will not relieve the Tunnelling Contractor of any of its obligations under this deed.

#### 21.11 Milestone Achievement

- (a) The Tunnelling Contractor must, in respect of each Milestone, give the Principal's Representative:
  - (i) 6 months;
  - (ii) 3 months;
  - (iii) 1 month; and
  - (iv) 1 week,

written notice of the estimated Date of Milestone Achievement of the Milestone.

- (b) Subject to clause 21.11(h), the Principal's Representative, the Project Director and the Independent Certifier must, within 5 Business Days of receipt of the notice referred to in clause 21.11(a)(ii) jointly inspect the Tunnelling Contractor's Activities at a mutually convenient time.
- (c) Within 2 Business Days of the joint inspection referred to in clause 21.11(b), the Independent Certifier must give the Tunnelling Contractor and the Principal a notice either:
  - (i) containing a list of items which it believes must be completed before Milestone Achievement of the Milestone is achieved; or
  - (ii) stating that it believes the Tunnelling Contractor is so far from achieving Milestone Achievement of the Milestone that it is not practicable to issue a list as contemplated in clause 21.11(c)(i).
- (d) When the Tunnelling Contractor considers it has achieved Milestone Achievement of the Milestone, the Tunnelling Contractor must notify the Principal's Representative and the Independent Certifier in writing and provide them with an executed certificate in the form of Schedule B27. Notwithstanding the foregoing, the parties acknowledge that the Principal's Representative may also issue a written notice to the Independent Certifier and the Tunnelling Contractor if it considers, in its absolute discretion, that the Tunnelling Contractor has achieved Milestone Achievement of a Milestone.
- (e) Following the issuance of a notice by the Tunnelling Contractor or the Principal's Representative under clause 21.11(d), and subject to clause 21.11(h), the Principal's Representative, the Project Director and the Independent Certifier must jointly inspect the Tunnelling Contractor's Activities at a mutually convenient time.



- (f) Following the joint inspection under clause 21.11(e), the Independent Certifier must within 5 Business Days of receipt of a notice under clause 21.11(d), or of receipt of a notice under clause 21.11(g):
- (i) if Milestone Achievement of the Milestone has been achieved provide to the Principal's Representative and the Tunnelling Contractor a document signed by the Independent Certifier in the form in Schedule B28; or
  - (ii) if Milestone Achievement of the Milestone has not been achieved, issue a notice to the Tunnelling Contractor and the Principal in which it states:
    - (A) the items which remain to be completed before Milestone Achievement of the Milestone; or
    - (B) that the Tunnelling Contractor is so far from achieving Milestone Achievement of the Milestone that it is not practicable to notify the Tunnelling Contractor of the items which remain to be completed as contemplated by clause 21.11(f)(ii)(A).
- (g) If the Independent Certifier issues a notice under clause 21.11(f)(ii), the Tunnelling Contractor must proceed with the Tunnelling Contractor's Activities and thereafter when it considers it has achieved Milestone Achievement of the Milestone it must give the Principal's Representative and the Independent Certifier written notice to that effect after which clauses 21.11(d) to 21.11(f) will reapply.
- (h) The Tunnelling Contractor acknowledges and agrees that:
- (i) the Principal's Representative may invite any other person to attend any joint inspection provided for by this clause 21.11, including representatives of any Interface Contractor; and
  - (ii) the Principal's Representative and any Interface Contractor may provide comments to the Independent Certifier (with a copy to the Tunnelling Contractor) in relation to any non-compliance of the Tunnelling Contractor's Activities with this deed.

#### 21.12 Substantial Completion

- (a) The Tunnelling Contractor must, in respect of each Portion, give the Principal's Representative:
- (i) 6 months;
  - (ii) 3 months;
  - (iii) 1 month; and
  - (iv) 1 week,
- written notice of the estimated Date of Substantial Completion of the Portion.
- (b) Subject to clause 21.12(h), the Principal's Representative, the Project Director and the Independent Certifier must, within 5 Business Days of receipt of the notice referred to in clause 21.12(a)(ii) jointly inspect the Tunnelling Contractor's Activities at a mutually convenient time.
- (c) Within 2 Business Days of the joint inspection referred to in clause 21.12(b), the Independent Certifier must give the Tunnelling Contractor and the Principal a notice either:

- (i) containing a list of items which it believes must be completed before Substantial Completion of the Portion is achieved; or
  - (ii) stating that it believes the Tunnelling Contractor is so far from achieving Substantial Completion of the Portion that it is not practicable to issue a list as contemplated in clause 21.12(c)(i).
- (d) When the Tunnelling Contractor considers it has achieved Substantial Completion of the Portion, the Tunnelling Contractor must notify the Principal's Representative and the Independent Certifier in writing and provide them with an executed certificate in the form of Schedule B1. Notwithstanding the foregoing, the parties acknowledge that the Principal's Representative may also issue a written notice to the Independent Certifier and the Tunnelling Contractor if it considers, in its absolute discretion, that the Tunnelling Contractor has achieved Substantial Completion of a Portion.
- (e) Following the issuance of a notice by the Tunnelling Contractor or the Principal's Representative under clause 21.12(d), and subject to clause 21.12(h), the Principal's Representative, the Project Director and the Independent Certifier must jointly inspect the Tunnelling Contractor's Activities at a mutually convenient time.
- (f) Following the joint inspection under clause 21.12(e), the Independent Certifier must within 5 Business Days of receipt of a notice under clause 21.12(d), or of receipt of a notice under clause 21.12(g):
- (i) if Substantial Completion of the Portion has been achieved:
    - (A) provide to the Principal's Representative and the Tunnelling Contractor a document signed by the Independent Certifier in the form in Schedule B14; and
    - (B) additionally:
      - (aa) if the relevant Portion includes any Roads Interface Agreement Road Works or any Roads Interface Agreement Project Works, provide to the Principal's Representative and TfNSW:
        - (a) a certificate in the form of Schedule 5 to the Roads Interface Agreement with respect to the Roads Interface Agreement Road Works; and/or
        - (b) a certificate in the form of Schedule 8 to the Roads Interface Agreement with respect to the Roads Interface Agreement Project Works,
 (as applicable); or
      - (bb) if the relevant Portion includes any Sydney Trains Works or Sydney Trains Protection Zone Works, provide to the Principal's Representative and Sydney Trains:
        - (a) a certificate in the form of Schedule 5 to the Sydney Trains Tunnelling Interface Agreement with respect to the Sydney Trains Works; and/or
        - (b) a certificate in the form of Schedule 6 to the Sydney Trains Tunnelling Interface Agreement with respect to the Sydney Trains Protection Zone Works,
 (as applicable);

- (cc) if the relevant Portion includes any SLR Interface Works, provide to the Principal's Representative and TfNSW a certificate in the form of Schedule 6 to the SLR Interface Deed with respect to the SLR Interface Works; and
- (ii) if Substantial Completion of the Portion has not been achieved, issue a written notice to the Tunnelling Contractor and the Principal in which it states:
  - (A) the items which remain to be completed before Substantial Completion of the Portion; or
  - (B) that the Tunnelling Contractor is so far from achieving Substantial Completion of the Portion that it is not practicable to notify the Tunnelling Contractor of the items which remain to be completed as contemplated by clause 21.12(f)(ii)(A).
- (g) If the Independent Certifier issues a notice under clause 21.12(f)(ii) the Tunnelling Contractor must proceed with the Tunnelling Contractor's Activities and thereafter when it considers it has achieved Substantial Completion of the Portion it must give the Principal's Representative and the Independent Certifier written notice to that effect after which clauses 21.12(d) to 21.12(f) will reapply.
- (h) The Tunnelling Contractor acknowledges and agrees that:
  - (i) the Principal's Representative may invite any other person to attend any joint inspection provided for by this clause 21.12. including representatives of any Interface Contractor; and
  - (ii) the Principal's Representative, any Interface Contractor may provide comments to the Independent Certifier (with a copy to the Tunnelling Contractor) in relation to any non-compliance of the Tunnelling Contractor's Activities with this deed.
- (i) Without affecting the Tunnelling Contractor's obligation to achieve Substantial Completion of each Portion by the relevant Date for Substantial Completion the parties acknowledge that:
  - (i) no separate Date for Substantial Completion of the Project Works is specified in this deed;
  - (ii) Substantial Completion of the Project Works is achieved by achieving Substantial Completion of all Portions;
  - (iii) Substantial Completion of the Project Works will be taken to have occurred once Substantial Completion of all Portions has occurred; and
  - (iv) the Date of Substantial Completion of the Project Works will be taken to be the Date of Substantial Completion of the last Portion to reach Substantial Completion.

### 21.13 Completion

- (a) When the Tunnelling Contractor considers it has achieved Completion of the Portion, the Tunnelling Contractor must notify the Principal's Representative and the Independent Certifier in writing and provide them with an executed certificate in the form of Schedule B20. Thereafter, and subject to clause 21.13(d), the Principal's Representative, the Project Director and the Independent Certifier must, within 5 Business Days of receipt of the Tunnelling Contractor's written notice, jointly inspect the Tunnelling Contractor's Activities at a mutually convenient time.

- (b) Following the joint inspection under clause 21.13(a), the Independent Certifier must within 5 Business Days of receipt of a notice under clause 21.13(a), or of receipt of a notice under clause 21.13(c):
- (i) if Completion of the Portion has been achieved, provide to the Principal's Representative and the Tunnelling Contractor a document signed by the Independent Certifier in the form in Schedule B21; or
  - (ii) if Completion of the Portion has not been achieved, issue a written notice to the Tunnelling Contractor and the Principal in which it states:
    - (A) the items which remain to be completed before Completion of the Portion; or
    - (B) that the Tunnelling Contractor is so far from achieving Completion of the Portion that it is not practicable to notify the Tunnelling Contractor of the items which remain to be completed as contemplated by clause 21.13(b)(ii)(A).
- (c) If the Independent Certifier issues a notice under clause 21.13(b)(ii) the Tunnelling Contractor must proceed with the Tunnelling Contractor's Activities and thereafter when it considers it has achieved Completion of the Portion it must give the Principal's Representative and the Independent Certifier written notice to that effect after which clauses 21.13(a) and 21.13(b) will reapply.
- (d) The Tunnelling Contractor acknowledges and agrees that:
- (i) the Principal's Representative may invite any other person to attend any joint inspection provided for by this clause 21.13, including representatives of any Interface Contractor; and
  - (ii) the Principal's Representative, any Interface Contractor may provide comments to the Independent Certifier (with a copy to the Tunnelling Contractor) in relation to any non-compliance of the Tunnelling Contractor's Activities with this deed.
- (e) Without affecting the Tunnelling Contractor's obligation to achieve Completion of each Portion by the relevant Date for Completion of each Portion the parties acknowledge that:
- (i) no separate Date for Completion of the Project Works is specified in this deed;
  - (ii) Completion of the Project Works is achieved by achieving Completion of all Portions;
  - (iii) Completion of the Project Works will be taken to have occurred once Completion of all Portions has occurred; and
  - (iv) the Date of Completion of the Project Works will be taken to be the Date of Completion of the last Portion to reach Completion.

**21.14 Effect of Notice of Milestone Achievement, Notice of Substantial Completion or Notice of Completion**

- (a) A Notice of Milestone Achievement, Notice of Substantial Completion or Notice of Completion (as applicable) will not:
- (i) constitute approval by the Principal or the Principal's Representative of the Tunnelling Contractor's performance of its obligations under this deed;

- (ii) be taken as an admission or evidence that the Project Works or the Handover Works comply with this deed; or
  - (iii) prejudice any rights or powers of the Principal or the Principal's Representative.
- (b) Without limiting clause 21.14(a), the parties agree that, in the absence of manifest error by the Independent Certifier, the Independent Certifier's certification as set out in a Notice of Milestone Achievement, Notice of Substantial Completion or Notice of Completion (as applicable) is final and binding on the parties for the purposes only of establishing that Milestone Achievement of a Milestone or Substantial Completion or Completion (as applicable) of the relevant Portion has occurred.

#### 21.15 **Access following Milestone Achievement of a Milestone or Substantial Completion of a Portion**

Following Milestone Achievement of each Milestone and Substantial Completion of each Portion, the Principal must procure that each relevant Interface Contractor provides the Tunnelling Contractor with such access to the Construction Site as may be reasonably required by the Tunnelling Contractor in order to perform any Deferred Activities and to rectify any Defects including Minor Defects and Agreed Defects identified in a Notice of Milestone Achievement or Notice of Substantial Completion, subject to the Tunnelling Contractor complying with the requirements of the Master Interface Deed.

#### 21.16 **Transitional Handover Services and handover**

- (a) The Principal's Representative may give written notice to the Tunnelling Contractor at least 5 Business Days before the Tunnelling Contractor's:
- (i) estimated Date of Substantial Completion of a Portion (which has been notified in accordance with clause 21.12(a)); or
  - (ii) estimated Date of Milestone Achievement of a Milestone (which has been notified in accordance with clause 21.11(a),

that Transitional Handover Services must be carried out in respect of the Portion or the Milestone Area (as applicable).

- (b) Unless otherwise directed in writing by the Principal's Representative, the Tunnelling Contractor must perform Transitional Handover Services in respect of Portion 10 from the Date of Substantial Completion of Portion 10 until the Date of Substantial Completion of the last Portion to achieve Substantial Completion without the need for any notice to be given by the Principal's Representative under clause 21.16(a)(i) in respect of Portion 10, without being entitled to any adjustment to the Target Cost and without being entitled to any payment pursuant to clause 22.14.
- (c) If the Principal's Representative gives a notice under clause 21.16(a) or if clause 21.16(b) applies:
- (i) in respect of:
    - (A) a Portion, the Tunnelling Contractor must carry out the Transitional Handover Services in respect of the Portion from the relevant Date of Substantial Completion until the date specified in a notice given by the Principal pursuant to clause 21.16(d); or
    - (B) a Milestone Area, the Tunnelling Contractor must carry out the Transitional Handover Services in respect of the Milestone Area from

the relevant Date of Milestone Achievement until the date specified in a notice given by the Principal pursuant to clause 21.16(d);

- (ii) in respect of Portion 10, such Transitional Handover Services will constitute Reimbursable Work (and for the avoidance of doubt will not constitute Provisional Sum Work); and
  - (iii) in respect of each other Portion and each Milestone Area, such Transitional Handover Services will constitute Provisional Sum Work for which the Tunnelling Contractor will be paid in accordance with clause 22.14.
- (d) At any time after issuing a notice under clause 21.16(a) in respect of a Portion or a Milestone Area (as applicable) or after Substantial Completion of Portion 10, the Principal's Representative may give further written notice to the Tunnelling Contractor that the Tunnelling Contractor is to cease performance of the Transitional Handover Services in respect of the Portion or Milestone Area (as applicable) on the date specified in the further notice, which date must be at least 3 Business Days after the date on which the Tunnelling Contractor receives such notice.
- (e) On the Portion Handover Date:
- (i) the Tunnelling Contractor must:
    - (A) hand control of the Portion to the Principal; and
    - (B) provide the Principal with all spare parts, consumables and special tools as required by the Tunnelling Specification (including section 7.6.1 of the General Specification); and
  - (ii) there must not be any Encumbrances over the Handover Works.
- (f) On the Milestone Area Handover Date, the Tunnelling Contractor must hand control of the Milestone Area to the Principal.

## 21.17 Final Completion

- (a) When the Tunnelling Contractor considers that Final Completion has been reached, it must give the Principal's Representative notice in writing and deliver a final payment claim which complies with the requirements of clause 22.3(n).
- (b) If the Principal's Representative considers that Final Completion has been reached, it will issue a Final Certificate with the payment schedule issued pursuant to clause 22.3(e).
- (c) The Final Certificate is without prejudice to any of the Principal's rights under this deed and is not evidence of accord and satisfaction of the Tunnelling Contractor's Activities or the Project Works.

## 22. PAYMENT

### 22.1 Principal's payment obligation for design and construction

- (a) Subject to clauses 22.4 and 22.10 and to any other right to set-off that the Principal may have under this deed, the Principal will pay the Tunnelling Contractor the following amounts in accordance with this clause 22 for the progressive completion of the Tunnelling Contractor's Activities:
  - (i) monthly instalments of the Reimbursable Costs, relating to the Reimbursable Work which has been carried out in the relevant month;

- (ii) Management Fee and Preliminaries Fee paid at the same time as any Reimbursable Costs;
  - (iii) amounts relating to Provisional Sum Work in accordance with clause 22.14(d);
  - (iv) the Key Plant and Equipment Amount;
  - (v) any Early Site Access Payment that may become payable pursuant to clause 14.1(h);
  - (vi) the Share of Savings (if any); and
  - (vii) not used.
- (b) Schedules E1, E2, E9 and E10 set out (among other things):
- (i) those parts of the Tunnelling Contractor's Activities which must be completed before the Tunnelling Contractor may claim a progressive payment with respect to that part;
  - (ii) the payment the Tunnelling Contractor may claim for each progressive payment;
  - (iii) any limitations or other constraints on the Tunnelling Contractor's ability to make claims for payment; and
  - (iv) the restrictions (if any) on the timing and sequencing of the Tunnelling Contractor's Activities with which the Tunnelling Contractor must comply.
- (c) [REDACTED] the Target Cost (including all elements of the Target Cost), the Share of Savings, the Share of Cost Overrun and the rates set out in this deed are not subject to rise and fall.
- (d) The Tunnelling Contractor acknowledges and agrees that it will not be entitled to make, and the Principal will not be liable upon, a Claim in respect of any Excluded Costs incurred or payable by the Tunnelling Contractor arising out of or in connection with the Tunnelling Contractor's Activities or this deed.

## 22.2 Monthly payment claim forecasting

- (a) No later than the twenty-second day of each month (or if this day is not a Business Day, the next Business Day after this day), the Tunnelling Contractor must provide the Principal's Representative with its estimate of the magnitude of its end of month progress claim, for that month (**Progress Claim Forecast**).
- (b) The Progress Claim Forecast must include a one page summary detailing the magnitude of the payment and assumptions used to determine the amount including specifically assumptions of forecast work to be completed up to the end of that month.

## 22.3 Payment claims

- (a) The Tunnelling Contractor must give the Principal's Representative a progress claim on account of all amounts then payable by the Principal to the Tunnelling Contractor under this deed (including any amounts payable under clause 21.16(c)(ii)):
  - (i) on the last Business Day of each month, which progress claim must capture all work performed up to and including the twenty-fifth day of the same month

(excluding any work captured in any previous progress claim made by the Tunnelling Contractor under this deed); and

- (ii) thirty (30) Business Days after:
  - (A) the issue of a Notice of Substantial Completion for the last Portion to reach Substantial Completion; and
  - (B) the issue of a notice of Final Completion under clause 21.17(a).
- (b) For each claim made under clauses 22.3(a), 22.13 and 22.15, the Tunnelling Contractor must:
  - (i) give the Principal's Representative:
    - (A) a claim in a format required by the Principal's Representative (including electronic format) showing the amount the Tunnelling Contractor claims on account of:
      - (aa) the Reimbursable Costs payable to:
        - (a) Subcontractors; and
        - (b) the Tunnelling Contractor;
      - (bb) the Preliminaries Fee;
      - (cc) the Management Fee;
      - (dd) the Key Plant and Equipment Amount;
      - (ee) the Share of Savings (if any);
      - (ff) not used; and
      - (gg) other amounts payable under this deed by the Principal to the Tunnelling Contractor; and
    - (B) where the Principal has given notice under clause 23.6(a)(iv), a valid tax invoice for any taxable supplies to which the payment relates; and
  - (ii) in the case of the payment claims issued after:
    - (A) the issue of a Notice of Substantial Completion for the last Portion to reach Substantial Completion; and
    - (B) the issue of a notice of Final Completion under clause 21.17(a),  
comply with clause 22.3(n).
- (c) For the purposes of claiming Reimbursable Costs, the following provisions apply:
  - (i) where costs could be claimed as a Reimbursable Cost or another type of cost, the Tunnelling Contractor must claim such costs as Reimbursable Costs;
  - (ii) all discounts or rebates received by the Tunnelling Contractor in relation to goods or services procured for the purposes of the Tunnelling Contractor's Activities must be applied to reduce the Reimbursable Costs;



- (iii) any credit on the sale of surplus materials or excavated material in connection with the Tunnelling Contractor's Activities must be applied to reduce Reimbursable Costs;
- (iv) where an Tunnelling Contractor's employee's salary package includes a motor vehicle, the costs of that motor vehicle must be covered by the relevant multiplier in Schedule E2, and cannot be separately claimed as Reimbursable Costs;
- (v) subject to clause 22.3(c)(viii), the Tunnelling Contractor can only recover a maximum of 100 per cent of any bona fide Reimbursable Costs incurred by the Tunnelling Contractor in carrying out the Tunnelling Contractor's Activities;
- (vi) Reimbursable Costs in respect of Approved Subcontracts are taken to have been incurred at the times stated in clause 22.3(c)(vii)(A) for the relevant goods or services (without limiting clause 22.3(c)(vii)(C));
- (vii) for the purposes of clause 22.3(c)(vi):
  - (A) the Tunnelling Contractor may only claim such Reimbursable Costs if:
    - (aa) it has received a final tax invoice from the relevant Subcontractor under an Approved Subcontract;
    - (bb) if clause 22.3(c)(vii)(A)(aa) does not apply, it has issued a payment schedule to the relevant Subcontractor under an Approved Subcontract; or
    - (cc) if clause 22.3(c)(vii)(A)(bb) does not apply, it has received a payment claim from the relevant Subcontractor under an Approved Subcontract,

and may not otherwise claim Reimbursable Costs on account of the estimated value of Reimbursable Work carried out;
  - (B) the Tunnelling Contractor must provide proof of all amounts actually paid to Subcontractors under Approved Subcontracts (once paid); and
  - (C) the Principal may deduct from any subsequent payment the amount by which the original amount claimed by the Tunnelling Contractor under this deed exceeds the actual amount paid by the Tunnelling Contractor to the Subcontractor under an Approved Subcontract; and
- (viii) the Tunnelling Contractor is not required to apply any amount or Liability which it has recovered or may be entitled to recover from a Subcontractor under an Approved Subcontract in respect of delay in performing the Tunnelling Contractor's Activities (whether as liquidated damages, general damages or otherwise) to reduce or offset the Reimbursable Costs payable by the Tunnelling Contractor to the Subcontractor, except to the extent that the Tunnelling Contractor has been granted, or is entitled to, an extension of time under clause 21.6 in respect of some or all of the relevant delay.
- (d) Each claim for payment must set out or attach (to a standard directed by the Principal from time to time) sufficient details, calculations, supporting documentation and any other information required by the Principal in respect of all amounts claimed by the Tunnelling Contractor:

- (i) with respect to Reimbursable Work carried out under Approved Subcontracts, the relevant:
  - (A) payment schedule from the Tunnelling Contractor to the Subcontractor specifying the amount due to the Subcontractor; or
  - (B) payment claim from the Subcontractor to the Tunnelling Contractor specifying the amount claimed by the Subcontractor,
    - for the relevant period of the payment claim;
- (ii) with respect to Self-Performed Reimbursable Work comprising labour by the Tunnelling Contractor's employees:
  - (A) timesheets for the relevant period of the payment claim; and
  - (B) where the amount of any Direct Base Salary for any Tunnelling Contractor's Employee is different from the Direct Base Salary previously claimed for that Tunnelling Contractor's Employee, the relevant salary information;
- (iii) with respect to Self-Performed Reimbursable Work comprising Construction Plant, utilisation logs for the relevant period of the payment claim; and
- (iv) without limiting clauses 22.3(d)(i) to 22.3(d)(iii), such other information as may be:
  - (A) required to enable the Principal's Representative to fully and accurately determine (without needing to refer to any other documentation or information) the amounts then payable by the Principal to the Tunnelling Contractor under this deed and by the Tunnelling Contractor to the Principal; and
  - (B) otherwise required by the Principal from time to time, whether in relation to a specific payment or not.
- (e) The Principal's Representative must, on behalf of the Principal, within 10 Business Days of receipt of the Tunnelling Contractor's claim under clause 22.3(a), issue to the Tunnelling Contractor and the Principal, a payment schedule stating the amount (if any) which the Principal's Representative believes to be then payable by the Principal to the Tunnelling Contractor under this deed and which the Principal proposes to pay to the Tunnelling Contractor or the amount which the Principal's Representative believes to be then payable by the Tunnelling Contractor to the Principal, including details of the calculation of the progress amount.
- (f) In issuing a payment schedule the Principal's Representative:
  - (i) may deduct from the amount which would otherwise be payable to the Tunnelling Contractor any amount which the Principal is entitled to retain, deduct, withhold or set-off under this deed, including any amount which the Principal is entitled to set-off or withhold under clause 22.10; and
  - (ii) must if the payment schedule shows an amount less than the amount claimed by the Tunnelling Contractor in the progress claim, set out in the payment schedule why the amount is less and if the reason for the difference is that the Principal has retained, deducted withheld or set-off payment for any reason, the reason for the retention, deduction, withholding or setting-off of payment.

- (g) If the Tunnelling Contractor does not give the Principal's Representative a progress claim at a time required by clause 22.3(a), the Principal's Representative may nevertheless (but is not obliged to) issue a payment schedule as if a progress claim was made at the time required.
- (h) A payment schedule issued under clause 22.3(e) or 22.3(g) will separately identify the sum of the amounts due on account of the:
  - (i) the Reimbursable Costs payable to:
    - (A) Subcontractors; and
    - (B) the Tunnelling Contractor;
  - (ii) the Preliminaries Fee;
  - (iii) the Key Plant and Equipment Amount;
  - (iv) the Management Fee;
  - (v) the Share of Savings (if any);
  - (vi) not used; and
  - (vii) other amounts payable under this deed by the Principal to the Tunnelling Contractor.
- (i) Where the Principal has given notice under clause 23.6(a)(iv), if the amount set out in a payment schedule issued under clause 22.3(e) is different to the amount in the Tunnelling Contractor's progress claim or if the Principal's Representative issues a payment schedule under clause 22.3(g), the Tunnelling Contractor must, within 2 Business Days of receiving the payment schedule, issue a revised tax invoice or adjustment note (as the case may be) to the Principal to reflect the amount in the payment schedule.
- (j) Within 15 Business Days of the date of the Tunnelling Contractor's progress claim in accordance with clause 22.3(a) or within 5 Business Days of the issue of a payment schedule in accordance with clause 22.3(g):
  - (i) where the payment schedule provides that an amount is payable by the Principal to the Tunnelling Contractor, but subject to clauses 22.5, 22.6, 22.7, 22.8 and 27.11 and Schedules E1, E2, E9 and E10, the Principal must pay the Tunnelling Contractor the progress payment due to the Tunnelling Contractor as certified in the payment schedule; and
  - (ii) where the payment schedule provides that an amount is payable by the Tunnelling Contractor to the Principal, the Tunnelling Contractor must pay the Principal the amount due to the Principal as certified in the payment schedule.
- (k) If the Tunnelling Contractor lodges a progress claim earlier than at the times specified under clause 22.3(a), the Principal's Representative will not be obliged to issue the payment schedule in respect of that progress claim earlier than it would have been obliged had the Tunnelling Contractor submitted the progress claim in accordance with this deed.
- (l) Despite any other provisions of this deed to the contrary, the amount of any progress claim to which the Tunnelling Contractor is entitled in relation to this deed and the amount to be allowed by the Principal's Representative in any payment schedule

issued under clauses 22.3(e) or 22.3(g) as the amount payable to the Tunnelling Contractor arising out of or in any way in connection with this deed will:

- (i) not include the following amounts:
    - (A) any Excluded Costs;
    - (B) any amount which this deed provides cannot be claimed or is not payable because of the failure by the Tunnelling Contractor to take any action (including to give any notice to the Principal or the Principal's Representative);
    - (C) any amount which represents unliquidated damages claimed against the Principal (whether for breach of contract, in tort or otherwise);
    - (D) any amount which this deed provides is not payable until certain events have occurred or conditions have been satisfied, to the extent those events have not occurred or those conditions have not been satisfied (including any events identified in the Cost Performance Incentive Regime Schedule);
    - (E) any amount in respect of which the obligation of the Principal to make payment has been suspended under this deed;
    - (F) any amount in respect of which the Tunnelling Contractor has failed to provide supporting information as required by this deed; or
    - (G) any amount for work which is not in accordance with this deed;
  - (ii) deduct the following amounts:
    - (A) any amounts which have become due from the Tunnelling Contractor to the Principal under this deed; and
    - (B) any amounts which the Principal is entitled under this deed to retain, deduct, withhold or set-off against the progress claim, including under clauses 22.5, 22.6 or 22.10;
  - (iii) in determining amounts to be excluded or deducted under subparagraphs (i) and (ii) of this clause 22.3(l), have regard to matters or circumstances occurring at any time before the date that the determination is being made; and
  - (iv) be determined having regard to the amounts payable in accordance with Schedules E1, E2, E9 and E10.
- (m) Failure by the Principal's Representative to set out in a payment schedule an amount which the Principal is entitled to retain, deduct, withhold or set-off from the amount which would otherwise be payable to the Tunnelling Contractor by the Principal will not prejudice the Principal's right to subsequently exercise its right to retain, deduct, withhold or set-off any amount under this deed.
- (n) The Tunnelling Contractor must include in the payment claim lodged by it after:
- (i) the issue of a Notice of Substantial Completion for the last Portion to reach Substantial Completion; and
  - (ii) the issue of a notice of Final Completion under clause 21.17(a),

all Claims that the Tunnelling Contractor wishes to make against the Principal in respect of any fact, matter or thing arising out of, or in any way in connection with, the Tunnelling Contractor's Activities, the Project Works or this deed which occurred:

- (iii) in the case of the payment claim referred to in subparagraph (i) of this clause 22.3(n), prior to the date of that payment claim; and
  - (iv) in the case of the payment claim referred to in subparagraph (ii) of this clause 22.3(n), in the period between the date of the payment claim referred to in subparagraph (i) of this clause 22.3(n) and the date of the payment claim.
- (o) The Tunnelling Contractor releases the Principal from any Claim in respect of any fact, matter or thing arising out of, or in any way in connection with, the Tunnelling Contractor's Activities, the Project Works or this deed that occurred prior to the date of submission of the relevant payment claim referred to in subparagraphs (i) or (ii) of clause 22.3(n), except for any claim which:
- (i) has been included in the relevant payment claim which is given to the Principal's Representative within the time required by, and in accordance with, clause 22.3(a); and
  - (ii) has not been barred under another provision of this deed.
- (p) Where any part of a payment to be made by the Principal to the Tunnelling Contractor is in respect of work carried out by a Subcontractor, the Principal will pay that part of the payment into the Project Bank Account.
- (q) The Tunnelling Contractor may only make withdrawals from the Project Bank Account to pay the relevant Subcontractor for work carried out by that Subcontractor that forms part of the relevant payment claim.
- (r) Interest on amounts standing to the credit of the Project Bank Account will accrue for the benefit of the Principal.

#### 22.4 Effect of payment schedules and payments

- (a) Neither the issue of a payment schedule under clauses 22.3(e) or 22.3(g), nor the making of any payment pursuant to any such payment schedule, will:
- (i) constitute the approval of any work or other matter or prejudice any Claim by the Principal or the Principal's Representative;
  - (ii) constitute evidence of the value of any work or an admission of liability or evidence that work has been executed or completed in accordance with this deed; or
  - (iii) prejudice the right of either party to dispute under clause 27 whether any amount certified as payable in a payment schedule is the amount properly due and payable (and on determination, whether under clause 27 or as otherwise agreed, of the amount properly due and payable, the Principal or the Tunnelling Contractor, as the case may be, will be liable to pay the difference between the amount of such payment and the amount which is properly due and payable),

and any payments made pursuant to a payment schedule are payments on account only.

- (b) The Principal may at any time correct, modify or amend any payment schedule.

## 22.5 Provision of documentation and other requirements

- (a) Subject to clause 22.5(c), the Principal is not obliged to pay the Tunnelling Contractor any more than █████ of the amount that the Principal's Representative would otherwise have set out in any payment schedule unless and until the Tunnelling Contractor has:
- (i) effected and is maintaining all insurances that the Tunnelling Contractor is required to effect and maintain under clause 25 and has complied with clause 25.15;
  - (ii) complied with clauses 7.1(a) and 7.10;
  - (iii) complied with its obligations under clause 4.18(a)(i);
  - (iv) provided a statement by the Quality and Systems Manager in the form of Schedule B13 that the parts of the Tunnelling Contractor's Activities in respect of which any payment is claimed comply with the requirements of this deed;
  - (v) where clause 22.9(q) applies, provided the Principal's Representative with the statement and evidence (if any) required to be provided by the Tunnelling Contractor pursuant to that clause;
  - (vi) provided the Principal's Representative with a statutory declaration in the form of Schedule B15 which has been duly executed:
    - (A) by a representative of the Tunnelling Contractor who is in a position to know the facts declared; and
    - (B) on the date the relevant payment claim was issued;
  - (vii) where the Principal has given notice under clause 23.6(a)(iv), provided the Principal's Representative with a tax invoice, revised tax invoice or adjustment note (as applicable) as required under clause 22.3(b)(i)(B) and clause 22.3(i);
  - (viii) where the Principal's Representative has requested information relating to the Cost Plan or the Tunnelling Contractor's cost system in accordance with clause 5.1(f), provided such information as required under clause 5.1(f); and
  - (ix) subject to clauses 22.5(c) and 22.5(d), in relation to each tenderer approved by the Principal's Representative pursuant to clause 6 and Schedule A35, evidence to the satisfaction of the Principal's Representative of the Tunnelling Contractor's compliance with clause 6.8 (including the provision of each of the agreements referred to in clause 6.8(a) having been duly stamped (if required by Law)).
- (b) Subject to clause 22.5(c), the Principal is not obliged to pay the Tunnelling Contractor any more than █████ of the amount that the Principal's Representative would otherwise have set out in any payment schedule unless the Tunnelling Contractor has provided the updated Overall ETP Program required by clause 21.2.
- (c) In relation to the Tunnelling Contractor's first payment claim after the Claim for the Initial Payment, to satisfy the evidentiary requirements of clause 22.5(a)(ix), the Tunnelling Contractor must provide evidence of each tenderer engaged pursuant to clause 5 of Schedule A35 since the date of this deed and the date of the first payment claim.
- (d) In relation to each subsequent payment claim, to satisfy the evidentiary requirements of clause 22.5(a)(ix), the Tunnelling Contractor must provide evidence

of each tenderer engaged pursuant to clause 5 of Schedule A35 since the date of previous payment claim referred to in clause 22.5(c) and the date of that payment claim.

- (e) The value of any construction work carried out by, or related goods and services provided by, the Tunnelling Contractor which does not comply with the requirements of this deed and the amount to which the Tunnelling Contractor is entitled will be reduced by an amount equal to the estimated cost to rectify the non-compliance, as reasonably determined by the Principal's Representative.
- (f) This clause 22.5 does not apply to the Initial Payment.

**22.6 Payment of Subcontractors, workers compensation and payroll tax**

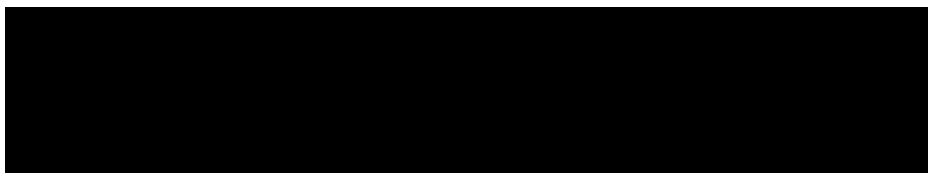
- (a) If a worker or a Subcontractor, obtains a court order in respect of the moneys payable to him, her or it in respect of his, her or its employment on, materials (including Materials) supplied for, or work performed with respect to, the Tunnelling Contractor's Activities, and produces to the Principal the court order and a statutory declaration that it remains unpaid, the Principal may (but is not obliged to) pay the GST exclusive amount of the order and costs included in the order to the worker or Subcontractor, and the amount paid shall be a debt due from the Tunnelling Contractor to the Principal.
- (b) If the Principal receives notices of:
  - (i) the Tunnelling Contractor being placed under administration; or
  - (ii) the making of a winding up order in respect of the Tunnelling Contractor,the Principal will not make any payment to a worker or Subcontractor without the concurrence of the administrator, provisional liquidator or liquidator, as the case may be.
- (c) Nothing in this clause 22.6 limits or otherwise affects the Principal's rights under section 175B(7) of the *Workers Compensation Act 1987* (NSW), section 18(6) of schedule 2 of the *Payroll Tax Act 2007* (NSW) and section 127(5) of the *Industrial Relations Act 1996* (NSW).

**22.7 Payment for Key Plant and Equipment**

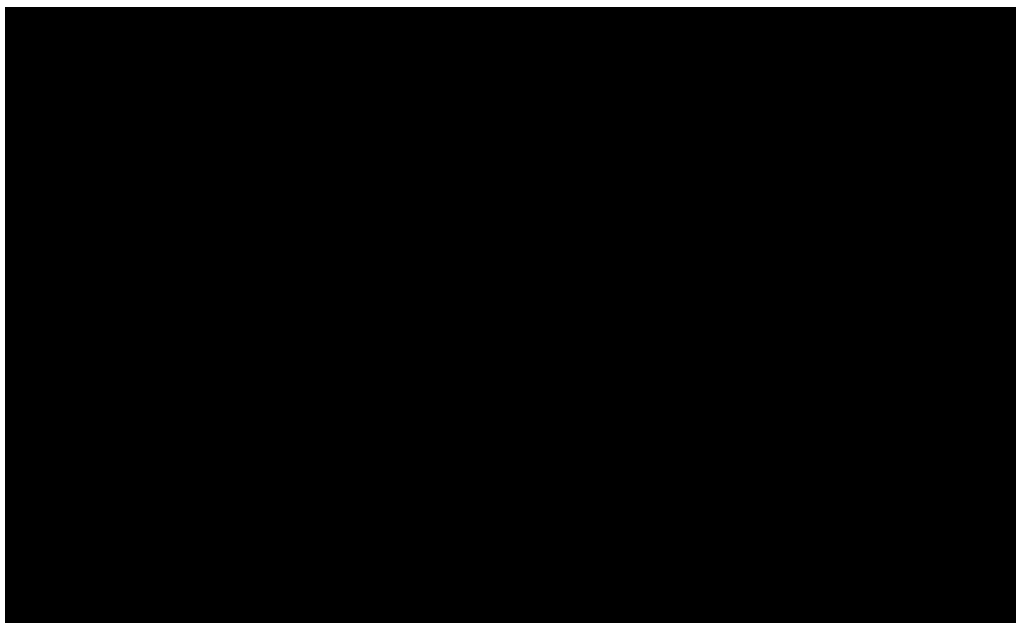
- (a) [REDACTED]
- (b) The Principal is not under any obligation to pay:
  - (i) any of the [REDACTED] Key Plant and Equipment Amount unless the following conditions precedent have been satisfied:
    - (A) the Tunnelling Contractor has delivered to the Principal [REDACTED] that comply with the requirements of clause 7.2 [REDACTED]; and

(B) the Tunnelling Contractor has done all other things required by clause 22.7(f); and

(ii)



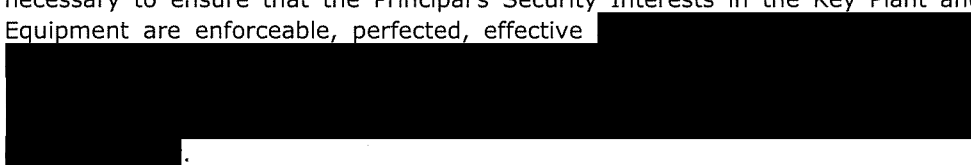
(c)



(d)

(e)

(f) The Tunnelling Contractor must do all things the Principal considers reasonably necessary to ensure that the Principal's Security Interests in the Key Plant and Equipment are enforceable, perfected, effective



(g) Promptly after:

(i) the Tunnelling Contractor notifies the Principal in writing that an item of Key Plant and Equipment is no longer required and will not further be used by the Tunnelling Contractor in carrying out the Tunnelling Contractor's Activities; and

(ii) the Principal's Representative is satisfied that the item of Key Plant and Equipment is no longer required and will not further be used by the Tunnelling Contractor in carrying out the Tunnelling Contractor's Activities,

the Principal must:

(iii) release the relevant charge in relation to that item of Key Plant and Equipment; and

(iv) take all steps reasonably required in order to remove, from the PPS Register, any registration(s) by the Principal of the Security Interest(s) provided for by this clause 22.7 in respect of that item of Key Plant and Equipment.



- (h) Subject to the Principal's rights to have recourse to the unconditional undertakings and to the cash proceeds if one or more of the unconditional undertakings are converted into cash, the Principal's entitlement to the unconditional undertakings provided under clause 22.7(b)(i)(A) will:
- (i) be reduced by [REDACTED] on the date which is 20 Business Days after the date on which the first TBM commences tunnelling; and
  - (ii) cease on the date which is 20 Business Days after the date on which the second TBM commences tunnelling.

## 22.8 Payment for unfixed Materials

- (a) The value of unfixed Materials intended for incorporation in the Project Works but not yet incorporated are not to be included in a payment schedule under clauses 22.3(e) or 22.3(g) and the Principal is under no obligation to pay for such Materials unless the following conditions precedent have been satisfied:
- (i) the Tunnelling Contractor:
    - (A) has provided to the Principal at the same time as its progress claim under clause 22.3(a) an unconditional undertaking that complies with the requirements of clause 7.2 [REDACTED], for an amount equal to the payment claimed for the Materials (save that the Tunnelling Contractor may satisfy this obligation by providing multiple unconditional undertakings which, in aggregate, equal the same amount as required by this clause 22.8(a)(i)(A)); and
    - (B) gives the Principal's Representative such evidence as may be required by the Principal's Representative that title to the unfixed Materials will vest in the Principal upon payment;
  - (ii) the Materials are clearly marked as the property of the Principal and are on the Project Site or Temporary Areas or available for immediate delivery to the Project Site or Temporary Areas;
  - (iii) the Materials are properly stored in a place approved in writing by the Principal's Representative; and
  - (iv) there is evidence (in a form satisfactory to the Principal) that the Tunnelling Contractor has registered a Security Interest in favour of the Principal in the unfixed Materials.
- (b) Upon payment of a payment schedule which includes an amount in respect of unfixed Materials, title in the unfixed Materials will vest in the Principal.
- (c) If the Tunnelling Contractor provides an unconditional undertaking for payment for unfixed Materials, the Principal must release the unconditional undertaking to the Tunnelling Contractor within 5 Business Days of those Materials:
- (i) being incorporated into the Project Works; and
  - (ii) complying with the requirements of this deed.
- (d) The parties agree that clause 22.8(a)(i)(A) does not apply to pre-cast tunnel lining segments to be incorporated into the Project Works.

22.9 **SOP Act**

- (a) Expressions defined or used in the SOP Act have the same meaning for the purposes of this clause (unless the context otherwise requires).
- (b) The Tunnelling Contractor must ensure that a copy of any written communication it delivers or arranges to deliver to the Principal of whatever nature in relation to the SOP Act, including a payment claim under the SOP Act, is provided to the Principal's Representative at the same time.
- (c) In responding to the Tunnelling Contractor under the SOP Act, the Principal's Representative also acts as the agent of the Principal.
- (d) If, within the time allowed by the SOP Act for the service of a payment schedule by the Principal, the Principal does not:
  - (i) serve the payment schedule itself; or
  - (ii) notify the Tunnelling Contractor that the Principal's Representative does not have authority from the Principal to issue the payment schedule on its behalf,

then a payment schedule issued by the Principal's Representative under this deed which relates to the period relevant to the payment schedule will be taken to be the payment schedule for the purpose of the SOP Act (whether or not it is expressly stated to be a payment schedule).

- (e) Without limiting paragraph (c), the Principal authorises the Principal's Representative to issue payment schedules on its behalf (without affecting the Principal's right to issue a payment schedule itself).
- (f) For the purposes of this deed, the amount of the progress payment to which the Tunnelling Contractor is entitled under this deed will be the amount certified by the Principal's Representative in a payment schedule under clauses 22.3(e) or 22.3(g) less any amount the Principal may elect to retain, deduct, withhold or set off in accordance with this deed.
- (g) The Tunnelling Contractor agrees that:
  - (i) the date prescribed by clause 22.3(a) as the date on which the Tunnelling Contractor is entitled to make a progress claim is, for the purposes of the SOP Act (including section 13 of the SOP Act), the date on which a payment claim may be served; and
  - (ii) a progress claim is not a document notifying an obligation on the Principal to make any payment and the Principal will have no liability to make a payment of any amount in respect of a progress claim unless the amount has been included in a payment schedule issued by the Principal's Representative in accordance with clauses 22.3(e) or 22.3(g).
- (h) Nothing in this deed will be construed to:
  - (i) make any act or omission of the Principal in contravention of the SOP Act (including failure to pay an amount becoming due under the SOP Act), a breach of this deed (unless the Principal would have been in breach of this deed if the SOP Act had no application); or
  - (ii) subject to clause 22.9(i), give to the Tunnelling Contractor rights under this deed which extend or are in addition to rights given to the Tunnelling

Contractor by the SOP Act in respect of any act or omission of the Principal in contravention of the SOP Act.

- (i) If the Tunnelling Contractor suspends the whole or part of the Tunnelling Contractor's Activities pursuant to the SOP Act:
  - (i) the suspension will be an Excusable Cause of Delay for the purposes of this deed; and
  - (ii) except to the extent (if any) expressly provided under the SOP Act and clause 22.9(h), the Principal will not be liable for and the Tunnelling Contractor is not entitled to Claim any Loss suffered or incurred by the Tunnelling Contractor as a result of the suspension.
- (j) The Tunnelling Contractor must indemnify and keep indemnified the Principal against all Loss suffered or incurred by the Principal arising out of:
  - (i) a suspension by a Subcontractor of work which forms part of the Tunnelling Contractor's Activities pursuant to the SOP Act; or
  - (ii) a failure by the Tunnelling Contractor to comply with its obligations under clause 22.9(b).
- (k) The Tunnelling Contractor agrees that for the purposes of section 17(3) of the SOP Act:
  - (i) it has irrevocably chosen the Resolution Institute as the authorised nominating authority to which any adjudication application under the SOP Act in respect of the Tunnelling Contractor's Activities is to be made; and
  - (ii) the Tunnelling Contractor must make any adjudication application under the SOP Act to that authorised nominating authority (unless the Principal in its absolute discretion consents to any alternative nominating authority).
- (l) When an adjudication occurs under the SOP Act, and the Principal has paid an adjudicated amount to the Tunnelling Contractor:
  - (i) the amount will be taken into account by the Principal's Representative in issuing a payment schedule under clauses 22.3(e) or 22.3(g);
  - (ii) if it is subsequently determined pursuant to this deed that the Tunnelling Contractor was not entitled under this deed to payment of some or all of the adjudicated amount that was paid by the Principal (**overpayment**), the overpayment will be a debt due and payable by the Tunnelling Contractor to the Principal which the Tunnelling Contractor must pay to the Principal upon demand and in respect of which the Tunnelling Contractor is not entitled to claim or exercise any set-off, counterclaim, deduction or similar right of defence;
  - (iii) if the adjudicator's determination is quashed, overturned or declared to be void, the adjudicated amount then becomes a debt due and payable by the Tunnelling Contractor to the Principal upon demand and in respect of which the Tunnelling Contractor is not entitled to claim or exercise any set-off, counterclaim, deduction or similar right of defence; and
  - (iv) the Principal's Representative:
    - (A) is not bound by the adjudication determination;

- (B) may reassess the value of the work that was valued by the adjudicator; and
  - (C) may, if it disagrees with the adjudication determination, express its own valuation in any payment schedule.
- (m) Without limiting clause 22.10, the Principal may withhold any amount that is less than or equal to the amount claimed to be owed under a payment withholding request served on the Principal pursuant to Division 2A of the SOP Act.
- (n) If the Principal withholds from money otherwise due to the Tunnelling Contractor any amount that is less than or equal to the amount claimed to be owed under a payment withholding request served on the Principal pursuant to Division 2A of the SOP Act, then:
- (i) the Principal may plead and rely upon Division 2A of the SOP Act as a defence to any claim for the money by the Tunnelling Contractor from the Principal; and
  - (ii) the period during which the Principal retains money due to the Tunnelling Contractor pursuant to an obligation under Division 2A of the SOP Act will not be taken into account for the purpose of determining:
    - (A) any period for which money owed by the Principal to the Tunnelling Contractor has been unpaid; and
    - (B) the date by which payment of money owed by the Principal to the Tunnelling Contractor must be made.
- (o) The Tunnelling Contractor agrees not to commence proceedings to recover any amount withheld by the Principal pursuant to a payment withholding request served on the Principal pursuant to Division 2A of the SOP Act.
- (p) Any amount paid by the Principal pursuant to section 26C of the SOP Act will be a debt due from the Tunnelling Contractor to the Principal.
- (q) If the Principal withholds money pursuant to a payment withholding request served on the Principal pursuant to Division 2A of the SOP Act and the Tunnelling Contractor:
- (i) pays the amount claimed to be due under the adjudication application to which the payment withholding claim relates; or
  - (ii) becomes aware that the adjudication application to which the payment withholding claim relates has been withdrawn,

then the Tunnelling Contractor must so notify the Principal within 5 Business Days of the occurrence of the event in clauses 22.9(q)(i) or 22.9(q)(ii) above (as applicable) by providing to the Principal a statement in writing in the form of a statutory declaration together with such other evidence as the Principal may require evidencing that the amount has been paid or the adjudication application has been withdrawn (as the case may be).

#### 22.10 Right of set-off

The Principal's Representative may (on behalf of the Principal) in any payment schedule issued under clauses 22.3(e) or 22.3(g) withhold, set-off or deduct from the money which would otherwise be certified as payable to the Tunnelling Contractor or the Principal may at any time withhold, set-off or deduct from moneys otherwise due to the Tunnelling Contractor under this deed:

- (a) any debt or other moneys due from the Tunnelling Contractor to the Principal (including any debt due from the Tunnelling Contractor to the Principal pursuant to section 26C of the SOP Act);
- (b) any amount that is less than or equal to the amount claimed to be owed under a payment withholding request served on the Principal pursuant to Division 2A of the SOP Act;
- (c) any amount that the Principal is entitled to withhold under clause 22.5;
- (d) any bona fide claim to money which the Principal may have against the Tunnelling Contractor whether for damages (including liquidated damages) or otherwise; or
- (e) any other amount the Principal is entitled to withhold, set-off or deduct under this deed,

under or arising out of or in connection with this deed or the Tunnelling Contractor's Activities and the Principal may make such withholding, set-off or deduction whether or not such amounts were included in a payment schedule issued by the Principal's Representative.

This clause 22.10 will survive the termination of this deed.

#### 22.11 Interest

The Principal will pay simple interest at the rate of [REDACTED] above the Bank Bill Rate on any:

- (a) amount which has been set out as payable by the Principal's Representative in a payment schedule under clauses 22.3(e) or 22.3(g), but which is not paid by the Principal within the time required by this deed;
- (b) damages; and
- (c) amount which is found after the resolution of a Dispute to be payable to the Tunnelling Contractor, and which has not been paid by the Principal,

from the date such amount was first due and payable until the date such amount is paid.

This will be the Tunnelling Contractor's sole entitlement to interest including damages for loss of use of, or the cost of borrowing, money.

#### 22.12 Title

Title in all items forming part of the Project Works and the Handover Works will pass progressively to the Principal on the earlier of payment for, or installation of, such items to the Construction Site. Risk in all such items remains with the Tunnelling Contractor in accordance with clause 25.

#### 22.13 Claim for Share of Savings

The Tunnelling Contractor will be entitled to claim payment of:

- (a) [REDACTED] of the Share of Savings (if any) [REDACTED]  
[REDACTED]; and
- (b) the balance of the Share of Savings (if any) [REDACTED]  
[REDACTED].

## 22.14 Provisional Sum Work & Call-off Services

- (a) For each item of Provisional Sum Work, the Principal's Representative will give the Tunnelling Contractor a direction either requiring the Tunnelling Contractor to proceed with the item of Provisional Sum Work or deleting the item of Provisional Sum Work.
- (b) The Tunnelling Contractor must not proceed with any item of Provisional Sum Work unless the Principal's Representative has directed the Tunnelling Contractor in writing to proceed with that item of Provisional Sum Work.
- (c) The Principal's Representative may, at any time during the performance of any item of Provisional Sum Work, direct the Tunnelling Contractor to provide a reasonable estimate of the amount that will be payable for the Provisional Sum Work (including sufficient information to support such estimate on an Open Book Basis) and the Tunnelling Contractor must promptly comply with such direction.
- (d) A provisional sum included in this deed shall not itself be payable by the Principal but where the Principal's Representative has directed the Tunnelling Contractor in writing to proceed with any item of Provisional Sum Work that work will, subject to the terms of this clause 22, be valued by the Principal's Representative and the difference will be added to or deducted from the Project Contract Sum.
- (e) In respect of any item of Provisional Sum Work that the Principal's Representative has directed the Tunnelling Contractor in writing to proceed with which is not Transitional Handover Services, the Tunnelling Contractor will be entitled to be paid:
- (i) the actual costs reasonably and properly incurred by the Tunnelling Contractor in carrying out the item of Provisional Sum Work (as if such costs were Reimbursable Costs) and excluding any amounts in respect of Preliminaries and Management Fee; and
  - (ii) if the total aggregate amount paid to the Tunnelling Contractor pursuant to clause 22.14(e)(i) exceeds the Provisional Sum Amount (Excluding Transitional Handover Services Amounts), thereafter (in respect of the exceedance only) the Tunnelling Contractor will be entitled to be paid:
    - (A) an additional amount in respect of Preliminaries associated with the carrying out of the relevant item of Provisional Sum Work determined in accordance with section 4 of Schedule E9 as if the exceedance was [REDACTED] and
    - (B) an additional amount for profit and attendance which will be determined by multiplying the sum of:
      - (aa) the amounts (if any) payable under clause 22.14(e)(i) which are in excess of the Provisional Sum Amount (Excluding Transitional Handover Services Amounts); and
      - (bb) the amounts (if any) payable under clause 22.14(e)(ii)(A),
 by the Management Fee Percentage.
- (f) In respect of any item of Provisional Sum Work that the Principal's Representative has directed the Tunnelling Contractor in writing to proceed with which is Transitional Handover Services, the Tunnelling Contractor will be entitled to be paid:

- (i) the actual costs reasonably and properly incurred by the Tunnelling Contractor in carrying out the item of Provisional Sum Work (as if such costs were Reimbursable Costs) and excluding any amounts in respect of Preliminaries;
  - (ii) an amount in respect of Preliminaries associated with the carrying out of the relevant item of Provisional Sum Work determined in accordance with section 4 of Schedule E9 as if the direction to carry out the relevant item of Provisional Sum Work [REDACTED] and [REDACTED];
  - (iii) an amount in respect of profit and attendance calculated by multiplying the sum of the amounts payable under clauses 22.14(f)(i) and 22.14(f)(ii) by the Management Fee Percentage.
- (g) If the Principal's Representative gives the Tunnelling Contractor a direction deleting an item of Provisional Sum Work:
- (i) the Project Contract Sum will be reduced by the amount allowed for the relevant item of Provisional Sum Work in Schedule C2;
  - (ii) the Management Fee will be reduced by an amount equal to the amount referred to in clause 22.14(g)(i) multiplied by the Management Fee Percentage;
  - (iii) the Principal may thereafter either carry out the Provisional Sum Work itself or engage any other person or persons to carry out the item of Provisional Sum Work; and
  - (iv) the Principal will not be liable upon any Claim by the Tunnelling Contractor arising out of or in connection with the deletion of the item of Provisional Sum Work.
- (h) In the case of any item of Provisional Sum Work that is also a Call-off Service, the Tunnelling Contractor:
- (i) must carry out such services when directed in writing to do so by the Principal's Representative in accordance with sections 6.11 or 6.17.2 of the General Specification;
  - (ii) will be paid for the performance of such services in accordance with clause 22.14(e) using the relevant rates set out in the relevant Nominated Subcontract or Schedule E1 (as applicable).
- (i) The provisions of clauses 6.1 to 6.4 and clauses 6.8 to 6.15 and Schedule A35 apply to all Provisional Sum Work as if it was Reimbursable Work.

#### 22.15 Initial Payment

- (a) The Principal will pay to the Tunnelling Contractor the Initial Payment on the later of:
- (i) 15 Business Days after the satisfaction (or waiver under clause 2.3) of all Conditions Precedent;
  - (ii) receipt by the Principal of the unconditional undertakings in accordance with clause 7.1(a); and

- (iii) receipt by the Principal of the Parent Company Guarantees in accordance with clause 7.10.
- (b) The Tunnelling Contractor must submit to the Principal a payment claim for the Initial Payment in accordance with clause 22.2(a).
- (c) The parties acknowledge and agree that:
  - (i) the Initial Payment:
    - (A) is an advance payment that is intended to assist the Tunnelling Contractor with its cash-flow during the early stages of the Tunnelling Contractor's Activities;
    - (B) is made on an on-account basis; and
    - (C) may be applied by the Principal in satisfaction of the Project Contract Sum and any other amount owed by the Principal to the Tunnelling Contractor under this deed; and
  - (ii) the payment of the Initial Payment or the application of any part of the Initial Payment by the Principal in accordance with clause 22.15(c)(i)(C) will not:
    - (A) constitute the approval of any work or other matter or prejudice any Claim by the Principal or the Principal's Representative;
    - (B) constitute evidence of the value of any work or an admission of Liability or evidence that work has been executed or completed in accordance with this deed; or
    - (C) prejudice the right of either party to dispute whether any amount certified as payable in a payment schedule is the amount properly due and payable under this deed.
- (d) In each of the [REDACTED] immediately following the month that the Tunnelling Contractor submits its payment claim for the Initial Payment in accordance with clause 22.15(b), the amount payable to the Tunnelling Contractor in connection with the payment claim made by the Tunnelling Contractor under clause 22.3 for that respective month will be reduced by an amount equal to [REDACTED] (excluding GST), which is equivalent to [REDACTED] of the value of the Initial Payment.
- (e) If this deed is terminated, for any reason, prior to the Principal having recovered an amount equal to the Initial Payment under clause 22.15(d), the Principal will be entitled to recover such unrecovered amount as a debt due and payable.
- (f) If the Tunnelling Contractor does not pay the amount contemplated in clause 22.15(e) within 5 Business Days of the date of termination of this deed, the Principal may have recourse to any of the unconditional undertakings provided under clause 7.1(a).

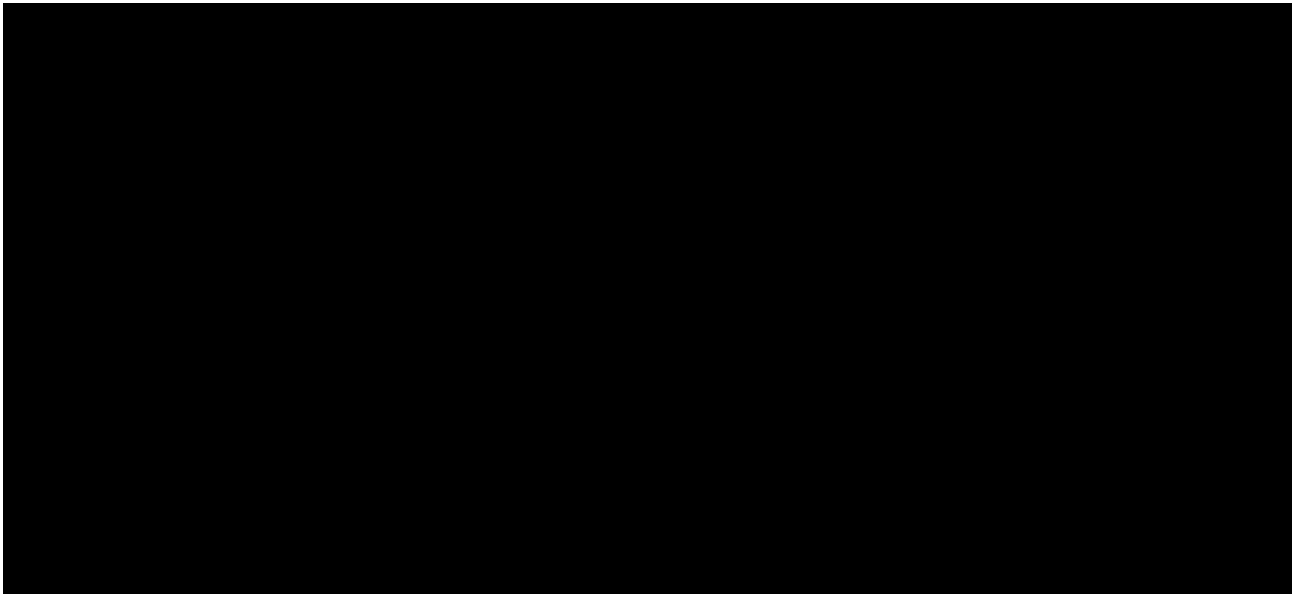
**22.16 Post-Award Performance Incentive Payment Regime**

- (a) The Principal's Representative may, after the date of this deed, in its absolute discretion and without being under an obligation to do so, direct the implementation of a performance incentive payment regime by giving written notice to the Tunnelling Contractor.
- (b) Any notice issued by the Principal's Representative under clause 22.16(a) will set out detailed particulars of:



- (i) the key performance indicators, measurement criteria and benchmarks;
  - (ii) the calculation of the relevant performance incentive payments and the process for payment; and
  - (iii) any other information that is necessary for the implementation of the performance incentive payment regime.
- (c) Any performance incentive payment regime which is the subject of a notice under clause 22.16(a) will not:
- (i) relieve the Tunnelling Contractor from or alter its liabilities or obligations under this deed or otherwise according to Law;
  - (ii) entitle the Tunnelling Contractor to make (nor will it make the Principal liable upon) any Claim (including any Change) arising out of or in any way in connection with the direction; and
  - (iii) limit or otherwise affect the Principal's rights against the Tunnelling Contractor, whether under this deed or otherwise according to Law.
- (d) No payment made by the Principal, if any, pursuant to a performance incentive payment regime contemplated by this clause 22.16 will:
- (i) constitute approval of any work or other activities performed by the Tunnelling Contractor or prejudice any Claim by the Principal whether under this deed or otherwise at Law; or
  - (ii) constitute evidence of the value of any work or other activities, an admission of liability or evidence that any work or other activities have been performed in accordance with the requirements of this deed.
- (e) Any performance incentive payment made by the Principal under this clause 22.16, if any, will be additional to the Project Contract Sum.

22.17 **Not used**



## 23. GST

### 23.1 Interpretation

- (a) Any part of a supply that is treated as a separate supply for GST purposes (including attributing GST payable to tax periods) will be treated as a separate supply for the purposes of this clause 23.
- (b) Unless otherwise expressly stated, all consideration to be provided under this deed is exclusive of GST. Any consideration that is specified to be inclusive of GST must not be taken into account in calculating the GST payable in relation to a supply for the purpose of this clause 23.
- (c) Where an amount is payable to the Supplier for a supply under or in connection with this deed or the Project Works which is based on the actual or reasonable costs incurred by the Supplier, the amount payable for the supply will be reduced by the amount of any input tax credits available to the Supplier (or a representative member on the Supplier's behalf) in respect of such costs before being increased for any applicable GST under clause 23.2(b).

### 23.2 GST payable

- (a) If GST is or will be payable in relation to a supply made by a party (the **Supplier**) under or in connection with this deed, then the party who is the recipient of the supply (the **Recipient**) must pay an additional amount to the Supplier equal to the amount of GST payable on the supply (**GST Amount**) at the same time as any other consideration is to be first provided for that supply.
- (b) Subject to clause 23.6, the Supplier must provide a tax invoice to the Recipient for the supply no later than the time at which the GST Amount for that supply is to be paid in accordance with clause 23.2(a).

### 23.3 Adjustments

- (a) If the GST Amount payable in relation to a supply made under or in connection with this deed varies from the GST Amount paid by the Recipient under clause 23.2(a), then the Supplier will provide a corresponding refund or credit to, or will be entitled to receive the amount of that variation from, the Recipient subject to the issue of an adjustment note (except where the Recipient is required to issue a recipient created adjustment note).
- (b) If an adjustment event occurs in relation to a supply made under or in connection with this deed, the Supplier must give the Recipient an adjustment note as soon as reasonably practicable after the Supplier becomes aware of the adjustment event, but no later than 28 days after the adjustment event.

### 23.4 Non-monetary consideration

- (a) To the extent that the consideration provided for a taxable supply to which clause 23.2(a) applies is a taxable supply made by the Recipient to the Supplier in the same tax period (**Recipient Supply**), the GST Amount that would otherwise be payable by the Recipient to the Supplier in accordance with clause 23.2(a) shall be reduced by the amount of GST payable by the Recipient on the Recipient Supply.

- (b) Subject to clause 23.6, the Recipient must issue to the Supplier a tax invoice for any Recipient Supply on or before the time at which the Recipient must pay the GST Amount in accordance with clause 23.2(a).

### 23.5 Reimbursements

Costs actually or estimated to be incurred or revenue actually or estimated to be earned or lost by a party that is required to be reimbursed or indemnified by another party or used as the basis for calculation of consideration for a supply under this deed must exclude the amount of GST referable to the cost to the extent to which an entitlement arises to claim an input tax credit and in relation to revenue must exclude any amount in respect of GST referable to the revenue.

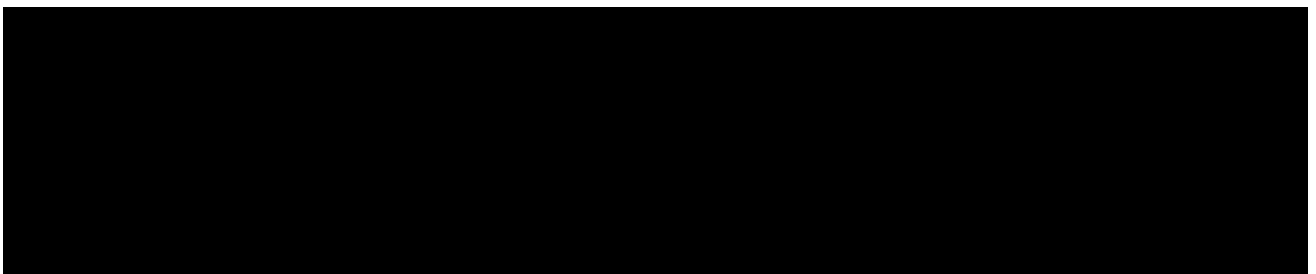
### 23.6 Recipient created tax invoices

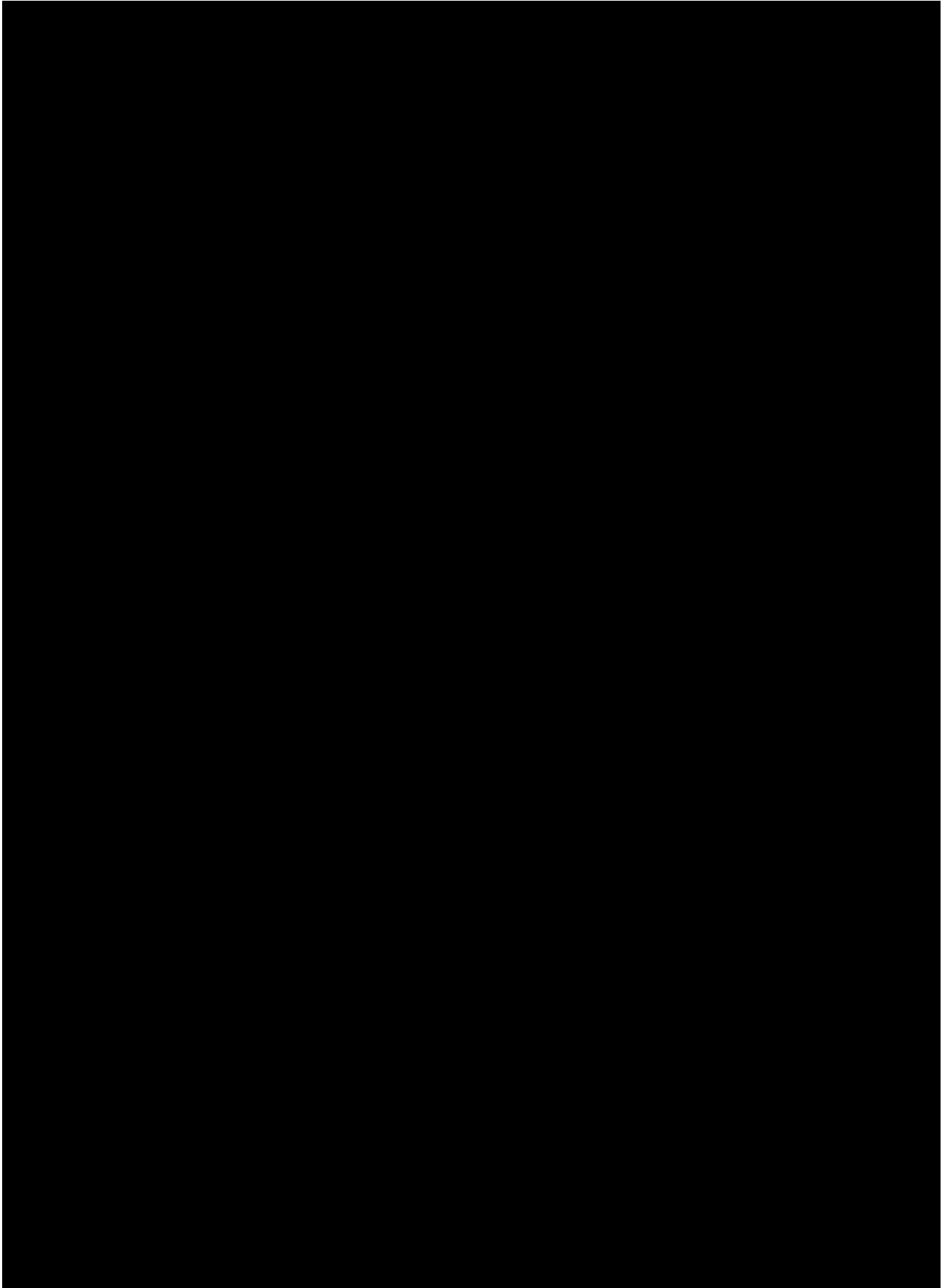
- (a) The parties agree that unless and until otherwise agreed in writing, the following will apply to all taxable supplies made by the Tunnelling Contractor to the Principal under or in connection with this deed:
- (i) the Principal will issue to the Tunnelling Contractor a recipient created tax invoice ("**RCTI**") for each taxable supply made by the Tunnelling Contractor to the Principal under this deed;
  - (ii) the Principal will issue to the Tunnelling Contractor a recipient created adjustment note for any adjustment event;
  - (iii) the Tunnelling Contractor will not issue a tax invoice or adjustment note in respect of any taxable supply it makes to the Principal; and
  - (iv) the Principal may notify the Tunnelling Contractor that it will no longer issue a RCTI or recipient created adjustment note for each taxable supply made by the Tunnelling Contractor under this deed, in which case, from that point in time, the Principal will not be required to issue RCTIs and recipient created adjustment notes in respect of such supplies and the Tunnelling Contractor will be required to issue tax invoices and adjustment notes to the Principal in respect of any such taxable supply.
- (b) Each party acknowledges and warrants that at the time of entering into this deed it is registered for GST and will notify the other party if it ceases to be registered for GST or ceases to comply with any of the requirements of any taxation ruling issued by a taxation authority relating to the creation of RCTIs.

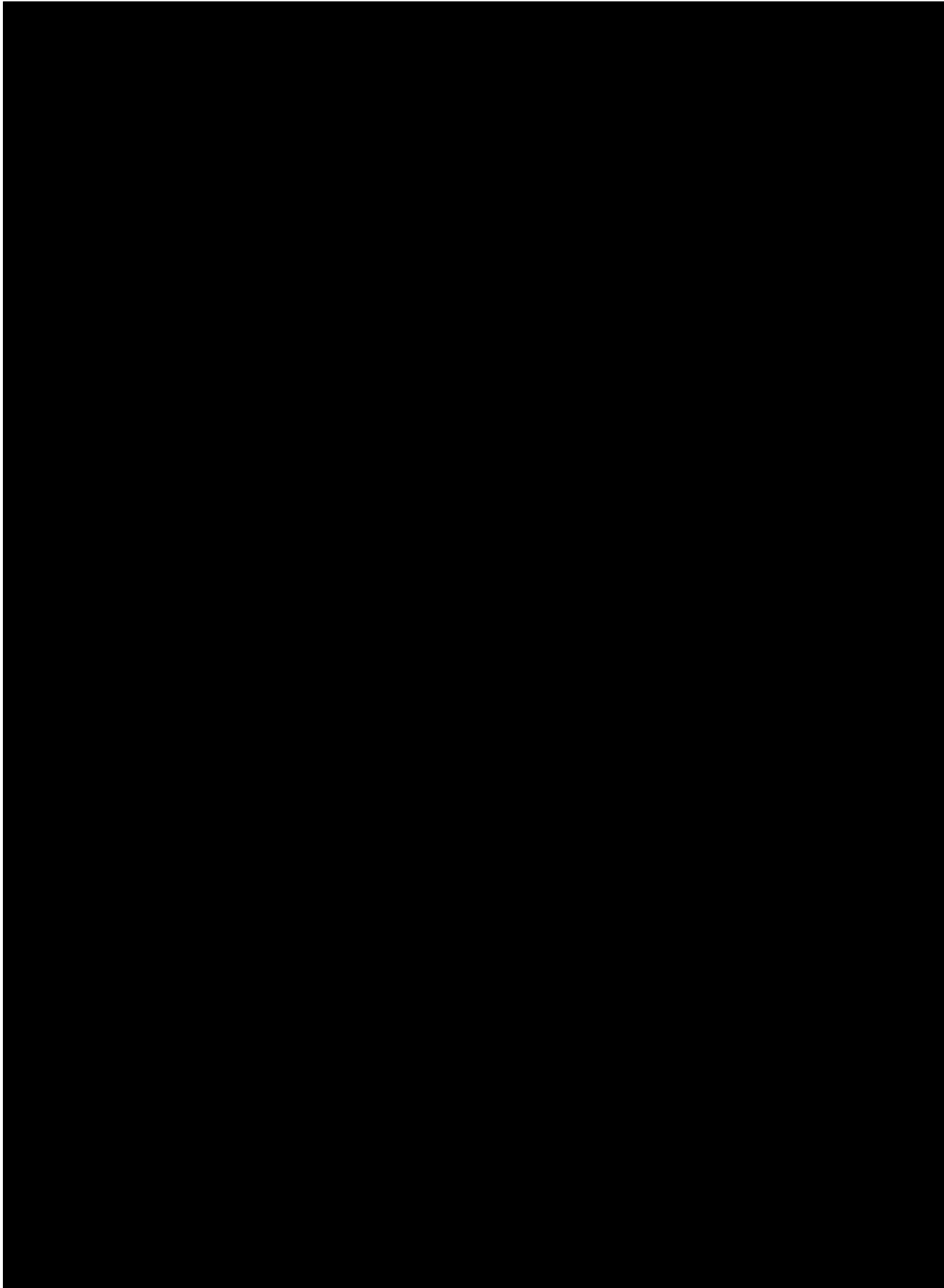
### 23.7 No merger

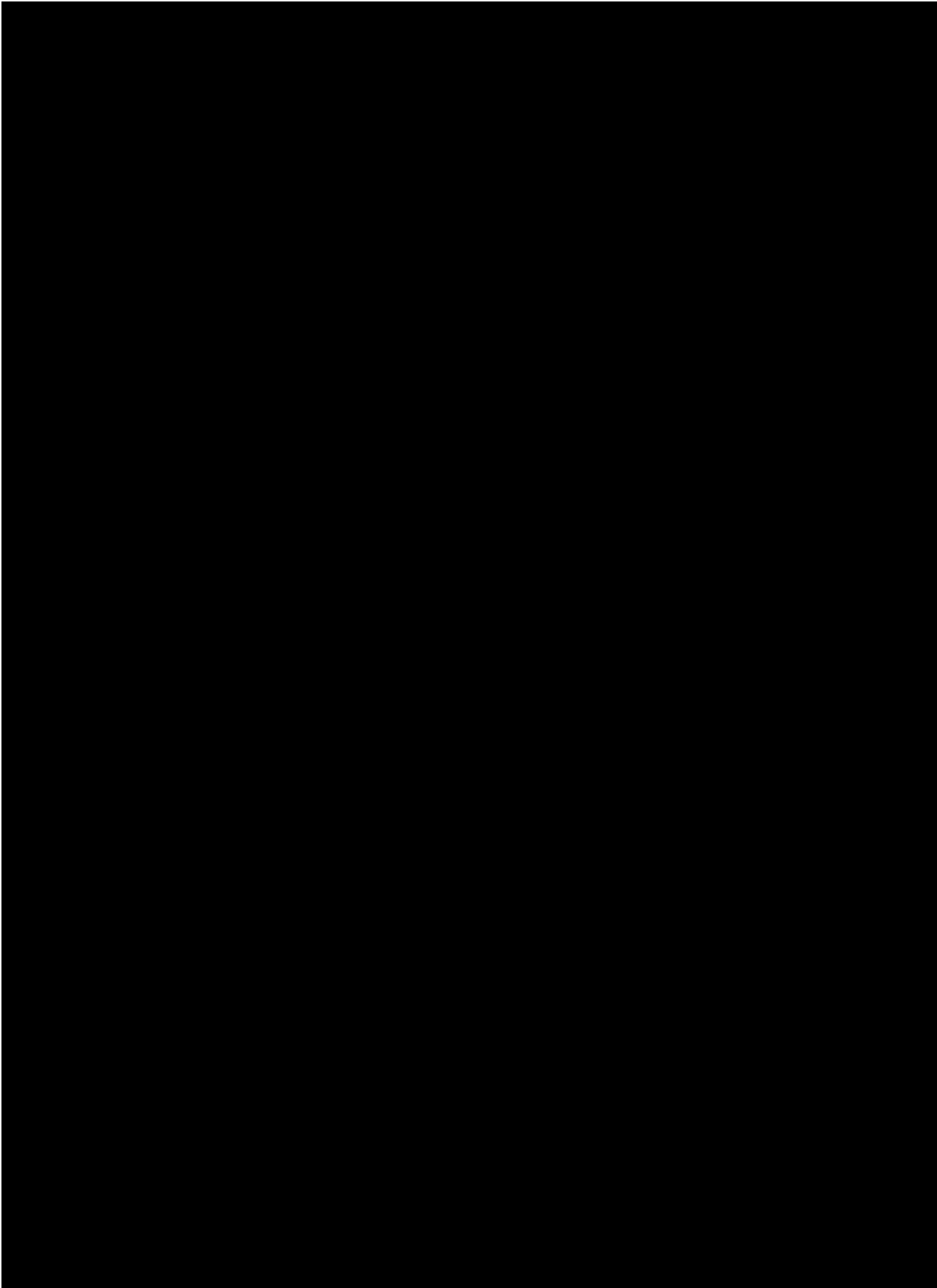
This clause 23 will not merge on completion or termination of this deed.

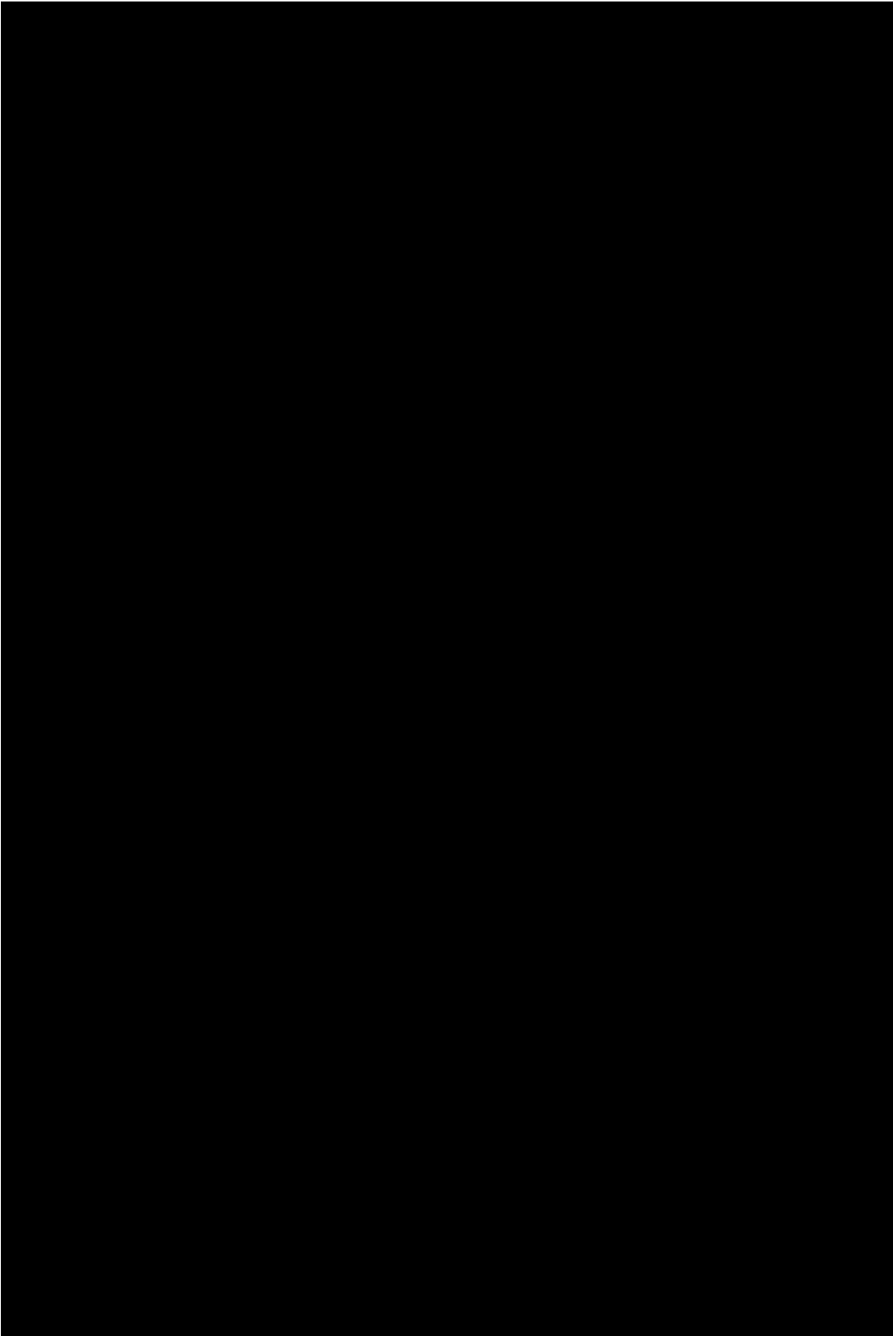
### 24. LIABILITY

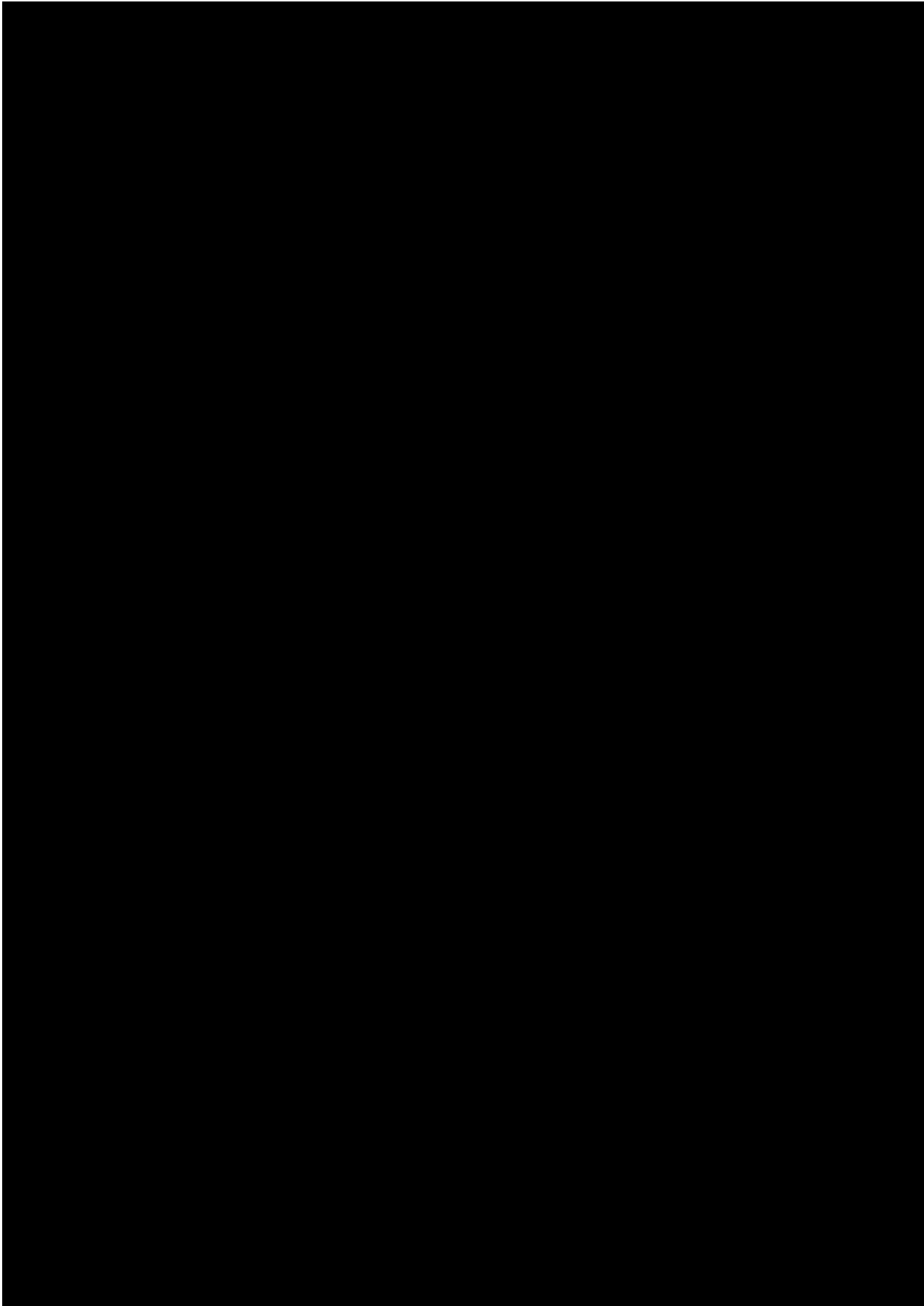




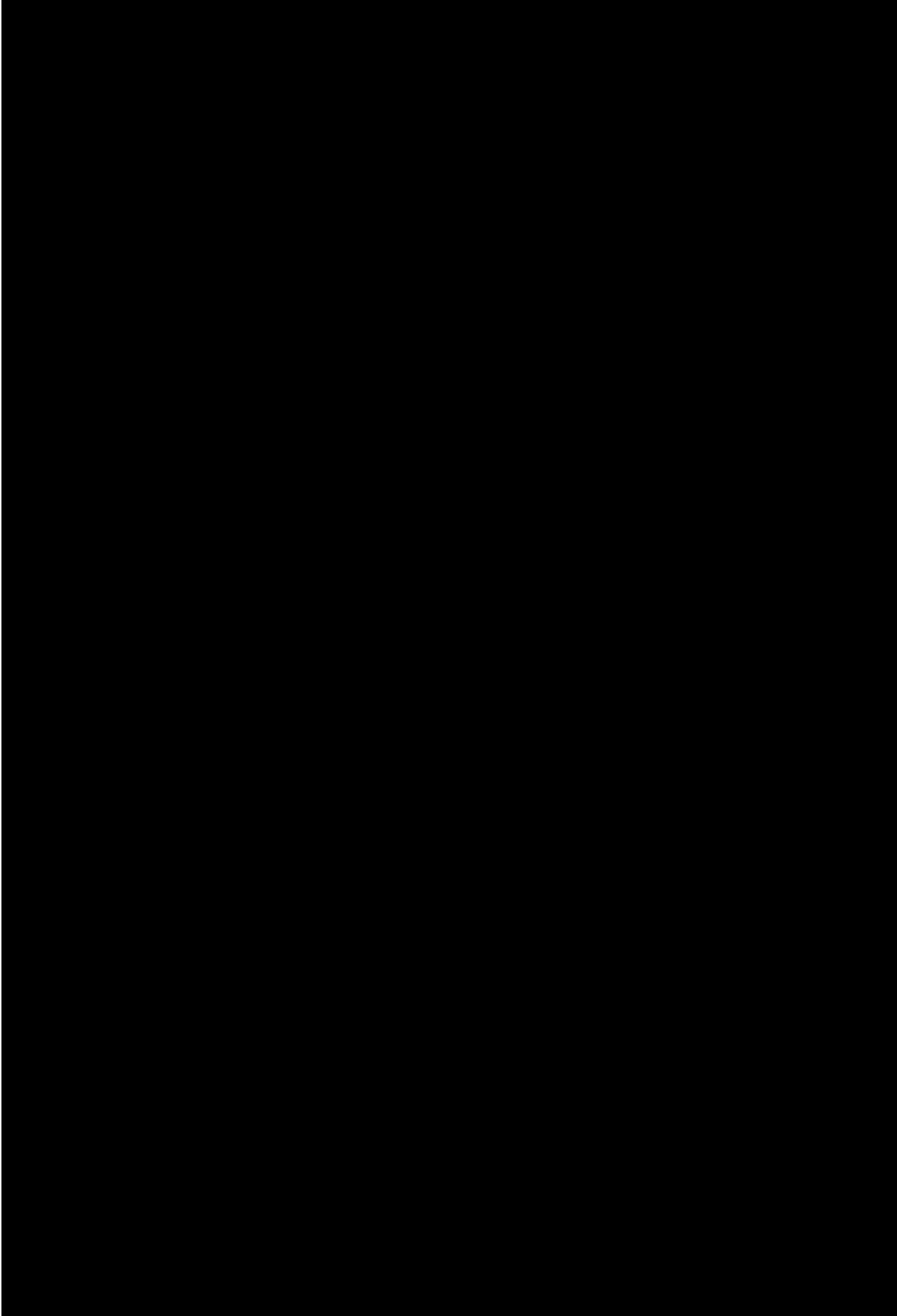












#### 24.8 **Exclusion of proportionate liability scheme**

To the extent permitted by Law, Part 4 of the *Civil Liability Act 2002* (NSW) (and any equivalent statutory provision in any other state or territory) is excluded in relation to all and any rights, obligations or liabilities of either party under this deed whether such rights, obligations or liabilities are sought to be enforced in contract, tort or otherwise.

Without limiting the above, the rights, obligations and liabilities of the Principal and the Tunnelling Contractor under this deed with respect to proportionate liability are as specified in this deed and not otherwise, whether such rights, obligations or liabilities are sought to be enforced by a claim in contract, in tort or otherwise.

#### 24.9 **Tunnelling Contractor not to apply proportionate liability scheme**

To the extent permitted by Law:

- (a) the Tunnelling Contractor must not seek to apply the provisions of Part 4 of the *Civil Liability Act 2002* (NSW) in relation to any claim by the Principal against the Tunnelling Contractor (whether in contract, tort or otherwise); and
- (b) if any of the provisions of Part 4 of the *Civil Liability Act 2002* (NSW) are applied to any claim by the Principal against the Tunnelling Contractor (whether in contract, tort or otherwise), the Tunnelling Contractor will indemnify the Principal against any Loss which the Principal is not able to recover from the Tunnelling Contractor because of the operation of Part 4 of the *Civil Liability Act 2002* (NSW).

#### 24.10 **Insurance requirements**

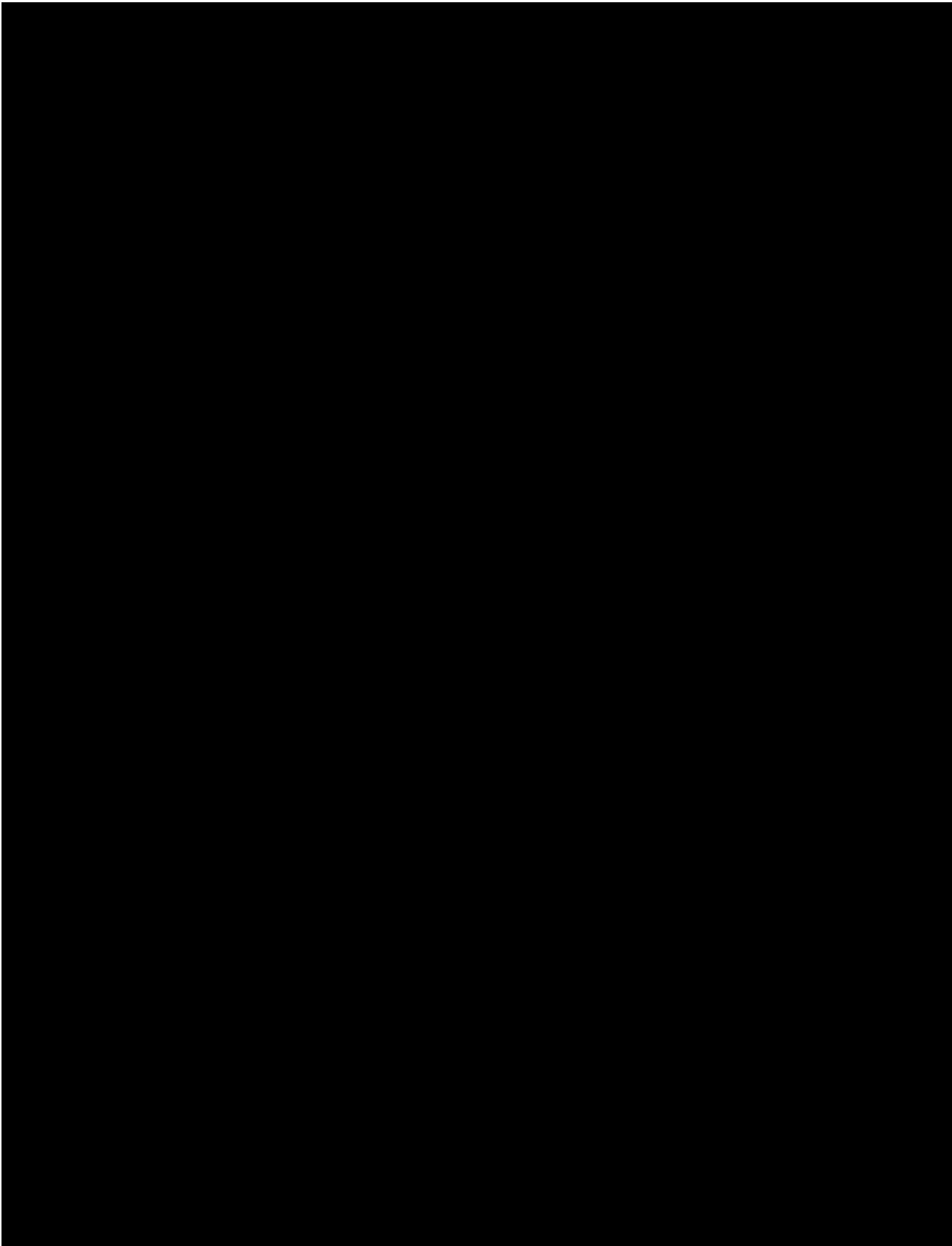
The Tunnelling Contractor must ensure that all policies of insurance covering third party liability which it is required by this deed to effect or maintain (including the asbestos liability insurance policy referred to in clause 25.7, the motor vehicle policy referred to in clause 25.10 and the marine hull insurance policy referred to in clause 25.12):

- (a) cover the Tunnelling Contractor for potential liability to the Principal assumed by reason of the exclusion of Part 4 the *Civil Liability Act 2002* (NSW); and
- (b) do not exclude any potential liability the Tunnelling Contractor may have to the Principal under or by reason of this deed.

#### 24.11 **Survival**

This clause 24 applies:

- (a) notwithstanding and survives any termination of this deed (including a termination as result of a default or an Insolvency Event in relation to the Tunnelling Contractor);
- (b) notwithstanding any other provision of this deed; and
- (c) to the maximum extent permitted by Law (present or future) and subject to clause 34.19.



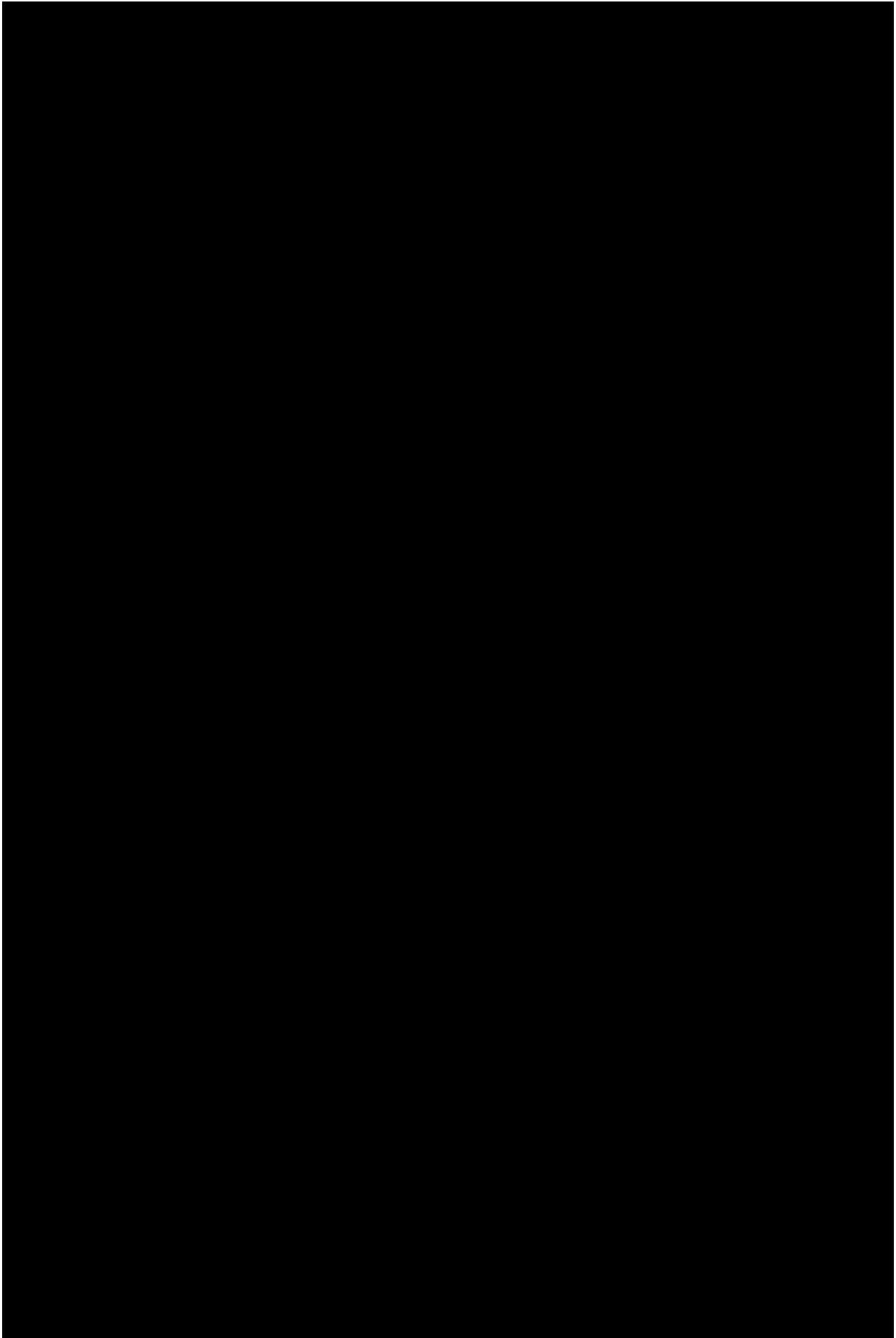
## 25. RISKS AND INSURANCE

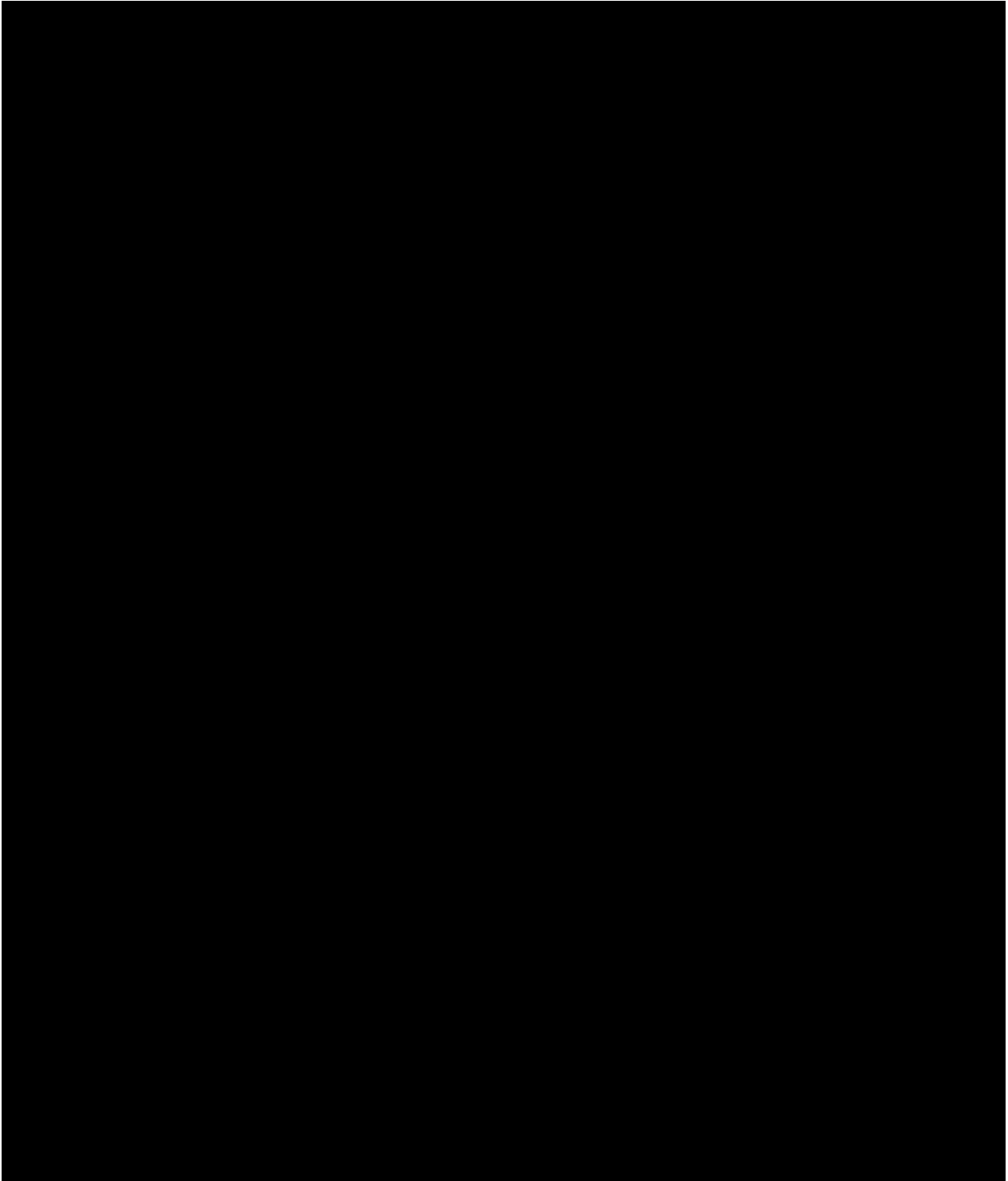
### 25.1 Responsibility for care of the Project Works

- (a) Subject to clause 25.1(d), the Tunnelling Contractor is, in respect of each Portion, responsible for the care of, and bears the risk of destruction, loss or damage to:
- (i) the Tunnelling Contractor's Activities, the Project Works and the Temporary Works and any Extra Land, from the date of this deed; and
  - (ii) the relevant parts of the Construction Site, from the date on which access is granted under clauses 14.1 or 14.2(a)(i),

which occurs up to and including:

- (iii) in respect of each discrete part of the Third Party Works, the point in time when the relevant discrete part of the Third Party Works has been completed in accordance with the requirements of this deed; and
  - (iv) otherwise, the Portion Handover Date for the relevant Portion.
- (b) Subject to clause 25.1(d), the Tunnelling Contractor will also bear the risk of any destruction, loss of or damage to a part of the Project Works or any other thing referred to in clause 25.1(a) caused by, arising out of, or in any way in connection with the performance of those Tunnelling Contractor's Activities (including the rectification of any Defect) occurring:
- (i) in respect of each discrete part of the Third Party Works, after the point in time when the relevant discrete part of the Third Party Works has been completed in accordance with the requirements of this deed; or
  - (ii) otherwise, after the Portion Handover Date for the relevant Portion.
- (c) Subject to clause 25.1(d), the Tunnelling Contractor must:
- (i) in accordance with clause 25.20, promptly make good destruction, loss or damage to anything caused during the period the Tunnelling Contractor is responsible for its care; and
  - (ii) indemnify the Principal against such destruction, loss or damage.
- (d) This clause 25.1 does not apply to the extent that any destruction, loss or damage for which the Tunnelling Contractor would otherwise have been responsible or bears the risk of or is obliged to indemnify the Principal against under this clause results from an Excepted Risk.
- (e) Where any destruction, loss or damage arises to any extent from an Excepted Risk, the Principal's Representative must direct the Tunnelling Contractor either to make good or repair in whole or in part, or not to make good or repair in whole or in part, the destruction, loss or damage in which event such direction will, to the extent the destruction, loss or damage arises from an Excepted Risk, be treated as if it were a Change the subject of a direction by the Principal's Representative and clause 17 applies.





25.4 **Principal's insurance**

- (a) The Principal must, on or before:
  - (i) the Condition Precedent Deadline Date in relation to the contract works (material damage) insurance and the public and products liability insurance; and
  - (ii) [REDACTED] in relation to the project specific professional indemnity insurance,

effect and maintain insurances on the terms of the policies set out in Schedule E6.

- (b) Such insurance is subject to the exclusions, conditions, deductibles and excesses noted on the policies and the Tunnelling Contractor must:
  - (i) satisfy itself of the nature and extent of the cover provided by these insurance policies; and
  - (ii) acknowledge that the Principal's insurances do not cover every risk to which the Tunnelling Contractor might be exposed and the Tunnelling Contractor may, if it chooses to do so, effect insurance for any risk or liability which is not covered by the Principal's insurances and the costs associated with effecting and maintaining such insurance will be Excluded Costs.

#### 25.5 **Tunnelling Contractor's insurance obligations**

The Tunnelling Contractor must effect and maintain the following insurance:

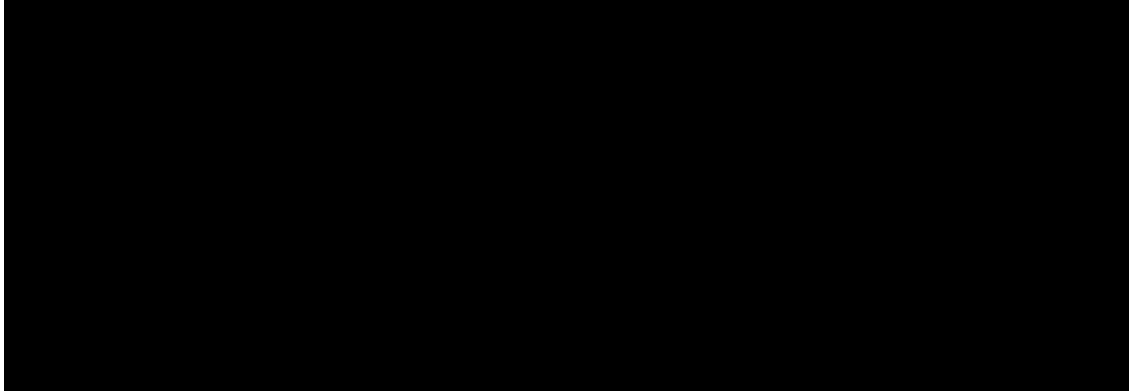
- (a) Workers' compensation insurance referred to in clause 25.6;
- (b) asbestos liability insurance referred to in clause 25.7;
- (c) Construction Plant insurance referred to in clause 25.9;
- (d) motor vehicle insurance referred to in clause 25.10;
- (e) marine transit insurance referred to in clause 25.11;
- (f) marine hull insurance referred to in clause 25.12; and
- (g) marine liability insurance referred to in clause 25.13.

#### 25.6 **Workers' compensation insurance**

- (a) The Tunnelling Contractor must effect and maintain workers' compensation insurance which covers employees in accordance with any statute relating to workers or accident compensation:
  - (i) for the maximum amount required by Law; and
  - (ii) in the name of the Tunnelling Contractor and (if legally possible) extended to indemnify the Principal for its statutory liability to persons employed or deemed to be employed by the Tunnelling Contractor.
- (b) The Tunnelling Contractor must ensure that each of its Subcontractors effects and maintains workers' compensation insurance which covers employees in accordance with any statute relating to workers or accident compensation:
  - (i) for the maximum amount required by Law; and
  - (ii) in the name of the Subcontractor and (if legally possible) extended to indemnify the Principal and the Tunnelling Contractor for their statutory liability to persons employed or deemed employed by the Subcontractor.
- (c) The parties acknowledge and agree that John Holland Pty Ltd will, to the extent permitted by Law, self-insure in relation to workers compensation insurance. The Tunnelling Contractor:

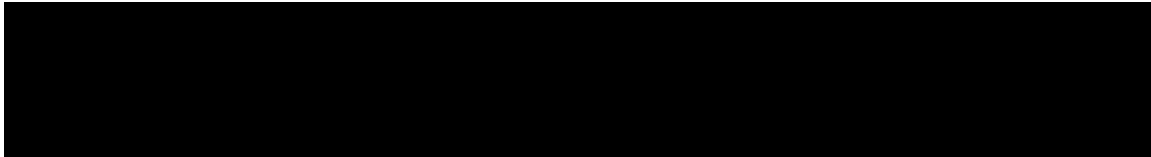
- (i) warrants that John Holland Pty Ltd is licensed to self-insure under the Comcare scheme; and
- (ii) must at any time, upon request by the Principal, provide the Principal with evidence of John Holland Pty Ltd's licence to self-insure under the Comcare scheme.

**25.7 Asbestos liability insurance**

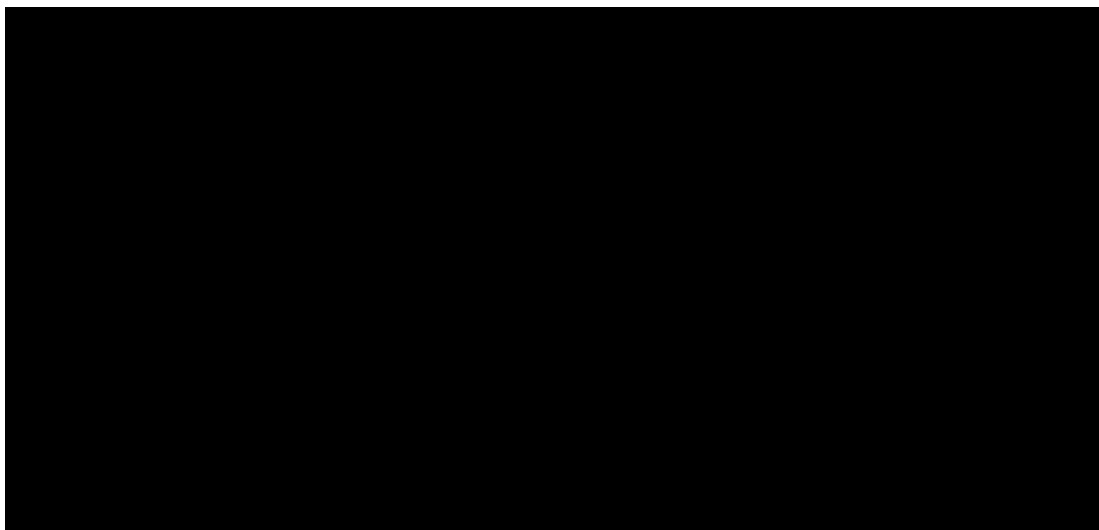


**25.8 Not used**

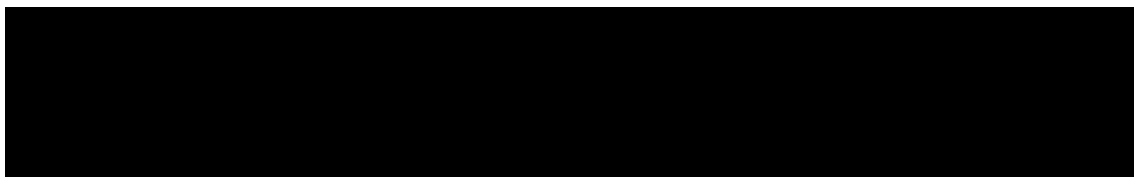
**25.9 Construction Plant insurance**



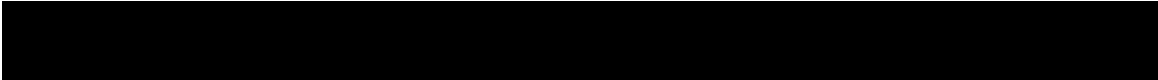
**25.10 Motor vehicle insurance**



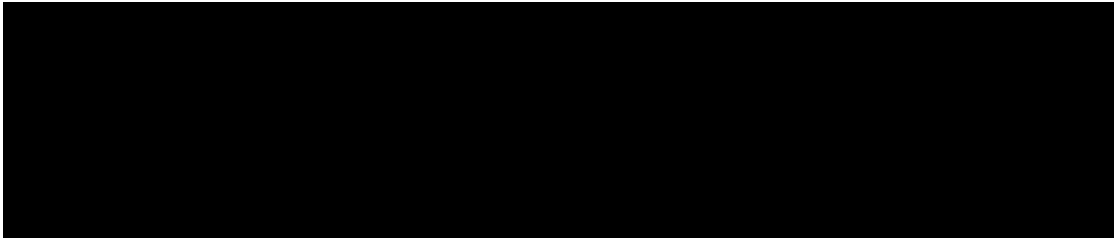
**25.11 Marine transit insurance**



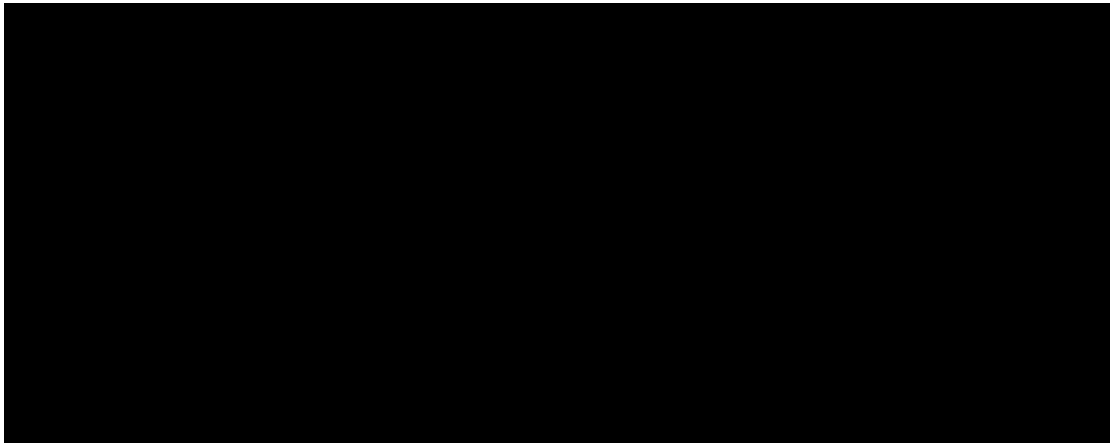




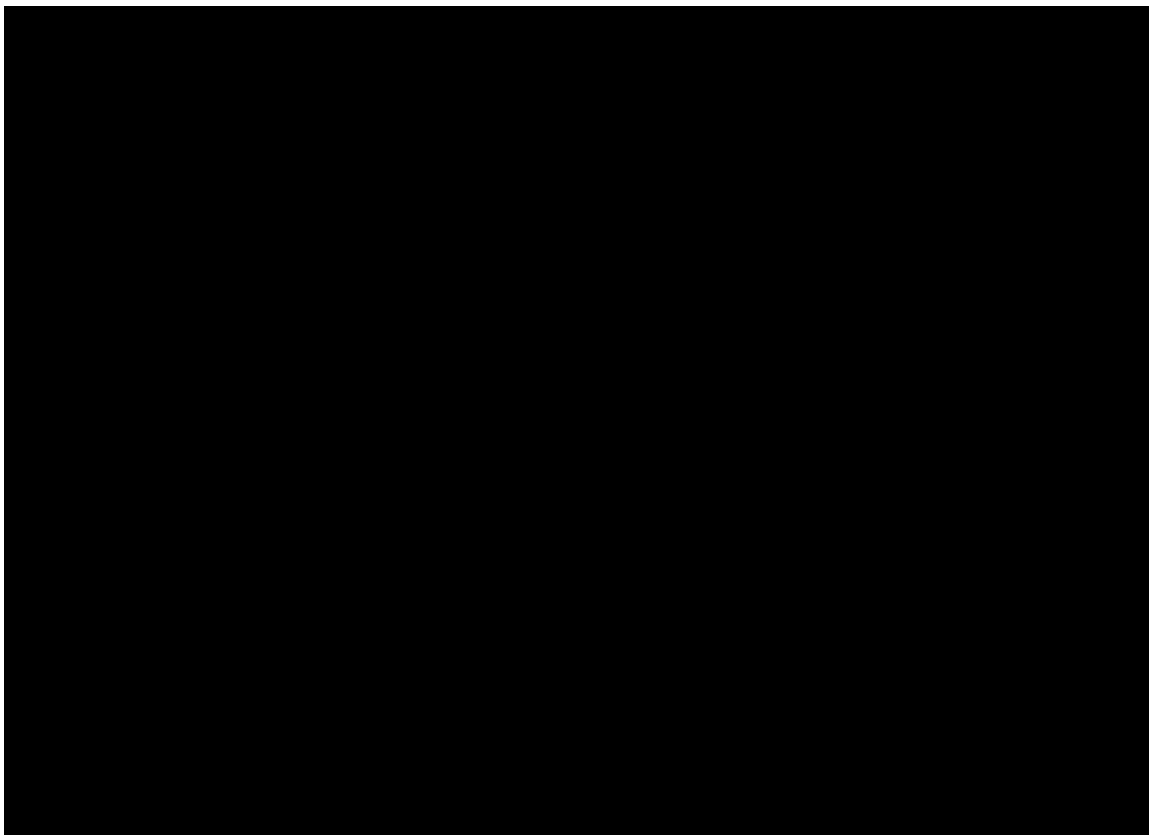
25.12 **Marine hull insurance**



25.13 **Marine liability insurance**

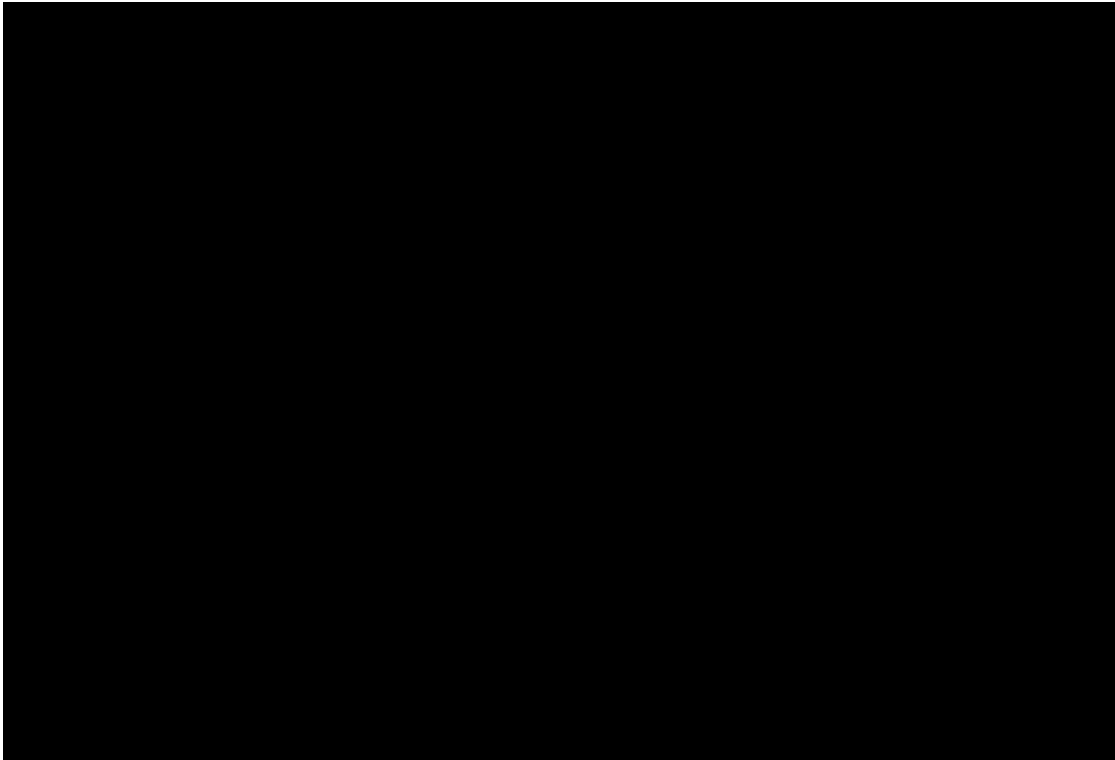


25.14 **Periods of insurance**

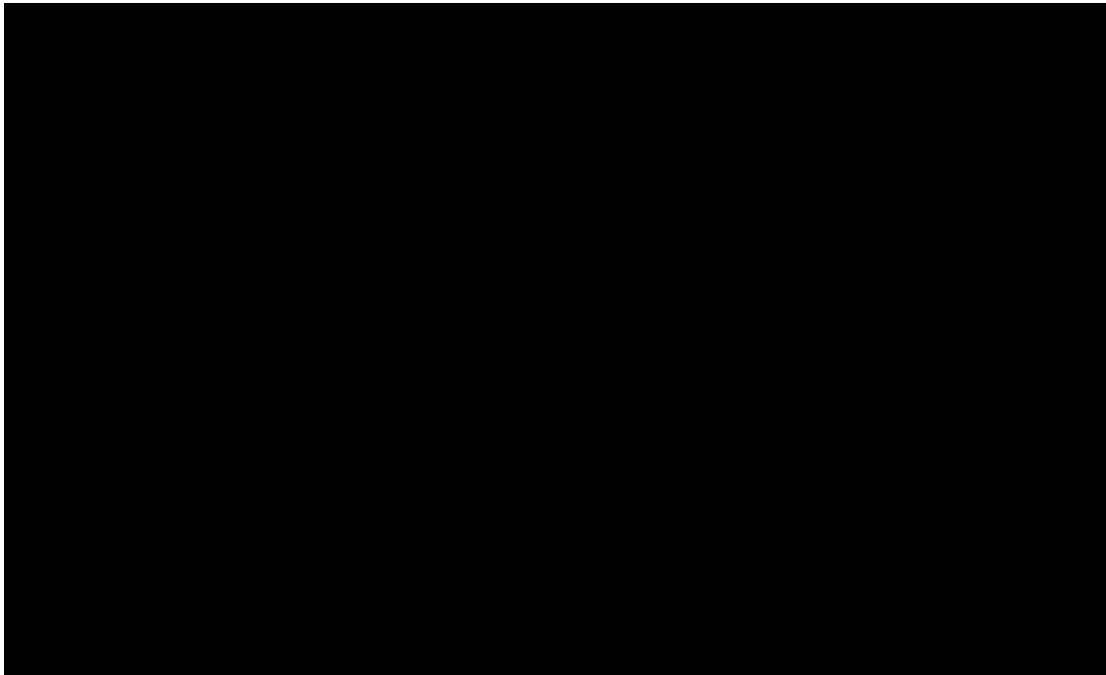


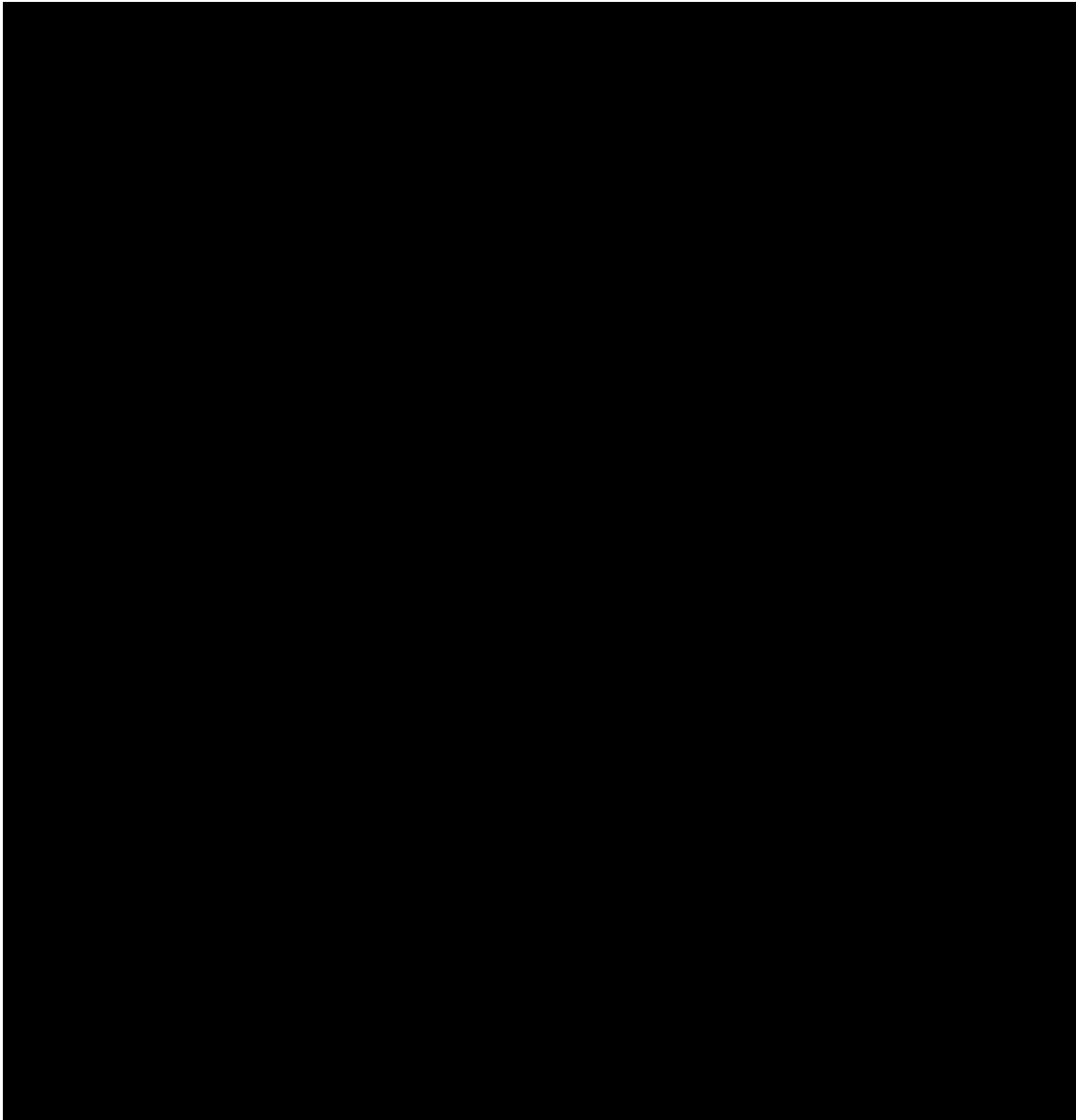


**25.15 Evidence of policies**

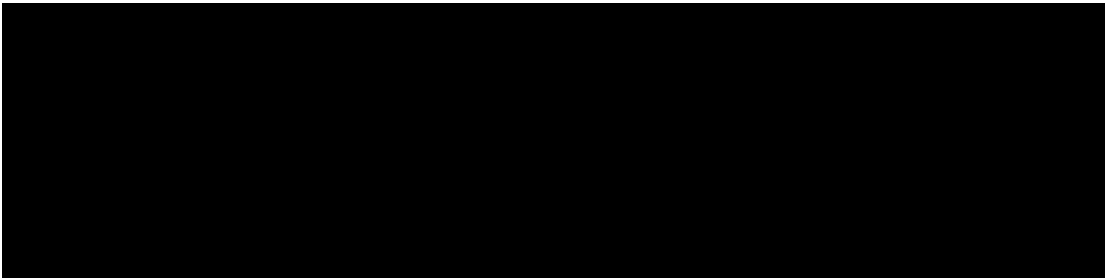


**25.16 Provisions in policies**

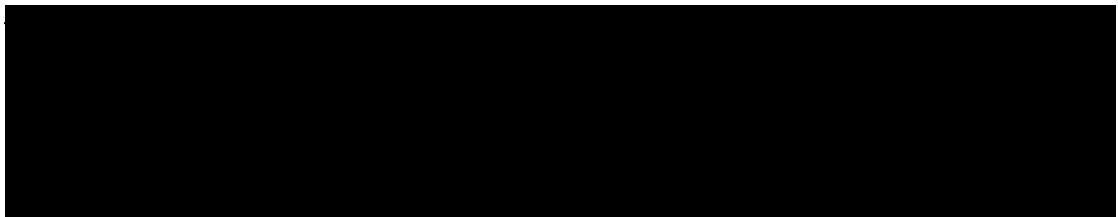


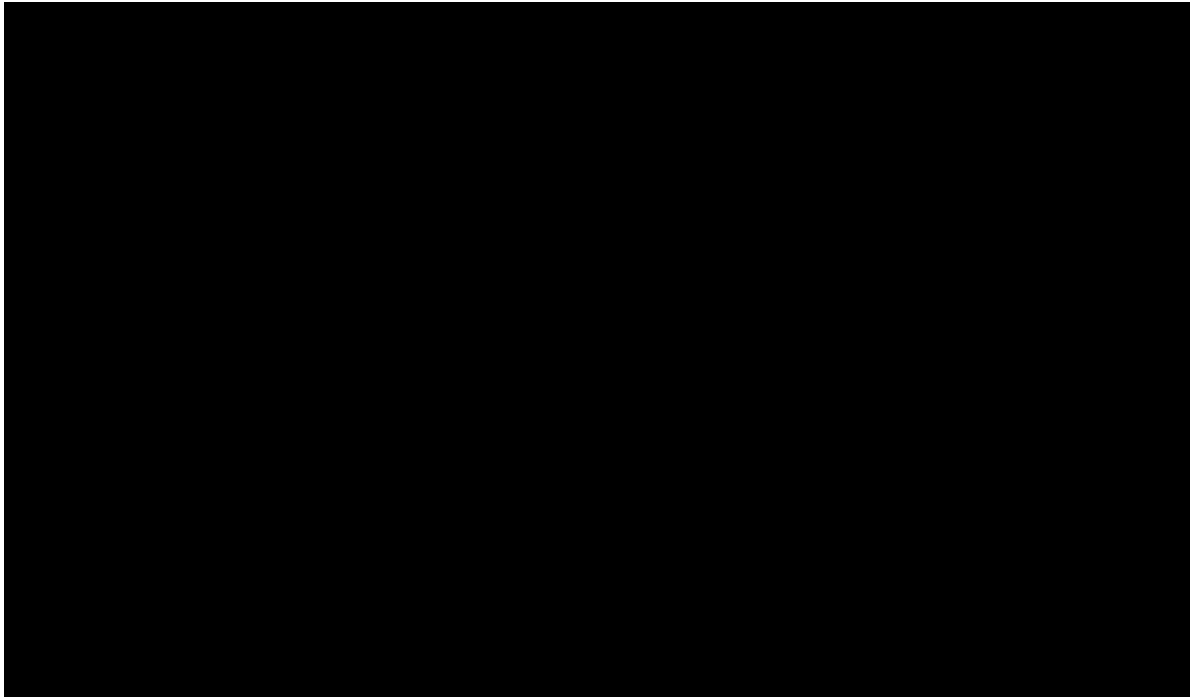


25.17 **Premiums**

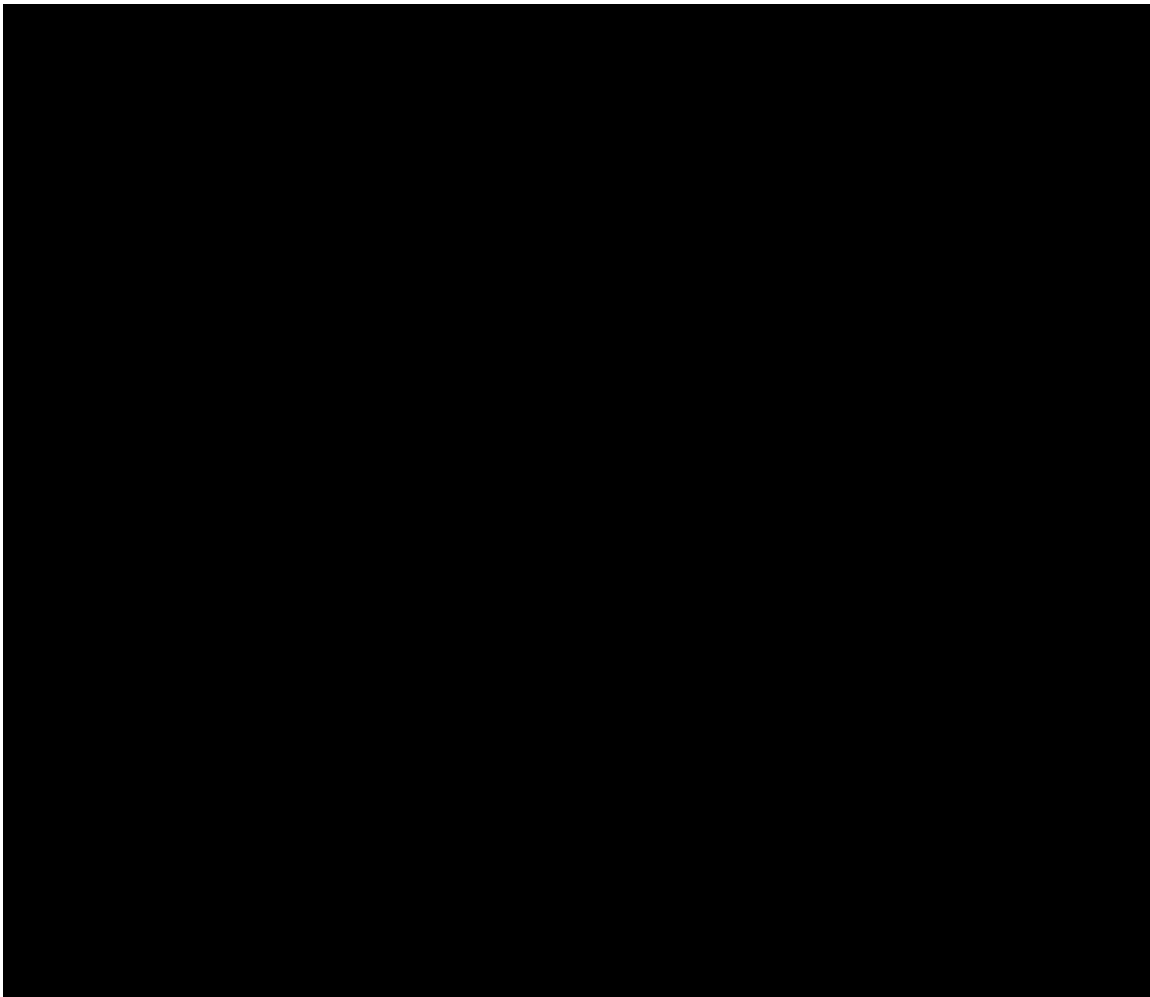


25.18 **Undertaking to inform**





25.19 Notices and requirements of claims

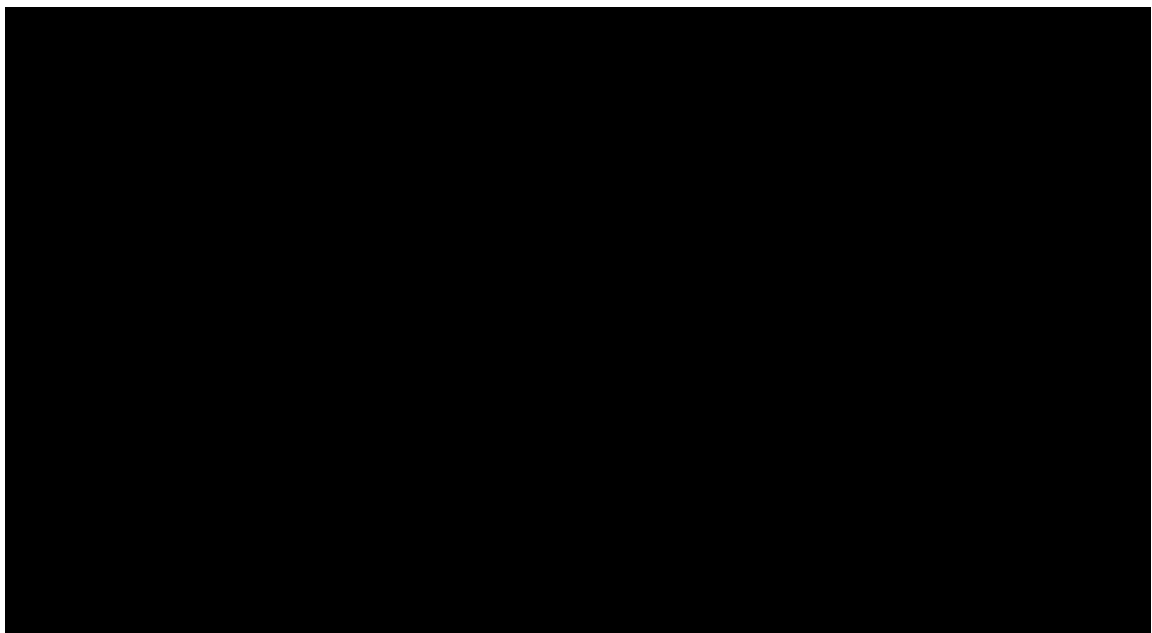


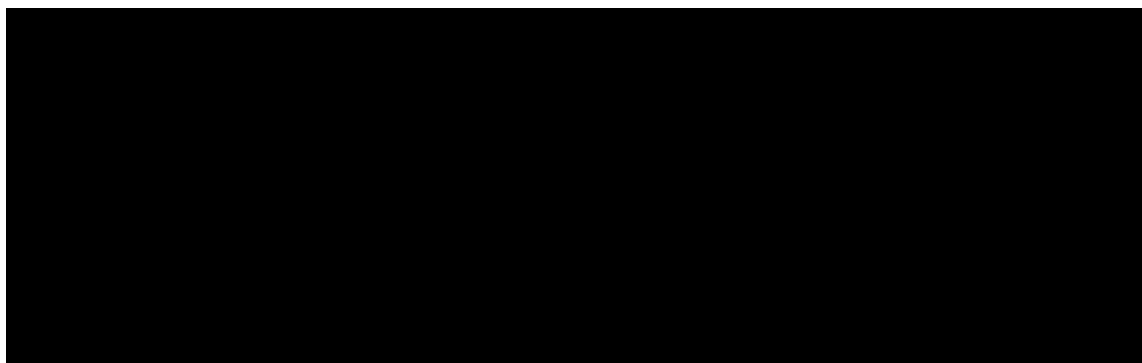
## 25.20 Reinstatement

If, prior to the time the Tunnelling Contractor ceases to be responsible under clause 25.1(a) for the care of a part of the Project Works or the Temporary Works or any other thing referred to in clause 25.1(a), any destruction, damage or loss occurs to the Project Works or the Temporary Works, the Tunnelling Contractor must:

- (a) make secure the Project Works and the Temporary Works and the parts of the Construction Site which are still under the control of the Tunnelling Contractor in accordance with clause 14.5;
- (b) notify:
  - (i) appropriate Authorities, emergency services and the like; and
  - (ii) the insurers for assessment,and comply with their instructions; and
- (c) promptly consult with the Principal to agree on steps to be taken to ensure:
  - (i) the prompt repair or replacement of the destruction, loss or damage so that:
    - (A) it complies with the Tunnelling Specification; and
    - (B) there is minimal disruption to the Project Works or the Temporary Works; and
  - (ii) that, to the greatest extent possible, the Tunnelling Contractor continues to comply with its obligations under this deed;
- (d) subject to clause 25.1(e), manage all repair and replacement activities so as to minimise the impact on the Project Works or the Temporary Works; and
- (e) keep the Principal's Representative fully informed of the progress of the repair and replacement activities.

## 25.21 Application of insurance proceeds

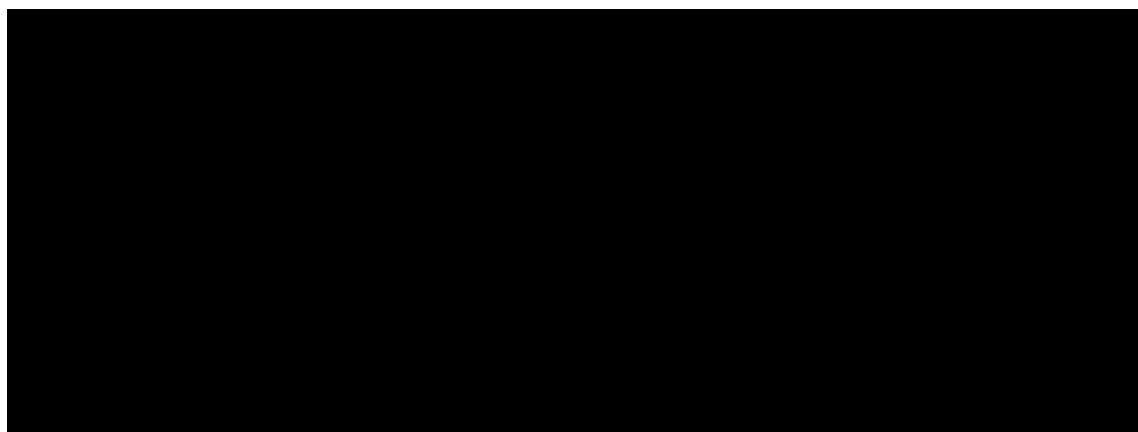




**25.22 Damage to property**

- (a) Subject to clause 25.22(c), where any loss of or destruction or damage to real or personal property or the Environment (including any Utility Services but excluding the Project Works or the Temporary Works) occurs arising out of, or in any way in connection with, the carrying out by the Tunnelling Contractor of the Tunnelling Contractor's Activities or a failure by the Tunnelling Contractor to comply with its obligations under this deed, the Tunnelling Contractor must promptly repair and make good any such loss, destruction or damage and the Tunnelling Contractor's costs incurred in connection with such repair and making good will be Excluded Costs.
- (b) If the Tunnelling Contractor fails to carry out any repair work under clause 25.22(a), the Principal may carry out such work or engage others to carry out such work and any Loss suffered or incurred by the Principal will be a debt due and payable from the Tunnelling Contractor to the Principal.
- (c) This clause 25.22 does not apply where the owner of the real or personal property does not agree to the Tunnelling Contractor carrying out the work under clause 25.22(a).
- (d) Nothing in this clause 25.22 limits the operation of the indemnity in clause 25.2(a).

**25.23 Risk of deductibles or excesses**



**26. TERMINATION BY PRINCIPAL**

**26.1 Notice of default**

The Principal may give a written notice to the Tunnelling Contractor if the Tunnelling Contractor is in breach of this deed in that it:

- (a) does not commence the performance of its obligations in accordance with the requirements of this deed;
- (b) does not progress the Tunnelling Contractor's Activities in accordance with clause 21.1;
- (c) suspends the Tunnelling Contractor's Activities (except to the extent required by this deed or permitted by Law);
- (d) fails to provide any unconditional undertaking in accordance with clauses 7.1, 22.7(b)(i)(A) or 22.8(a)(i)(A);
- (e) fails to provide any Parent Company Guarantee in accordance with clause 7.10;
- (f) fails to effect and maintain any insurances required to be effected and maintained by the Tunnelling Contractor, or fails to provide evidence of such insurances, in accordance with clause 25;
- (g) commits a material breach of clauses 8.1, 8.2 or 8.3;
- (h) commits a material breach of clause 10.6;
- (i) fails to comply with its obligations under the Independent Certifier Deed and this results in the termination of the Independent Certifier Deed;
- (j) does not comply with any Direction of the Principal's Representative made in accordance with this deed;
- (k) knowingly provides a statutory declaration or documentary evidence which contains a statement that is untrue;
- (l) fails to pay a sum of money due and owing to the Principal in accordance with this deed and the sum remains unpaid 20 Business Days after the Principal has made a written demand for payment;
- (m) fails to provide access in accordance with clause 14.2(k)(i);
- (n) breach of the subcontracting obligations set out in clause 6.8 or clauses 2 or 5.2(d) of Schedule A35; or
- (o) is otherwise in breach of a material or substantial term of, or obligation under, this deed,

**(Default Notice).**

**26.2 Contents of notice**

The notice under clause 26.1 must state:

- (a) that it is a notice under clause 26.1;
- (b) the breach relied upon; and
- (c) that the Principal requires the Tunnelling Contractor to:
  - (i) remedy the breach or overcome its effects; or
  - (ii) where the breach cannot be remedied, make other arrangements to the satisfaction of the Principal,

within a reasonable period of time specified in the notice (which period must not be less than 15 Business Days from the date of the notice).

### 26.3 Rights of the Principal following Default Notice

Subject to clause 26.11, if:

- (a) the Tunnelling Contractor fails:
  - (i) subject to clause 26.4(d), to remedy a breach of this deed the subject of a Default Notice or overcome its effects within the period of time specified in the Default Notice; or
  - (ii) where a breach of this deed the subject of a Default Notice cannot be remedied, to make other arrangements to the satisfaction of the Principal within the period of time specified in the Default Notice,

then the Principal may, by notice in writing to the Tunnelling Contractor:

- (b) take out of the hands of the Tunnelling Contractor the whole or part of the work remaining to be completed; or
- (c) terminate this deed.

### 26.4 Termination or take out by the Principal for insolvency or breach

- (a) Subject to clause 26.11, if:
  - (i) an Insolvency Event occurs in relation to:
    - (A) the Tunnelling Contractor; or
    - (B) where the Tunnelling Contractor comprises more than one person, any one of those persons; or
    - (C) [REDACTED] any Tunnelling Contractor Guarantor, whether or not the Tunnelling Contractor is then in breach of this deed;
  - (ii) the Tunnelling Contractor is in fundamental breach as contemplated in clause 4.6(b)(i);
  - (iii) [REDACTED] one or more of the Parent Company Guarantees provided under clause 7.10 becomes void or voidable or otherwise ceases to be in full force and effect;
  - (iv) the Tunnelling Contractor is in breach of clause 11;
  - (v) the Tunnelling Contractor abandons [REDACTED] its obligations under this deed;
  - (vi) a Change in Control occurs in respect of an entity that comprises the Tunnelling Contractor without the prior written consent of the Principal (other than a Change in Control permitted under clause 28.2(c));
  - (vii) a Change in Control occurs in respect of a Tunnelling Contractor Guarantor without the prior written consent of the Principal (other than a Change in Control permitted under clause 28.3(c));

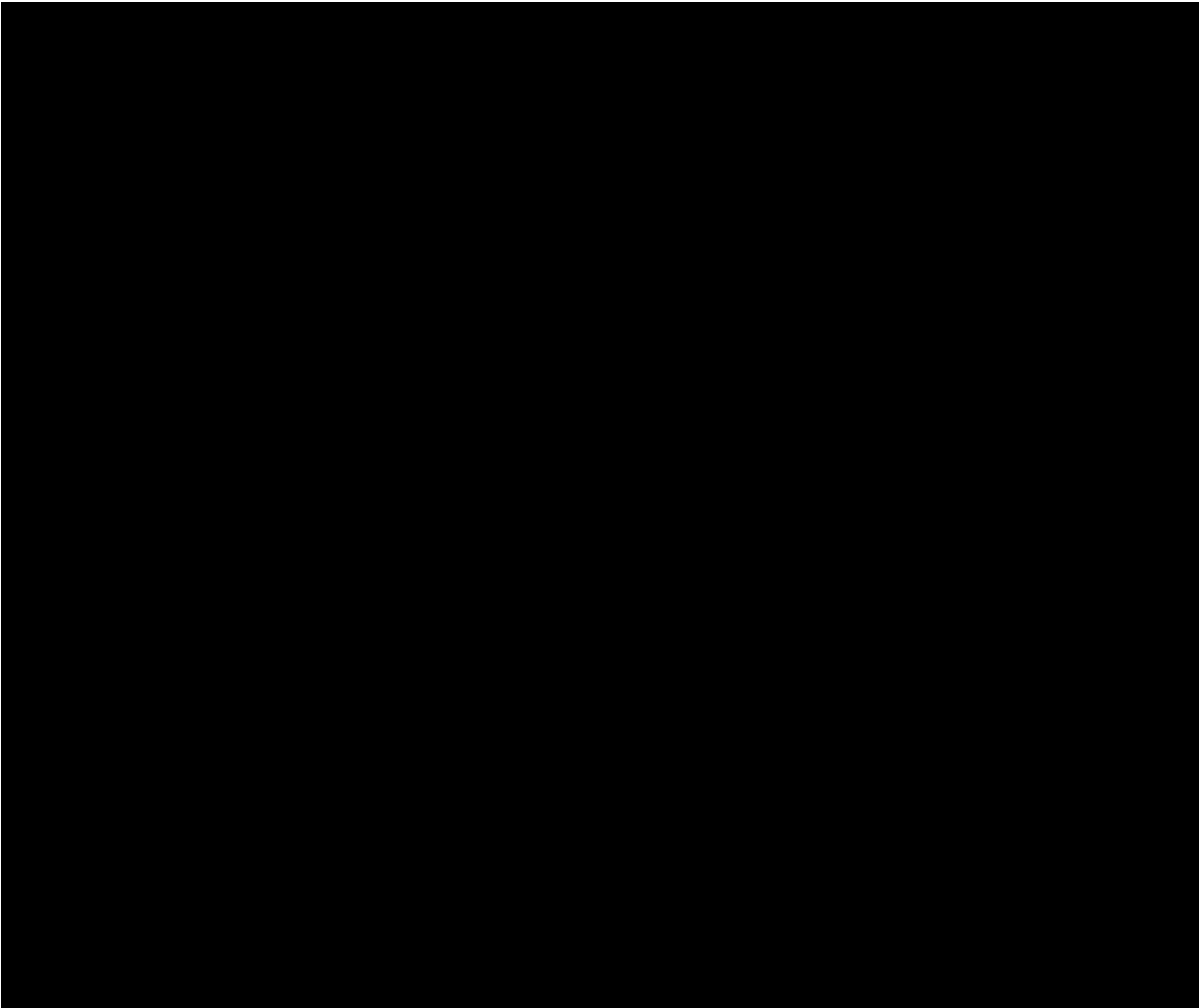


- (viii) [REDACTED], a Restructure Event occurs;
- (ix) the aggregate liability of the Tunnelling Contractor to the Principal under or in connection with the Tunnelling Contract Documents is equal to or exceeds [REDACTED] of the Project Contract Sum;
- (x) the aggregate liability of the Tunnelling Contractor to the Principal under or in connection with any one or more of clauses 21.10(d) and 21.10(i)(ii) is equal to or exceeds [REDACTED] of the Project Contract Sum;
- (xi) the aggregate Liability of the Tunnelling Contractor to the Principal under or in connection with clause 5.6(a) is equal to or exceeds the Share of Cost Overrun Cap [REDACTED];
- (xii) Substantial Completion of a Portion has not occurred by the date that is 9 months after the Date for Substantial Completion of that Portion; or
- (xiii) the Tunnelling Contractor breaches any of its obligations in relation to Modern Slavery as set out in clause 8.12, or any Modern Slavery Law or if the Tunnelling Contractor or any entity that it owns or controls commits a Modern Slavery Offence,

the Principal may, whether or not the Tunnelling Contractor is then in breach of this deed and without giving a notice under clause 26.1, exercise a right under clause 26.3(b) or clause 26.3(c).

- (b) Notwithstanding clause 26.4(a)(i), the Principal may not terminate this deed pursuant to clause 26.3(c) in respect of events provided for in clause 26.4(a)(i)(A) or clause 26.4(a)(i)(B) where:
  - (i) the Tunnelling Contractor comprises more than one person and an Insolvency Event occurs to one, but not all, of those persons; and
  - (ii) the Tunnelling Contractor demonstrates (and continues to demonstrate) to the satisfaction of the Principal that it is still able to carry out the Tunnelling Contractor's Activities in a manner which will enable it to comply with its obligations under the deed.
- (c) Notwithstanding clauses 26.4(a)(i), 26.4(a)(viii) and 28.4(d), the Principal may not terminate this deed pursuant to clause 26.3(c) in respect of the events provided for in:
  - (i) clause 26.4(a)(i)(C) where the Tunnelling Contractor demonstrates (and continues to demonstrate) to the satisfaction of the Principal; or
  - (ii) clauses 26.4(a)(viii) or 28.4(d) where the Tunnelling Contractor demonstrates (and continues to demonstrate) to the satisfaction of the Principal (acting reasonably),

that the remaining or replacement entities comprising the Tunnelling Contractor or the Tunnelling Contractor Guarantors (as relevant) are of sufficient commercial and financial standing to meet its obligations under this deed or the relevant Parent Company Guarantee (as relevant).



## 26.5 Due Diligence Breach Corrective Action Plan

- (a) If the Principal issues a written notice to the Tunnelling Contractor under clause 26.3 in respect of a breach the subject of a notice under clause 26.1(b) (**Due Diligence Breach**), the Tunnelling Contractor must, within 10 Business Days (or such longer period as the Principal may agree) after receipt of the notice prepare and submit to the Principal a draft plan describing the actions and measures which the Tunnelling Contractor will diligently pursue to remedy the Due Diligence Breach (**Draft Corrective Action Plan**).
- (b) Within 10 Business Days after receipt of the Draft Corrective Action Plan, the Principal must, acting reasonably, either:
  - (i) approve the Draft Corrective Action Plan by notifying the Tunnelling Contractor in writing; or
  - (ii) reject the Draft Corrective Action Plan by notifying the Tunnelling Contractor in writing and providing reasons to the Tunnelling Contractor for its rejection.
- (c) If the Principal approves a Draft Corrective Action Plan pursuant to clause 26.5(b)(i) (the **Approved Corrective Action Plan**) the Tunnelling Contractor must comply with and implement the Approved Corrective Action Plan in order to remedy the Due Diligence Breach.

- (d) If the Principal rejects a Draft Corrective Action Plan pursuant to clause 26.5(b)(ii), the Tunnelling Contractor, in consultation in good faith with the Principal, must amend the Draft Corrective Action Plan to meet the Principal's requirements and submit the amended Draft Corrective Action Plan to the Principal for its approval, in which case this clause 26.5(d) will apply to the amended Draft Corrective Action Plan as if it were originally submitted under clause 26.5(a).
- (e) If:
- (i) the Principal issues a written notice to the Tunnelling Contractor under clause 26.3(a)(i) in respect of a Due Diligence Breach; and
  - (ii) the Tunnelling Contractor fails to:
    - (A) submit a Draft Corrective Action Plan, in accordance with clause 26.5(a);
    - (B) if the Principal rejects a Draft Corrective Action Plan pursuant to clause 26.5(b)(ii), amend the Draft Corrective Action Plan to meet the Principal's requirements and submit the amended Draft Corrective Action Plan in accordance with clause 26.5(d); or
    - (C) comply with and implement the Approved Corrective Action Plan,
 and such failure is not remedied by the Tunnelling Contractor within 5 Business Days of notice from the Principal regarding that failure, the Principal may terminate this deed by notice in writing to the Tunnelling Contractor.
- (f) This clause 26.5 is without prejudice to and will not lessen or otherwise affect any of the Principal's other termination rights under this deed, including the termination rights set out under clauses 26.4(a)(v), 26.4(a)(ix), 26.4(a)(x) and 26.4(a)(xii).

## 26.6 The Principal's entitlements after take out or termination

- (a) If the Principal exercises a right under clause 26.3(b) or clause 26.3(c), the Principal:
- (i) may without payment of compensation to the Tunnelling Contractor:
    - (A) take possession of, and use (and permit others to use), the Key Plant and Equipment, the Construction Plant, Materials, Utility Services, the Temporary Works and other things on or in the vicinity of the Construction Site and Extra Land as were used by the Tunnelling Contractor (and, for this purpose, the Tunnelling Contractor must ensure that the Principal has access to the Extra Land in which the Principal has no interest);
    - (B) contract with such of the Subcontractors; and
    - (C) take possession of, and use (and permit others to use), such of the Design Documentation and other information in the possession of the Tunnelling Contractor,
 as are reasonably required by the Principal to facilitate completion of the Tunnelling Contractor's Activities (as the case may be) remaining to be completed under this deed as at the date of termination (**Remaining Work**);
  - (ii) may engage third parties to carry out and complete the whole or any part of the Remaining Work;

- (iii) may exclude from the Construction Site the Tunnelling Contractor and any other person concerned with the carrying out and completion of the Tunnelling Contractor's Activities;
  - (iv) will be entitled to have recourse to any unconditional undertaking held under clauses 7.1, 22.7 or 22.8; and
  - (v) may give a Direction requiring, in relation to a Significant Subcontract, the Tunnelling Contractor and the relevant Significant Subcontractor to promptly (and in any case within 5 Business Days) execute a deed of novation in the form of Schedule A18.
- (b) If the Principal takes possession of Construction Plant and Materials, the Principal must maintain them in good working order and, subject to paragraph (c), on completion of the Remaining Work, the Principal shall return the Construction Plant and Materials that are surplus.
  - (c) If the Principal exercises a right under clause 26.3(b) or clause 26.3(c) and the Tunnelling Contractor is indebted to the Principal, the Tunnelling Contractor grants to the Principal a lien over the Key Plant and Equipment, Construction Plant, Temporary Works or other things taken under clause 26.6(a)(i)(A) such that the Principal may retain that property until the debt is met. If after reasonable notice, the Tunnelling Contractor fails to pay the debt, the Principal may sell the Key Plant and Equipment, Construction Plant, Temporary Works or other things and apply the proceeds to satisfaction of the debt and the costs of sale. Any excess will be paid to the Tunnelling Contractor.
  - (d) The Tunnelling Contractor must do all things (including executing all documents) reasonably required by the Principal to enable the Principal to lawfully register any Security Interest in the Construction Plant or other things taken under paragraph (a) so as to ensure the Principal's rights under this clause 26.6 are not adversely affected.
  - (e) If the Principal terminates this deed under clause 26.3(c), the Principal will be entitled to recover from the Tunnelling Contractor any Loss suffered or incurred by the Principal arising out of or in any way in connection with the breach, Insolvency Event or termination of this deed and until the Principal's rights in this regard are satisfied, the Principal will not be obliged to make any further payments to the Tunnelling Contractor, including any money the subject of a progress claim under clause 22.3(a) or a payment schedule under clauses 22.3(e) or 22.3(g).

#### 26.7 The Principal's entitlements after take-out

- (a) If the Principal exercises the right under clause 26.3(b), the Tunnelling Contractor will not be entitled to any further payment in respect of the work taken out of the hands of the Tunnelling Contractor unless a payment becomes due to the Tunnelling Contractor under this clause 26.7.
- (b) When all of the work taken out of the hands of the Tunnelling Contractor under clause 26.3(b) is completed, the Principal's Representative will ascertain the cost incurred by the Principal in completing the work and will issue a certificate to the Tunnelling Contractor certifying the amount. If the cost incurred by the Principal is greater than the amount that would have been paid to the Tunnelling Contractor if the Tunnelling Contractor had completed the work, the difference will be a debt due from the Tunnelling Contractor to the Principal.
- (c) Without limiting clause 26.7(b), if the Principal exercises the right under clause 26.3(b), the Principal will be entitled to recover from the Tunnelling Contractor

any Loss incurred or suffered by it as a result of, or arising out of, or in any way in connection with, the exercise of such right.

#### 26.8 **Tunnelling Contractor's rights after repudiation or wrongful termination**

- (a) If the Principal:
- (i) repudiates this deed and the Tunnelling Contractor terminates this deed; or
  - (ii) wrongfully:
    - (A) exercises or attempts to exercise any right or power conferred on it by clause 26.4; or
    - (B) determines or purports to determine this deed at common law,
- then the:
- (iii) Principal's actions will be deemed to have been a lawful termination in accordance with clause 26.9 and the Tunnelling Contractor's sole rights in such circumstances will be those set out in clause 26.10; and
  - (iv) the Tunnelling Contractor:
    - (A) will not be entitled to the payment of damages;
    - (B) will not be entitled to any payment on a quantum meruit basis; and
    - (C) waives all other rights it has to make a Claim in such circumstances.
- (b) This clause 26.8 will survive the termination of this deed.

#### 26.9 **Termination for convenience**

Without prejudice to any of the Principal's other rights or entitlements or powers under this deed, the Principal may:

- (a) at any time for its sole convenience, and for any reason, by written notice to the Tunnelling Contractor terminate this deed effective from the time stated in the notice or if no such time is stated, at the time the notice is given to the Tunnelling Contractor; and
- (b) thereafter either itself or by a third party complete the uncompleted part of the Tunnelling Contractor's Activities.

#### 26.10 **Cost**

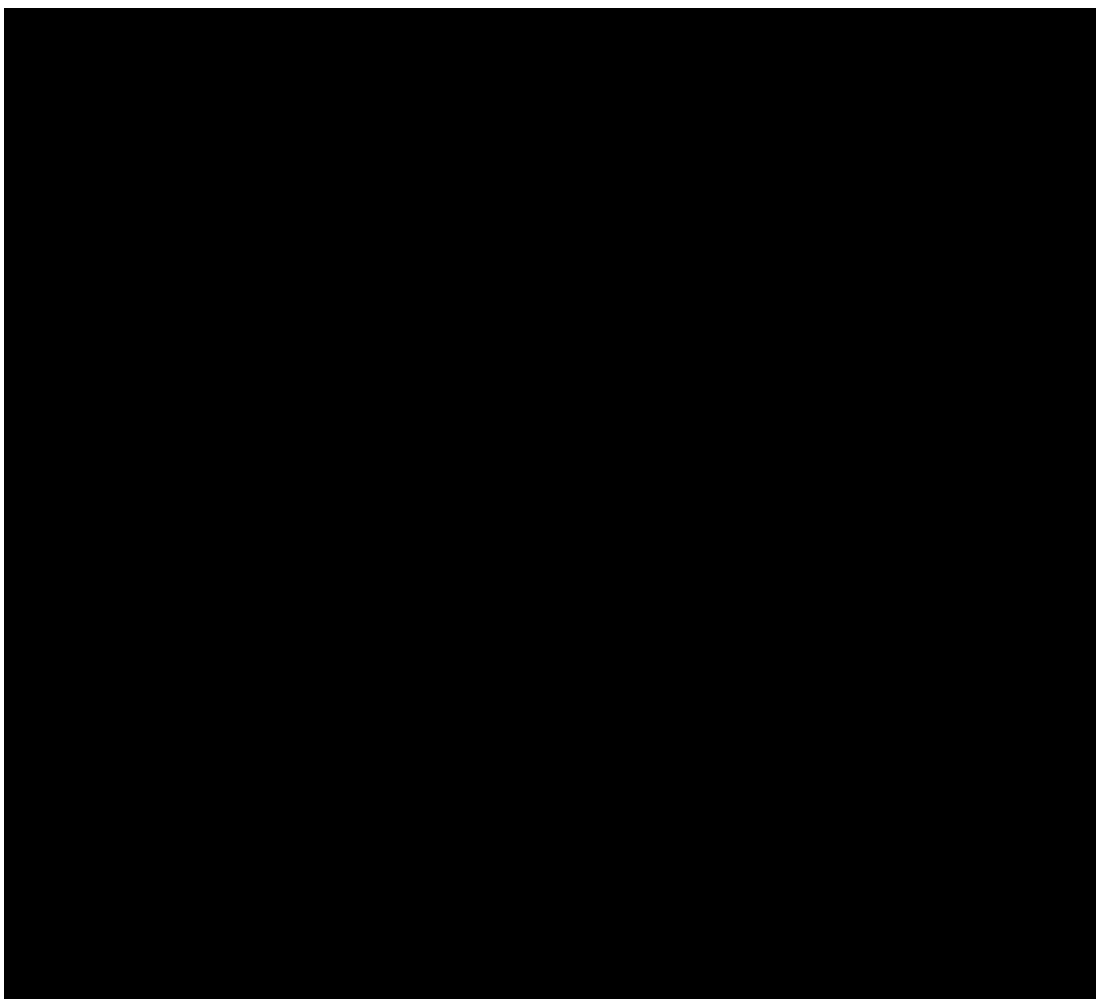
- (a) If the Principal terminates this deed under clause 26.9, without prejudice to any of the Principal's other rights, entitlements or powers under this deed (including its right of set-off under clause 22.10), the Tunnelling Contractor will be entitled to payment of the following amounts as determined by the Principal's Representative (excluding all Excluded Costs):
  - (i) for work carried out prior to the date of termination, the amount which would have been payable if this deed had not been terminated and the Tunnelling Contractor had been entitled to submit a payment claim under clause 22.2(a) for work carried out to the date of termination;

- (ii) the cost of Materials or other items reasonably ordered by the Tunnelling Contractor for the Tunnelling Contractor's Activities and for which it is legally bound to pay provided that:
    - (A) the value of the Materials and other items have not been previously paid or included in the amount payable under clause 26.10(a)(i); and
    - (B) title in the Materials will vest in the Principal upon payment;
  - (iii) the reasonable cost of making the Project Site safe and removing from the Project Site and the Temporary Areas all Construction Plant, Materials and Temporary Works and other things used in the performance of the Tunnelling Contractor's obligations;
  - (iv) the reasonable costs incurred by the Tunnelling Contractor as a result of terminating Approved Subcontracts; and
  - (v) the amount calculated by applying [REDACTED] to the total amounts payable pursuant to clauses 26.10(a)(ii) and 26.10(a)(iii), for all overheads and profit associated with, and to the extent not included in, the work and costs determined under clauses 26.10(a)(ii) and 26.10(a)(iii).
- (b) The Tunnelling Contractor must:
- (i) take all reasonable steps to mitigate the costs referred to in clauses 26.10(a)(ii), 26.10(a)(iii) and 26.10(a)(iv); and
  - (ii) hand over to the Principal's Representative all information, documents and records (including all Design Documentation) and do all other reasonable things to enable the Principal to complete the design and construction of the Project Works and the Temporary Works.
- (c) The Tunnelling Contractor and each of its Subcontractors may retain one copy of the information, documents and records referred to in clause 26.10(b)(ii).
- (d) To the extent it has not had recourse to them, the Principal will, subject to clause 7.4(c), return the unconditional undertakings then held by it under clauses 7.1, 22.7 or 22.8 (or the remaining proceeds of the unconditional undertakings if they have been converted into cash) when the Tunnelling Contractor has complied with its obligations under this clause.
- (e) Upon payment of the amount payable under this clause 26.10, title in the Materials referred to in clause 26.10(a)(ii) will vest in the Principal.
- (f) The amount to which the Tunnelling Contractor is entitled under this clause 26.10 will be a limitation upon the Principal's liability to the Tunnelling Contractor arising out of, or in any way in connection with, the termination of this deed and the Principal will not be liable to the Tunnelling Contractor upon any Claim arising out of, or in any way in connection with, the termination of this deed other than for the amount payable under this clause 26.10.
- (g) This clause 26.10 will survive the termination of the deed under clause 26.9.

#### 26.10A KP&E Residual Value Amounts

If this deed is terminated for any reason (irrespective of whether or not the Tunnelling Contractor is in default) or the whole of the remaining work is taken out of the hands of the Tunnelling Contractor pursuant to clause 26.3 before the last KP&E Residual Value Adjustment Month, an amount equal to the sum of the KP&E Residual Value Amounts for

each KP&E Residual Value Adjustment Month that falls after the date of termination or take out and for the KP&E Residual Value Adjustment Month in which the date of termination or take out falls, will be a debt that is immediately due and payable from the Tunnelling Contractor to the Principal, provided that:



**26.11 Preservation of rights**

Nothing in this clause 26 or that the Principal does or fails to do pursuant to this clause 26 will prejudice the right of the Principal to exercise any right or remedy (including recovering damages or exercising a right of set-off under clause 22.10) which it may have, including where the Tunnelling Contractor breaches (including repudiates) this deed.

**26.12 Termination by Frustration**

If under the Law this deed is frustrated:

(a) the Principal will:

- (i) pay the Tunnelling Contractor the following amounts as determined by the Principal's Representative:
  - (A) an amount calculated in accordance with clause 26.10(a)(i) for work carried out prior to the date of frustration;
  - (B) the costs calculated in accordance with the terms of, and subject to the conditions in, clause 26.10(a)(ii); and

- (C) the costs calculated in accordance with the terms of clauses 26.10(a)(iii) and 26.10(a)(iv); and
  - (ii) to the extent it has not had recourse to them, return all unconditional undertakings then held by it under clauses 7.1, 22.7 or 22.8 (or the remaining proceeds of the unconditional undertakings if they have been converted into cash) when the Tunnelling Contractor has complied with its obligations under this clause; and
- (b) the Tunnelling Contractor must:
- (i) take all reasonable steps to mitigate the costs referred to in clause 26.12(a)(i)(B) and clause 26.12(a)(i)(C); and
  - (ii) hand over to the Principal's Representative all information, documents and records (including all Design Documentation) and do all other things to enable the Principal to complete the design and construction of the Project Works and the Temporary Works.
- (c) Upon payment of the amount payable under this clause 26.12, title in the Materials the subject of the costs payable in respect of clause 26.12(a)(i)(B) will vest in the Principal.
- (d) The amount to which the Tunnelling Contractor is entitled under this clause 26.12 will be a limitation upon the Principal's liability to the Tunnelling Contractor arising out of, or in any way in connection with, the frustration of this deed and the Principal will not be liable to the Tunnelling Contractor upon any Claim arising out of, or in any way in connection with, the frustration of this deed other than for the amount payable under this clause 26.12.
- (e) Without limiting any other provision of this deed, this clause 26.12 will survive the frustration of this deed.

#### 26.13 **Codification of Tunnelling Contractor's entitlements**

This clause 26 is an exhaustive code of the Tunnelling Contractor's rights arising out of or in any way in connection with any termination and the Tunnelling Contractor:

- (a) cannot otherwise terminate, rescind or treat this deed as repudiated; and
- (b) waives all rights at Law to terminate, rescind or treat this deed as repudiated, otherwise than in accordance with this clause 26.

### 27. **DISPUTE RESOLUTION**

#### 27.1 **Disputes generally**

- (a) Subject to clause 27.14, any dispute, difference, controversy or any dispute in relation to a Claim (**Dispute**) directly or indirectly based upon, arising out of, relating to or in connection with the Project Works, the Temporary Works, the Tunnelling Contractor's Activities, Sydney Metro West, this deed (including any questions relating to the existence, validity or termination of this deed), but excluding a failure by a party to comply with a final and binding decision of the Expert or the Independent Estimator, must be resolved in accordance with this clause 27.
- (b) The parties acknowledge and agree that they will seek to minimise the incidence of Disputes.



## 27.2 Formation of Independent Dispute Avoidance and Resolution Panel

- (a) The IDAR Panel has been (or will be) constituted under the IDAR Panel Agreement.
- (b) The Tunnelling Contractor must, within 5 Business Days of receipt of a request from the Principal, execute the IDAR Panel Agreement Accession Deed Poll.
- (c) Following execution by the Principal of the IDAR Panel Agreement and execution by the Tunnelling Contractor of the IDAR Panel Agreement Accession Deed Poll, each party must:
  - (i) at all times comply with the terms of the IDAR Panel Agreement;
  - (ii) attend meetings with the IDAR Panel as required pursuant to the IDAR Panel Agreement or this deed; and
  - (iii) provide all reasonable assistance to the IDAR Panel in fulfilling its function(s) in respect of the Tunnelling Contractor's Activities, including providing all information it or an individual Member reasonably requests.
- (d) Notwithstanding anything to the contrary in this deed, the parties acknowledge and agree that clauses 27.3 and 27.4 only apply from the date on which all of the following has occurred:
  - (i) at least one Member has executed the IDAR Panel Agreement;
  - (ii) the Principal has executed the IDAR Panel Agreement;
  - (iii) the IDAR Panel Agreement has come into effect in accordance with its terms; and
  - (iv) the Tunnelling Contractor has executed the IDAR Panel Agreement Accession Deed Poll,

and until such date those clauses shall have no effect.

## 27.3 Role of IDAR Panel – Dispute Resolution Process

- (a) Subject to clause 27.2(d), at any time following:
  - (i) the issue by the Tunnelling Contractor of a Notice of IE Level Dispute in respect of an IE Level Dispute; or
  - (ii) the issue by either party of a Notice of Dispute in respect of a Dispute,

up until the conclusion of the Executive negotiation process under clause 27.7 in respect of that Dispute or IE Level Dispute (as applicable), either party may by written notice to the other party (IDAR Panel - Dispute Referral Notice) notify the other party that it wishes to engage the IDAR Panel to assist with the resolution of the relevant Dispute or IE Level Dispute (as applicable) in accordance with the IDAR Panel Agreement.
- (b) If a party issues an IDAR Panel – Dispute Referral Notice under clause 27.3(a):
  - (i) the parties will seek to agree the identity of the Member who will act as the Nominated Member under the IDAR Panel Agreement for the purposes of assisting with the resolution of the Dispute or IE Level Dispute (as applicable), provided that if the parties are unable to reach agreement within 5 Business Days following the date of receipt by the relevant party of the IDAR Panel –

- Dispute Referral Notice, the parties must request the Chair to nominate the Nominated Member in accordance with clause 9 of the IDAR Panel Agreement;
- (ii) within 5 Business Days following the selection of the Nominated Member in accordance with clause 27.3(b)(i), the parties must:
    - (A) provide detailed particulars of the Dispute or IE Level Dispute (as applicable) to the Nominated Member including:
      - (aa) reference to any relevant provisions of this deed;
      - (bb) details of any circumstances and acts or omissions of any person relevant to the Dispute or IE Level Dispute (as applicable);
      - (cc) details of any relief or compensation sought and the basis for claiming such relief or compensation; and
      - (dd) copies of, or relevant extracts from, any documents relevant to the Dispute or IE Level Dispute (as applicable); and
    - (B) request the Nominated Member to assist them to resolve the Dispute or IE Level Dispute (as applicable) in accordance with clause 7B of the IDAR Panel Agreement;
  - (iii) subject to clause 27.3(e), the parties must participate in the Dispute Resolution Process in accordance with clause 7B of the IDAR Panel Agreement; and
  - (iv) the parties acknowledge and agree that the Nominated Member's role under the IDAR Panel Agreement in relation to the Dispute or IE Level Dispute (as applicable) is purely consultative and advisory, and no advice, view, direction, statement or comment by the Nominated Member in connection with the Dispute or IE Level Dispute (as applicable) shall be binding on the parties unless and until it is incorporated into a written agreement between the parties.
- (c) Nothing in this clause 27.3 shall in any way affect the operation of any other provision of this clause 27 or the respective rights or obligations of the parties under any other provision of this clause 27.
- (d) For the avoidance of doubt, the giving of an IDAR Panel – Dispute Referral Notice in respect of a Dispute or IE Level Dispute (as applicable) and the engagement of a Member to assist in the resolution of a Dispute or IE Level Dispute (as applicable) under the IDAR Panel Agreement as contemplated by this clause 27.3:
- (i) is not a precondition to the issue of a Notice of IE Level Dispute or a Notice of Dispute (as applicable) or to the commencement of any discussions, negotiations, Expert determination, litigation or arbitration in accordance with this clause 27 in respect of that Dispute or IE Level Dispute (as applicable); and
  - (ii) shall not postpone, delay, suspend or otherwise impact the progress or resolution of any Dispute or IE Level Dispute (as applicable) under clauses 27.5 to 27.10.
- (e) The parties acknowledge and agree that unless they otherwise agree in writing:
- (i) an IDAR Panel – Dispute Referral Notice cannot be issued in respect of a Dispute or IE Level Dispute at any time after the conclusion of the Executive

negotiation process under clause 27.7 in respect of that Dispute or IE Level Dispute (as applicable); and

- (ii) the Dispute Resolution Process in accordance with clause 7B of the IDAR Panel Agreement in respect of a Dispute or IE Level Dispute will cease with effect from the date on which the Executive negotiation process under clause 27.7 is concluded in respect of that Dispute or IE Level Dispute (as applicable),

in each case irrespective of whether the Dispute or IE Level Dispute (as applicable) remains unresolved at the conclusion of the Executive negotiation process under clause 27.7.

#### 27.4 Role of IDAR Panel – Dispute Avoidance Process

- (a) Subject to clause 27.2(d), if a party becomes aware of a Potential Matter it may, provided that a Notice of Dispute or Notice of IE Level Dispute has not already been issued by either party in respect of the Potential Matter:
  - (i) notify the other party in writing of the Potential Matter (giving brief particulars of the Potential Matter); and
  - (ii) request the other party to confirm whether it wishes to participate in the Dispute Avoidance Process under the IDAR Panel Agreement in respect of the Potential Matter, with the aim of attempting to avoid a Dispute arising from or in connection with the Potential Matter.
- (b) If a party receives a notice under clause 27.4(a), it must, within 5 Business Days following receipt of such notice, confirm in writing to the other party whether or not it wishes to participate in the Dispute Avoidance Process in respect of the Potential Matter.
- (c) If a party issues a notice under clause 27.4(b) confirming that it does wish to participate in the Dispute Avoidance Process in respect of the Potential Matter (Notice Confirming Participation):
  - (i) the parties will seek to agree the identity of the Member who will act as the Nominated Member under the IDAR Panel Agreement for the purposes of the Dispute Avoidance Process in respect of the Potential Matter, provided that if the parties are unable to reach agreement within 5 Business Days following the date of receipt by the relevant party of the Notice Confirming Participation, the parties must request the Chair to nominate the Nominated Member in accordance with clause 9 of the IDAR Panel Agreement;
  - (ii) within 5 Business Days following the selection of the Nominated Member in accordance with clause 27.4(c)(i), the parties must:
    - (A) provide detailed particulars of the Potential Matter to the Nominated Member in writing; and
    - (B) confirm to the Chair in writing that they each wish to participate in the Dispute Avoidance Process in respect of the Potential Matter; and
  - (iii) subject to clause 27.4(d), participate in the Dispute Avoidance Process in accordance with clause 7A of the IDAR Panel Agreement.
- (d) If the Dispute Avoidance Process has been commenced in respect of a Potential Matter and either party subsequently issues a Notice of Dispute or Notice of IE Level Dispute in connection with that Potential Matter, then unless the parties otherwise agree in writing, the Dispute Avoidance Process will cease in respect of that Potential

Matter with effect from the date of receipt by the other party of the Notice of Dispute or Notice of IE Level Dispute (as applicable).

- (e) The parties acknowledge and agree that the Dispute Avoidance Process is purely consultative and advisory, and no advice, view, direction, statement or comment by the Nominated Member as part of the Dispute Avoidance Process shall be binding on the parties unless and until it is incorporated into a written agreement between the parties for the purpose of avoiding the occurrence of a Dispute.
- (f) Nothing in this clause 27.4 shall in any way affect the operation of any other provision of this clause 27 or the respective rights or obligations of the parties under any other provision of this clause 27.
- (g) For the avoidance of doubt, the giving of a notice under clause 27.4(a) and the participation in the Dispute Avoidance Process in respect of a Potential Matter as contemplated by this clause 27.4:
  - (i) is voluntary;
  - (ii) is not a precondition to the issue of a Notice of IE Level Dispute or a Notice of Dispute (as applicable) or to the commencement of any discussions, negotiations, Expert determination, litigation or arbitration in accordance with this clause 27 in respect of any Dispute; and
  - (iii) shall not postpone, delay, suspend or otherwise impact the progress or resolution of any Dispute under clauses 27.5 to 27.10.
- (h) Notwithstanding clauses 27.4(f) and 27.4(g), with respect to a Potential Matter notified under clause 27.4(a), where a party:
  - (i) elects not to participate in the Dispute Avoidance Process; or
  - (ii) elects to participate in the Dispute Avoidance Process but fails to participate in the Dispute Avoidance Process in good faith,

and the Potential Matter subsequently becomes a Dispute, the other party may disclose these matters to the IDAR Panel, the Independent Estimator or to any Expert, arbitral tribunal, court or other tribunal (as applicable) that is involved from time to time in the resolution of such Dispute in accordance with this clause 27.

## 27.5 Independent Estimator

- (a) To the extent that a Dispute concerns the Principal's:
  - (i) valuation of a Target Cost adjustment for a particular event or circumstance that entitles the Principal or Tunnelling Contractor to a Reimbursable Cost Element Adjustment, Preliminaries Fee Adjustment and/or Management Fee Adjustment and the amount of the Principal's valuation of the Target Cost adjustment is [REDACTED]; and/or
  - (ii) determination of an extension of time for a particular event or circumstance that entitles the Tunnelling Contractor to an extension to any Date for Milestone Achievement for any Milestone or any Date for Substantial Completion or Date for Completion for any Portion and the extension of time determined by the Principal is [REDACTED],

(separately or together an "IE Level Dispute") the Tunnelling Contractor must refer the IE Level Dispute to the Independent Estimator for resolution in accordance with this clause 27.5. This clause 27.5 will not apply where the Principal has determined

that the Tunnelling Contractor does not have an entitlement to either a Target Cost adjustment or an extension of time and any Dispute in relation to such a determination by the Principal will not constitute an IE Level Dispute.

- (b) If the Tunnelling Contractor considers that an IE Level Dispute has arisen, the Tunnelling Contractor must give written notice to the Principal's Representative and the Independent Estimator (**Notice of IE Level Dispute**) which must:
- (i) be in writing;
  - (ii) state that it is a Notice of IE Level Dispute under this clause 27.5(b);
  - (iii) include or be accompanied by reasonable particulars of the IE Level Dispute including:
    - (A) references to any:
      - (aa) provisions of this deed;
      - (bb) acts or omissions of any person, relevant to the IE Level Dispute;
    - (B) the relief sought and the basis for claiming the relief sought; and
    - (C) copies of, or relevant extracts from, any documents in support of the claim.
- (c) If the Tunnelling Contractor issues a Notice of IE Level Dispute, the Principal may make written submissions to the Independent Estimator in relation to the IE Level Dispute, which may include or be accompanied by the reasons for the Principal's determination of the Tunnelling Contractor's claim, including:
- (i) references to any:
    - (A) provisions of this deed;
    - (B) acts or omissions of any person, relevant to the Principal's determination; and
  - (ii) copies of, or relevant extracts from, any documents in support of the Principal's determination.
- (d) Within 2 Business Days of receiving a request from the Independent Estimator, both parties must make available to the Independent Estimator all such additional information, access to the Construction Site and other relevant places and all appropriate facilities, as the Independent Estimator may require for the purposes of making a determination on the IE Level Dispute.
- (e) Within 5 Business Days of the date of the Notice of IE Level Dispute (or within such other period as may be proposed by the Independent Estimator and approved by both parties in writing), the Independent Estimator must give a written preliminary:
- (i) estimate of its valuation of the Target Cost Adjustment (including, as applicable, details of any relevant Reimbursable Cost Element Adjustment, Preliminaries Fee Adjustment and/or Management Fee Adjustment); and

- (ii) determination of the extension (if any) to any relevant Date for Milestone Achievement, Date for Substantial Completion or Date for Completion,

**(Preliminary Determination).**

- (f) Within 5 Business Days of the Independent Estimator giving its Preliminary Determination under clause 27.5(e), each of the Principal and the Tunnelling Contractor must notify the other party and the Independent Estimator in writing whether or not it accepts the Independent Estimator's Preliminary Determination. If both the Principal and the Tunnelling Contractor give notice under this clause 27.5(f) accepting the Independent Estimator's Preliminary Determination, the Preliminary Determination will be final and binding on the parties and paragraphs (g) to (n) of this clause 27.5 will not apply, unless the Preliminary Determination is subject to fraud.
- (g) A notice given by a party under clause 27.5(f) stating that the party does not accept the Independent Estimator's Preliminary Determination, may be accompanied by written submissions to the Independent Estimator outlining the reasons why the party does not agree with the Independent Estimator's Preliminary Determination and the Independent Estimator must consider these submissions.
- (h) If either the Principal or the Tunnelling Contractor:
  - (i) gives a notice under clause 27.5(f) stating that it does not accept the Independent Estimator's Preliminary Determination; or
  - (ii) does not give notice under clause 27.5(f) within the time required by clause 27.5(f),

then within 15 Business Days of the date of Tunnelling Contractor's Notice of IE Level Dispute (or within such other period as may be proposed by the Independent Estimator and approved by both parties in writing), the Independent Estimator must give a detailed determination in writing, which must:

- (iii) be reasoned;
- (iv) reflect the requirements of this deed (including Schedule E9);
- (v) state the Independent Estimator's:
  - (A) valuation of the Target Cost adjustment (including, as applicable, details of any relevant Reimbursable Cost Element Adjustment, Preliminaries Fee Adjustment and/or Management Fee Adjustment); and
  - (B) determination of the extension (if any) to any relevant Date for Milestone Achievement, Date for Substantial Completion or Date for Completion; and
- (vi) state that it is given under this clause 27.5,

**(Detailed Determination).**

- (i) The Detailed Determination of the Independent Estimator will be final and binding on the parties unless:
  - (i) it is subject to fraud or a Manifest Error that is permitted to be corrected pursuant to clause 27.5(m); or

- (ii) either party submits a notice of dissatisfaction in accordance with clause 27.5(j).
- (j) If either party is dissatisfied with the Detailed Determination of the Independent Estimator, that party may within 10 Business Days of the Independent Estimator's Detailed Determination give the other party a written notice of dissatisfaction which must:
  - (i) state that it is given under this clause 27.5(j); and
  - (ii) set out the matter(s) in dispute and the reason(s) for dissatisfaction.
- (k) If no notice of dissatisfaction has been given by either party under clause 27.5(j) within 10 Business Days of the Independent Estimator's Detailed Determination, then the Detailed Determination of the Independent Estimator will be final and binding on the parties after the expiry of such 10 Business Day period unless it is subject to fraud or a Manifest Error that is permitted to be corrected pursuant to clause 27.5(n).
- (l) If either party gives a notice of dissatisfaction under clause 27.5(j) within 10 Business Days of the Independent Estimator's Detailed Determination, then:
  - (i) the Independent Estimator's Detailed Determination will not be binding on the parties; and
  - (ii) the IE Level Dispute will be referred to representative negotiation under clause 27.6.
- (m) Subject to clause 27.5(n), if the Independent Estimator's Detailed Determination contains a clerical mistake, accidental error or omission, a material miscalculation of figures, a mistake in the description of any person, matter or thing or a defect of form (each a **Manifest Error**), then the Independent Estimator must correct the Manifest Error and reissue its Detailed Determination as soon as possible after the Manifest Error is detected by the Independent Estimator or after being notified of the Manifest Error by a party in writing and clauses 27.5(i) to 27.5(l) will reapply to the reissued Detailed Determination.
- (n) The Independent Estimator may not correct a Manifest Error in its Detailed Determination unless:
  - (i) the Manifest Error is detected by the Independent Estimator or notified in writing by a party within 20 Business Days of the date of the Detailed Determination; or
  - (ii) if the Manifest Error is detected by the Independent Estimator or notified by a party more than 20 Business Days of the date of the Detailed Determination, it first obtains the prior written consent of both parties.
- (o) If at any time a party considers that a Preliminary Determination or a Detailed Determination of the Independent Estimator is subject to fraud, the party must give written notice to the other party which must:
  - (i) state that it is given under this clause 27.5(o); and
  - (ii) identify the fraud.

- (p) If either party gives a notice under clause 27.5(o):
  - (i) the Independent Estimator's Preliminary Determination or Detailed Determination (as applicable) will not be binding on the parties; and
  - (ii) the IE Level Dispute will be referred to representative negotiation under clause 27.6.
- (q) The parties acknowledge that in performing the functions, obligations, duties and services contemplated by this clause 27.5 including the determination of any IE Level Dispute that has been referred to it, the Independent Estimator:
  - (i) will not be bound by the rules of evidence;
  - (ii) must act as an expert and not as an arbitrator;
  - (iii) must observe the rules of natural justice;
  - (iv) must act fairly and impartially;
  - (v) subject to paragraph (q)(iv) above, may:
    - (A) conduct any investigation which it considers necessary;
    - (B) examine such documents, and interview such persons, as it may require; and
    - (C) make such directions for the conduct of determination as it considers necessary; and
  - (vi) must not communicate with one party without the knowledge of the other party.

#### 27.6 Representative negotiation

- (a) A Dispute must be notified by written notice (**Notice of Dispute**) from the dissatisfied party (**Party A**) to the other party, provided that this clause 27.6(a) and clause 27.6(b) will not apply to IE Level Disputes (which must instead be notified by the Tunnelling Contractor issuing a Notice of IE Level Dispute under clause 27.5(b)).
- (b) The Notice of Dispute must:
  - (i) provide particulars of the Dispute; and
  - (ii) be issued within 10 Business Days after Party A first became aware of the fact, matter or thing on which the Dispute is based.
- (c) Within 20 Business Days following receipt by a party of:
  - (i) a Notice of Dispute under clause 27.6(a) in respect of a Dispute;
  - (ii) a notice of dissatisfaction under clause 27.5(j) in respect of the Detailed Determination of the Independent Estimator in respect of an IE Level Dispute; or
  - (iii) a notice under clause 27.5(o) stating that the Preliminary Determination or Detailed Determination of the Independent Estimator in respect of an IE Level Dispute is subject to fraud,



the Principal's Representative and the Tunnelling Contractor's Project Director must meet in good faith to attempt to resolve the Dispute or IE Level Dispute (as applicable).

- (d) If the Principal's Representative and the Tunnelling Contractor's Project Director do not resolve the Dispute or IE Level Dispute (as applicable) within the timeframe specified in clause 27.6(c), they may agree further time for the process in clause 27.6(c) to take place.
- (e) If the Dispute or IE Level Dispute (as applicable) is not resolved within the timeframe specified in clause 27.6(c) or, where applicable, within any further time agreed by the Principal's Representative and the Tunnelling Contractor's Project Director under clause 27.6(d), the Dispute or IE Level Dispute (as applicable) will move to the process in clause 27.7.

## 27.7 Executive negotiation

- (a) Where:
  - (i) a Dispute or IE Level Dispute has been referred to the Principal's Representative and the Tunnelling Contractor's Project Director for resolution under clause 27.6; and
  - (ii) they have been unable to resolve the Dispute or IE Level Dispute (as applicable) within the timeframe specified in clause 27.6(c) (or, where applicable, within any further time agreed by the Principal's Representative and the Tunnelling Contractor's Project Director under clause 27.6(d)),

then within 20 Business Days after the expiry of the timeframe specified in clause 27.6(c) (or, where applicable, within 20 Business Days after the expiry of any further time agreed by the Principal's Representative and the Tunnelling Contractor's Project Director under clause 27.6(d)), the parties' Executives must meet in good faith to attempt to resolve the Dispute or IE Level Dispute (as applicable).

- (b) If the parties' Executives do not resolve the Dispute or IE Level Dispute (as applicable) within the timeframe specified in clause 27.7(a), they may agree further time for the process in clause 27.7(a) to take place.
- (c) If a Dispute (excluding an IE Level Dispute) is not resolved within the timeframe specified in clause 27.7(a) or, where applicable, within any further time agreed by the Executives under clause 27.7(b), the Dispute will move to clause 27.8 or clause 27.9 (as applicable).
- (d) If an IE Level Dispute is not resolved within the timeframe specified in clause 27.7(a) or, where applicable, within any further time agreed by the Executives under clause 27.7(b), the Dispute will move to clause 27.9 and the parties agree that clause 27.8 will not apply.
- (e) The Tunnelling Contractor must ensure that any person appointed as its Executive from time to time must:
  - (i) have sufficient knowledge of Sydney Metro West; and
  - (ii) have full authority to act on behalf of the Tunnelling Contractor, to promptly make decisions in relation to any Dispute or IE Level Dispute and to bind the Tunnelling Contractor in respect of the resolution of any Dispute or IE Level Dispute.

## 27.8 Expert determination

- (a) This clause 27.8 does not apply in relation to an IE Level Dispute which must instead proceed to clause 27.9 or 27.10 (as applicable).
- (b) Where:
  - (i) a Dispute (other than an IE Level Dispute) has been referred to the parties' Executives for resolution under clause 27.7; and
  - (ii) the Executives have been unable to resolve the Dispute within the timeframe specified in clause 27.7(a) (or, where applicable, within any further time agreed by the Executives under clause 27.7(b)); and
  - (iii) the time period for the Principal to issue a notice under clause 27.9(a) has expired without the Principal issuing such notice,

then either party may refer the Dispute to an expert for determination by serving a written notice (**Notice of Referral of Dispute to Expert Determination**) on the other party within 20 Business Days after the expiry of the time period for the Principal to issue a notice under clause 27.9(a).

- (c) If a party serves a Notice of Referral of Dispute to Expert Determination in accordance with clause 27.8(b), the Dispute shall not proceed to arbitration or litigation until the process under this clause 27.8 is concluded.
- (d) The Notice of Referral of Dispute to Expert Determination must:
  - (i) provide particulars of the Dispute (including any relevant information or changes following negotiation by the Principal's Representative and the Tunnelling Contractor's Project Director under clause 27.6 or following negotiation by the Executives under clause 27.7); and
  - (ii) suggest up to 3 independent experts who have the appropriate knowledge and experience to opine on the Dispute.
- (e) Within 10 Business Days of the receipt of the Notice of Referral of Dispute to Expert Determination, the parties will attempt to agree a suitable independent expert to determine the Dispute. If the parties cannot reach agreement within that timeframe, then within 3 Business Days following the expiry of that timeframe, the parties will request that a suitable independent expert be selected by the Australian Centre for International Commercial Arbitration.
- (f) The Expert determination will be conducted in accordance with the Resolution Institute's Expert Determination Rules, as amended by Schedule A19.
- (g) The parties agree that, to the extent permitted by law, Part 4 of the *Civil Liability Act 2002* (NSW) does not apply to any Dispute and the Expert has no power to make a finding in respect of Part 4 of the *Civil Liability Act 2002* (NSW).
- (h) The Expert will prepare a written determination (**Determination**) and provide it to the parties within 20 Business Days after the Expert has been appointed, or such longer period as the Expert reasonably considers is necessary having regard to the Dispute (**Determination Timeframe**).
- (i) If the Expert does not provide a Determination within the Determination Timeframe, a party may write to the other party and state that they will no longer participate in the Expert determination process. The Expert determination process will then be deemed to have been concluded for the purposes of clauses 27.9 and 27.10.

- (j) Within 10 Business Days following the receipt of the Determination, a party may notify the other party that it is dissatisfied with the Determination (**Notice of Dissatisfaction**). When this occurs, the Expert determination process is ended and the parties may take further steps under clauses 27.9 and 27.10.
- (k) Where:
- (i) there is a Determination within the Determination Timeframe (including where a Notice of Dissatisfaction is issued within the timeframe set out in clause 27.8(j)); or
  - (ii) a Determination was made prior to either party ending the Expert determination process under clause 27.8(i),
- then the Determination will be immediately binding on the parties who must give immediate effect to it unless and until it is changed or overturned by written agreement between the parties or by a court judgment or an arbitral award (as applicable).
- (l) Within 2 Business Days of receiving a request from the Expert, both parties must make available to the Expert all such additional information, access to the Construction Site and other relevant places and all appropriate facilities, as the Expert may require for the purposes of making a determination on the Dispute.

#### 27.9 Election of litigation

- (a) If:
- (i) following the conclusion of the Executive negotiation process in clause 27.7 in respect of an IE Level Dispute; or
  - (ii) following the conclusion of the Expert determination process in clause 27.8 in respect of a Dispute,
- the relevant Dispute or IE Level Dispute (as applicable) is still not resolved (including where a Notice of Dissatisfaction has been issued within the timeframe set out in clause 27.8(j)), the Principal may, within 20 Business Days following the conclusion of the process in clause 27.7 or clause 27.8 (as applicable), issue a notice to the Tunnelling Contractor stating that the Dispute or IE Level Dispute (as applicable) is to be determined in a court.
- (b) If the Principal issues a notice under clause 27.9(a) then, notwithstanding anything to the contrary in this deed, the Dispute or IE Level Dispute (as applicable) must be determined in a court and the Dispute or IE Level Dispute (as applicable) cannot be referred to Expert determination under clause 27.8 or arbitration under clause 27.10.
- (c) If the Principal does not issue a notice under clause 27.9(a):
- (i) in respect of an IE Level Dispute, the IE Level Dispute may proceed to arbitration under clause 27.10; or
  - (ii) in respect of a Dispute (excluding any IE Level Dispute), the Dispute may:
    - (A) where Expert determination has not already been undertaken in respect of that Dispute, proceed to Expert determination under clause 27.8; or
    - (B) where Expert determination has already been undertaken in respect of that Dispute, proceed to arbitration under clause 27.10.

## 27.10 Arbitration

- (a) If, in relation to an IE Level Dispute that has been referred for Executive negotiation under clause 27.7:
- (i) the IE Level Dispute is still not resolved following the conclusion of the process in clause 27.7; and
  - (ii) the time period in which the Principal may serve a notice under clause 27.9(a) has expired without the Principal issuing such notice,

either party may refer the relevant IE Level Dispute to arbitration in accordance with this clause 27.10.

- (b) If, in relation to a Dispute (other than an IE Level Dispute):
- (i) the Dispute is still not resolved following the conclusion of the process in clause 27.7 or clause 27.8 (if applicable) (including where a Notice of Dissatisfaction is issued within the timeframe set out in clause 27.8(j)); and
  - (ii) the time period in which the Principal may serve a notice under clause 27.9(a) has expired without the Principal issuing such notice,

either party may refer the relevant Dispute to arbitration in accordance with this clause 27.10.

- (c) Any arbitration conducted in relation to a Dispute or an IE Level Dispute will be conducted in accordance with the arbitration rules of the Australian Centre for International Commercial Arbitration known as the ACICA Arbitration Rules.
- (d) The seat of the arbitration will be Sydney, Australia.
- (e) The language of the arbitration will be English.
- (f) The parties agree:
- (i) that they have chosen arbitration for the purposes of achieving a just, quick and cost-effective resolution of any Dispute or IE Level Dispute;
  - (ii) that any arbitration conducted pursuant to this clause shall not necessarily mimic court proceedings and the practices of those courts will not regulate the conduct of the proceedings before the arbitral tribunal; and
  - (iii) that in conducting the arbitration, the arbitral tribunal must take into account the matters set out above, particularly in deciding issues such as:
    - (A) the number of written submissions that will be permitted;
    - (B) where appropriate, the length of written submissions;
    - (C) the extent of document discovery permitted, if any;
    - (D) the consolidation of proceedings, when requested;
    - (E) the joinder of parties, when requested;
    - (F) the length of any hearing, if any; and
    - (G) the number of experts, if any, each party is permitted to appoint.

- (g) The parties agree that:
- (i) subject to clauses 27.10(k) and 27.10(l), the arbitral tribunal will have the power to grant all legal, equitable and statutory remedies, except punitive damages; and
  - (ii) section 24 of the *International Arbitration Act 1974* (Cth) will apply in an international arbitration context.
- (h) The arbitral tribunal has the power, on the application of any party to this arbitration agreement, to allow a third party who the arbitral tribunal considers has a sufficient interest in the outcome of the arbitration to be joined in the arbitration as a party. Each party hereby consents to such joinder. In the event of such joinder of parties in the arbitration, the arbitral tribunal has the power to make a single final award, or separate awards, in respect of all parties so joined in the arbitration.
- (i) Any award of the arbitral tribunal will be final and binding upon the parties.
- (j) This arbitration agreement is governed by and must be construed according to the Law applying in New South Wales.
- (k) The powers conferred and restrictions imposed on a court by Part 4 of the *Civil Liability Act 2002* (NSW) are not conferred on an arbitral tribunal appointed in accordance with this clause 27.
- (l) The arbitral tribunal has no power to make a binding or non-binding determination or any award in respect of a Dispute or IE Level Dispute by applying or considering the provisions of Part 4 of the *Civil Liability Act 2002* (NSW) (and any equivalent statutory provisions in any other state or territory) which might, in the absence of this provision, have applied to any Dispute or IE Level Dispute referred to the arbitral tribunal.

#### 27.11 **Payments**

The Principal may withhold payment of that part of any amount which is the subject of a Dispute.

#### 27.12 **Tunnelling Contractor to continue performing obligations**

Despite the existence of any Dispute the Tunnelling Contractor must:

- (a) continue to perform the Tunnelling Contractor's Activities; and
- (b) perform its other obligations under this deed.

#### 27.13 **Urgent relief**

Nothing in this clause 27 will prejudice:

- (a) the right of a party to seek urgent injunctive or declaratory relief from a court; or
- (b) the Principal from making an application to the court pursuant to sections 415E, 434K and 451F of the Corporations Act, when enacted, or an equivalent provision under any Law.

#### 27.14 **Dispute under related contracts**

The parties acknowledge and agree that:

- (a) the provisions of this clause 27 will not apply to any dispute, difference, controversy or claim between the parties and the Independent Certifier which is to be resolved under the provisions of the Independent Certifier Deed;
- (b) the parties will be bound by the outcome of any dispute, difference, controversy or claim between the parties which is resolved pursuant to the Independent Certifier Deed; and
- (c) where the Dispute is a Common Dispute, as that term is defined in clause 15 of Schedule D5 or clause 3 of Schedule D6 (as applicable) then this clause 27 will apply subject to the provisions of clause 15 of Schedule D5 or clause 3 of Schedule D6 (as relevant).

#### 27.15 **Survive termination**

This clause 27 will survive termination of this deed.

### 28. **RESTRICTIONS, CHANGE IN CONTROL AND RESTRUCTURE EVENT**

#### 28.1 **Restrictions relating to the Tunnelling Contractor Consortium Deed**

The Tunnelling Contractor must not:

- (a) terminate, surrender, rescind or accept the repudiation of;
- (b) permit the novation, assignment, transfer or substitution of the whole or part of any party's right, obligation or interest in; or
- (c) where it may impact the rights or increase the liabilities or obligations of the Principal or may adversely affect the Tunnelling Contractor's ability to perform the Tunnelling Contractor's Activities:
  - (i) make or permit any amendment to, replacement of or waiver of a provision of or any supplement or agreement collateral to; or
  - (ii) enter into any agreement or arrangement which affects the operation or interpretation of,

the Tunnelling Contractor Consortium Deed without the Principal's written consent (which consent will not be unreasonably withheld or delayed).

#### 28.2 **Change in control of an entity that comprises the Tunnelling Contractor**

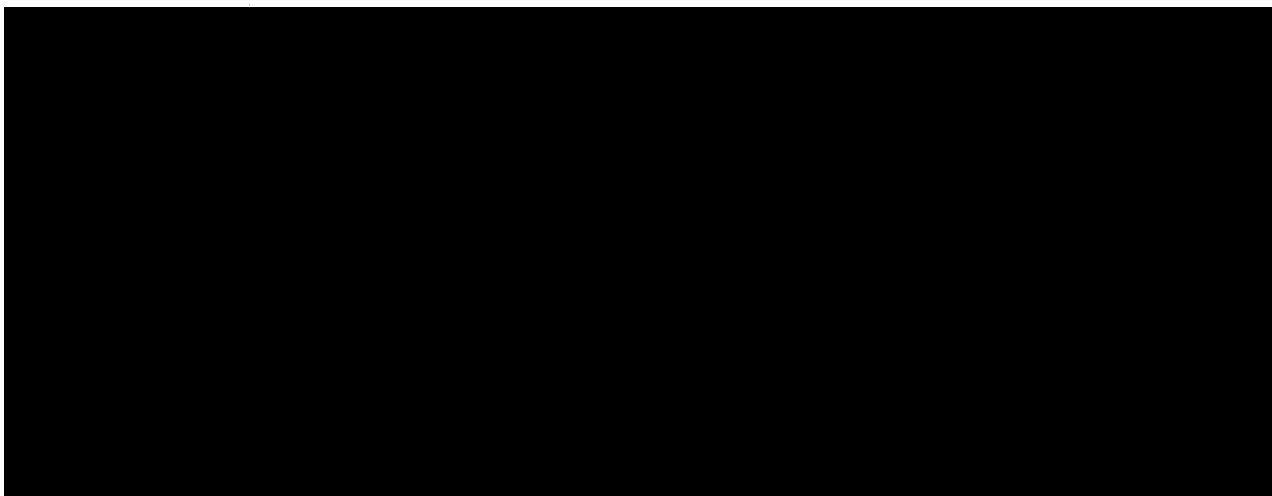
- (a) Subject to the terms of this clause 28.2, the Tunnelling Contractor must ensure that there is no Change in Control of any entity that comprises the Tunnelling Contractor without the prior written consent of the Principal (which must not be unreasonably withheld).
- (b) The Tunnelling Contractor must notify the Principal in writing of any Change in Control of any entity that comprises the Tunnelling Contractor, and provide:
  - (i) full details of the Change in Control, including the acquisition of voting power, the change in equity interests or any other event which will cause or constitute the Change in Control; and
  - (ii) all other information necessary for the Principal to determine whether to exercise its rights under clauses 28.2(d) and 28.2(e), in relation to the Change in Control of the relevant entity that comprises the Tunnelling Contractor.

- (c) The Principal's approval is not required for a Change in Control arising from:
  - (i) a transfer of any share or unit or other interest in the nature of equity which is listed on a recognised stock exchange; or
  - (ii) any transfer of a share or unit or other interest in the nature of equity by a person to a Related Body Corporate of that person, provided the Tunnelling Contractor gives the Principal prior written notice of the transfer.
- (d) The Principal will be deemed to be acting reasonably if it withholds its approval to a Change in Control of an entity that comprises the Tunnelling Contractor where the Principal is of the reasonable opinion that:
  - (i) the person or entity which will exercise Control of the Tunnelling Contractor or the relevant entity that comprises the Tunnelling Contractor:
    - (A) is not solvent and reputable;
    - (B) has an interest or duty which conflicts in a material way with the interests of the Principal; or
    - (C) is involved in a business or activity which is incompatible, or inappropriate, in relation to Sydney Metro West; or
  - (ii) as a result of the Change in Control, the Tunnelling Contractor will no longer:
    - (A) have sufficient expertise and ability; or
    - (B) be of sufficiently high financial and commercial standing,
 to properly carry out the obligations of the Tunnelling Contractor under this deed.
- (e) If a Change in Control of any entity that comprises the Tunnelling Contractor occurs without the prior written permission of the Principal (other than a Change in Control permitted under clause 28.2(c)), the Tunnelling Contractor acknowledges that the Principal may terminate this deed by notice in writing to the Tunnelling Contractor.
- (f) The Principal's approval of a Change in Control of any entity that comprises the Tunnelling Contractor will not relieve the Tunnelling Contractor of any of its obligations under this deed.

### 28.3 Change in control of a Tunnelling Contractor Guarantor

- (a) Subject to the terms of this clause 28.3, the Tunnelling Contractor must ensure that there is no Change in Control of a Tunnelling Contractor Guarantor without the prior written consent of the Principal (which must not be unreasonably withheld).
- (b) The Tunnelling Contractor must notify the Principal in writing of any Change in Control of a Tunnelling Contractor Guarantor, and provide:
  - (i) full details of the Change in Control, including the acquisition of voting power, the change in equity interests or any other event which will cause or constitute the Change in Control; and
  - (ii) all other information necessary for the Principal to determine whether to exercise its rights under clauses 28.3(d) and 28.3(e), in relation to the Change in Control of that Tunnelling Contractor Guarantor.

- (c) The Principal's approval is not required for a Change in Control arising from:
  - (i) a transfer of any share or unit or other interest in the nature of equity which is listed on a recognised stock exchange; or
  - (ii) any transfer of a share or unit or other interest in the nature of equity by a person to a Related Body Corporate of that person, provided the Tunnelling Contractor gives the Principal prior written notice of the transfer.
- (d) The Principal will be deemed to be acting reasonably if it withholds its approval to a Change in Control of a Tunnelling Contractor Guarantor where the Principal is of the reasonable opinion that:
  - (i) the person or entity which will exercise Control of the relevant Tunnelling Contractor Guarantor:
    - (A) is not solvent and reputable;
    - (B) has an interest or duty which conflicts in a material way with the interests of the Principal; or
    - (C) is involved in a business or activity which is incompatible, or inappropriate, in relation to Sydney Metro West; or
  - (ii) as a result of the Change in Control, the relevant Tunnelling Contractor Guarantor will no longer:
    - (A) have sufficient expertise and ability; or
    - (B) be of sufficiently high financial and commercial standing,  
to properly carry out the obligations of the Tunnelling Contractor Guarantor under the relevant Parent Company Guarantee.
- (e) If a Change in Control of a Tunnelling Contractor Guarantor occurs without the prior written permission of the Principal (other than a Change in Control permitted under clause 28.3(c)), the Tunnelling Contractor acknowledges that the Principal may terminate this deed by notice in writing to the Tunnelling Contractor.
- (f) The Principal's approval of a Change in Control of a Tunnelling Contractor Guarantor will not relieve the Tunnelling Contractor of any of its obligations under this deed.





## 29. FINANCIAL REPORTING AND NOTIFICATIONS

### 29.1 Financial reporting

- (aa) The Tunnelling Contractor is not obligated to comply with any requirements of this clause 29 that would otherwise put the Tunnelling Contractor (or any entity comprising the Tunnelling Contractor), or any Tunnelling Contractor Guarantor, in breach of any applicable laws or the listing rules of any recognised stock exchange.
- (ab) The Tunnelling Contractor is not obligated to comply with any requirements of this clause 29 to the extent that the Tunnelling Contractor (or any entity comprising the Tunnelling Contractor), or any Tunnelling Contractor Guarantor, has provided the relevant documents or information to the Principal on a separate project or pursuant to a separate contract.
- (a) The Tunnelling Contractor must give the Principal its most recent audited financial statements (as applicable) for the Tunnelling Contractor (or any entity comprising the Tunnelling Contractor) and any Tunnelling Contractor Guarantor:
  - (i) if the Tunnelling Contractor (or any entity comprising the Tunnelling Contractor) or any Contractor Guarantor is not a Listed Entity, annually and half-yearly once prepared; or
  - (ii) if the Tunnelling Contractor (or any entity comprising the Tunnelling Contractor) or any Tunnelling Contractor Guarantor is a Listed Entity, when Publicly Notified on an annual and half-yearly basis,

but where audited financial statements are not available for the relevant period, then management accounts including profit and loss, balance sheets and cash flow statements.
- (b) The Tunnelling Contractor must prepare (or procure the preparation of) the accounts and financial statements required under clause 29.1(a) in compliance with all applicable laws and, without limitation, in accordance with the accounting principles generally accepted in Australia or the place of incorporation of the relevant entity and consistently applied.
- (c) Without limiting its obligations under clause 29.1(a), the Tunnelling Contractor must also provide a document in the form set out in Part 2 of Schedule E8 setting out the

information required by that Schedule and the times the information is required by that Schedule.

- (d) The Tunnelling Contractor must provide the documents and information required under clauses 29.1(a) and 29.1(c):
  - (i) from the date of this deed to the achievement of Completion of the last Portion at the required frequencies; and
  - (ii) thereafter until Final Completion, at any time following the Principal's request, provided that the Principal may not request the relevant information at greater frequencies than those required by clauses 29.1(a) or 29.1(c), as relevant.
- (e) The Tunnelling Contractor is not required to provide any documents or information under this clause 29.1 once Final Completion has been reached.
- (f) If the Principal becomes aware of a Financial Capacity Event, Financial Reporting Event or Restructure Event in relation to the Tunnelling Contractor (or any entity that comprises the Tunnelling Contractor) or any Tunnelling Contractor Guarantor, the Principal may request the Tunnelling Contractor to provide information which is reasonably required by the Principal, provided that, in the case of a Listed Entity, the relevant information is Publicly Notified or is Reportable Information.
- (g) The Tunnelling Contractor warrants that each of the documents and information required to be provided to the Principal in accordance with clauses 29.1(a), 29.1(c), 29.1(d) and 29.1(f) will be accurate, complete and correct in all respects.

## 29.2 Financial Assessment

Without limiting or otherwise restricting clauses 20.17, 29.1 and 29.3, the Tunnelling Contractor acknowledges and agrees that:

- (a) the Principal may, in its absolute discretion, either itself, or through the engagement of private sector service providers, undertake financial assessments which will be based upon the documents and information required to be provided to the Principal in accordance with this clause 29, including information which is Publicly Notified or Reportable Information (**Financial Assessment**) of the Tunnelling Contractor (or any entity that comprises the Tunnelling Contractor) and any Tunnelling Contractor Guarantor;
- (b) the Financial Assessment may be undertaken at half yearly or yearly intervals from the date of this deed;
- (c) if requested by the Principal's Representative, it must, within 10 Business Days of receiving such request, provide any documents, information and evidence as is reasonably required by the Principal's Representative under, out of, or in connection with the Financial Assessment (but in the case of any Listed Entity, only where such documents, information and/or evidence is Publicly Notified or is Reportable Information). The Principal's Representative may request such documents, information and evidence in addition to any other documents, information and evidence otherwise required to be provided by the Tunnelling Contractor under this clause 29; and
- (d) the Principal will provide the Tunnelling Contractor with feedback upon completion of the Financial Assessment.

### 29.3 Financial Reporting Events

- (a) Notwithstanding any other clause of this deed, but subject to clause 29.1(aa) and 29.3(b), the Tunnelling Contractor must notify the Principal as soon as the Tunnelling Contractor becomes aware that any Financial Reporting Event has occurred.
- (b) In respect of a Designated Significant Subcontractor, the Tunnelling Contractor must notify the Principal as soon as the Tunnelling Contractor becomes aware that any Financial Reporting Event has occurred in respect of a Designated Significant Subcontractor either through the Financial Reporting Pass-Through Provisions (as defined in clause 29.4(m)) or in other circumstances where it is legally able to do so.

### 29.3A Confidentiality

- (a) Subject to clause 34.7(b), the Principal must keep confidential any information provided or communicated by the Tunnelling Contractor pursuant to this clause 29, except for any information:
  - (i) which is in the public domain through no default of the Principal;
  - (ii) which is disclosed to NSW Treasury or the Principal's advisors or consultants who are subject to a duty of confidentiality to the Principal; or
  - (iii) the disclosure of which is:
    - (A) required by Law or to obtain legal advice in relation to this deed;
    - (B) made following the written consent of the Tunnelling Contractor;
    - (C) given to a court in the course of proceedings to which the Principal is a party; or
    - (D) for the purposes of performing the Principal's obligations under this deed.

### 29.4 Financial Mitigation Plan

- (a) Subject to the requirements of all applicable laws, the Principal may notify the Tunnelling Contractor if a Financial Capacity Event has occurred. In determining if a Financial Capacity Event has occurred, the Principal may have regard to the documents, information, evidence and notifications provided by the Tunnelling Contractor under this clause 29 and any other information it considers relevant in its absolute discretion.
- (b) Following the occurrence of a Financial Capacity Event, the Tunnelling Contractor must meet with the Principal within 5 Business Days of the date of the notice provided pursuant to clause 29.4(a) (or such longer period as the Principal's Representative may agree) to discuss the nature of the Financial Capacity Event and its implications in respect of the obligations and liabilities of the Tunnelling Contractor under the Tunnelling Contract Documents. Subject to clause 29.4(m), the Tunnelling Contractor must also procure the attendance at such meeting of any Tunnelling Contractor Guarantor and Designated Significant Subcontractor specified by the Principal.
- (c) The meeting shall also be for the purpose of:
  - (i) discussing any effect of the Financial Capacity Event on the ability of:

- (A) the Tunnelling Contractor to continue to perform its obligations and meet its liabilities under this deed, including the timely performance of the Tunnelling Contractor's Activities and how any adverse effect will be mitigated;
  - (B) any Tunnelling Contractor Guarantor to meet its liabilities under the relevant Parent Company Guarantee; or
  - (C) any Designated Significant Subcontractor to meet its liabilities under the relevant Designated Significant Subcontract, including the timely performance and delivery of the works under the relevant Designated Significant Subcontract and how any adverse effect will be mitigated;
- (ii) identifying the information relating to the Tunnelling Contractor (or any entity that comprises the Tunnelling Contractor), any Tunnelling Contractor Guarantor and, subject to clause 29.4(m), each Designated Significant Subcontractor that the Principal reasonably requires in order to better understand the matters described in clause 29.4(c)(i) and the timing for the provision of that information to the extent such information:
- (A) may be disclosed to the Principal without breaching any confidentiality obligations; and
  - (B) in the case of any Listed Entity, has been Publicly Notified or is Reportable Information; and
- (iii) subject to clause 29.4(ca), specifying the form, duration and content of the Financial Mitigation Plan required to be prepared by the Tunnelling Contractor (if the Principal, acting reasonably, requires a Financial Mitigation Plan to be prepared in response to the Financial Capacity Event) which must include details of the measures the Tunnelling Contractor proposes to take to avoid, mitigate or minimise any adverse effect of the Financial Capacity Event on the matters described in clause 29.4(c)(i).
- (ca) The parties agree that the measures set out in the Financial Mitigation Plan for the purposes of clause 29.4(c)(iii) must be consistent with those reasonable measures that the governing body of the Tunnelling Contractor and the Tunnelling Contractor Guarantor (as applicable) determines are in the best interest of the Tunnelling Contractor or the Tunnelling Contractor Guarantor (as applicable) in accordance with their duties and obligations under applicable laws.
- (d) If a Financial Mitigation Plan is required by the Principal, the Tunnelling Contractor must prepare and submit the Financial Mitigation Plan to the Principal's Representative within 15 Business Days of the meeting held pursuant to clause 29.4(b).
- (e) The Principal's Representative may:
- (i) review any Financial Mitigation Plan submitted under clause 29.4(d); and
  - (ii) if the Financial Mitigation Plan submitted does not, in the opinion of the Principal (acting reasonably), satisfy the requirements of clause 29.4 for the avoidance, mitigation or minimisation of any adverse effect of a Financial Capacity Event, notify the Tunnelling Contractor of its opinion within 10 Business Days of the date of submission of the Financial Mitigation Plan, providing written reasons.
- (f) Subject to clause 29.4(fa), if the Tunnelling Contractor receives a notice under clause 29.4(e)(ii), the Tunnelling Contractor will, within 10 Business Days (or such

longer period as the Principal's Representative may agree) submit an amended Financial Mitigation Plan, or relevant part of it, to the Principal's Representative to the extent required to satisfy the requirements of clause 29.4. If requested by either party, the Principal and the Tunnelling Contractor must meet within 5 Business Days to discuss the Financial Mitigation Plan. Subject to clause 29.4(m), the Tunnelling Contractor must also procure the attendance at such meeting of any Tunnelling Contractor Guarantor and Designated Significant Subcontractor specified by the Principal.

- (fa) The Tunnelling Contractor is not required to agree to any amendments to a Financial Mitigation Plan required by the Principal's Representative to the extent [REDACTED]  
[REDACTED]  
[REDACTED] as notified by the Tunnelling Contractor to the Principal in writing, including detailed reasons.
- (g) The Tunnelling Contractor must diligently implement a Financial Mitigation Plan submitted under clause 29.4(d) incorporating any amendments required by clause 29.4(f).
- (h) The Tunnelling Contractor:
- (i) must promptly update the Financial Mitigation Plan in order to take into account any events or circumstances, including any additional Financial Capacity Event or Financial Reporting Event, which occurs or comes into existence and which has any effect on the matters described in clause 29.4(c)(i); and
  - (ii) must promptly submit each update of the Financial Mitigation Plan to the Principal's Representative, in which case clauses 29.4(e) and 29.4(f) shall again apply and the Tunnelling Contractor must comply with the then current Financial Mitigation Plan until the 10 Business Days period under clause 29.4(e) has elapsed.
- (i) The Tunnelling Contractor may notify the Principal's Representative at any time if the Tunnelling Contractor reasonably believes that a Financial Capacity Event that is the subject of the Financial Mitigation Plan has been adequately mitigated in accordance with the Financial Mitigation Plan and/or no longer subsists.
- (j) If the Tunnelling Contractor gives a notice under clause 29.4(i) and the Principal's Representative agrees (acting reasonably) that the Financial Capacity Event has been adequately mitigated and/or no longer subsists:
- (i) the Principal's Representative must promptly provide written notice to the Tunnelling Contractor confirming this; and
  - (ii) the Tunnelling Contractor will be relieved of its obligation to comply with the relevant Financial Mitigation Plan under this deed from the date of such notice.
- (k) This clause 29 is without prejudice to and will not lessen or otherwise affect:
- (i) the Tunnelling Contractor's obligations or liabilities under this deed or otherwise according to Law; or
  - (ii) any of the Principal's rights against the Tunnelling Contractor, whether under this deed or otherwise according to Law, which arise as a result of or in

connection with any of the matters dealt with in this clause 29, including any rights arising under clause 26.

- (l) The Tunnelling Contractor will not be entitled to make, and the Principal will not be liable upon, any Claim arising out of or in any way in connection with the performance of any of its obligations under this clause 29 and any costs incurred by the Tunnelling Contractor in complying with this clause will be Excluded Costs.
- (m) The Tunnelling Contractor must use its best endeavours to ensure that each Designated Significant Subcontract that it enters into in connection with the Tunnelling Contractor's Activities includes provisions that will enable the Tunnelling Contractor to comply with this clause 29 (**Financial Reporting Pass-Through Provisions**). If, despite using its best endeavours, the Tunnelling Contractor is unable to include the Financial Reporting Pass-Through Provisions in a Designated Significant Subcontract, the Tunnelling Contractor will be relieved of its obligations under this clause 29, in respect of the relevant Designated Significant Subcontract, to the extent the provisions included in the relevant Designated Significant Subcontract (if any) differ to the Financial Reporting Pass-Through Provisions and this difference results in the Tunnelling Contractor being unable to meet its obligations pursuant to this clause 29.

### 30. **TRANSPORT FOR NSW STATEMENT OF BUSINESS ETHICS**

- (a) The Tunnelling Contractor must at all times comply with the Transport for NSW Statement of Business Ethics, a copy of which is available at [www.transport.nsw.gov.au](http://www.transport.nsw.gov.au).
- (b) Prior to the engagement of any Subcontractor by the Tunnelling Contractor, the Tunnelling Contractor must obtain a written acknowledgement from such Subcontractor that it has received, read, understood and will comply with the Transport for NSW Statement of Business Ethics.

### 31. **NSW INDUSTRIAL GUIDELINES: BUILDING AND CONSTRUCTION PROCUREMENT**

#### 31.1 **NSW Guidelines**

In addition to terms defined in this deed, terms used in this clause 31 have the same meaning as is attributed to them in the NSW Guidelines. The NSW Guidelines are available at [www.industrialrelations.nsw.gov.au](http://www.industrialrelations.nsw.gov.au).

#### 31.2 **Primary Obligation**

- (a) The Tunnelling Contractor must at all times comply with, and meet any obligations imposed by the NSW Guidelines.
- (b) The Tunnelling Contractor must notify the Construction Compliance Unit (**CCU**) and the Principal of any possible non-compliance with the NSW Guidelines and of remedial action taken, within 24 hours of becoming aware of the possible non-compliance.
- (c) Where the Tunnelling Contractor engages a Subcontractor, the Tunnelling Contractor must ensure that the contract with the Subcontractor imposes on the Subcontractor equivalent obligations to those in this clause 31, including that the Subcontractor must at all times comply with, and meet any obligations imposed by the NSW Guidelines.
- (d) The Tunnelling Contractor must not appoint or engage another party in relation to the Tunnelling Contractor's Activities where that appointment or engagement would breach a sanction imposed on the other party in relation to the NSW Guidelines.

### 31.3 Access and information

- (a) The Tunnelling Contractor must maintain adequate records of compliance with the NSW Guidelines by it, its Subcontractors and related entities.
- (b) The Tunnelling Contractor must allow, and take reasonable steps to facilitate, authorised personnel (including personnel of the CCU) to:
  - (i) enter and have access to sites and premises controlled by the Tunnelling Contractor, including but not limited to the Construction Site;
  - (ii) inspect any work, material, machinery, appliance, article or facility;
  - (iii) access information and documents;
  - (iv) inspect and copy any record relevant to the Tunnelling Contractor's Activities;
  - (v) have access to personnel; and
  - (vi) interview any person,

as is necessary for the authorised personnel to monitor and investigate compliance with the NSW Guidelines by the Tunnelling Contractor, its Subcontractors and related entities.
- (c) The Tunnelling Contractor, and its related entities, must agree to, and comply with, any request from authorised personnel (including personnel of the CCU) for the production of specified documents by a certain date, whether in person, by post or electronic means.

### 31.4 Sanctions

- (a) The Tunnelling Contractor warrants that at the time of entering into this deed, neither it, nor any of its related entities, are subject to a sanction in connection with the NSW Guidelines that would have precluded it from responding to a procurement process for work to which the NSW Guidelines apply.
- (b) If the Tunnelling Contractor does not comply with, or fails to meet any obligation imposed by, the NSW Guidelines, a sanction may be imposed against it in connection with the NSW Guidelines.
- (c) Where a sanction is imposed:
  - (i) it is without prejudice to any rights that would otherwise accrue to the parties; and
  - (ii) the State of NSW (through its agencies, Ministers and the CCU) is entitled to:
    - (A) record and disclose details of non-compliance with the NSW Guidelines and the sanction; and
    - (B) take them into account in the evaluation of future procurement processes and responses that may be submitted by the Tunnelling Contractor, or its related entities, in respect of work to which the NSW Guidelines apply.

### 31.5 Compliance

- (a) The Tunnelling Contractor must comply with the NSW Guidelines, including in respect of any positive steps it is obliged to take to meet its obligations under the NSW Guidelines. The Tunnelling Contractor is not entitled to make, and the Principal and the State of NSW will not be liable upon, any Claim against the Principal or the State of NSW arising out of or in any way in connection with the Tunnelling Contractor's compliance with the NSW Guidelines.
- (b) Compliance with the NSW Guidelines does not relieve the Tunnelling Contractor from responsibility to perform the Tunnelling Contractor's Activities or any other obligation under this deed, or from liability for any Defect in the Project Works or Temporary Works or from any other legal liability, whether or not arising from its compliance with the NSW Guidelines.
- (c) Where a Change is proposed, and that Change may, or may be likely to, affect compliance with the NSW Guidelines, the Tunnelling Contractor must immediately notify the Principal (or nominee) of the Change, or likely Change and specify:
  - (i) the circumstances of the proposed Change;
  - (ii) the extent to which compliance with the NSW Guidelines will be, or is likely to be, affected by the Change;
  - (iii) what steps the Tunnelling Contractor proposes to take to mitigate any adverse impact of the Change (including any amendments it proposes to the Workplace Relations Management Plan or the Project Health and Safety Risk Governance Plan),

and the Principal will direct the Tunnelling Contractor as to the course it must adopt within 10 Business Days of receiving notice.

### 31.6 Workplace Relations Management Plan

The Tunnelling Contractor must, within 20 Business Days of the date of this deed:

- (a) prepare a Workplace Relations Management Plan which addresses the matters set out in section 6 of the NSW Guidelines; and
- (b) submit the Workplace Relations Management Plan to the Principal's Representative for review in accordance with clause 13.3.

### 32. AUSTRALIAN GOVERNMENT REQUIREMENTS

- (a) The Tunnelling Contractor, if it is a code covered entity within the meaning of the Building Code:
  - (i) declares as at the date of this deed; and
  - (ii) must ensure during the term of this deed,
 

that, in relation to the Project Works and Temporary Works, it and its Subcontractors and consultants:

    - (iii) complies with, and acts consistently with, the Building Code;
    - (iv) is not subject to an Exclusion Sanction; and



- (v) to the extent required by the Building Code, only uses products that comply with the relevant Australian standards published by, or on behalf of, Standards Australia.
- (b) Without limiting clause 6, the Tunnelling Contractor must:
  - (i) only enter into a Subcontract for any aspect of the Project Works that involves Commonwealth Funded Building Work where the Subcontractor:
    - (A) is not subject to an Exclusion Sanction or excluded from performing Building Work funded by a State or Territory Government, unless approval to do so is provided by the Minister responsible for administering the Building Code; and
    - (B) has submitted any information required to be submitted by the Building Code; and
  - (ii) provide the Principal with confirmation of the matters the subject of clause 32(b)(i), on request.
- (c) The Tunnelling Contractor acknowledges and agrees that compliance with the Building Code does not relieve the Tunnelling Contractor from any responsibility or obligations under this deed, or from liability for any Defect arising from compliance with the Building Code.
- (d) The Tunnelling Contractor must maintain adequate records of the compliance with the Building Code by:
  - (i) the Tunnelling Contractor;
  - (ii) its Subcontractors; and
  - (iii) the Tunnelling Contractor's consultants.

### 33. NOTIFICATION OF CLAIMS

#### 33.1 Notice of other claims

If the Tunnelling Contractor wishes to make a Claim (other than an Excluded Claim) against the Principal in respect of any Direction of the Principal or the Principal's Representative or other event, circumstance, act, omission, fact, matter or thing (including a breach of this deed by the Principal) under, arising out of, or in any way in connection with, this deed, the Tunnelling Contractor's Activities or the Project Works, including anything in respect of which:

- (a) the Tunnelling Contractor is otherwise given an express entitlement under this deed; or
  - (b) this deed expressly provides that:
    - (i) specified costs are to be added to any component of the Project Contract Sum; or
    - (ii) any component of the Project Contract Sum will be otherwise increased or adjusted,
- by an amount stated by the Principal's Representative,

the Tunnelling Contractor must give the Principal's Representative the notice required by clause 33.2(a) and a Claim in accordance with clause 33.2(b).

### 33.2 Prescribed notices

- (a) Any written notice referred to in clause 33.1 must:
- (i) be provided not later than 15 Business Days after the later of:
    - (A) the first occurrence of; or
    - (B) when the Tunnelling Contractor first became aware of, or ought reasonably to have first become aware of,

the Direction, event, circumstance, act, omission, fact, matter or thing which gave rise to the alleged entitlement; and
  - (ii) expressly specify:
    - (A) that the Tunnelling Contractor proposes to make a Claim; and
    - (B) the Direction, event, circumstance, act, omission, fact, matter, or thing, which gave rise to the alleged entitlement in the Claim.
- (b) Subject to clause 33.3, any written Claim referred to in clause 33.1 must:
- (i) be provided not later than 20 Business Days after giving the written notice under clause 33.2(a); and
  - (ii) include:
    - (A) detailed particulars, including the date or dates, of the Direction, event, circumstance, act, omission, fact, matter or thing upon which the Claim is based;
    - (B) the legal basis for the Claim, whether based on a term of this deed or otherwise, and if based on a term of this deed, clearly identifying the specific term;
    - (C) the facts relied upon in support of the Claim in sufficient detail to permit verification; and
    - (D) details of the amount claimed and how it has been calculated.

### 33.3 Temporary waiver of notification requirements

- (a) Within 5 Business Days after receipt of a written notice referred to in clauses 33.2(a) or 17.8(a)(i) (as applicable), the Principal's Representative may notify the Tunnelling Contractor in writing that the Principal wishes to temporarily waive the requirements of clause 33.2(b) or clauses 17.8(a)(ii) and 17.8(c) (as applicable) in relation to the proposed Claim that is the subject of the Tunnelling Contractor's notice.
- (b) If the Principal's Representative issues a notice under clause 33.3(a), the parties must within 2 Business Days (or such longer period agreed between the parties) meet to discuss the proposed Claim and seek to agree:
- (i) the period for which the requirements of clause 33.2(b) or clauses 17.8(a)(ii) and 17.8(c) (as applicable) will not apply in relation to the proposed Claim; and

- (ii) the next steps (if any) that the parties wish to take in relation to the proposed Claim.
- (c) If, at a meeting under clause 33.3(b), the parties agree a period for which the requirements of clause 33.2(b) or clauses 17.8(a)(ii) and 17.8(c) (as applicable) will not apply, the Principal's Representative will promptly confirm such period by notice in writing to the Tunnelling Contractor.
- (d) A meeting under clause 33.3(b) may be held in person, by phone, by video conference or by any other means of instantaneous communication agreed between the parties.
- (e) Where the Principal's Representative has given a written notice under clause 33.3(a):
  - (i) in response to a notice from the Tunnelling Contractor referred to in clause 33.2(a), if the parties:
    - (A) agree a period for which the requirements of clause 33.2(b) will not apply, the Tunnelling Contractor must provide a written Claim including the details required by clause 33.2(b)(ii) no later than 20 Business Days after the expiry of that period as stated in a notice issued by the Principal's Representative under clause 33.3(c) (or such longer period as the parties may subsequently agree in writing); or
    - (B) fail to agree such period, the Tunnelling Contractor must provide a written Claim including the details required by clause 33.2(b)(ii) no later than 20 Business Days after the date of the meeting held under clause 33.3(b); or
  - (ii) in response to a notice from the Tunnelling Contractor referred to in clause 17.8(a)(i), if the parties:
    - (A) agree a period for which the requirements of clauses 17.8(a)(ii) and 17.8(c) will not apply, the Tunnelling Contractor must provide a written Claim under clause 17.8(a)(ii) no later than 10 Business Days after the expiry of that period as stated in a notice issued by the Principal's Representative under clause 33.3(c) (or such longer period as the parties may subsequently agree in writing) and the parties may thereafter exercise their respective rights under clause 17.8(c); or
    - (B) fail to agree such period, the Tunnelling Contractor must provide a written Claim the Tunnelling Contractor must provide a written Claim under clause 17.8(a)(ii) no later than 10 Business Days after the date of the meeting held under clause 33.3(b) and the parties may thereafter exercise their respective rights under clause 17.8(c).
- (f) The Tunnelling Contractor must maintain and keep an updated register of potential Claims that have been the subject of a notice issued by the Principal under clause 33.3(c) and provide a copy of this register to the Principal's Representative at least 3 Business Days in advance of each meeting of the Management Review Group. This register must be in a form acceptable to the Principal and must include, for each potential Claim, the claim number, a brief description, the date of the potential Claim, the date of the Principal's notice under clause 33.3(a), the date of the meeting under clause 33.3(b), the expiry date of the period notified under clause 33.3(c), any agreed next steps and the status of such next steps.
- (g) A notice under clause 33.3(a) does not constitute acceptance that the relevant notice given under clauses 33.2(a) or 17.8(a)(i) (as applicable) is valid and does not

prejudice in any way the Principal's rights under clause 33.5 in respect of any notice under clauses 33.2(a) or 17.8(a)(i) (as applicable).

### 33.4 Continuing events

If the Direction, event, circumstance, act, omission, fact, matter or thing upon which a Claim referred to in clause 33.1 is based, is continuing, or if the consequences of the Direction, event, circumstance, act, omission, fact matter or thing are continuing, the Tunnelling Contractor must continue to give the information required by clause 33.2(b) every 20 Business Days after the written Claim under clause 33.2(b) was submitted or given, until after the Direction, event, circumstance, act, omission, fact, matter or thing upon which the Claim is based has, or the consequences thereof have, ceased.

### 33.5 Bar

If the Tunnelling Contractor fails to comply with clauses [REDACTED] 8.1, 8.2, 8.3, 8.4, 8.5, [REDACTED] 14.13, 17.8, [REDACTED] 21.6, 21.9(b), 21.9(j), 33.1, 33.2 or 33.4 [REDACTED]:

- (a) the Principal will not be liable upon any Claim (insofar as is permitted by Law) by the Tunnelling Contractor; and
- (b) the Tunnelling Contractor will be barred from making any Claim against the Principal, arising out of or in any way in connection with the relevant Direction, event, circumstance, act, omission, fact, matter or thing (as the case may be) to which those clauses apply.

### 33.6 Other provisions unaffected

Nothing in clauses 33.1 to 33.5 will limit the operation or effect of any other provision of this deed which requires the Tunnelling Contractor to give notice to the Principal's Representative in order to preserve an entitlement to make a Claim against the Principal.

## 34. GENERAL

### 34.1 Notices

- (a) Wherever referred to in this clause, "**Notice**" means each communication (including each notice, consent, approval, request and demand) under or in connection with this deed.
- (b) At any time and from time to time the Principal's Representative may notify the Tunnelling Contractor in writing that a PDCS will be used for giving Notices under or in connection with this deed. The Principal's Representative's notice will set out:
  - (i) the name of the relevant PDCS;
  - (ii) the commencement date for use of the PDCS;
  - (iii) any password, login details or similar information required for the Tunnelling Contractor to use the PDCS; and
  - (iv) any other information reasonably necessary for the use and service of Notices via the PDCS.
- (c) Each Notice must:
  - (i) before the date referred to in clause 34.1(b)(ii):

- (A) be in writing;
- (B) be addressed:
  - (aa) in the case of a Notice from the Tunnelling Contractor, to the Principal's Representative; or
  - (bb) in the case of a Notice from the Principal, to the Project Director;
- (C) be signed by the party making it or (on that party's behalf) by the solicitor for, or any attorney, director, secretary or authorised agent of, that party; and
- (D) be delivered or posted to the relevant address or sent to the email address shown below (or to any new address or email address notified in writing by the intended recipient):

- (aa) to the Principal's Representative:

Address: Level 43, 680 George Street, Sydney NSW 2000

Email: [REDACTED]

Attention: [REDACTED]

Any notice in relation to a Dispute must also be addressed to the General Counsel Sydney Metro and sent to [REDACTED]

- (bb) to the Tunnelling Contractor:

Name: Tunnelling Contractor

Address: [REDACTED]

Email: [REDACTED]

Attention: [REDACTED]

- (ii) on and from the commencement date for use of the PDCS referred to in clause 34.1(b)(ii):

- (A) be sent through the PDCS in accordance with the requirements set out in clause 34.1(e) and:

- (aa) in the case of a Notice from the Tunnelling Contractor, be addressed to the Principal's Representative; or

- (bb) in the case of a Notice from the Principal, be addressed to the Project Director; or

- (B) in circumstances where the PDCS is temporarily disabled or not operating for a period in excess of 2 hours, be issued in accordance with clause 34.1(c)(i);

- (d) Subject to clause 34.1(d)(v), a communication is taken to be received by the addressee:

- (i) (in the case of a Notice sent through the PDCS) at the time recorded on the PDCS as being the time at which the Notice was sent;
  - (ii) (in the case of prepaid post sent to an address in the same country) 2 Business Days after the date of posting;
  - (iii) (in the case of international post) 7 Business Days after the date of posting;
  - (iv) (in the case of delivery by hand) on delivery;
  - (v) (in the case of email):
    - (A) if it is transmitted by 5.00 pm (Sydney time) on a Business Day - on that Business Day; or
    - (B) if it is transmitted after 5.00 pm (Sydney time) on a Business Day, or on a day that is not a Business Day - on the next Business Day; and
- (e) With respect to Notices sent through the PDCS:
- (i) all Notices must be submitted by the party making it or (on that party's behalf) by the solicitor for, or any attorney, director, secretary or authorised agent of, that party;
  - (ii) only the text in any Notice, or subject to clause 34.1(e)(iii), any attachments to such Notice which are referred to in the Notice, will form part of the Notice. Any text in the subject line will not form part of the Notice; and
  - (iii) an attachment to a Notice will only form part of a Notice if it is uploaded to the PDCS in:
    - (A) pdf format;
    - (B) a format compatible with Microsoft Office; or
    - (C) such other format as may be agreed between the parties in writing from time to time.
- (f) The Tunnelling Contractor warrants that it will:
- (i) ensure that it has internet access which is sufficient to facilitate use of the full functionality of the PDCS;
  - (ii) ensure that relevant personnel log on and use the PDCS and check whether Notices have been received on each Business Day;
  - (iii) comply with any user guide and protocol with respect to the PDCS provided by the Principal to the Tunnelling Contractor from time to time;
  - (iv) ensure all relevant personnel attend all necessary training required by the Principal's Representative;
  - (v) advise the Principal's Representatives of which personnel require access to the PDCS;
  - (vi) at all times, ensure that it has access to personnel trained in the use of the PDCS so as to be able to view, receive and submit communications (including Notices) using the PDCS; and

- (vii) as soon as practicable, at the first available opportunity following any period of time during which the PDCS is temporarily disabled or not operating, send all communications which have been issued pursuant to clause 34.1(c)(ii)(B) to the Principal's Representative through the PDCS.
- (g) If the Tunnelling Contractor is an unincorporated joint venture and one of the joint venturers is, a foreign company (as defined in the Corporations Act), the Tunnelling Contractor must:
  - (i) appoint a local process agent acceptable to the Principal as its agent to accept service of process under or in any way in connection with this deed. The appointment must be in a form acceptable to the Principal and may not be revoked without the Principal's consent; and
  - (ii) obtain the process agent's consent to the appointment.
- (h) The Tunnelling Contractor will not be entitled to make, and the Principal will not be liable upon, any Claim arising out of or in any way in connection with:
  - (i) any Losses the Tunnelling Contractor may suffer or incur arising out of or in connection with its access to or use of the PDCS or any failure of the PDCS; and
  - (ii) the Tunnelling Contractor's access to or use of the PDCS or any failure of the PDCS.
- (i) Wherever this deed requires the Tunnelling Contractor to provide any documents, notices or other communications to an Interface Contractor, the Tunnelling Contractor must address such communications to the relevant Interface Contractor:
  - (i) at the address notified to the Tunnelling Contractor by the Principal; or
  - (ii) if required by the Principal, by way of the PDCS.

#### 34.2 **Governing Law and Jurisdiction**

- (a) This deed is governed by and must be construed according to the law applying in New South Wales.
- (b) Where this deed provides for a Dispute to be referred to litigation, each party irrevocably:
  - (i) submits to the non-exclusive jurisdiction of the courts of New South Wales and the courts competent to determine appeals from those courts, with respect to any action or proceedings which may be brought at any time relating in any way to this deed; and
  - (ii) waives any objection it may now or in the future have to the venue of any action or proceedings, and any claim it may now or in the future have that any action or proceedings have been brought in an inconvenient forum, if that venue falls within clause 34.2(b)(i).

#### 34.3 **Stamp duties and other fees**

- (a) The Tunnelling Contractor must:
  - (i) pay all stamp duties and any related fines and penalties and any other fees payable in respect of this deed, the performance of this deed and each transaction effected by or made under this deed; and

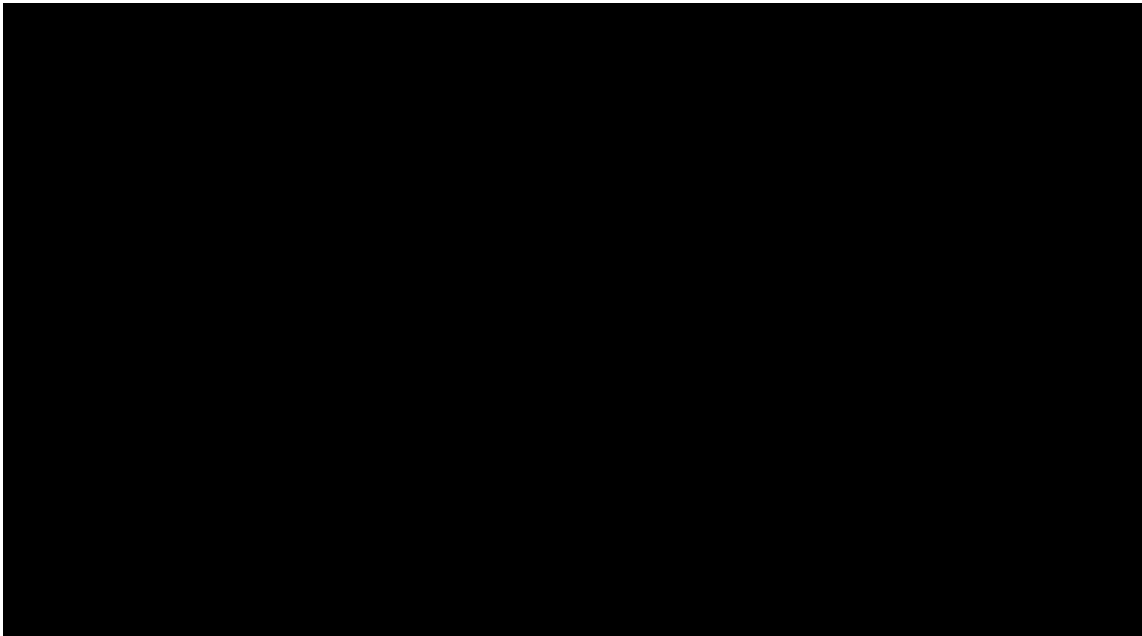
- (ii) indemnify the Principal against any liability arising from failure to comply with clause 34.3(a)(i).
- (b) The Tunnelling Contractor is authorised to apply for and retain the proceeds of any refund due in respect of stamp duty paid under this clause.

**34.4 Taxes**

- (a) Without limiting clauses 8.1, 8.2 or 8.3, the Tunnelling Contractor must pay all Taxes which may be payable in respect of the Tunnelling Contractor's Activities, including any customs duty, tariffs and primage applicable to imported materials (including Materials) or Construction Plant.
- (b) The Tunnelling Contractor indemnifies the Principal against, and must pay on demand the amount of, all Losses, liabilities and Taxes incurred as a result of the Tunnelling Contractor, any subcontractor or any person engaged by the Tunnelling Contractor or any subcontractor being deemed to be an employee of the Principal (including but not limited to payroll taxes, fringe benefits taxes, superannuation guarantee charge liabilities, and any related interest or penalties).

**34.5 Indemnities to survive**

- (a) Each indemnity in this deed is a continuing obligation, separate and independent from the other obligation of the parties, and survives termination, completion or expiration of this deed.
- (b) It is not necessary for a party to incur expense or make any payment before enforcing a right of indemnity conferred by this deed.
- (c) A party must pay on demand any amount it must pay under an indemnity in this deed.



**34.6 Amendment**

Subject to clause 17, this deed may only be amended, varied or replaced by written agreement executed by or on behalf of each party.



### 34.7 Permitted disclosure

- (a) Subject to any express provisions otherwise in this deed, the Principal may publish (on the internet or otherwise) or disclose, including to its Associates, any Interface Contractor:
- (i) the terms and conditions of any Tunnelling Contract Document, including this deed; and
  - (ii) any document or information arising under, out of or in connection with any Tunnelling Contract Document, including this deed, or relating to the performance of any Tunnelling Contract Document, including this deed.
- (b) The Tunnelling Contractor acknowledges and agrees that disclosures regarding the Project Works by the Principal, the State of New South Wales or any Authority may be required:
- (i) under the GIPA Act or any similar or replacement legislation;
  - (ii) by Law; or
  - (iii) to satisfy the disclosure requirements of the Auditor General or to satisfy the requirements of Parliamentary accountability,
- (Public Disclosure Obligations).**
- (c) The Tunnelling Contractor must use all reasonable endeavours to assist the Principal, the State of New South Wales or an Authority in meeting its Public Disclosure Obligations.
- (d) Subject to clause 34.7(e), the Tunnelling Contractor must:
- (i) keep confidential the Tunnelling Contract Documents and any information relating to the Project Works, the Tunnelling Contractor's Activities and any discussions concerning the Tunnelling Contract Documents; and
  - (ii) ensure that each of its Associates comply with the terms of clause 34.7(d)(i).
- (e) The Tunnelling Contractor is not obliged to keep confidential any information:
- (i) which is in the public domain through no default of the Tunnelling Contractor; or
  - (ii) the disclosure of which is:
    - (A) required by Law;
    - (B) given with the written consent of the Principal; or
    - (C) given to a court in the course of proceedings to which the Tunnelling Contractor is a party.
- (f) The parties acknowledge that:
- (i) the Principal will notify the Tunnelling Contractor of any proposed disclosure of the Tunnelling Contract Documents by the Principal under the GIPA Act no later than 15 Business Days before the proposed date of disclosure;

- (ii) following notification by the Principal in accordance with clause 34.7(f)(i), the Principal will take reasonable steps to consult with the Tunnelling Contractor before disclosing any part of the Tunnelling Contract Documents that the Tunnelling Contractor considers to be commercial-in-confidence (as defined in the GIPA Act); and
- (iii) nothing in this clause 34.7 will limit or otherwise affect the discharge of the Principal's obligations under the GIPA Act.

#### 34.8 **Vienna Convention**

The United Nations Convention on Contracts for the International Sale of Goods does not apply to this deed.

#### 34.9 **The Principal may act**

- (a) If the Tunnelling Contractor fails to perform an obligation under this deed, then, subject to clause 34.9(b), the Principal may take such action as may be necessary to remedy the failure by the Tunnelling Contractor and the Principal may for this purpose enter the Construction Site, any Extra Land and any other land upon which the Tunnelling Contractor's Activities are being carried out.
- (b) Except where the Principal is taking action in the circumstances referred to in clause 18.7, the Principal may only take action as referred to in clause 15.6(c) or clause 34.9(a) where the Principal's Representative has given the Tunnelling Contractor 5 Business Days' prior written notice of the Tunnelling Contractor's failure to perform an obligation under this deed and that the Principal intends to take action to remedy the failure.
- (c) The Loss suffered or incurred by the Principal in so performing such an obligation of the Tunnelling Contractor will be a debt due and payable from the Tunnelling Contractor to the Principal.
- (d) Where the Principal or the Principal's Representative is entitled under this deed to exercise any right or power to:
  - (i) direct or instruct the Tunnelling Contractor to; or
  - (ii) itself step in to,
 take any action or omit to take any action, it is not obliged to exercise that power or issue that Direction or instruction and it may do so in its absolute discretion.
- (e) Where the Principal or the Principal's Representative does exercise any such right or power, the Tunnelling Contractor remains responsible for, controls and assumes the risk of all environmental, health and safety issues relating to the Tunnelling Contractor's Activities, the Project Works and the Temporary Works.

#### 34.10 **Non reliance**

Without limiting clauses 14.8 [REDACTED] the Tunnelling Contractor:

- (a) warrants that it did not in any way rely upon any information, representation, statement or documentation, whether forming part of this deed or not, made by or provided to the Tunnelling Contractor by the Principal or anyone on behalf of the Principal for the purposes of entering into this deed;
- (b) warrants that it enters into this deed based on its own investigations, interpretations, deductions, information and determinations; and

- (c) acknowledges that it is aware that the Principal has entered into this deed relying upon the warranties in clauses 34.10(a) and 34.10(b).

#### 34.11 Entire agreement

To the extent permitted by Law, this deed and the other Tunnelling Contract Documents:

- (a) embody the entire understanding of the parties and constitute the entire terms agreed upon between the parties; and
- (b) supersede any prior written or other agreement of the parties,
- in relation to the subject matter of this deed.

#### 34.12 Counterparts

This deed may be executed in any number of counterparts and by the parties on separate counterparts. Each counterpart constitutes the deed of each party who has executed and delivered that counterpart.

#### 34.13 Unlimited discretion

- (a) Except as expressly otherwise provided in this deed (including in clause 34.13(c)), no procedural or substantive limitation or requirement (including any which may otherwise be implied by Law) is intended to be imposed upon the manner in which the Principal or the Principal's Representative may exercise any discretion, power or entitlement conferred by this deed.
- (b) Without limiting clause 34.13(a):
- (i) except as expressly provided in this deed (including in clause 34.13(c)), neither the Principal nor the Principal's Representative will be:
- (A) constrained in the manner in which it exercises; or
- (B) under any obligation to exercise,
- any discretion, power or entitlement conferred by this deed because of the operation of any legal doctrine which in any way limits or otherwise affects the construction or effect of express words used in the provision of this deed which confers the discretion, power or entitlement;
- (ii) any approval or consent referred to in, or required under, this deed from the Principal or the Principal's Representative may be given or withheld, or may be given subject to any conditions, as the Principal or the Principal's Representative (in their absolute discretion) thinks fit, unless this deed expressly provides otherwise;
- (iii) a Direction (including an absolute or sole discretion) or power of the Principal's Representative is validly and properly exercised or made for the purposes of this deed if exercised or made (or if it is not exercised or made) by the Principal's Representative whether it is exercised or made:
- (A) independently;
- (B) after consultation with the Principal and its advisers; or
- (C) as directed by the Principal;

- (iv) any control or influence exercised by the Principal over the Principal's Representative does not:
  - (A) affect the valid and proper exercise of any power or Direction (including an absolute or sole discretion) by the Principal's Representative; or
  - (B) entitle the Tunnelling Contractor to make any Claim against the Principal's Representative or the Principal, or to challenge the effect or validity of the Direction (including an absolute or sole discretion) or power; and
- (v) subject to any express provision in this deed to the contrary, a provision of this deed which says that the Principal or the Principal's Representative may do or not do something is not to be construed as imposing an obligation on the Principal or the Principal's Representative to do or not do that thing.
- (c) Nothing in this clause 34.13 will prevent the implication of a term into this deed where the implication of the term is required to ensure that this deed (or a part of this deed) is not void or voidable due to uncertainty or any other legal principle.

#### 34.14 **Joint and several liability**

- (a) The obligations of the Tunnelling Contractor, if more than one person, under this deed, are joint and several and each person constituting the Tunnelling Contractor acknowledges and agrees that it will be causally responsible for the acts and omissions (including breaches of this deed) of the other as if those acts or omissions were its own and the Principal may proceed against any one or all of them.
- (b) The rights of the Tunnelling Contractor, if more than one person, under this deed (including the right to payment) jointly benefit each person constituting the Tunnelling Contractor (and not severally or jointly and severally).
- (c) Any payment by the Principal under this deed to any account nominated in writing by the Tunnelling Contractor, or failing such nomination, to any one or more persons constituting the Tunnelling Contractor, will be deemed to be payment to all persons constituting the Tunnelling Contractor.
- (d) The Tunnelling Contractor may not exercise any right under this deed unless that right is exercised concurrently by all persons constituting the Tunnelling Contractor.

#### 34.15 **Assignment**

- (a) Without limiting clause 34.22, the Principal may:
  - (i) assign, novate or otherwise transfer all or any part of its rights under this deed without the Tunnelling Contractor's prior approval, provided that the assignee, novate or transferee (as applicable) is an authority of the State, a Minister or a government entity including a wholly owned State corporation or any other entity that is whole owned or controlled by the State;
  - (ii) not otherwise assign, novate or otherwise transfer all or any part of its rights under this deed without the Tunnelling Contractor's prior written consent (which must not be unreasonably withheld or delayed); and
  - (iii) disclose to a proposed assignee, novate or transferee any information in the possession of the Principal relating to the Tunnelling Contractor.
- (b) In the case of a novation by the Principal under this clause:

- (i) the Principal will be released from its obligations under this deed and the respective rights of the Principal and the Tunnelling Contractor against one another under this deed will cease;
  - (ii) the novated deed will be on the same terms as this deed, such that the incoming party and the Tunnelling Contractor will assume the same obligations to one another and acquire the identical rights against one another as the rights and obligations discharged under clause 34.15(b)(i), except that the incoming party replaces the Principal for all purposes under the deed; and
  - (iii) the Tunnelling Contractor consents to the disclosure by or on behalf of the Principal to the incoming party of their confidential information for the purposes of the novation.
- (c) The Principal may at any time enter into any subcontracting, delegation or agency agreements or arrangements in relation to any of its functions.
- (d) The Tunnelling Contractor must not:
- (i) assign, novate or otherwise transfer (at law, in equity, absolutely, by way of security, pursuant to a factoring arrangement or otherwise) any of its rights, interests, liabilities or obligations under this deed; or
  - (ii) enter into or facilitate, and must procure that its Subcontractors do not enter into, any agreement or arrangement that constitutes or involves reverse factoring with respect to payments to a Subcontractor or a Subcontractor's right to receive payments under a Subcontract,

without the prior written consent of the Principal.

#### 34.16 **No agency, partnership, joint venture or other fiduciary relationship**

Nothing in this deed will be construed or interpreted as:

- (a) conferring a right in favour of either the Principal or the Tunnelling Contractor to enter into any commitment on behalf of the other or otherwise to act as the other's agent; or
- (b) constituting the relationship between the Principal on one hand and the Tunnelling Contractor on the other hand as that of partners, joint venturers or any other fiduciary relationship.

#### 34.17 **Waiver**

- (a) Failure to exercise or enforce or a delay in exercising or enforcing or the partial exercise or enforcement of any right, power or remedy provided by Law or under this deed by the Principal will not in any way preclude, or operate as a waiver of, any exercise or enforcement, or further exercise or enforcement of that or any other right, power or remedy provided by Law or under this deed.
- (b) Any waiver or consent given by the Principal under this deed will only be effective and binding on the Principal if it is given or confirmed in writing by the Principal.
- (c) No waiver by the Principal of:
  - (i) a breach of any term of this deed; or
  - (ii) any other failure by the Tunnelling Contractor to comply with a requirement of this deed, including any requirement to give any notice which it is required

to give in order to preserve its entitlement to make any Claim against the Principal,

will operate as a waiver of:

- (iii) another breach of that term or of a breach of any other term of this deed; or
- (iv) another failure to comply with that requirement or of a failure to comply with any other requirement of this deed.

#### 34.18 Further acts and documents

Each party must promptly do all further acts and execute and deliver all further documents (in a form and content reasonably satisfactory to that party) required by Law or reasonably requested by the other party or parties to give effect to this deed.

#### 34.19 Provisions limiting or excluding liability

Any provision of this deed which seeks to limit or exclude a liability of the Principal or the Tunnelling Contractor, is to be construed as doing so only to the extent permitted by Law.

#### 34.20 Survival of certain provisions

- (a) Any provision of this deed which expressly or by implication from its nature is intended to survive the termination of this deed and any rights arising on termination shall survive, including any caps on or exclusions of liability, warranties, guarantees, licences or indemnities given under this deed.
- (b) No provision of this deed which is expressed to survive the termination of this deed will prevent any other provision of this deed, as a matter of interpretation, also surviving the termination of this deed.

#### 34.21 PPS Act

The Tunnelling Contractor acknowledges and agrees that:

- (a) if and to the extent that the Principal at any time forms a belief on reasonable grounds that the Principal is, or will become, a secured party arising out of or in connection with this deed or any transaction contemplated by this deed, the Principal may at the Tunnelling Contractor's expense take all steps that the Principal considers advisable to:
  - (i) perfect, protect, record, register, amend or remove the registration of, the Principal's Security Interest in any relevant personal property that is the subject of this Security Interest (relevant personal property); and
  - (ii) better secure the Principal's position in respect of the relevant personal property under the PPS Act;
- (b) it will do all things reasonably necessary to assist the Principal to take the steps described in paragraph (a);
- (c) it irrevocably and unconditionally waives its right to receive any verification statement in respect of any financing statement or financing change statement relating to any Security Interests of the Principal in the relevant personal property;
- (d) if, and only if, the Principal is or becomes a secured party in relation to relevant personal property, and to the extent only that Chapter 4 of the PPS Act would otherwise apply to an enforcement of a Security Interest in relevant personal

property, the Tunnelling Contractor and the Principal agree that, pursuant to section 115 of the PPS Act, the following provisions of the PPS Act do not apply in relation to those Security Interests to the extent, if any, mentioned in section 115: section 117, section 118, section 120, subsection 121(4), section 125, section 129, section 130, subsection 132(3)(d), subsection 132(4), section 142, and section 143;

- (e) subject to section 275(7) of the PPS Act, it will not disclose the contents of this deed, the amount or performance obligation secured by the Principal's Security Interest in relevant personal property and the other information mentioned in section 275(1) of the PPS Act pursuant to section 275(4) of the PPS Act;
- (f) it must immediately notify the Principal if the Tunnelling Contractor becomes aware of any person other than the Principal taking steps to register, or registering, a financing statement in relation to relevant personal property; and
- (g) it must arrange for the removal or cessation of any registration of any Security Interest that affects the priority of the Principal's interest in relevant personal property.

For the purposes of this clause 34.21, registration, secured party, verification statement, financing statement, personal property and financing change statement each have the meaning given to those terms in the PPS Act.

#### 34.22 **Transfer of functions or Public Transport Agency assets**

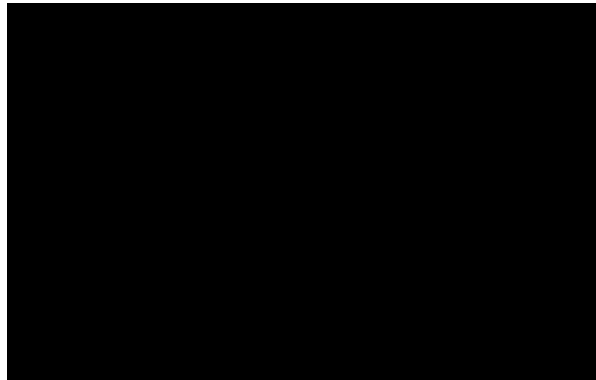
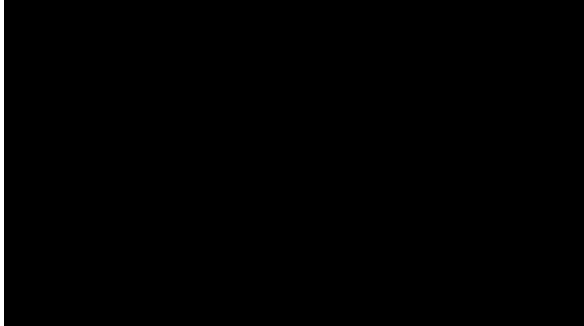
- (a) The parties acknowledge that:
  - (i) a Public Transport Agency may be reconstituted, renamed, dissolved, replaced or restructured and that some or all of the powers, functions, assets, rights, liabilities or responsibilities of a Public Transport Agency may be transferred to or vested in another entity;
  - (ii) if a Public Transport Agency is reconstituted, renamed, dissolved, replaced or restructured and/or some or all of that Public Transport Agency's powers, functions, rights or responsibilities are transferred to or vested in another entity, then unless otherwise notified by the Public Transport Agency, references in this deed to that Public Transport Agency must, subject to any facilitative legislation, be deemed to refer, as applicable, to the reconstituted, renamed, restructured or new entity or entity replacing that Public Transport Agency to the extent that such entity has assumed or has had transferred to it or vested in it those powers, functions, rights or responsibilities; and
  - (iii) a Public Transport Agency may be required to or may, at its absolute discretion, elect to (including as a result of changes to New South Wales government policy or directions) acquire, or dispose of, any property or assets.
- (b) The Tunnelling Contractor acknowledges and agrees that they must, to the extent required by a Public Transport Agency and without limiting any facilitative legislation, negotiate in good faith any variations required to this deed, or any replacement agreement or agreements for this deed to give effect to a Public Transport Agency being reconstituted, renamed, dissolved, replaced or restructured.
- (c) The Tunnelling Contractor will be taken for all purposes to have consented to, and will not have, and no Public Transport Agency will be liable for, any claim as a result of any action, matter or circumstance referred to in, or contemplated by this clause 34.22.

- (d) For the purposes of this clause 34.22, "another entity" means a government or semi-government entity including any agency, statutory corporation, statutory authority, department or state owned corporation.

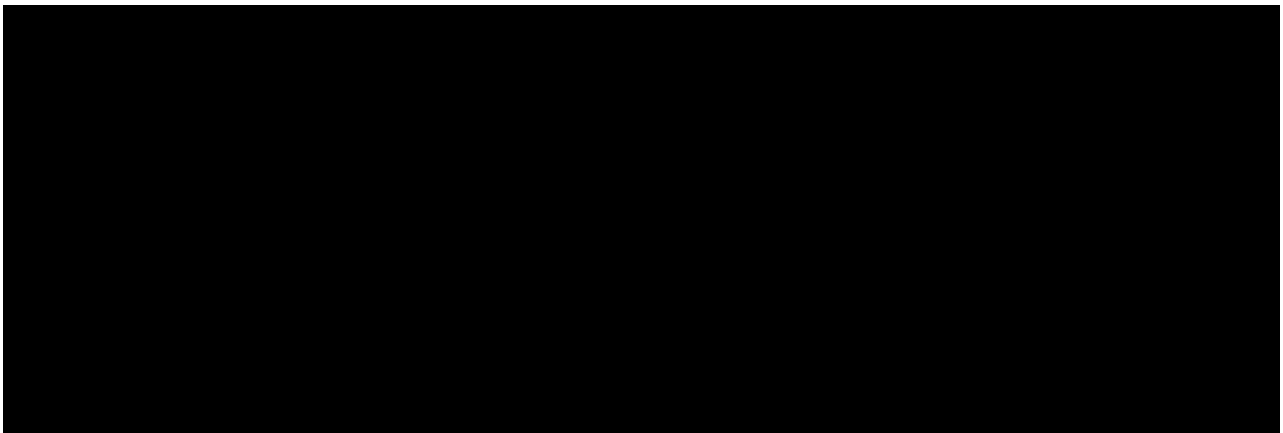


**EXECUTED** as a deed.

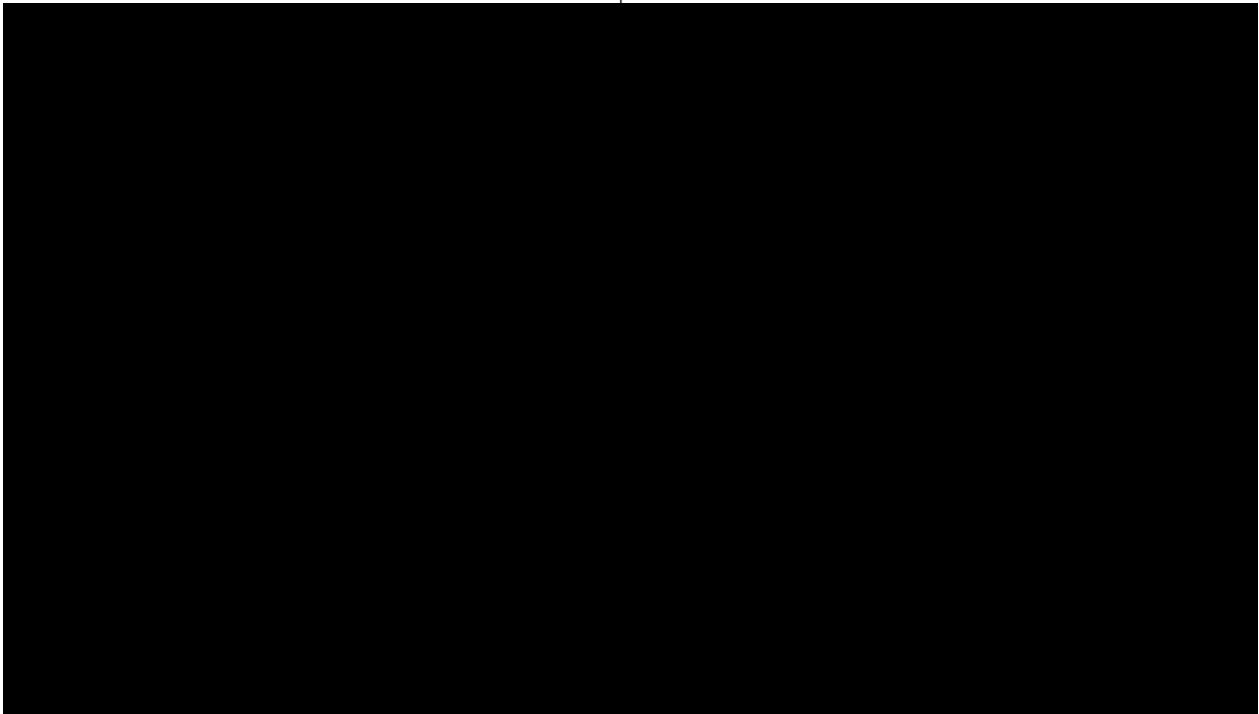
**SIGNED** for **SYDNEY METRO** ABN 12 354 063 515 by its duly authorised delegate, in the presence of:



**EXECUTED** for and on behalf of **JOHN HOLLAND PTY LTD** (ABN 11 004 282 268) by its attorney under a power of attorney dated 23 November 2022 (and the attorney declares that the attorney has not received any notice of the revocation of such power of attorney), in the presence of:



**EXECUTED** for and on behalf of **CPB CONTRACTORS PTY LIMITED** ABN 98 000 893 667 by its Attorneys under a Power of Attorney dated 7 April 2022 (and the Attorneys declare that the Attorneys have not received any notice of the revocation of such Power of Attorney), in the presence of:



**EXECUTED** by **GHELLA PTY LTD** ABN 85 142 392 461 in accordance with section 127 of the *Corporations Act 2001* (Cth):

